

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

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**Post-Distribution Accounting Form**

For guidance and instruction, please see

<https://www.cand.uscourts.gov/forms/procedural-guidance-for-class-action-settlements/>

Case Number (YY-xx-#####)	3:18-cv-02902-WHA
Case Name	SEB Investment Management AB v. Symantec Corp. et al.
Attorney Name	Jeremy P. Robinson
Nature of Action	Securities Fraud Class Action
Attorney Email	jeremy@blbglaw.com
Party Represented	SEB Investment Management AB
This is:	<input type="radio"/> An interim post-distribution accounting. Not all settlement funds have been distributed. <input checked="" type="radio"/> A final post-distribution accounting. All settlement funds have been distributed.

1. Total settlement fund	\$ 70,242,363		
2. Number of class members	# 169,604		
3. Number of class members to whom notice was sent and not returned as undeliverable	# 166,278		
4. Number of claim forms submitted	# 81,437	N/A	<input type="checkbox"/>
5. Percentage of claim forms submitted [=Q4/Q3]	% 49.0	N/A	<input type="checkbox"/>
6. Number of opt-outs	# 11		
7. Percentage of opt-outs [=Q6/Q3]	% 0.006		
8. Number of objections	# 0		
9. Percentage of objections [=Q8/Q3]	% 0.0		
10. Average recovery per claimant	\$ 3,141.70		
11. Median recovery per claimant	\$ 33.58		
12. Maximum recovery per claimant	\$ 5,709,833.94		

13. Minimum recovery per claimant		\$ 10.00	
14. Methods of notice to class members [1 or more], and percentage of success by method if known. Leave percentage blank if not known.			
<input checked="" type="checkbox"/>	98.04%	Mail	<input checked="" type="checkbox"/> 0.00% Email <input type="checkbox"/> 0.00% Text
<input checked="" type="checkbox"/>	0.00%	Advertisement	<input checked="" type="checkbox"/> 0.00% Website <input type="checkbox"/> 0.00% Other
15. Methods of payment to class members [1 or more], and percentage of success by method if known. Leave percentage blank if not known.			
<input type="checkbox"/>	0.00%	Direct Deposit	<input type="checkbox"/> 0.00% Gift Card <input checked="" type="checkbox"/> 99.3% Paper Check
<input checked="" type="checkbox"/>	98.1%	Wire	<input type="checkbox"/> 0.00% Payment App <input type="checkbox"/> 0.00% Other
16. Number of checks not cashed		# 15	
17. Total value of checks not cashed		\$ 878.65	
18. Amount of settlement funds claimed by class member		\$ 54,267,625.60	N/A <input type="checkbox"/>
19. Amount of settlement funds distributed to class members		\$ 54,268,504.25	
20. Amounts distributed to each cy pres recipient		Name	Amount
	1.		\$ 0.00
	2.		\$
	3.		\$
	4.		\$
	5.		\$
	6.		\$
	7.		\$
	8.		\$
	9.		\$
	10.		\$
21. Administrative costs		\$ 694,305.93	
22. Attorneys' fees		\$ 13,302,797.00	
23. Attorneys' costs excluding expert costs		\$ 343,242.14	
24. Expert costs		\$ 1,656,966.55	

25. Attorneys' fees in terms of percentage of the settlement fund	% 19
26. Plaintiffs' counsel's final lodestar total	\$ 20,028,151.25
27. Lodestar multiplier [# x.y]	# x.y 0.66
28. Describe any potential fraud issues encountered, the likely causes, and how they were addressed	
The Claims Administrator, A.B. Data, did not identify any potentially fraudulent claims in this matter.	
29. Number of class members availing themselves of nonmonetary relief	# 0
30. Aggregate value redeemed	\$ 0.00

Continued on next page.

31. Where injunctive and or other non-monetary relief has been obtained, discuss the benefit conferred on the class.

N/A. This was an all cash settlement.

32. Other notes and issues required to be addressed by judge's standing order.

This Final Post-Distribution Accounting is intended to provide the Court with a cumulative summary of the distribution efforts in the Action, which have now been concluded. The Initial Distribution of Net Settlement Fund in this securities class action occurred on October 28, 2022. ECF No. 451. A Second Distribution (consisting of uncashed checks or returned funds from the Initial Distribution) was conducted in September 2024, and a Third Distribution was conducted on October 20, 2025. The final stale date for the cashing of checks from the Third Distribution, including reissued checks, was January 18, 2026.

As of January 28, 2026, the total value of uncashed checks from the Third Distribution is just \$878.65 (or less than 0.002% of the total Settlement Fund). In addition, by maintaining a dedicated Post Office box for settlement communications, the Claims Administrator has incurred more than \$878.65 in additional expenses to complete the Third Distribution, which may be paid under the Court's Order Approving Distribution Plan (ECF No. 445 ¶ 3(f)). As such, after payment of the Claims Administrator's final invoice, the Settlement Fund is now zero and the funds have been fully distributed. No cy pres payment will be made in this case because the Settlement Fund has been exhausted.

End of form.

## NOTES TO THE POST-DISTRIBUTION ACCOUNTING

### Line(s)

1. As of January 31, 2026, the Settlement Fund has earned a total of \$242,363 in interest since its inception, which has increased the total value of the Settlement Fund from the Settlement Amount of \$70,000,000 to \$70,242,363.
- 2,3. In a securities class action such as this one, the “number of class members” is not precisely known because the securities are widely traded and there is no definitive list of class members available to the parties covering all times during the class period. The number listed on Line 2 is the total number of Settlement Notices mailed in this matter. Settlement Notices were mailed to all potential Class Members who were identified through reasonable efforts, including through requests to brokers and nominees to identify all potential Class Members. Because the court-approved process for disseminating notice by mail is designed to reach the maximum number of potential class members, it typically results in an overbroad dissemination of notice, including the direct mailing of notices to a substantial number of persons who are not class members, such as nominees who are not beneficial owners; persons who only held, but did not purchase, the relevant securities during the class period; or persons who purchased the securities during the class period but sold such securities before any alleged corrective disclosure and were not damaged.
- 4,5. As noted above, some recipients of the Notice may not have been members of the Class. Accordingly, the percentage in Line 5 does not necessarily reflect the percentage of Class Members who submitted Claim Forms.  
  
The percentage of participating Class Members can also be represented by the percentage of damaged shares participating in the Claims process. Here, the accepted Claims include a total of 339,760,531 damaged shares, which represents approximately 96% of the total number of damaged shares as estimated by Lead Plaintiff’s damages expert.
6. There were 11 persons and entities who requested exclusion from the Class in connection with the Settlement Notice. These requests for exclusion were in addition to the 120 timely requests for exclusion received in connection with the Class Notice and Supplement Class Notice (*i.e.*, before a settlement was reached).
14. Of the Settlement Notices, 3,326 were returned as undeliverable from the 169,604 sent, indicating that 98.04% were successfully delivered.
22. The amount provided for attorneys’ fees is equal to 19% of the \$70 million settlement, \$13,300,000, and Court-awarded interest thereon in the amount of \$2,797.