

## **Acceptable Forms of Documentation**

Each section of the Claim Form must be fully documented to be considered eligible. This includes all purchase transactions inside of the class period, all sales transactions, and those securities held prior to the beginning of the class period, as well as those remaining unsold after the end of the class period.

Any documentation provided must include the claimant's name and address or account number. Appropriate documentation to support your claim includes:

Trade Confirmation – Trade confirmation slips showing the trade date, quantity, price per security and net amount of the transaction are acceptable forms of documentation to support class period purchases or sales.

Official monthly, quarterly or year end broker statements – Monthly, quarterly or year end statements provided by your broker listing your transactions are sufficient to prove purchase and sale transactions so long as the necessary information for that transaction is included, such as the trade date, quantity and price paid or received for each security, and the total amount paid or received for each transaction.

These statements may also be used to support the quantity of securities held prior to the start of the class period, and after the class period has ended. On your claim form, these will be listed as Beginning and Unsold Holdings.

Stock Certificates – Copies of stock certificates may be used to support the amount of shares held at the end of the class period, or unsold holdings. They do not evidence when and for how much the shares were purchased.

Please Note: The Claims Administrator, Class Counsel or the Court reserve the right to request additional information or documentation.

**Spreadsheets, charts, tax forms, hand written information, and other documentation prepared by the claimant are not considered adequate supporting documentation.**