

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

IN RE HEARTWARE INTERNATIONAL, INC.  
SECURITIES LITIGATION

No. 1:16-cv-00520-RA

**DECLARATION OF RICHARD SIMMONS IN SUPPORT OF LEAD PLAINTIFF'S  
RENEWED MOTION FOR APPROVAL OF DISTRIBUTION PLAN**

I, Richard Simmons, declare and state as follows:

1. I am the President of Analytics Consulting, LLC (“Analytics”), which has its corporate office located in Chanhassen, Minnesota. I am over 21 years of age and am not a party to this action. I have personal knowledge of the facts set forth in this declaration and, if called as a witness, could and would testify competently thereto.

2. Analytics was retained by Lead Counsel to serve as the Claims Administrator in connection with the Settlement of the above-captioned action (the “Action”).<sup>1</sup> In its Order Preliminarily Approving Settlement and Authorizing Dissemination of Settlement Notice (ECF No. 74) (the “Preliminary Approval Order”), the Court approved the retention of Analytics as the Claims Administrator. As Claims Administrator, Analytics has, among other things: (i) mailed the Notice of (I) Pendency of Class Action and Proposed Settlement; (II) Settlement Fairness Hearing; and (III) Motion for an Award of Attorneys’ Fees and Reimbursement of Litigation Expenses (the “Notice”) and the Proof of Claim and Release Form (the “Proof of Claim” or “Claim” and, collectively with the Notice, the “Notice Packet”) to potential Class Members, brokers, and other

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<sup>1</sup> All terms with initial capitalization not otherwise defined herein shall have the meanings ascribed to them in the Stipulation and Agreement of Settlement dated November 13, 2018, previously filed with the Court (ECF No. 69-1) (the “Stipulation”).

nominees; (ii) created and continues to maintain a toll-free help line for inquiries during the course of the administration; (iii) created and continues to maintain a Settlement website and posted case-specific documents on it; (iv) caused the Summary Notice to be published; (v) provided, upon request, additional copies of the Notice Packet to potential Class Members, brokers, and other nominees; and (vi) received and processed Claims.

3. On April 12, 2019, the Court granted final approval of the Settlement and entered the final Judgment Approving Class Action Settlement (ECF No. 87). Also, pursuant to the Order Approving Plan of Allocation of Net Settlement Fund (ECF No. 86), the Court approved the proposed plan of allocation for the proceeds of the Settlement set forth in the Notice (the “Plan of Allocation.”). Analytics has completed processing all Claims received through March 9, 2020, in accordance with the terms of the Stipulation and the Court-approved Plan of Allocation set forth in the Notice, and hereby submits its administrative determinations accepting and rejecting the Claims in preparation for a distribution of the Net Settlement Fund to Authorized Claimants.

4. On April 3, 2020, Lead Counsel filed a Motion for Approval of Distribution Plan. Upon discovering that the Recognized Losses for certain Claimants had been incorrectly calculated, Lead Counsel filed a letter (ECF No. 93) on July 24, 2020, alerting the Court to the error and requesting the Court’s permission to withdraw the motion. Lead Counsel informed the Court that, as a result of this calculation error, some of the Recognized Claim amounts would have to be adjusted. The Court authorized the withdrawal of Lead Counsel’s motion on July 31, 2020.

5. At the direction of Lead Counsel, Analytics’ staff determined that, while Recognized Loss amounts were correctly calculated for all Claimants, Market Losses for some Claimants had been incorrectly calculated. Because a Recognized Claim is limited to the amount of its Market Loss, the miscalculation of a Market Loss resulted in an incorrect Recognized Loss

amount for these Claimants. Upon identification of the calculation error, my staff corrected the computer programming and re-tested and re-validated the Recognized Loss calculation programming. To verify the accuracy of these Recognized Loss calculations, I independently re-calculated all Recognized Loss amounts for all Claims. Additionally, I retained an external auditor, Boulay, PLLP, a PCAOB registered accounting firm, at no expense to the Settlement, to independently re-calculate and certify all Recognized Claims and Market Gain/Loss Amounts, a procedure adopted in Securities and Exchange Commission Fair Fund distributions. These two reviews independently validated the Recognized Loss calculations presented in this Declaration. The re-calculation of Market Gains and Losses resulted in: 1) an increased Recognized Claim for 1,499 Claims, with three Claims now being recommended for approval that had previously been recommended for rejection; and 2) a decreased Recognized Claim for 113 Claims, with 37 Claims now being recommended for rejection because the Claims resulted in No Recognized Claim due to the Claimants having an overall Market Gain. As described below, all Claimants whose Claims are now recommended for rejection were informed of this change and provided an opportunity to contest this determination. No Claimants have contested these revised determinations. Analytics now presents this revised declaration in support of Lead Plaintiff's Renewed Motion for Approval of Distribution Plan.

#### **DISSEMINATION OF NOTICE**

6. As more fully described in the Declaration of Michelle Kopperud Regarding (A) Mailing of Notice and Claim Form; (B) Publication of Summary Notice; and (C) Report on Requests for Exclusion Received to Date (ECF No. 79-1) (the "Mailing Decl.") and the Supplemental Declaration of Michelle Kopperud Regarding (A) Mailing of Notice and Claim Form and (B) Report on Requests for Exclusion Received (ECF No. 81) (the "Suppl. Mailing

Decl.”), as of April 3, 2019, Analytics had mailed 19,659 Notice Packets to potential Class Members and their nominees. Suppl. Mailing Decl. ¶ 2.

7. Analytics established and continues to maintain a website ([www.HeartWareSecuritiesLitigation.com](http://www.HeartWareSecuritiesLitigation.com)) and a toll-free telephone helpline (1-866-710-9044) to assist potential Class Members. The Settlement website, which provides access to important documents relevant to the Settlement, including the full Notice Packet, and the telephone helpline enable Class Members to obtain information about the Settlement.

8. In accordance with Paragraph 4(d) of the Preliminary Approval Order, on January 22, 2019, Analytics caused the Summary Notice to be published in *The Wall Street Journal* and to be transmitted over the *PR Newswire*. Mailing Decl. ¶ 8.

#### **PROCEDURES FOLLOWED IN PROCESSING CLAIMS**

9. Under the terms of the Preliminary Approval Order and as set forth in the Notice, each Class Member who wished to be eligible to receive a distribution from the Net Settlement Fund was required to complete and submit to Analytics a properly executed Claim postmarked no later than May 14, 2019, together with adequate supporting documentation for the transactions and holdings reported in the Claim. Through March 9, 2020, Analytics received and has fully processed 6,511 Claims (the “Presented Claims”).

10. In preparation for receiving and processing Claims, Analytics: (i) conferred with Lead Counsel to define the project guidelines for processing Claims; (ii) created a unique database to store Claim details, images of Claims, and supporting documentation; (iii) trained staff in the specifics of the project so that Claims would be properly processed; (iv) formulated a system so that telephone and email inquiries would be properly responded to; (v) developed various computer programs and screens for entry of Class Members’ identifying information and their transactional information; and (vi) developed a proprietary “calculation module” that would calculate

Recognized Claims pursuant to the Court-approved Plan of Allocation of the Net Settlement Fund stated in the Notice.

11. Class Members seeking to share in the Net Settlement Fund were directed in the Notice to submit their Claims to a post office box address specifically designated for the Settlement. Notice Packets returned by the United States Postal Service as undeliverable were reviewed for updated addresses and, where available, updated addresses were entered into the database and Notice Packets were mailed to the updated addresses. Any correspondence received at the post office box was reviewed and, when necessary, appropriate responses were provided to the senders.

### **PROCESSING CLAIMS**

#### **A. Paper Claims**

12. Of the 6,511 Claims, 472 are paper Claims. Once received, the Claims were opened and prepared for scanning. This process included unfolding documents, removing staples, copying nonconforming-sized documents, and sorting documents. Once prepared, paper Claims were scanned into a database together with all submitted documentation. Subsequently, each Claim was assigned a unique Claim number. Once scanned, the information from each Claim, including the Claimant's name, address, account number/information from the supporting documentation, and the Claimant's purchases/acquisitions, sales, and holdings listed on the Claim, was entered into a database developed by Analytics to process Claims submitted for the Settlement. Once entered into the database, each Claim was reviewed to verify that all required information had been provided. The documentation provided by the Claimant in support of the Claim was reviewed for authenticity and compared to the information provided in the Claim to verify the Claimant's identity and the purchase/acquisition transactions, sale transactions, and holdings listed on the Claim.

13. To process the transactions detailed in the Claims, Analytics utilized programmatic rules and internal codes (“classifications”) to identify and classify deficiency or ineligibility conditions existing within those Claims. Appropriate classifications were assigned to the Claims as they were processed, and the use of programmatic rules ensured that they were assigned consistently. For example, where a Claim was submitted by a Claimant who did not have any eligible transactions in HeartWare common stock during the Class Period (e.g., the Claimant purchased HeartWare common stock only before or after the Class Period), that Claim would be coded with a classification that denoted ineligibility. Similar defect classifications were used to denote other ineligible conditions, such as duplicate Claims. These classifications would indicate to Analytics that the Claimant was not eligible to receive any payment from the Net Settlement Fund with respect to that Claim unless the deficiency was cured in its entirety. Examples of conditions of ineligibility are as follows:

DEF	Inadequate or Missing Documentation for Entire Claim
DUP	Duplicate Claim
NONCLASS	No Eligible Purchase during the Class Period
SIGN	No Signature
NORECLOSS	No Recognized Claim

14. Because a Claim may be deficient only in part, but otherwise acceptable, Analytics utilized classifications that were applied only to specific transactions within a Claim. For example, if a Claimant submitted a Claim with supporting documentation for all but one purchase transaction, that one transaction would receive a defect classification. The classification indicated that although the transaction was deficient, the Claim was otherwise eligible for payment if other transactions in the Claim calculated to a Recognized Claim according to the Court-approved Plan

of Allocation. Thus, even if the deficiency was never cured, the Claim could still be partially accepted. Examples of transaction-specific classifications are as follows:

PARTIALDEF	Missing or Inadequate Documentation for Specific Transaction
REC	Transfer In/Free Receipt
DEL	Transfer Out/Free Delivery

**B. Electronic Claims**

15. Of the 6,511 Claims, 6,039 were filed electronically (“Electronic Claims”). Electronic Claims are typically submitted by institutional investors (“Electronic Claim Filers” or “E-Claim Filers”) who may have hundreds or thousands of transactions during the Class Period. Rather than provide reams of paper requiring data entry, the E-Claim Filers submitting Electronic Claims either mail a computer disc or electronically submit a file to Analytics so that Analytics can upload all transactions to its proprietary database developed for the Settlement.

16. Analytics’ Electronic Claim Filing Team (“ECF Team”) coordinates and supervises the receipt and handling of all Electronic Claims. In this case, the ECF Team reviewed and analyzed each electronic file to ensure that it was formatted in accordance with Analytics’ required format and to identify any potential data issues or inconsistencies within the file. If any issues or inconsistencies arose, Analytics notified the filer. If the electronic file was deemed to be in an acceptable format, it was then loaded to Analytics’ database.

17. Once each electronic file was loaded, the Electronic Claims were classified to denote any deficient or ineligible conditions that existed within them. These classifications are similar to those applied to paper Claims. The ECF Team performed programmatic reviews on Electronic Claims to identify deficient and ineligible conditions (such as, but not limited to, price out-of-range issues, out-of-balance conditions, transactions outside the Class Period, etc.). The output was thoroughly verified and confirmed as accurate.



18. The review process also included flagging any Electronic Claims that were not accompanied by a signed Proof of Claim, which serves as a “Master Proof of Claim Form” for all Claims referenced on the electronic file submitted. This process was reviewed by Analytics’ ECF Team and, when appropriate, Analytics contacted the E-Claim Filers whose submissions were missing information. This ensured that only fully completed Claims, submitted by properly authorized representatives of the Claimants, were considered eligible for payment from the Net Settlement Fund.

19. Finally, at the end of the process, Analytics performed various targeted reviews of Electronic Claims. Specifically, Analytics used criteria such as the calculated Recognized Claims and other identified criteria to classify and reach out to a number of E-Claim Filers and request that various sample purchases, sales, and holdings selected by Analytics be documented by providing confirmation slips or other transaction-specific supporting documentation. These targeted reviews help to ensure that electronic data supplied by Claimants does not contain inaccurate information.

#### **EXCLUDED PERSONS**

20. Analytics also reviewed all Claims to ensure that they were not submitted by, or on behalf of, “Excluded Persons,” to the extent that the identities of such persons or entities were known to Analytics through the list of Defendants and other excluded persons and entities set forth in the Stipulation and the Notice and from the Claimants’ certifications on the Proofs of Claim. Analytics also confirmed that none of the Claims were submitted by the one individual who requested exclusion from the Class.



## **THE DEFICIENCY PROCESS**

### **A. Paper Claims**

21. Approximately 44% of the paper Claims, i.e., 209 of the 472 paper Claims, were initially incomplete or had one or more defects or conditions of ineligibility, such as the Claim not being signed, not being properly documented, or indicating no eligible transactions in HeartWare common stock during the Class Period. The “Deficiency Process,” which primarily involved mailing letters to Claimants and responding to communications from Claimants by email and/or telephone, was intended to assist Claimants in properly completing their otherwise deficient submissions so that they could be eligible to participate in the Settlement.

22. If paper Claims were determined to be defective, a Notice of Partial Rejection of Claim or a Notice of Rejection of Claim (“Deficiency Notices”) was sent to Claimants describing the defect(s) in these Claims and what, if anything, was necessary to cure the defect(s) in these Claims. The Deficiency Notices advised Claimants that submission of appropriate information and/or documentary evidence to complete the Claim had to be sent within twenty (20) days from the date of the Deficiency Notice, or the Claim would be recommended for rejection to the extent that the deficiency or condition of ineligibility was not cured. The Deficiency Notices also advised Claimants that if they wished to contest these administrative determinations, they were required to submit written statements to Analytics requesting Court review of their Claims and setting forth the basis for such requests. Analytics sent a total of 209 Deficiency Notices to Claimants who filed paper Claims that Analytics determined to be defective. Attached hereto as Exhibit A are examples of Deficiency Notices.

23. Claimants’ responses to Deficiency Notices were scanned into Analytics’ database and associated with the corresponding Claims. The responses were then carefully reviewed and

evaluated by Analytics' team of processors. If a Claimant's response corrected the defect(s), Analytics manually updated the database to reflect the changes in the status of the Claim. If a Claimant did not respond to a Deficiency Notice, the Claim was re-reviewed by Analytics to determine, to the extent possible, the portion of the Claim that could be granted given the uncured deficiencies. No attempts to resolve a deficiency were denied due to the Claimant missing the 20-day deadline. All Claimants with deficient Claims were given through the date of this declaration to resolve deficiencies, which for some Claims is over 250 days.

**B. Electronic Claims**

24. For Electronic Claims, Analytics used the following process to contact 110 E-Claim Filers who submitted deficient or ineligible Electronic Claims. These E-Claim Filers were sent an email ("Deficient Claims Email") to the email address included with the Proof of Claim. The Deficient Claims Email contained a link to a secure email portal that provided access to electronic versions of Deficiency Notices that contained detailed information about the Deficient Claim(s) and indicated which Claim(s) within a filing were deficient and/or rejected.

25. As with the paper Claims, the Deficiency Notices contained the following information:

- (a) Notified the filer that any Claim(s) with deficiencies not corrected within twenty (20) days from the date of the Deficient Claims Email may be rejected;
- (b) Advised of the filer's right to contest the rejection of the Claim(s) and request this Court's review of Analytics' administrative determination within twenty (20) days from the date of the Deficient Claims Email; and
- (c) Provided instructions on how to submit corrections.

26. Analytics monitored the secure email system to verify that each of these E-Claim Filers received the Deficient Claims Email and accessed the correspondence regarding their deficient Claims. In instances where an E-Claim Filer did not access the correspondence regarding deficient Claims, Analytics contacted the E-Claim Filer by phone and email to remind the filer of the correspondence and response deadlines. Where necessary, Analytics made alternative arrangements for the delivery of the correspondence.

27. The E-Claim Filers' responses were reviewed by Analytics' ECF Team, scanned and/or loaded into Analytics' database, and associated with the corresponding Electronic Claims. If a response corrected the defect(s) or affected an Electronic Claim's status, Analytics manually and/or programmatically updated the database to reflect such change in the status of the Electronic Claim. If a Claimant did not respond to a Deficiency Notice, the Claim was re-reviewed by Analytics to determine, to the extent possible, the portion of the Claim that could be granted given the uncured deficiencies. As with paper Claims, no attempts to resolve a deficiency were denied due to the Claimant missing the 20-day deadline. All Claimants with deficient Claims were given through the date of this declaration to resolve deficiencies (which for some Claims is over 250 days).

### **QUALITY ASSURANCE**

28. An integral part of the claims administration process is the Quality Assurance review. Throughout the administration process, Analytics' Quality Assurance team worked to verify that Claims were processed properly by ensuring that information was entered correctly into the database, deficiency and/or rejection classifications were assigned accurately, and deficiency and/or rejection notification letters and emails were sent appropriately. After all Claims were processed, deficiency and/or rejection letters were mailed, and Claimants' responses to the

deficiency and/or rejection letters and emails were reviewed and processed, the supervisors and managers in Analytics' Quality Assurance team performed additional Quality Assurance reviews. These final Quality Assurance reviews further ensured the correctness and completeness of all Claims processed prior to preparing this declaration and all Analytics' final documents in support of distribution of the Net Settlement Fund. As part of the Quality Assurance reviews, Analytics:

- (a) Verified that all Proofs of Claim had signatures of authorized individuals;
- (b) Verified that true duplicate Claims were identified, verified, and rejected;
- (c) Verified that the last four digits of TINs were provided;
- (d) Verified that persons and entities excluded from the Class did not file Claims or their Claims were rejected upon review;
- (e) Performed a final Quality Assurance audit of Claims and all supporting documentation to ensure completeness of Claims;
- (f) Determined that all Claimants requiring deficiency and/or rejection letters were sent such letters;
- (g) Performed an audit of deficient Claims;
- (h) Performed additional review of Claims with high Recognized Claim amounts;
- (i) Audited Claims that were designated invalid;
- (j) Audited Claims with a Recognized Claim amount equal to zero;
- (k) Performed other auditing based on Claims completion requirements and the approved calculation specifications based on the Court-approved Plan of Allocation; and

- (l) Re-tested the accuracy of the Recognized Claim amount calculation program.

29. As part of its due diligence in processing Claims, Analytics conducts a search of all Claims filed in the Settlement for potentially fraudulent Claims (“Questionable Claims”). Analytics maintains a dedicated fraud database that includes input from various government entities, including the FTC and SEC. This fraud database contains names, addresses, and aliases of individuals or entities that have been investigated for questionable claim filing, as well as names and contact information compiled from previous settlements administered by Analytics in which fraudulent Claims were received. Analytics updates this fraud database on a regular basis. The Claims in this matter were searched for individuals identified in the fraud database. Analytics performs searches based on names, aliases, addresses, and city/zip codes. Analytics’ claim processors are trained to identify potentially inauthentic documentation when processing Claims, including Claims submitted by Claimants not previously captured in the fraud database. Processors are instructed to classify any questionable Claims and escalate them to management for review. Analytics’ claims processors are provided with access to third-party data, such as Thomson CLEAR<sup>2</sup>, to perform enhanced due diligence to validate the identity of Claimants submitting potentially Questionable Claims. Eighteen (18) Questionable Claims were identified for this Settlement, subjecting them to an internal audit for further investigation and determination as to

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<sup>2</sup> Thomson CLEAR provides access to billions of records consolidated from thousands of data sets that include Equifax, Experian, TransUnion, real property records, utility and warranty records, professional licenses, corporate filings, and court filings. CLEAR is relied upon by the private sector, law enforcement, and governmental agencies to assist in identifying healthcare and insurance fraud, conduct skip traces, and to conduct anti-money laundering investigations. See <https://legal.thomsonreuters.com/en/products/clear-investigation-software>, last accessed on September 28, 2020.

their eligibility, and all eighteen (18) Questionable Claims are recommended for rejection, as discussed below.

30. As noted above, Claimants, included those submitting Questionable Claims, were advised that they had the right to contest Analytics' administrative determination of deficiencies or ineligibility within twenty (20) days from the date of notification and that they could either: (a) accept the determination of deficiency; (b) cure the deficiency by providing additional documentation to support the Claim as well as documentation to establish the identity of the Claimant; or (c) request that the dispute be submitted to the Court for review. Claimants were advised in the Deficiency Notice that, if they disputed Analytics' determination, they had to (a) state the reasons for contesting the rejection of the Claim and provide any and all documentation supporting their argument(s); (b) specifically state that they "request that the Court review the rejection of this Claim"; and (c) if the dispute concerning the Claim could not otherwise be resolved, Lead Counsel would thereafter present the request for review to the Court for a final determination.

#### **DISPUTED CLAIMS**

31. There were eighteen (18) Claimants with Questionable Claims, sixteen (16) of which did not respond to the Deficiency Notice and are deemed to have been resolved. There are two (2) Claimants who submitted Questionable Claims who provided supplemental information that did not resolve questions regarding their Claims. Exhibit B attached hereto (the "Disputed Claims Chart") contains copies of these two (2) Disputed Claims, supporting documentation

submitted by the two (2) Claimants, and other documents related to each Disputed Claim.<sup>3</sup> There are no other requests for Court review by any other Claimants.

32. While not required, but out of an abundance of caution and to ensure that there was no ambiguity regarding the status of a Claim, Analytics sent a Notice of Rejection of Claim that re-stated the rejection of the Claim to the two (2) Disputed Claimants who provided supplemental information. These Claimants were advised a second time that, if they disputed Analytics' determination, they had to (a) state the reasons for contesting the rejection of the Claim and provide any and all documentation supporting their argument(s); (b) specifically state that they "request that the Court review the rejection of this Claim"; and (c) if the dispute concerning the Claim could not otherwise be resolved, Lead Counsel would thereafter present the request for review to the Court for a final determination.

33. These two Claimants responded to the Notice of Rejection of Claim and requested Court review. To resolve the disputes without necessitating the Court's intervention, Analytics re-contacted, by certified mail and email, the Claimants requesting Court review and fully re-explained the basis for Analytics' determination, offered to answer all their questions regarding the determination, and provided an opportunity for the Claimants to withdraw their requests for review. Analytics confirmed that both the certified mail and emails were delivered. Neither Claimant has responded to the final letter, no requests for Court review have been cured, and no requests for Court review have been retracted.

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<sup>3</sup> For privacy reasons, the documents included in Exhibit B have been redacted to remove personal information such as street addresses, email addresses, telephone numbers, account numbers, Taxpayer ID, Social Security, or Social Insurance Numbers, and all financial and transaction information not related to the Claimants' transactions in HeartWare common stock, unless the financial or transaction information serves as a basis for the dispute or as a basis for the Claim's rejection.



34. Analytics recommends both Disputed Claim 1012831 and Disputed Claim 1012435 for rejection as Questionable Claims due to (a) the inability to validate the documentation supporting each Claim; and (b) the inability to validate the identity of each Claimant.

35. Claim 1012831, if accepted, has a Recognized Claim of \$2,764,450.00 (with an estimated distribution of approximately \$285,000). In reviewing Claim 1012831, Analytics noted the following indicators of potential fraud:

- (a) Claim 1012831 is: 1) the second largest paper Claim submitted by an individual; 2) 180% larger than the next largest valid paper Claim submitted by an individual; and 3) 34 times the Recognized Claim of the average valid Claim submitted.<sup>4</sup> All of these are indicators of an outlier Claim and are potential indicators of fraud.
- (b) Claim 1012831 was filed using a commercial mail center as an address as opposed to a business or residence, a potential indicator of fraud.
- (c) The documentation submitted to support Claim 1012831 is from a defunct broker that was expelled from FINRA, a potential indicator of fraud in that it prevents validation of the Claim directly with the broker. Additionally, the broker's name on the Claimant's account statements is spelled in two different ways: "Legends Securities, Inc." and "Legend Securities, Inc.," which suggests that the statements may have been manipulated.
- (d) The provided account statements list Legends Securities, Inc.'s website both as [www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com) and [www.legendsscuritiesinc.com](http://www.legendsscuritiesinc.com).

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<sup>4</sup> Statistically, the Recognized Claim for Claim 1012831 would be 2.3 standard deviations away from the average, indicating an outlier.

However, Legend Securities, Inc., operated under neither of those domain names.

- (e) The account statement submitted to support Claim 1012831 contains a misspelling (“Confirmtion” [*sic*] rather than “Confirmation”), another indicator that the documentation was not produced by a brokerage house; and
- (f) The documentation submitted to support Claim 1012831 contains an incorrect CUSIP and transaction price, a strong indicator that the documentation was manipulated.

36. In addition to reviewing Claim 1012831 as submitted, Analytics cross-referenced Claim 1012831 against known market data and other Claims. Analytics determined that the purchases of HeartWare common stock presented on Claim 1012831 represent between 10% and 14% of the daily trading volume on those days for HeartWare common stock, which is a not plausible trading volume for a single individual investor to conduct. Of all the trades presented by all of the Claimants in this matter, the trades presented on Claim 1012831 were the largest on each day. Additionally, all trades occurred at only either the published market close or high price for the day. These factors, when combined, are not consistent with naturally occurring trading.

37. In addition to reviewing Claim 1012831 as submitted, Analytics conducted further due diligence that failed to validate the identity of the Claimant. None of the addresses identified in the Claim and supporting documentation can be linked to the Claimant using Thomson CLEAR. On March 12, 2020, this Disputed Claimant’s Claim and supporting documentation were provided to the federal authorities for further review as being potentially fraudulent.

38. Claim 1012435, if accepted, has a Recognized Claim of \$6,058,900.00 (with an estimated distribution of approximately \$624,000). In reviewing Claim 1012435, Analytics noted the following indicators of potential fraud:

- (a) Claim 1012435 is: 1) the largest paper Claim submitted by an individual; 2) 518% larger than the next largest valid paper Claim submitted by an individual; and 3) 34 times the Recognized Claim of the average valid Claim submitted.<sup>5</sup> All of these are indicators of an outlier Claim and are potential indicators of fraud.
- (b) Claim 1012435 was filed using a commercial mail center as an address as opposed to a business or residence, a potential indicator of fraud.
- (c) The documentation submitted to support Claim 1012435 is from a defunct broker that was expelled from FINRA, a potential indicator of fraud in that it prevents validation of the Claim directly with the broker. Additionally, the broker's name on the Claimant's account statements is spelled in two different ways: "Legends Securities, Inc." and "Legend Securities, Inc.," which indicates that the statements may have been manipulated.
- (d) The provided account statements list Legends Securities, Inc.'s website both as [www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com) and [www.legendsscuritiesinc.com](http://www.legendsscuritiesinc.com). However, Legend Securities, Inc., operated under neither of those domain names.

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<sup>5</sup> Statistically, the Recognized Claim for Claim 1012435 would be 5.1 standard deviations away from the average, indicating an extreme outlier.

- (e) The account statement submitted to support Claim 1012435 contains a misspelling (“Confirmtion” [sic] rather than “Confirmation”), an indicator that the documentation was not produced by a brokerage house.<sup>6</sup>

39. In addition to reviewing Claim 1012435 as submitted, Analytics cross-referenced Claim 1012435 against known market data and other Claims. Analytics determined that the purchases of HeartWare common stock presented on Claim 1012435 represent between 10% and 24% of the daily trading volume on those days for HeartWare common stock, which is a not plausible trading volume for a single individual investor to conduct. Of all the trades presented by all of the Claimants in this matter, the trades presented on Claim 1012435 were the largest on each day. Additionally, all trades occurred at only either the published market high or low price for the day. These factors, when combined, are not consistent with naturally occurring trading.

40. In addition to reviewing Claim 1012435 as submitted, Analytics conducted further due diligence that failed to validate the identity of the Claimant:

- (a) None of the addresses identified in the Claim and supporting documentation can be linked to the Claimant using Thomson CLEAR;
- (b) The individual submitting Claim 1012435 could not be identified in Thomson CLEAR under any variation of their first name at any place in the United States; and
- (c) The individual submitting Claim 1012435 could not be identified in Google under any variation of their first name at any place in the United States.

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<sup>6</sup> The documentation supporting Claim 1012831 is also from the same brokerage house, contains the same misspelling, and contains identifiable errors in price and CUSIP. These two Claims were both submitted from commercial mail centers that are located within a 45-mile drive from each other.

41. Further, Analytics researched the address contained on the current photo identification provided by the Claimant and contacted the homeowner at that address. Analytics confirmed that: a) the homeowner is a current member of the California bar; b) has owned that property for at least the last ten years; and c) has no knowledge of the Claimant. This is an indicator that the photo identification provided to Analytics is not valid. Taking Claim 1012435 and its supporting documentation as presented, the individual submitting Claim 1012435 was twenty-five years old at the time investments occurred, had a \$90 million margin account with a now-defunct brokerage house, and has no paper trail with respect to residence, credit, or utilities in any commercial database. This Disputed Claimant's Claim and supporting documentation were provided to the federal authorities for further review as being potentially fraudulent.

#### **LATE BUT OTHERWISE ELIGIBLE CLAIMS**

42. Of the Presented Claims, 247 were received or postmarked after the May 14, 2019, Claim submission deadline established by the Court. Analytics processed all late Claims received through March 9, 2020, and 34 have been found to be otherwise eligible in whole or in part (the "Late But Otherwise Eligible Claims"). Analytics has not rejected any Claim received through March 9, 2020, solely based on its late submission and Analytics believes no delay has resulted from the provisional acceptance of these Late But Otherwise Eligible Claims. To the extent they are eligible but for the fact that they were late, they are recommended for payment.

43. However, there must be a final cut-off date after which no more Claims will be accepted so that there may be a proportional allocation of the Net Settlement Fund and the distribution may be accomplished. Acceptance of additional Claims or adjustments to previously received Claims that are received during the finalization of the administration and the preparation of this application would necessarily require a delay in the distribution. Accordingly, Analytics also respectfully requests this Court order that no Claim received or adjusted after March 9, 2020,

be eligible for payment for any reason whatsoever subject only to the provision of ¶ 50(f) of the proposed distribution plan discussed below. If the Court adopts the proposed distribution plan, then, after Lead Counsel has determined that further distributions are not cost-effective and before any contribution of the residual funds to charity, if sufficient funds remain to warrant the processing of Claims received after March 9, 2020, these Claims will be processed. If any of those Claims would have been eligible if timely received, these Claimants may be paid the distribution amounts on a *pro rata* basis that would bring them into parity with other Authorized Claimants who have cashed all their prior distribution checks to the extent permitted by the amount of remaining funds. *See* ¶ 50(f) below. With respect to previously filed Claims that are cured or adjusted after March 9, 2020, such Claims will be reevaluated upon receipt of the adjustment and, to the extent that they are found eligible for a distribution or additional distribution, they will be treated in the same manner as Claims received after March 9, 2020. However, should an adjustment be received that results in a lower Recognized Claim amount, that adjustment will be made and the Recognized Claim amount will be reduced accordingly prior to a distribution to that Claimant.

#### **RECOMMENDATIONS FOR APPROVAL AND REJECTION**

44. As noted above, the number of Claims on this motion is 6,511.

##### **Timely Submitted and Valid Claims**

45. A total of 6,264 Claims were received or postmarked on or before the Court-approved Claim submission deadline of May 14, 2019, of which 4,324 were determined by Analytics to be eligible and are recommended for approval (“Timely Eligible Claims”). The total Recognized Claim amount for these Claims is \$382,094,829.93.

##### **Late But Otherwise Eligible Claims**

46. A total of 247 Claims were received or postmarked after the Court-approved Claim submission deadline of May 14, 2019, but received through March 9, 2020. Of those, 34 were

determined by Analytics to be otherwise eligible and are recommended for approval. The total Recognized Claim amount for these Claims is \$16,533,437.12.

**Rejected Claims**

47. After the responses to Deficiency Notices were processed, a total of 2,153 Claims, including the Disputed Claims discussed above, remain recommended for rejection by the Court (“Rejected Claims”) for the following reasons:

- (a) 1,145 Claims had no purchase(s) of HeartWare common stock during the Class Period;
- (b) 921 Claims did not result in a Recognized Claim;
- (c) 18 Claims were identified as questionable and subsequently rejected;
- (d) 14 Claims were duplicates; and
- (e) 55 Claims had uncured conditions of ineligibility.

**Lists of All Presented Claims**

48. Attached hereto as Exhibits C through E are listings of all the Presented Claims:

- (a) Exhibit C lists the Timely Eligible Claims and shows each Claimant’s Recognized Claim.
- (b) Exhibit D lists the Late But Otherwise Eligible Claims and shows each Claimant’s Recognized Claim.
- (c) Exhibit E lists the Rejected Claims and the reasons for rejection.

**FEES AND DISBURSEMENTS**

49. Analytics agreed to be the Claims Administrator in exchange for payment of its fees and out-of-pocket expenses. Lead Counsel received reports on and invoices for the work Analytics performed with respect to the provision of notice and administration of the Settlement. Attached hereto as Exhibit F is Analytics’ invoice for its work performed on behalf of the Class as



well as an estimate for the work that will be performed and the costs that will be incurred in connection with the initial distribution of the Net Settlement Fund.<sup>7</sup> As set forth in this invoice, Analytics' fees and expenses for its work performed through March 12, 2020, are \$106,735.32 and estimated to be performed on behalf of the Class in connection with the initial distribution are \$5,534.00. The Class has incurred no additional costs and expenses related to correcting the Recognized Claims amounts, and the external auditor's fees and expenses have not, and will not, be charged to the Class. To date, Analytics has not received any payment for its fees and expenses. Accordingly, there is a total of \$112,269.32 payable to Analytics.

#### **DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUND**

50. Should the Court concur with Analytics' determinations concerning the provisionally accepted and rejected Claims, including the Late But Otherwise Eligible Claims, Analytics recommends the following distribution plan (the "Distribution Plan"):

(a) Analytics will conduct an initial distribution of the Net Settlement Fund, after deducting all payments approved by the Court, and after payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, while maintaining a 5% reserve from the Net Settlement Fund to address any tax liability and claims administration-related contingencies that may arise (the "Initial Distribution"), as follows:

(1) Analytics will calculate award amounts for all Authorized Claimants as if the entire Net Settlement Fund were to be distributed now. In accordance with the Court-approved Plan of Allocation, Analytics will calculate each Authorized

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<sup>7</sup> Should the estimate of administrative fees and expenses payable to Analytics to conduct the initial distribution exceed the actual cost to conduct the distribution, the excess will be available for subsequent distribution to Authorized Claimants.

Claimant's *pro rata* share of the Net Settlement Fund based on the amount of the Authorized Claimant's Recognized Claim in comparison to the total Recognized Claims of all Authorized Claimants.

(2) Analytics will, pursuant to the terms of the Plan of Allocation, eliminate from the Initial Distribution any Authorized Claimant whose *pro rata* share calculates to less than \$10.00. These Claimants will not receive any payment from the Settlement, and Analytics will send notifications advising them of that fact.

(3) After eliminating Claimants who would have received less than \$10.00, Analytics will recalculate the *pro rata* share of the Net Settlement Fund for Authorized Claimants who would have received \$10.00 or more pursuant to the calculations described in subparagraph (a)(1) above. This *pro rata* share is the Authorized Claimant's "Distribution Amount."

(4) Authorized Claimants whose Distribution Amount calculates to less than \$100.00 pursuant to subparagraph (a)(3) above will be paid their full Distribution Amount in the Initial Distribution ("Claims Paid in Full"). These Authorized Claimants will get no additional funds in subsequent distributions.

(5) After deducting payments to the Claims Paid in Full, 95% of the remaining balance of the Net Settlement Fund will be distributed to Authorized Claimants whose Distribution Amount calculates to \$100.00 or more pursuant to subparagraph (a)(3) above, on a *pro rata* basis based on their Distribution Amounts. The remaining 5% of the Net Settlement Fund will be held in reserve (the "Reserve") to address any tax liability and claims administration-related

contingencies that may arise. To the extent the Reserve is not depleted, the remainder will be distributed in the “Second Distribution” described in subparagraph (d) below.

(b) In order to encourage Authorized Claimants to deposit their payments promptly, all distribution checks will bear a notation: “CASH PROMPTLY. VOID AND SUBJECT TO REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER ISSUE DATE].”<sup>8</sup>

(c) Authorized Claimants who do not cash their Initial Distribution checks within the time allotted or on the conditions set forth in footnote 8 will irrevocably forfeit all recovery from the Settlement. The funds allocated to all such stale-dated checks will be available to be redistributed to other Authorized Claimants as discussed below. Similarly, Authorized Claimants who do not cash their check from a second or subsequent distribution, should such distributions occur, within the time allotted or on the conditions

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<sup>8</sup> For Authorized Claimants whose checks are returned as undeliverable, Analytics will endeavor to locate new addresses by running the undeliverable addresses through address-lookup services. Where a new address is located, Analytics will update the database accordingly and reissue a distribution check to the Authorized Claimant at the new address. In the event a check is lost, damaged, or an Authorized Claimant otherwise requires a new check, Analytics will issue replacements. Distribution reissues will be undertaken only upon written instructions from the Authorized Claimant, provided that the Authorized Claimant returns the previous check where appropriate. For all checks, Analytics will void the initial payment prior to reissuing a payment. In order not to delay further distributions to Authorized Claimants who have timely cashed their checks, Analytics’ outreach program, described in the preceding sentences, shall end 30 days after the initial void date. Authorized Claimants will be informed that, if they do not cash their distribution checks within 90 days of the mail date, or they do not cash check reissues within 30 days of the mailing of such reissued check, their check will lapse, their entitlement to recovery will be irrevocably forfeited, and the funds will be reallocated to other Authorized Claimants. Reissue requests for lost or damaged checks will be granted after the void date on the checks as long as the request for the reissue is received no later than 45 days prior to the next planned distribution. Requests for reissued checks in connection with any subsequent distributions, should such distributions occur, will be handled in the same manner.

set forth in footnote 8 will irrevocably forfeit any further recovery from the Net Settlement Fund.

(d) Consistent with the Court-approved Plan of Allocation, after Analytics has made reasonable and diligent efforts to have Authorized Claimants cash their Initial Distribution checks, which efforts shall consist of the follow-up efforts described in footnote 8, but not earlier than seven (7) months after the Initial Distribution, Analytics will conduct a second distribution of the Net Settlement Fund (the “Second Distribution”). Any amount remaining after the Initial Distribution, including from the Reserve and the funds for all void stale-dated checks, after deducting Analytics’ fees and expenses incurred in administering the Settlement for which it has not yet been paid, including Analytics’ estimated costs of the Second Distribution, and after deducting the payment of any taxes or estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, will be distributed to all Authorized Claimants in the Initial Distribution who cashed their first distribution check and who would receive at least \$10.00 from such distribution based on their *pro rata* share of the remaining funds. Additional distributions, after deduction of costs and expenses as described above and subject to the same conditions, shall occur thereafter in six-month intervals until Lead Counsel, in consultation with Analytics, determines that further distribution is not cost-effective.

(e) At such time as Lead Counsel, in consultation with Analytics, determines that further distribution of the funds remaining in the Net Settlement Fund is no longer cost-effective, if sufficient funds remain to warrant the processing of Claims received after March 9, 2020, such Claims will be processed and any such Claims that are otherwise valid, as well as any earlier received Claims for which an adjustment was received after

March 9, 2020, and resulted in an increased Recognized Claim, may be paid in accordance with subparagraph (f) below. If any funds remain in the Net Settlement Fund after payment of such late or late-adjusted Claims, the remaining balance of the Net Settlement Fund, after payment of any unpaid fees or expenses incurred in connection with administering the Net Settlement Fund, and after the payment of any taxes or estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, will be contributed to non-sectarian, not-for-profit 501(c)(3) organization(s), to be recommended by Lead Counsel and approved by the Court.

(f) No new Claims submitted after March 9, 2020, may be accepted, and no further adjustments to Claims received on or before March 9, 2020, that would result in an increased Recognized Claim amount, may be made for any reason after March 9, 2020, subject to the following exception. If Claims are received or modified after March 9, 2020, that would have been eligible for payment or additional payment under the Plan of Allocation if timely received, then, at the time that Lead Counsel, in consultation with Analytics, determines that further redistribution is not cost-effective as provided in subparagraph (e) above, and after payment of any unpaid fees or expenses incurred by Analytics in connection with administering the Net Settlement Fund and after deducting the payment of any taxes or estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, such late Claimants, at the discretion of Lead Counsel, may be paid the distribution amounts or additional distribution amounts on a *pro rata* basis that would bring them into parity, to the extent possible, with other Authorized Claimants who have cashed all their prior distribution checks.

(g) Unless otherwise ordered by the Court, Analytics may destroy the paper

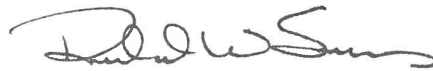
copies of the Claims and all supporting documentation one year after the Second Distribution, and Analytics may destroy electronic copies of the same one year after all funds have been distributed.

**CONCLUSION**

51. For the reasons set forth above, Analytics respectfully requests that the Court enter an Order (a) approving its administrative determinations accepting and rejecting the Claims submitted herein; (b) approving the proposed Distribution Plan; and (c) approving the payment from the Settlement Fund to Analytics of Analytics' fees and expenses in the total amount of \$112,269.32, as reflected on the invoice attached hereto as Exhibit F.

52. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 4<sup>th</sup> day of November 2020.

A handwritten signature in black ink, appearing to read "Richard W. Simmons", is written over a horizontal line.

Richard W. Simmons

**Exhibit A**



*In re HeartWare International, Inc. Securities Litigation*

c/o Analytics Consulting

P.O. Box 2003

Chanhassen, MN 55317-2003

Toll-Free: 1-866-710-9044 Email: [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com)

[www.HeartWareSecuritiesLitigation.com](http://www.HeartWareSecuritiesLitigation.com)

## NOTICE OF PARTIAL REJECTION OF CLAIM

Today's Date: July 22, 2019

Response Due Date: August 11, 2019

Claim Number: [REDACTED]

Dear Claimant:

We have processed the Proof of Claim and Release Form ("Claim") that you submitted in connection with the settlement achieved in the above-noted litigation. A portion of your Claim, based on our review, is currently deficient or ineligible for the reason(s) listed below. In order to resolve the deficient/ineligible condition(s) within your Claim, you must submit a written response with any required information, as specified below, postmarked no later than the response deadline printed above. Please include a copy of this notice with your response. **If you fail to respond by the response deadline, or if your response fails to cure the condition(s) identified below, this Claim will be rejected to the extent that those condition(s) remain uncured. Please note, this is the only notice you will receive with respect to this Claim.**

**Reason:** Missing or Inadequate Documentation for Specific Transaction or Holding Position.

The transaction(s) or holding position(s) detailed on the enclosed list are not supported by acceptable documentation.

**How to Resolve:** To resolve this deficiency, you must submit acceptable documentation supporting each of the transactions and/or holding positions detailed on the enclosed list. Acceptable documentation consists of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. For each transaction, the documentation must include the trade date; the number of shares of Facebook common stock; price per share; and the total price for the transaction. For closing positions, the documentation must show the number of shares of HeartWare common stock held as of the close of trading on April 8, 2016. Examples of unacceptable documentation include spreadsheets from your personal records; statements missing pertinent information or missing the account holder's name; and printouts from broker websites that do not include the required information.

**Claims that are not cured by the response deadline above will be rejected.** If you believe your Claim has been rejected in error, you may contact us for assistance and/or request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you "request that the Court review the rejection of this Claim"; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation outlining Claim eligibility), you may do so by visiting <https://www.heartwaresecuritieslitigation.com/>.

Very truly yours,  
Analytics Consulting  
Claims Administrator

**HeartWare Common Stock Transactions Provided by Claimant**

<b><u>Date</u></b>	<b><u>Stock Type</u></b>	<b><u>Transaction</u></b>	<b><u>Number of Shares</u></b>	<b><u>Price Per Share</u></b>	<b><u>Aggregate Paid or Amount Received</u></b>	<b><u>Documentation Provided?</u></b>
6/10/14	Common	HOLD	0.0000			Yes
9/10/15	Common	BUY	25.0000	\$65.50	\$1,637.50	Yes
9/14/15	Common	BUY	60.0000	\$67.25	\$4,034.99	Yes
10/16/15	Common	BUY	14.0000	\$35.54	\$497.56	Yes
10/20/15	Common	BUY	3.0000	\$40.97	\$122.91	Yes
11/16/15	Common	BUY	48.0000	\$47.90	\$2,299.20	Yes
1/12/16	Common	BUY	275.0000	\$0.00	\$0.00	No
4/8/16	Common	HOLD	425.0000			Yes

**Summary of HeartWare Common Stock Transactions**


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	<b><u>Shares</u></b>	<b><u>Amount Paid or Received</u></b>
Total Shares Purchased:	<b>425.0000</b>	<b>\$8,592.16</b>
Total Shares Sold:	<b>0.0000</b>	<b>\$0.00</b>
Total Shares Received:	<b>0.0000</b>	
Total Shares Delivered:	<b>0.0000</b>	

*In re HeartWare International, Inc. Securities Litigation*

c/o Analytics Consulting

P.O. Box 2003

Chanhassen, MN 55317-2003

Toll-Free: 1-866-710-9044 Email: [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com)

[www.HeartWareSecuritiesLitigation.com](http://www.HeartWareSecuritiesLitigation.com)

## NOTICE OF REJECTION OF CLAIM

Today's Date: July 22, 2019

Response Due Date: August 11, 2019

Claim Number: [REDACTED]

Dear Claimant:

We have processed the Proof of Claim and Release Form ("Claim") that you submitted in connection with the settlement achieved in the above-noted litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below. Please note that some reasons for ineligibility are curable. To resolve the identified curable condition(s) of ineligibility, please follow the instructions below.

In order for this Claim to be eligible, the identified curable conditions of ineligibility must be resolved, and the Claim must then calculate to a Recognized Claim under the Court-approved Plan of Allocation. Please include a copy of this notice with your response. **If you fail to respond by the response deadline printed above, or if your response fails to cure the condition(s) identified below, your Claim will be rejected in its entirety. Please note that this is the only notice you will receive with respect to this Claim.**

**Reason:** Inadequate or Missing Documentation for Entire Claim.

This Claim did not include any documentation to support the information you provided, or the documentation previously provided was deemed inadequate.

**How to Resolve:** To resolve this deficiency, please submit acceptable documentation to support your transactions and holding positions in HeartWare common stock for your entire Claim. Please do not merely resubmit the same documentation that you previously submitted. Acceptable documentation consists of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding position information found in a broker confirmation slip or account statement. Examples of unacceptable documentation include spreadsheets from your personal records; statements that are missing pertinent information or missing the account holder's name; and printouts from broker websites that do not include the required information.

**Claims that are not cured by the response deadline above will be rejected.** If you believe your Claim has been rejected in error, you may contact us for assistance and/or request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you "request that the Court review the rejection of this Claim"; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation outlining Claim eligibility), you may do so by visiting <https://heartwaresecuritieslitigation.com/>.

Very truly yours,  
Analytics Consulting  
Claims Administrator

**HeartWare Common Stock Transactions Provided by Claimant**

<b><u>Date</u></b>	<b><u>Stock Type</u></b>	<b><u>Transaction</u></b>	<b><u>Number of Shares</u></b>	<b><u>Price Per Share</u></b>	<b><u>Aggregate Paid or Amount Received</u></b>	<b><u>Documentation Provided?</u></b>
6/10/14	Common	HOLD	147.0000			No
9/23/14	Common	BUY	125.0000	\$81.53	\$10,191.25	No
9/25/14	Common	SELL	43.0000	\$80.96	\$3,461.21	No
12/14/14	Common	BUY	100.0000	\$74.82	\$7,482.00	No
12/22/14	Common	SELL	37.0000	\$73.06	\$2,683.33	No
2/25/15	Common	BUY	125.0000	\$33.28	\$4,160.00	No
2/25/15	Common	SELL	73.0000	\$89.94	\$6,545.27	No
2/26/15	Common	BUY	175.0000	\$89.63	\$15,685.25	No
9/21/15	Common	SELL	45.0000	\$66.00	\$2,949.95	No
9/23/15	Common	BUY	125.0000	\$65.57	\$8,196.25	No
12/20/15	Common	BUY	100.0000	\$46.48	\$4,648.00	No
12/21/15	Common	SELL	36.0000	\$46.38	\$1,649.65	No
2/24/16	Common	SELL	53.0000	\$32.43	\$1,698.73	No
2/25/16	Common	SELL	6.0000	\$29.89	\$179.35	No
2/25/16	Common	SELL	73.0000	\$29.50	\$2,133.75	No
2/26/16	Common	BUY	175.0000	\$32.43	\$5,675.25	No
3/11/16	Common	SELL	610.0000	\$30.58	\$18,634.62	No
4/8/16	Common	HOLD	96.0000			No

**Summary of HeartWare Common Stock Transactions**

	<b><u>Shares</u></b>	<b><u>Amount Paid or Received</u></b>
Total Shares Purchased:	<b>925.0000</b>	<b>\$56,038.00</b>
Total Shares Sold:	<b>976.0000</b>	<b>\$39,935.86</b>
Total Shares Received:	<b>0.0000</b>	
Total Shares Delivered:	<b>0.0000</b>	

**Exhibit B**

**Exhibit B**  
**Disputed Claim Chart**

Claim	Document	Exhibit	Pages
Disputed Claim 1012831	Claim as Submitted	B-1	3 - 19
	Notice of Rejection of Claim	B-2	20 - 22
	Claimant Response: <i>Supplemental Documentation but Not Requesting Court Review</i>	B-3	23 - 28
	Notice of Rejection of Claim	B-4	29 - 30
	Claimant Response: <i>Requesting Court Review</i>	B-5	31 - 34
	Analysis of Trades	B-6	35 - 36
	Notice of Rejection of Claim: <i>Final Opportunity to Withdraw Request for Review</i>	B-7	37 - 39
Disputed Claim 1012435	Claim as Submitted	B-8	40 - 66
	Notice of Rejection of Claim	B-9	67 - 69
	Claimant Response: <i>Supplemental Documentation but Not Requesting Court Review</i>	B-10	70 - 79
	Notice of Rejection of Claim	B-11	80 - 81
	Claimant Response: <i>Requesting Court Review</i>	B-12	82 - 83
	Analysis of Trades	B-13	84 - 85
	Notice of Rejection of Claim: <i>Final Opportunity to Withdraw Request for Review</i>	B-14	86 - 88

**Exhibit B-1**



**PART I – CLAIMANT INFORMATION**

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

**Beneficial Owner's Name**

First Name

V I K T O R

Last Name

P O P O V

**Joint Beneficial Owner's Name (if applicable)**

First Name

Last Name

If this claim is submitted for an IRA, and if you would like any check that you **MAY** be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

**Entity Name (if the Beneficial Owner is not an individual)****Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner****Last 4 digits of Social Security Number or Taxpayer Identification Number****Street Address****City****State/Province Zip Code****Foreign Postal Code (if applicable)****Foreign Country (if applicable)****Telephone Number (Day)****Telephone Number (Evening)**

Email Address (email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim):

**Type of Beneficial Owner:**

Specify one of the following:

- ☒ Individual(s)
 ☐ Corporation
 ☐ UGMA Custodian
 ☐ IRA
 ☐ Partnership
 ☐ Estate
 ☐ Trust
- ☐ Other (describe: \_\_\_\_\_)

**PART III – SCHEDULE OF TRANSACTIONS IN HEARTWARE COMMON STOCK**

The only eligible security is HeartWare International, Inc. common stock (Ticker: **NASDAQ: HTWR**, CUSIP: **422368100**). Do not include information regarding securities other than HeartWare common stock. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 6, above.

1. **HOLDINGS AS OF JUNE 10, 2014** – State the total number of shares of HeartWare common stock held as of the opening of trading on June 10, 2014. (*Must be documented.*) If none, write “zero” or “0.”

						0
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Confirm Proof  
of Position  
Enclosed



2. **PURCHASES/ACQUISITIONS FROM JUNE 10, 2014 THROUGH JANUARY 11, 2016** – Separately list each and every purchase or acquisition (including free receipts) of HeartWare common stock from after the opening of trading on June 10, 2014 through the close of trading on January 11, 2016. (*Must be documented.*)

Date of Purchase/Acquisition (List Chronologically)			Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share		Total Purchase/Acquisition Price (excluding any commissions, taxes and fees)	Confirm Proof of Purchase Enclosed
M	M	Y					
06	02	14	15000	89	82	1347300000	<input checked="" type="checkbox"/>
02	02	15	25000	84	76	2119000000	<input checked="" type="checkbox"/>
07	20	15	40000	79	49	3179600000	<input checked="" type="checkbox"/>
							<input type="checkbox"/>

3. **PURCHASES/ACQUISITIONS FROM JANUARY 12, 2016 THROUGH APRIL 8, 2016** – State the total number of shares of HeartWare common stock purchased or acquired (including free receipts) from January 12, 2016 through the close of trading on April 8, 2016. If none, write “zero” or “0.”

						0
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4. **SALES FROM JUNE 10, 2014 THROUGH APRIL 8, 2016** – Separately list each and every sale or disposition (including free deliveries) of HeartWare common stock from after the opening of trading on June 10, 2014 through the close of trading on April 8, 2016. (*Must be documented.*) IF NONE, CHECK HERE ☒

Date of Sale (List Chronologically)			Number of Shares Sold	Sale Price Per Share		Total Sale Price (not deducting any commissions, taxes or fees)	Confirm Proof of Sale Enclosed
M	M	Y					
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

5. **HOLDINGS AS OF APRIL 8, 2016** – State the total number of shares of HeartWare common stock held as of the close of trading on April 8, 2016. (*Must be documented.*) If none, write “zero” or “0.”

		8	0	0	0	0
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Confirm Proof  
of Position  
Enclosed



IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/ TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☐

<sup>1</sup> Please note: Information requested with respect to your purchases and acquisitions of HeartWare common stock from January 12, 2016 through and including April 8, 2016 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

\_\_\_\_\_  
Signature of Claimant

VIKTOR POPOV

\_\_\_\_\_  
Print Claimant Name Here

Date Signed

05  
M M

06  
D D

2019  
Y Y Y Y

\_\_\_\_\_  
Signature of Joint Claimant, if any

Date Signed

M M

D D

Y Y Y Y

\_\_\_\_\_  
Print Joint Claimant Name Here

*If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:*

\_\_\_\_\_  
Signature of Person Signing on Behalf of Claimant

Date Signed

M M

D D

Y Y Y Y

\_\_\_\_\_  
Print Name of Person Signing on Behalf of Claimant Here

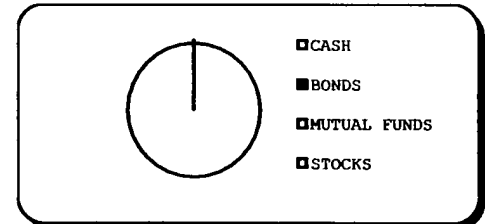
\_\_\_\_\_  
Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc.  
(Must provide evidence of authority to act on behalf of claimant – see ¶ 9 on page 4 of this Claim Form.)

**ACCOUNT STATEMENT**

Retain for your records

**Cut paper clutter****Switch to eStatement at [legendssecuritiesinc.com/paperless](http://legendssecuritiesinc.com/paperless)****Questions? Call 212-344-5747**

**Legends Securities, Inc.**  
 45 Broadway 32nd Floor  
 New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)



ACCOUNT	PERIOD	PAGE
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[REDACTED]	May 31, 2014 - June 27, 2014	1 of 3
------------	------------------------------	--------

Last Statement: May 30, 2014

VIKTOR POPOV

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
------------	-------------	----------	-----	--------	-------------	-------	--------	---------------------

**MARGIN ACCOUNT OPENING BALANCE**

[REDACTED]

0602	06/05	BOUGHT	15.000	HTWR	HEARTWARE INTERNATIONAL INC	89.82	-1,347,500.00	-10,615,998.23
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ACCOUNT	STATEMENT PERIOD	PAGE
	May 31, 2014 - June 27, 2014	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

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MARGIN	15,000		HEARTWARE INTERNATIONAL INC	HTWR	89.00	1,335,000.00
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APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 06/27/2014

DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

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ACCOUNT SUMMARY
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OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE

MARGIN ACCOUNT

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ACCOUNT	STATEMENT PERIOD	PAGE
	May 31, 2014 - June 27, 2014	3 of 3

## Terms and Conditions

### GENERAL INFORMATION AND KEY TERMS:

All references to "LSI" in this document refer to the broker-dealer Legend Securities, Inc. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement.

If you receive any other communication from any source other than LSI which purports to represent holdings at LSI (including balances held at a Sweep Bank) you should verify its content with this statement.

**Sweep Bank:** An FDIC-insured depository institution affiliated with LSI, at which interest-bearing Deposit Accounts are maintained on your behalf.

**AIP (Automatic Investment Plan) Customers:** LSI receives remuneration in connection with certain transactions effected through LSI. If you participate in a systematic investment program through LSI, the additional information normally detailed on a trade confirmation will be provided upon request.

**Margin Account Customer:** This is a combined statement of your margin account and special memorandum account maintained under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by regulation T is available for your inspection.

**Latest Price/Price (Investment Detail Section Only):** The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. LSI shall have no responsibility for accuracy or timeliness of any such valuations. Pricing of assets not held at LSI is for information purposes only.

### Additional Information:

A financial statement for your inspection is available at LSI's offices or a copy will be mailed to you upon request. Any third party trademarks appearing herein are the property of their respective owners.

(1106-3116) REG24970NRSB-07 (10/11)



**ACCOUNT STATEMENT**

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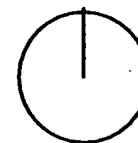
Call 212-344-5747 to find out how.

**Legends Securities, Inc.**

45 Broadway 32nd Floor

New York, NY 10006

www.legendsecuritiesinc.com


☐ CASH  
☐ BONDS  
☐ MUTUAL FUNDS  
☐ STOCKS

ACCOUNT	PERIOD	PAGE
---------	--------	------

January 31, 2015 - February 27, 2015

1 of 3

Last Statement: January 30, 2015

VIKTOR POPOV

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
------------	-------------	----------	-----	--------	-------------	-------	--------	---------------------

02/02	02/05	BOUGHT	25,000	HTWR	HEARTWARE INTERNATIONAL INC	84.76	-2,119,200.00	-8,957,358.43
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ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	January 31, 2015 - February 27, 2015	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

[REDACTED]						
MARGIN	40,000		HEARTWARE INTERNATIONAL INC	HTWR	85.23	3,409,200.00

[REDACTED]						
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APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 02/27/2015

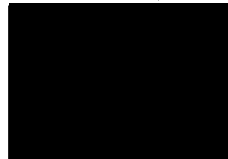
DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

[REDACTED]		
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ACCOUNT SUMMARY
-----------------

OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE

MARGIN ACCOUNT





ACCOUNT	STATEMENT PERIOD	PAGE
	January 31, 2015 - February 27, 2015	3 of 3

**Margin Account Customer:** This is a combined statement of your margin account and special memorandum account maintained under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by regulation T is available for your inspection.

**Address Changes:** If you fail to notify Legends Securities in writing of any change of Address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

**Short Positions:** Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted any long positions in Total Account Value.



**ACCOUNT STATEMENT**

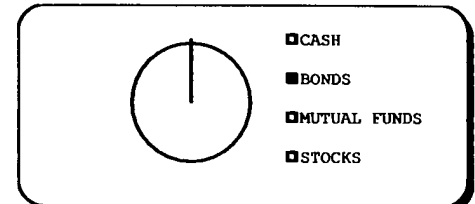
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[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)



ACCOUNT	PERIOD	PAGE
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1 of 3

June 27, 2015 - July 31, 2015  
 Last Statement: June 26, 2015

VIKTOR POPOV

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
------------	-------------	----------	-----	--------	-------------	-------	--------	---------------------

07/20	07/23	BOUGHT	40,000	HTWR	HEARTWARE INTERNATIONAL INC	79.49	-3,179,800.00	-9,883,716.13
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ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	June 27, 2015 - July 31, 2015	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE
MARGIN	80,000		HEARTWARE INTERNATIONAL INC	HTWR	90.71	7,256,800.00

APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 07/31/2015

DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

# ACCOUNT SUMMARY

OPENING MONEY BALANCE  
CLOSING MONEY BALANCE  
PORTFOLIO VALUE  
ACCOUNT VALUE

MARGIN ACCOUNT



ACCOUNT

STATEMENT PERIOD

PAGE

June 27, 2015 - July 31, 2015

3 of 3

## Terms and Conditions

### GENERAL INFORMATION AND KEY TERMS:

All references to "LSI" in this document refer to the broker-dealer Legend Securities, Inc. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement.

If you receive any other communication from any source other than LSI which purports to represent holdings at LSI (including balances held at a Sweep Bank) you should verify its content with this statement.

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**Latest Price/Price (Investment Detail Section Only):** The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. LSI shall have no responsibility for accuracy or timeliness of any such valuations. Pricing of assets not held at LSI is for information purposes only.

### Additional Information:

A financial statement for your inspection is available at LSI's offices or a copy will be mailed to you upon request. Any third party trademarks appearing herein are the property of their respective owners.

(1106-3116) REG24970NRSB-07 (10/11)



**ACCOUNT STATEMENT**

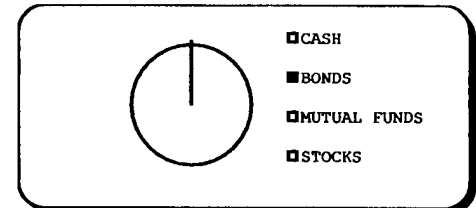
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ACCOUNT	PERIOD	PAGE
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[REDACTED]

March 26, 2016 - April 22, 2016  
 Last Statement: March 25, 2016

1 of 3

VIKTOR POPOV

[REDACTED]

[REDACTED]

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
---------------	----------------	----------	-----	--------	-------------	-------	--------	------------------------

[REDACTED]

[REDACTED]



ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	March 26, 2016 - April 22, 2016	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

[REDACTED]						
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MARGIN	80,000		HEARTWARE INTERNATIONAL INC	HTWR	34.31	2,744,800.00
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[REDACTED]						
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APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 04/22/2016

[REDACTED]

DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

[REDACTED]		
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ACCOUNT SUMMARY
-----------------

OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE

MARGIN ACCOUNT

[REDACTED]

[REDACTED]



March 26, 2016 - April 22, 2016

3 of 3

## Terms and Conditions

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**AIP (Automatic Investment Plan) Customers:** LSI receives remuneration in connection with certain transactions effected through LSI. If you participate in a systematic investment program through LSI, the additional information normally detailed on a trade confirmation will be provided upon request.

Mr Popov



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55317

**TO:**

In re HeartWare International, Inc.  
Securities Litigation  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

ailer  
x 16"





**Exhibit B-2**

*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

VIKTOR POPOV  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** October 10, 2019  
**RE:** *In re HeartWare International, Inc. Securities Litigation*

**CLAIM NUMBER:** 1012831  
VIKTOR POPOV

**RESPONSE DEADLINE:** October 30, 2019

Dear Claimant:

We have processed the Proof of Claim and Release Form (“Claim”) that you submitted in connection with the settlement achieved in the above-noted litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below. Please note that some reasons for ineligibility are curable. To resolve the identified curable condition(s) of ineligibility, please follow the instructions below.

In order for this Claim to be eligible, the identified curable conditions of ineligibility must be resolved, and the Claim must then calculate to a Recognized Claim under the Court-approved Plan of Allocation. Please include a copy of this notice with your response. **If you fail to respond by the response deadline printed above, or if your response fails to cure the condition(s) identified below, your Claim will be rejected in its entirety. Please note that this is the only notice you will receive with respect to this Claim.**

**Inadequate Documentation for Entire Claim:** This Claim did not include any documentation to support the information you provided, or the documentation previously provided was deemed inadequate or incomplete.

**How to Resolve:** To resolve this deficiency, please submit acceptable supporting documentation from an independent third party broker-dealer or other financial institution. Examples of acceptable supporting documentation include securities brokers’ confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable. ***Do not merely resubmit the same documentation that you previously submitted.***

**Failure to Ascertain Beneficial Owner:** The Claims Administrator is unable to independently validate and verify the identity of the Beneficial Owner.

**How to Resolve:** To resolve this deficiency, please submit acceptable documentation supporting the identification and validation of the identities of the Beneficial Owners and signatories associated with this claim.

1. Please provide copies of at least one of the following documents for all signatories associated with this claim:
  - a. A passport, or
  - b. Driving license that bears a photograph.

Please Note: This request for documentation may be supplemented based on the responses received.

**Claims that are not cured by the response deadline above will be rejected.** If you believe your Claim has been rejected in error, you may contact us for assistance and/or request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you “request that the Court review the rejection of this Claim”; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation outlining Claim eligibility), you may do so by visiting <https://heartwaresecuritieslitigation.com/>.

Very truly yours,

Analytics Consulting  
Claims Administrator

**Exhibit B-3**

**From:** Private Sector Settlements - Info <[info@heartwaresecuritieslitigation.com](mailto:info@heartwaresecuritieslitigation.com)>  
**Sent:** Thursday, October 31, 2019 9:53 AM  
**To:** [REDACTED] <[\[REDACTED\]@analyticsllc.com](mailto:[REDACTED]@analyticsllc.com)>  
**Subject:** Fwd: Heartware Securities Litigation-RESPONSE REQUIRED

On Wed, 30 Oct at 11:41 AM , Viktor popov [REDACTED] wrote:  
In furtherance to your communication, dated October 10th, attached are the information requested.  
Please let me know if additional information is needed, and I shall provide them as soon as I'm back in the U.S.

Sincerely,

Viktor Popov

On Monday, October 21, 2019, 08:00:59 AM PDT, Private Sector Settlements - Info <[info@heartwaresecuritieslitigation.com](mailto:info@heartwaresecuritieslitigation.com)> wrote:

Thank you for your email,

Please send the required information by email to this email address.

Sincerely,  
Office of the Claims Administrator

On Mon, 21 Oct at 3:58 AM , Viktor popov [REDACTED] wrote:  
Where do I mail the information requested, and do I send them do anyone's attention?

Please advise. Thank you.

Viktor Popov

On Thursday, October 10, 2019, 07:08:47 AM PDT, Private Sector Settlements - Info <[info@heartwaresecuritieslitigation.com](mailto:info@heartwaresecuritieslitigation.com)> wrote:

Dear Claimant,

Please see the attached regarding the claims you filed in the Heartware Securities Litigation.

Sincerely,  
Office of the Claims Administrator  
Heartware Securities Litigation





**Legends Securities, Inc.**  
 45 Broadway 32nd Floor  
 New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

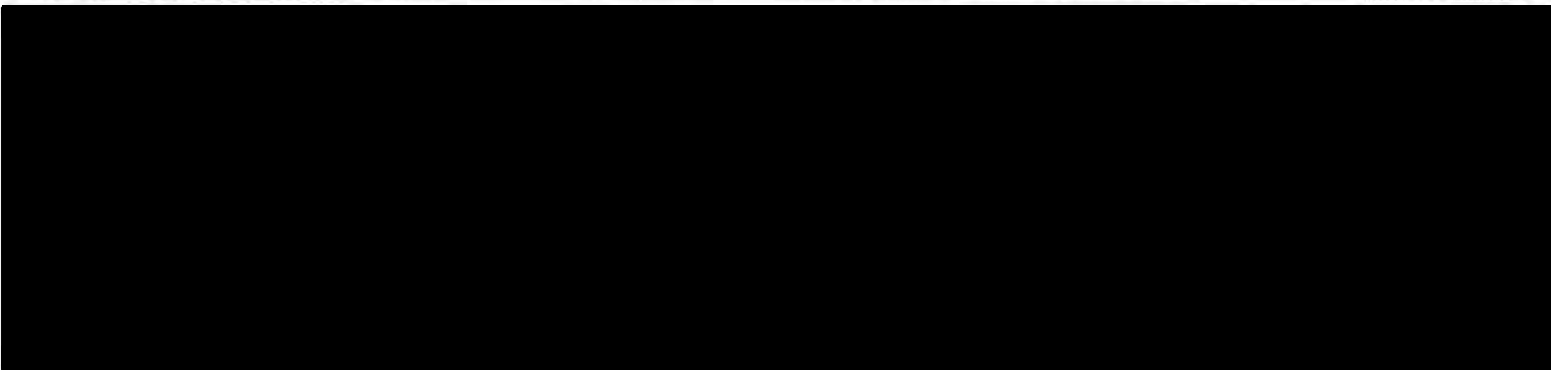
Confirmation for Account # [REDACTED]

VIKTOR POPOV  
 [REDACTED]



You bought 15,000 at a price of \$89.82  
 HEARTWARE INTERNATIONAL INC  
 Symbol: HTWR - CUSIP 422368100  
 CONFIRM # 007197632  
 Exchange: NASDAQ  
 Trade Date: 06/02/14  
 Settlement Date: 06/05/14

Gross Amount	\$1,347,300.00
Commission	\$200.00
<b>Amount</b>	<b>\$1,347,500.00</b>



As a reminder, payments for securities purchased, or delivery of securities sold,  
 must be deposited by Settlement Date.  
 See reverse for further details. Thank you for your business.





**Legends Securities, Inc.**  
 45 Broadway 32nd Floor  
 New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL: 212-344-5747

Confirmation for Account # [REDACTED]

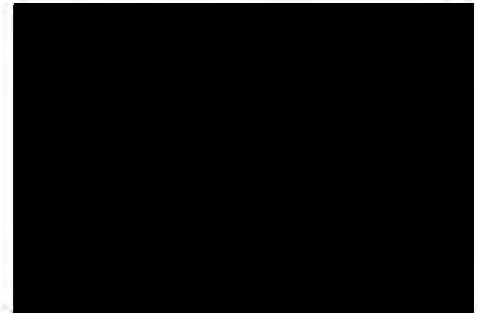
VIKTOR POPOV  
 [REDACTED]



You bought 25,000 at a price of \$984.76  
 HEARTWARE INTERNATIONAL INC  
 Symbol: HTWR - CUSIP 422368100  
 CONFIRM # 0071992339  
 Exchange: NASDAQ  
 Trade Date: 02/02/15  
 Settlement Date: 02/05/15

Gross Amount	\$2,119,000.00
Commission	\$200.00
<b>Amount</b>	<b>\$2,119,200.00</b>

[REDACTED]  
 OCH-ZIFF CAPITAL MGMT GROUP LLC  
 Symbol: OZM - CUSIP 835699307  
 CONFIRM # 0071992332  
 Exchange: NYSE  
 Trade Date: 02/02/15  
 Settlement Date: 02/05/15



As a reminder, payments for securities purchased, or delivery of securities sold,  
 must be deposited by Settlement Date.  
 See reverse for further details. Thank you for your business.





**Legends Securities, Inc.**  
 45 Broadway 32nd Floor  
 New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

Confirmation for Account # [REDACTED]

VIKTOR POPOV  
 [REDACTED]



You bought 40,000 at a price of \$79.49  
 HEARTWARE INTERNATIONAL INC  
 Symbol: HTWR - CUSIP 422368100  
 CONFIRM # 007200933  
 Exchange: NASDAQ  
 Trade Date: 07/20/15  
 Settlement Date: 07/23/15

Gross Amount	\$3,179,600.00
Commission	\$200.00
<b>Amount</b>	<b>\$3,179,800.00</b>



As a reminder, payments for securities purchased, or delivery of securities sold,  
 must be deposited by Settlement Date.  
 See reverse for further details. Thank you for your business.

**Exhibit B-4**

*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

VIKTOR POPOV  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** November 13, 2019  
**RE:** *In re HeartWare International, Inc. Securities Litigation*

**CLAIM NUMBER:** 1012831  
VIKTOR POPOV

**RESPONSE DEADLINE:** November 22, 2019

Dear Claimant:

We have processed the Proof of Claim and Release Form (“Claim”) that you submitted in connection with the settlement achieved in the above-noted litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below.

**Inadequate Documentation for Entire Claim:** The documentation provided to support this claim has been deemed inadequate.

If you believe your Claim has been rejected in error, you may request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you “request that the Court review the rejection of this Claim”; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions about this notice please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication.

Very truly yours,

Analytics Consulting  
Claims Administrator

**Exhibit B-5**

November 18, 2019

Analytics Consulting  
PO Box 2003  
Chanhassen, MN 55317-2003

**RE:** Heartware International, Inc. Securities Litigation

**CLAIM NUMBER:** 1012831

To Whom It May Concern:

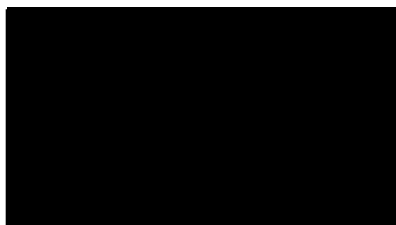
I would like to contest the determination of my claim based on the following reasons:

1. I have submitted the requested/required documentations. The requisite documentation I submitted was from the list of "examples of acceptable supporting documentation" that you provided me on 10-10-2019.  
I submitted 2 of the 3 acceptable supporting documentation from said list – trade confirmation slips and month-end statements.  
I also provided you with a copy of my current U.S. Passport.
2. All of the submitted documentation were submitted in a timely manner.

I am humbly requesting that the court review the rejection of this claim.

Yours truly,

Viktor Popov



*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

VIKTOR POPOV  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** November 13, 2019  
**RE:** *In re HeartWare International, Inc. Securities Litigation*  
**CLAIM NUMBER:** 1012831  
VIKTOR POPOV

**RESPONSE DEADLINE:** November 22, 2019

Dear Claimant:

We have processed the Proof of Claim and Release Form ("Claim") that you submitted in connection with the settlement achieved in the above-noted litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below.

**Inadequate Documentation for Entire Claim:** The documentation provided to support this claim has been deemed inadequate.

If you believe your Claim has been rejected in error, you may request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you "request that the Court review the rejection of this Claim"; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

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Very truly yours,

Analytics Consulting  
Claims Administrator

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To: Analytics Consulting  
PO Box 2003  
Chambers, MN  
55317-2003

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# Quarterly Mailer

15-00000

**Exhibit B-6**



## Trade Analysis

Trades Claimed in Claim <u>1012435</u>						Market Activity - HeartWare						
Trade Date	Settlement Date	Trade	Shares	Price	Gross Amount	Date	Open	High	Low	Close	Volume	% of Volume
6/2/2014	6/5/2014	BOT	15,000	\$89.92	\$1,348,800	Outside of Class Period						
2/2/2015	2/5/2015	BOT	25,000	\$84.76	\$2,119,000	2/2/2015	\$84.01	\$84.94	\$82.97	\$84.76	175,124	14.28%
7/20/2015	7/23/2015	BOT	40,000	\$79.49	\$3,179,600	7/20/2015	\$77.76	\$79.49	\$76.95	\$79.17	411,260	9.73%

**Exhibit B-7**

*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

VIKTOR POPOV  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** **January 23, 2020**  
**RE:** *In re HeartWare International, Inc. Securities Litigation*  
**CLAIM NUMBER:** **1012831**  
**VIKTOR POPOV**

**RESPONSE DEADLINE:** **January 30, 2020**

Dear Claimant:

We have received and processed the Proof of Claim and Release Form ("Claim Form"), as well as the supporting and supplemental documentation, that you provided in connection with the above-referenced Claim in the settlement of *In re HeartWare International, Inc. Securities Litigation*, Case No. 1:16-cv-00520-RA (S.D.N.Y.).

We continue to adhere to our determination, based on our review of the Claim Form and the documentation provided, that your Claim will be rejected. This is because the documentation you submitted contains irregularities, and, therefore, does not meet the standards necessary to validate your claim. These irregularities include, but are not limited to, the following:

- Inconsistencies in Broker-Dealer Name. The logo and footer included on the monthly statements and trade confirmations list the broker-dealer as "Legends Securities, Inc." However, the Terms and Conditions page refers to the broker-dealer as "Legend Securities, Inc.", which is consistent with the entity name registered with FINRA.
- Inconsistencies in Broker-Dealer Website. The account statements you provided lists Legends Securities, Inc.'s website both as [www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com) and [www.legendsscuritiesinc.com](http://www.legendsscuritiesinc.com). Legend Securities, Inc. operated under neither of those domain names.
- Errors in Trade Confirmation statements. Trade Confirmation statements contain various typographical errors. Additionally, the trade information listed on the confirmations is unreliable.

Please note we are in the process of notifying the appropriate law enforcement authorities about these issues.

You previously requested Court review of the rejection of your Claim which will now be presented to the Court. All of the information you provided to us will be submitted to the Court, including the basis for the rejection of your Claim. If you wish to retract your request to have your Claim presented to the Court as a Disputed Claim, you must do so in writing by January 30, 2020.

If you have any questions about this notice please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication.

Very truly yours,

Analytics Consulting  
Claims Administrator

**Exhibit B-8**

**PART I – CLAIMANT INFORMATION**

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

**Beneficial Owner's Name**

First Name

D A N I E L

Last Name

D R E N S O N

**Joint Beneficial Owner's Name (if applicable)**

First Name

Last Name

If this claim is submitted for an IRA, and if you would like any check that you **MAY** be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

**Entity Name (if the Beneficial Owner is not an individual)****Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner****Last 4 digits of Social Security Number or Taxpayer Identification Number****Street Address****City****State/Province Zip Code****Foreign Postal Code (if applicable)****Foreign Country (if applicable)****Telephone Number (Day)****Telephone Number (Evening)**

Email Address (email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim):

**Type of Beneficial Owner:**

Specify one of the following:

- ☒ Individual(s)
 ☐ Corporation
 ☐ UGMA Custodian
 ☐ IRA
 ☐ Partnership
 ☐ Estate
 ☐ Trust
- ☐ Other (describe: \_\_\_\_\_)

**PART III – SCHEDULE OF TRANSACTIONS IN HEARTWARE COMMON STOCK**

The only eligible security is HeartWare International, Inc. common stock (Ticker: **NASDAQ: HTWR**, CUSIP: **422368100**). Do not include information regarding securities other than HeartWare common stock. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, ¶ 6, above.

1. **HOLDINGS AS OF JUNE 10, 2014** – State the total number of shares of HeartWare common stock held as of the opening of trading on June 10, 2014. (*Must be documented.*) If none, write "zero" or "0."

2  5  0  0  0

Confirm Proof  
of Position  
Enclosed



2. **PURCHASES/ACQUISITIONS FROM JUNE 10, 2014 THROUGH JANUARY 11, 2016** – Separately list each and every purchase or acquisition (including free receipts) of HeartWare common stock from after the opening of trading on June 10, 2014 through the close of trading on January 11, 2016. (*Must be documented.*)

Date of Purchase/Acquisition (List Chronologically)			Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share		Total Purchase/Acquisition Price (excluding any commissions, taxes and fees)	Confirm Proof of Purchase Enclosed
M	M	Y					
0	6	14	25000	90	27	225675000	<input checked="" type="checkbox"/>
0	7	14	35000	88	13	08455000	<input checked="" type="checkbox"/>
0	2	15	35000	85	13	97955000	<input checked="" type="checkbox"/>
0	4	15	35000	88	06	08210000	<input checked="" type="checkbox"/>

3. **PURCHASES/ACQUISITIONS FROM JANUARY 12, 2016 THROUGH APRIL 8, 2016** – State the total number of shares of HeartWare common stock purchased or acquired (including free receipts) from January 12, 2016 through the close of trading on April 8, 2016. If none, write "zero" or "0."

0

4. **SALES FROM JUNE 10, 2014 THROUGH APRIL 8, 2016** – Separately list each and every sale or disposition (including free deliveries) of HeartWare common stock from after the opening of trading on June 10, 2014 through the close of trading on April 8, 2016. (*Must be documented.*) IF NONE, CHECK HERE ☐

Date of Sale (List Chronologically)			Number of Shares Sold	Sale Price Per Share		Total Sale Price (not deducting any commissions, taxes or fees)	Confirm Proof of Sale Enclosed
M	M	Y					
0	9	15	65000	70	87	60655000	<input checked="" type="checkbox"/>
0	3	16	40000	30	45	21800000	<input checked="" type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

5. **HOLDINGS AS OF APRIL 8, 2016** – State the total number of shares of HeartWare common stock held as of the close of trading on April 8, 2016. (*Must be documented.*) If none, write "zero" or "0."

9  0  0  0  0

Confirm Proof  
of Position  
Enclosed



IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/ TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☐

<sup>1</sup> Please note: Information requested with respect to your purchases and acquisitions of HeartWare common stock from January 12, 2016 through and including April 8, 2016 is needed in order to balance your claim; purchases and acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.

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Confirm Proof  
of Position  
Enclosed

☐

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Date of Purchase/Acquisition (List Chronologically)			Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share		Total Purchase/Acquisition Price (excluding any commissions, taxes and fees)	Confirm Proof of Purchase Enclosed
M	M	Y					
0	7	15	4	0	7	3179600	<input checked="" type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

3. **PURCHASES/ACQUISITIONS FROM JANUARY 12, 2016 THROUGH APRIL 8, 2016** – State the total number of shares of HeartWare common stock purchased or acquired (including free receipts) from January 12, 2016 through the close of trading on April 8, 2016. If none, write "zero" or "0."

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Date of Sale (List Chronologically)			Number of Shares Sold	Sale Price Per Share		Total Sale Price (not deducting any commissions, taxes or fees)	Confirm Proof of Sale Enclosed
M	M	Y					
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

5. **HOLDINGS AS OF APRIL 8, 2016** – State the total number of shares of HeartWare common stock held as of the close of trading on April 8, 2016. (*Must be documented.*) If none, write "zero" or "0."

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Confirm Proof  
of Position  
Enclosed

☐

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UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

\_\_\_\_\_  
Signature of Claimant

Date Signed

0	5
M	M

0	1
D	D

2	0	1	9
Y	Y	Y	Y

Daniel J. Drenson

\_\_\_\_\_  
Print Claimant Name Here

\_\_\_\_\_  
Signature of Joint Claimant, if any

Date Signed

M	M

D	D

Y	Y	Y	Y

\_\_\_\_\_  
Print Joint Claimant Name Here

*If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:*

\_\_\_\_\_  
Signature of Person Signing on Behalf of Claimant

Date Signed

M	M

D	D

Y	Y	Y	Y

\_\_\_\_\_  
Print Name of Person Signing on Behalf of Claimant Here

\_\_\_\_\_  
Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc.  
(Must provide evidence of authority to act on behalf of claimant – see ¶ 9 on page 4 of this Claim Form.)

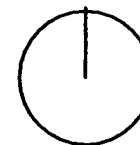
**ACCOUNT STATEMENT**

Retain for your records

**Cut paper clutter****Switch to eStatement at [legendssecuritiesinc.com/paperless](http://legendssecuritiesinc.com/paperless)****Questions? Call 212-344-5747****Legends Securities, Inc.**

45 Broadway 32nd Floor

New York, NY 10006

[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)☐ CASH☐ BONDS☐ MUTUAL FUNDS☐ STOCKS

ACCOUNT	PERIOD	PAGE
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[REDACTED]	May 31, 2014 - June 27, 2014	1 of 3
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**Last Statement: May 30, 2014**

DANIEL J DRENSON

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
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**MARGIN ACCOUNT OPENING BALANCE -21,321,172.23**

06/20	06/25	BOUGHT	25,000	HTWR	HEARTWARE INTERNATIONAL INC	90.27	-2,256,950.00	-22,289,010.23
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ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	May 31, 2014 - June 27, 2014	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

[REDACTED]						
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DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

[REDACTED]		
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ACCOUNT SUMMARY
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OPENING MONEY BALANCE	MARGIN ACCOUNT
CLOSING MONEY BALANCE	[REDACTED]
PORTFOLIO VALUE	
ACCOUNT VALUE	



ACCOUNT	STATEMENT PERIOD	PAGE
	May 31, 2014 - June 27, 2014	3 of 3

## Terms and Conditions

### GENERAL INFORMATION AND KEY TERMS:

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**AIP (Automatic Investment Plan) Customers:** LSI receives remuneration in connection with certain transactions effected through LSI. If you participate in a systematic investment program through LSI, the additional information normally detailed on a trade confirmation will be provided upon request.

**Margin Account Customer:** This is a combined statement of your margin account and special memorandum account maintained under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by regulation T is available for your inspection.

**Latest Price/Price (Investment Detail Section Only):** The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. LSI shall have no responsibility for accuracy or timeliness of any such valuations. Pricing of assets not held at LSI is for information purposes only.

### Additional Information:

A financial statement for your inspection is available at LSI's offices or a copy will be mailed to you upon request. Any third party trademarks appearing herein are the property of their respective owners.

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**ACCOUNT STATEMENT**

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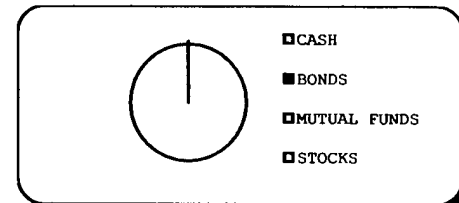
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New York, NY 10006

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ACCOUNT	PERIOD	PAGE
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	June 28, 2014 - July 25, 2014	1 of 3
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Last Statement: June 27, 2014

DANIEL J DRENSON

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
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MARGIN ACCOUNT OPENING BALANCE -21,643,041.77

07/22	07/25	BOUGHT	35,000	HTWR	HEARTWARE INTERNATIONAL INC	88.13	-3,084,750.00	-25,622,951.77
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**Address Changes:** If you fail to notify Legends Securities in writing of any change of Address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

**Non-Standard Assets:** All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain non-standard assets may be furnished by a third party as provided in LSI's Non-Standard Asset Custody Agreement. LSI shall have no responsibility for the accuracy or timeliness of such valuations. Assets held by a third party are not covered by SIPC



ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE
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[illegible]

	CURRENT PERIOD	YTD
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[REDACTED]

MARGIN ACCOUNT

ACCOUNT	STATEMENT PERIOD	PAGE
	June 28, 2014 - July 25, 2014	3 of 3

## Terms and Conditions

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### Additional Information:

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**ACCOUNT STATEMENT**

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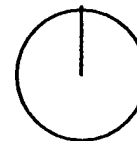
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CASH

BONDS

MUTUAL FUNDS

STOCKS

ACCOUNT	PERIOD	PAGE
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January 31, 2015 - February 27, 2015

1 of 3

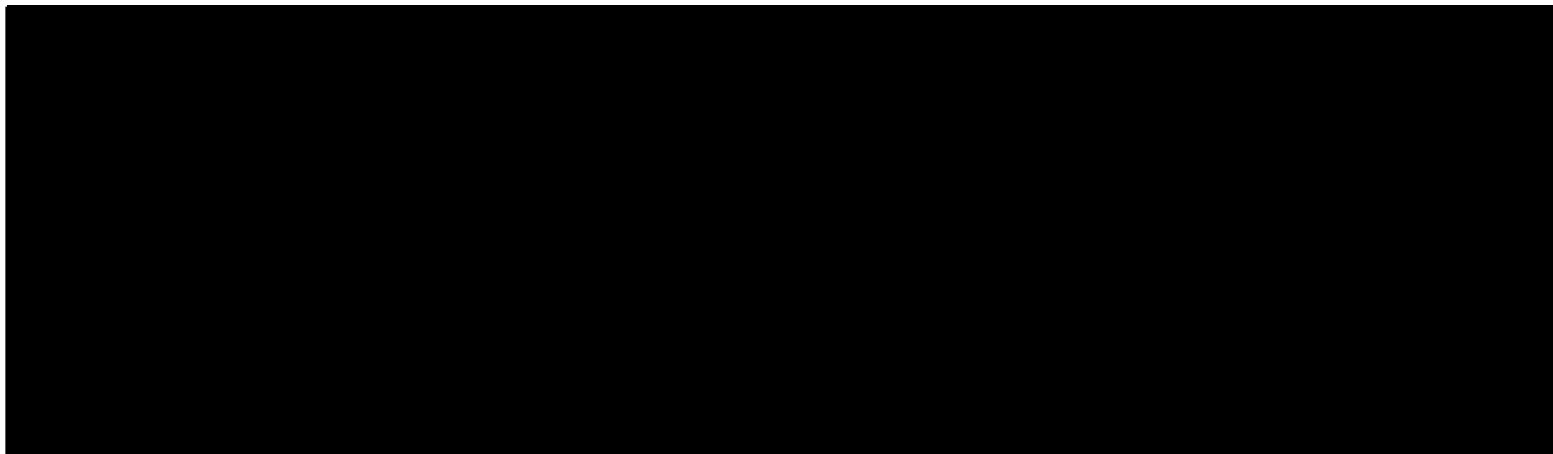
Last Statement: January 30, 2015

DANIEL J DRENSON

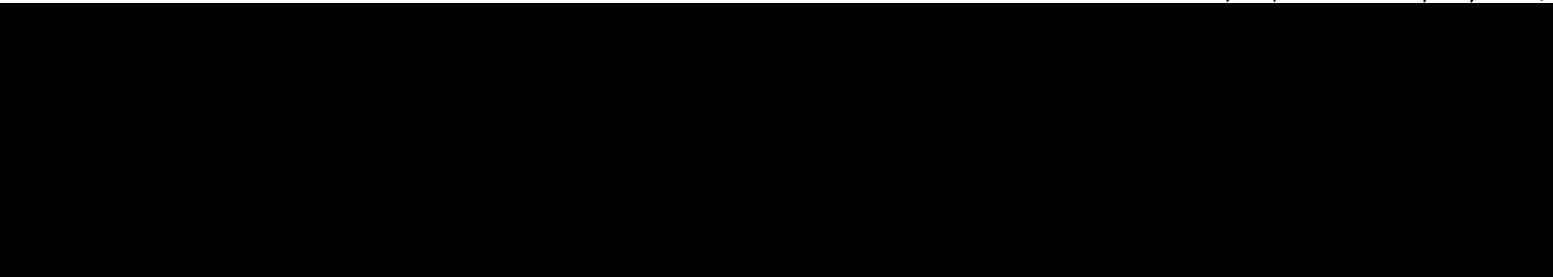
**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
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MARGIN ACCOUNT OPENING BALANCE -18,341,902.43



02/18	02/23	BOUGHT	35,000	HTWR	HEARTWARE INTERNATIONAL INC	87.83	-3,074,250.00	-19,421,706.43
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ACCOUNT	STATEMENT PERIOD	PAGE
	January 31, 2015 - February 27, 2015	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

MARGIN	120,000		HEARTWARE INTERNATIONAL INC	HTWR	85.23	10,227,600.00
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## DIVIDENDS, DISTRIBUTIONS &amp; INTEREST

CURRENT PERIOD

YTD

## ACCOUNT SUMMARY

## MARGIN ACCOUNT

OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE



ACCOUNT	STATEMENT PERIOD	PAGE
	January 31, 2015 - February 27, 2015	3 of 3

**Margin Account Customer:** This is a combined statement of your margin account and special memorandum account maintained under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by regulation T is available for your inspection.

**Address Changes:** If you fail to notify Legends Securities in writing of any change of Address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

**Short Positions:** Securities sold short will be identified through an "S" in Investment Detail. The market value of these securities will be expressed as a debit and be netted any long positions in Total Account Value.



**ACCOUNT STATEMENT**

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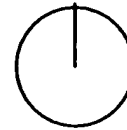
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- ☐ CASH
- ☐ BONDS
- ☐ MUTUAL FUNDS
- ☐ STOCKS

ACCOUNT	PERIOD	PAGE
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[REDACTED]	March 28, 2015 - April 24, 2015 Last Statement: March 27, 2015	1 of 3
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DANIEL J DRENSON

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
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04/09	04/14	BOUGHT	35,000	HTWR	HEARTWARE INTERNATIONAL INC	88.06	-3,082,300.00	-21,231,561.27
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ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	March 28, 2015 - April 24, 2015	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE
[REDACTED]						12,214,000.00
MARGIN	155,000		HEARTWARE INTERNATIONAL INC	HTWR	78.80	12,214,000.00

## DIVIDENDS, DISTRIBUTIONS &amp; INTEREST

CURRENT PERIOD

YTD

## ACCOUNT SUMMARY

## MARGIN ACCOUNT

OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE

[REDACTED]

ACCOUNT

STATEMENT PERIOD

PAGE

March 28, 2015 - April 24, 2015

3 of 3

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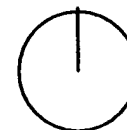
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☐ CASH☐ BONDS☐ MUTUAL FUNDS☐ STOCKS**ACCOUNT****PERIOD****PAGE**

June 27, 2015 - July 31, 2015

1 of 3

Last Statement: June 26, 2015

DANIEL J DRENSON

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
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07/20	07/23	BOUGHT	40,000	HTWR	HEARTWARE INTERNATIONAL INC	79.49	-3,179,800.00	-19,902,486.27
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CNBK2101-005679 22935

ACCOUNT	STATEMENT PERIOD	PAGE
	June 27, 2015 - July 31, 2015	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE
MARGIN	195,000		HEARTWARE INTERNATIONAL INC	HTWR	90.71	17,688,450.00

APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 07/31/2015

DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

ACCOUNT SUMMARY
-----------------

OPENING MONEY BALANCE  
CLOSING MONEY BALANCE  
PORTFOLIO VALUE  
ACCOUNT VALUE

MARGIN ACCOUNT



ACCOUNT

STATEMENT PERIOD

PAGE

June 27, 2015 - July 31, 2015

3 of 3

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**Latest Price/Price (Investment Detail Section Only):** The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. LSI shall have no responsibility for accuracy or timeliness of any such valuations. Pricing of assets not held at LSI is for information purposes only.

### Additional Information:

A financial statement for your inspection is available at LSI's offices or a copy will be mailed to you upon request. Any third party trademarks appearing herein are the property of their respective owners.

(1106-3116) REG24970NRSB-07 (10/11)





**ACCOUNT STATEMENT**

Retain for your records

**Secure, clutter-free, real-time access**

Switch to paperless statements for an easy, worry-free statement reminder.

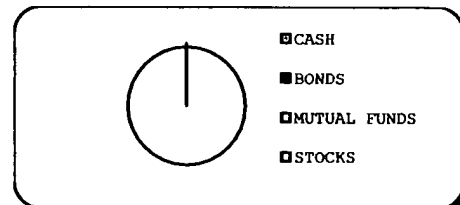
Call 212-344-5747 to find out how.

**Legends Securities, Inc.**

45 Broadway 32nd Floor

New York, NY 10006

www.legendsecuritiesinc.com



ACCOUNT	PERIOD	PAGE
---------	--------	------

[REDACTED]	August 29, 2014 - September 25, 2015 Last Statement: August 28, 2015	1 of 3
------------	---	--------

DANIEL J DRENSON

[REDACTED]

[REDACTED]

**ACCOUNT ACTIVITY**

TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE
------------	-------------	----------	-----	--------	-------------	-------	--------	---------------------

09/02	09/07	SOLD	65,000	HTWR	HEARTWARE INTERNATIONAL INC	70.87	4,606,350.00	-13,296,083.34
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**Non-Standard Assets:** All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain non-standard assets may be furnished by a third party as provided in LSI's Non-Standard Asset Custody Agreement. LSI shall have no responsibility for the accuracy or timeliness of such valuations. Assets held by a third party are not covered by SIPC



ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	August 29, 2014 - September 25, 2015	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

[REDACTED]						
MARGIN	130,000		HEARTWARE INTERNATIONAL INC	HTWR	54.76	7,118,800.00

[REDACTED]						
------------	--	--	--	--	--	--

APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 09/25/2015

DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

[REDACTED]		
------------	--	--

ACCOUNT SUMMARY
-----------------

OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE

MARGIN ACCOUNT



August 29, 2014 - September 25, 2015

3 of 3

## Terms and Conditions

### GENERAL INFORMATION AND KEY TERMS:

All references to "LSI" in this document refer to the broker-dealer Legend Securities, Inc. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement.

If you receive any other communication from any source other than LSI which purports to represent holdings at LSI (including balances held at a Sweep Bank) you should verify its content with this statement.

**Sweep Bank:** An FDIC-insured depository institution affiliated with LSI, at which interest-bearing Deposit Accounts are maintained on your behalf.

**AIP (Automatic Investment Plan) Customers:** LSI receives remuneration in connection with certain transactions effected through LSI. If you participate in a systematic investment program through LSI, the additional information normally detailed on a trade confirmation will be provided upon request.

**Latest Price/Price (Investment Detail Section Only):** The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. LSI shall no responsibility for accuracy or timeliness of any such valuations. Pricing of assets not held at LSI is for information purposes only.

### Additional Information:

A financial statement for your inspection is available at LSI's offices or a copy will be mailed to you upon request. Any third party trademarks appearing herein are the property of their respective owners.

(1106-3116) REG24970NRSB-07 (10/11)

**ACCOUNT STATEMENT**

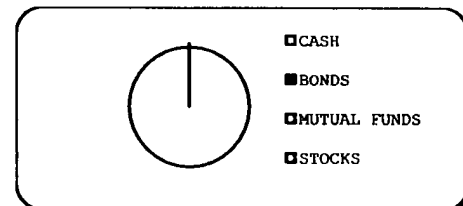
Retain for your records

**Secure, clutter-free, real-time access**

Switch to paperless statements for an easy, worry-free statement reminder.

Call 212-344-5747 to find out how.

**Legends Securities, Inc.**  
 45 Broadway 32nd Floor  
 New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)



ACCOUNT	PERIOD	PAGE
---------	--------	------

	March 26, 2016 - April 22, 2016	1 of 3
--	---------------------------------	--------

Last Statement: March 25, 2016

DANIEL J DRENSON

ACCOUNT ACTIVITY								
TRADE DATE	SETTLE DATE	ACTIVITY	QTY	SYMBOL	DESCRIPTION	PRICE	AMOUNT	ACCUMULATED BALANCE

**MARGIN ACCOUNT OPENING BALANCE**

03/28	03/31	SOLD	40,000	HTWR	HEARTWARE INTERNATIONAL INC	30.45	1,217,800.00	-18,104,644.77
-------	-------	------	--------	------	-----------------------------	-------	--------------	----------------



ACCOUNT	STATEMENT PERIOD	PAGE
[REDACTED]	March 26, 2016 - April 22, 2016	2 of 3

PORTFOLIO DETAIL						
ACCOUNT	LONG	SHORT	DESCRIPTION	SYMBOL	PRICE	VALUE

[REDACTED]						
------------	--	--	--	--	--	--

MARGIN	90,000		HEARTWARE INTERNATIONAL INC	HTWR	34.31	3,087,900.00
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[REDACTED]						
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APPROXIMATE VALUE AS OF CLOSE OF TRADING ON 04/22/2016

DIVIDENDS, DISTRIBUTIONS & INTEREST		
	CURRENT PERIOD	YTD

[REDACTED]		
------------	--	--

ACCOUNT SUMMARY
-----------------

OPENING MONEY BALANCE  
 CLOSING MONEY BALANCE  
 PORTFOLIO VALUE  
 ACCOUNT VALUE

MARGIN ACCOUNT

[REDACTED]
------------



## Terms and Conditions

### GENERAL INFORMATION AND KEY TERMS:

All references to "LSI" in this document refer to the broker-dealer Legend Securities, Inc. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement.

If you receive any other communication from any source other than LSI which purports to represent holdings at LSI (including balances held at a Sweep Bank) you should verify its content with this statement.

**Sweep Bank:** An FDIC-insured depository institution affiliated with LSI, at which interest-bearing Deposit Accounts are maintained on your behalf.

**AIP (Automatic Investment Plan) Customers:** LSI receives remuneration in connection with certain transactions effected through LSI. If you participate in a systematic investment program through LSI, the additional information normally detailed on a trade confirmation will be provided upon request.

Daniel Drenson

**FIRST CLASS**

HeartWare International, Inc. Securities Litigation  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhasen, MN 55317-2003



1024



55317

**Exhibit B-9**



*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

DANIEL DRENSON  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** October 10, 2019  
**RE:** *In re HeartWare International, Inc. Securities Litigation*

**CLAIM NUMBER:** 1012435  
DANIEL DRENSON

**RESPONSE DEADLINE:** October 30, 2019

Dear Claimant:

We have processed the Proof of Claim and Release Form (“Claim”) that you submitted in connection with the settlement achieved in the above-noted litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below. Please note that some reasons for ineligibility are curable. To resolve the identified curable condition(s) of ineligibility, please follow the instructions below.

In order for this Claim to be eligible, the identified curable conditions of ineligibility must be resolved, and the Claim must then calculate to a Recognized Claim under the Court-approved Plan of Allocation. Please include a copy of this notice with your response. **If you fail to respond by the response deadline printed above, or if your response fails to cure the condition(s) identified below, your Claim will be rejected in its entirety. Please note that this is the only notice you will receive with respect to this Claim.**

**Inadequate Documentation for Entire Claim:** This Claim did not include any documentation to support the information you provided, or the documentation previously provided was deemed inadequate or incomplete.

**How to Resolve:** To resolve this deficiency, please submit acceptable supporting documentation from an independent third party broker-dealer or other financial institution. Examples of acceptable supporting documentation include securities brokers’ confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable. ***Do not merely resubmit the same documentation that you previously submitted.***

**Failure to Ascertain Beneficial Owner:** The Claims Administrator is unable to independently validate and verify the identity of the Beneficial Owner.

**How to Resolve:** To resolve this deficiency, please submit acceptable documentation supporting the identification and validation of the identities of the Beneficial Owners and signatories associated with this claim.

1. Please provide copies of at least one of the following documents for all signatories associated with this claim:
  - a. A passport, or
  - b. Driving license that bears a photograph.

Please Note: This request for documentation may be supplemented based on the responses received.

**Claims that are not cured by the response deadline above will be rejected.** If you believe your Claim has been rejected in error, you may contact us for assistance and/or request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you “request that the Court review the rejection of this Claim”; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation outlining Claim eligibility), you may do so by visiting <https://heartwaresecuritieslitigation.com/>.

Very truly yours,

Analytics Consulting  
Claims Administrator

**Exhibit B-10**

From: Private Sector Settlements - Info <[info@heartwaresecuritieslitigation.com](mailto:info@heartwaresecuritieslitigation.com)>  
Sent: Tuesday, October 29, 2019 1:52 PM  
To: [REDACTED] <[\[REDACTED\]@analyticsllc.com](mailto:[REDACTED]@analyticsllc.com)>  
Subject: Fwd: Heartware Securities Litigation-RESPONSE REQUIRED

On Mon, 28 Oct at 7:50 AM , Daniel Drenson [REDACTED] > wrote:  
Per your request, please the the attached information regarding claim # 1012435.

Daniel Drenson

----- Forwarded message -----


From: **Private Sector Settlements - Info** <[info@heartwaresecuritieslitigation.com](mailto:info@heartwaresecuritieslitigation.com)>  
Date: Thu, Oct 10, 2019 at 7:08 AM  
Subject: Heartware Securities Litigation-RESPONSE REQUIRED  
[REDACTED]

Dear Claimant,

Please see the attached regarding the claims you filed in the Heartware Securities Litigation.

Sincerely,  
Office of the Claims Administrator  
Heartware Securities Litigation



 **CALIFORNIA** USA **DRIVER LICENSE**

DL [REDACTED]

EXP [REDACTED]

CLASS C  
END NONE

LN **DRENSON**  
FN **DANIEL JACOB**  
[REDACTED]

DOB [REDACTED]

RSTR NONE

[REDACTED]

SEX M HA [REDACTED] EYES BRN

HGT 5'-11" WGT 200 lb

DD [REDACTED]

ISS [REDACTED]



**Legends Securities, Inc.**  
45 Broadway 32nd Floor  
New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL: 212-344-5747

Confirmation for Account # 6927-1131

DANIEL J DRENSON



You bought 25,000 at a price of \$90.27  
HEARTWARE INTERNATIONAL INC  
Symbol: HTWR - CUSIP 422368100  
CONFIRM # 007196709  
Exchange: NASDAQ  
Trade Date: 06/20/14  
Settlement Date: 06/25/14

Gross Amount	\$2,256,750.00
Commission	\$200.00
<b>Amount</b>	<b>\$2,256,950.00</b>



As a reminder, payments for securities purchased, or delivery of securities sold,  
must be deposited by Settlement Date.  
See reverse for further details. Thank you for your business.



**Legends Securities, Inc.**  
45 Broadway 32nd Floor  
New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

Confirmation for Account # [REDACTED]



You bought 35,000 at a price of \$88.13

HEARTWARE INTERNATIONAL INC

Symbol: HTWR - CUSIP 422368100

CONFIRM # 007200201

Exchange: NASDAQ

Trade Date: 07/22/14

Settlement Date: 07/25/14

Gross Amount \$3,084,550.00

Commission \$200.00

**Amount \$3,084,750.00**



As a reminder, payment for securities purchased, or delivery of securities sold,  
must be deposited by Settlement Date.

See reverse for further details. Thank you for your business.

**Legends Securities, Inc.**

45 Broadway 32nd Floor

New York, NY 10006

[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

Confirmation for Account # [REDACTED]

DANIEL J DRENSON

FINRA

You bought 35,000 at a price of \$87.83

HEARTWARE INTERNATIONAL INC

Symbol: HTWR - CUSIP 422368100

CONFIRM # 007221236

Exchange: NASDAQ

Trade Date:02/18/15

Settlement Date: 02/23/15

Gross Amount	\$3,074,050.00
Commission	\$200.00
Amount	\$3,074,250.00







**Legends Securities, Inc.**  
45 Broadway 32nd Floor  
New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

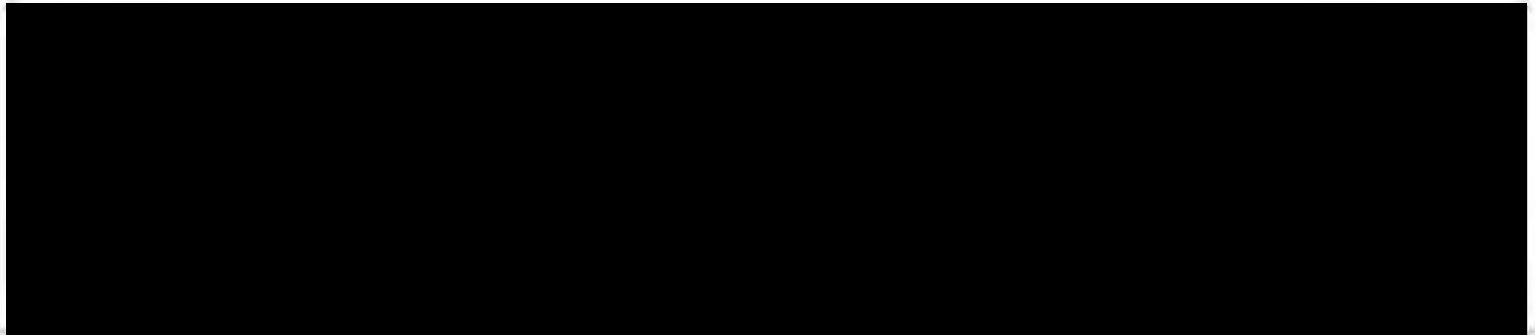
Confirmation for Account # [REDACTED]

DANIEL J DRENSON  
[REDACTED]



You bought 35,000 at a price of \$88.06  
HEARTWARE INTERNATIONAL INC  
Symbol: HTWR - CUSIP 422368100  
CONFIRM # 007228347  
Exchange: NASDAQ  
Trade Date: 04/09/15  
Settlement Date: 04/14/15

Gross Amount	\$3,082,100.00
Commission	\$200.00
<b>Amount</b>	<b>\$3,082,300.00</b>



As a reminder, payments for securities purchased, delivery of securities sold,  
must be deposited by Settlement Date.  
See reverse for further details. Thank you for your business.





**Legends Securities, Inc.**  
45 Broadway 32nd Floor  
New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

Confirmation for Account # [REDACTED]

DANIEL J DRENSON  
[REDACTED]



You bought 40,000 at a price of \$79.49  
HEARTWARE INTERNATIONAL INC  
Symbol: HTWR - CUSIP 422368100  
CONFIRM # 007234406  
Exchange: NASDAQ  
Trade Date: 07/20/15  
Settlement Date: 07/23/15

Gross Amount	\$3,179,600.00
Commission	\$200.00
<b>Amount</b>	<b>\$3,179,800.00</b>

As a reminder, payment for securities purchased, or delivery of securities sold,  
must be deposited by Settlement Date.  
See reverse for further details. Thank you for your business.





**Legends Securities, Inc.**  
45 Broadway 32nd Floor  
New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

Confirmation for Account # [REDACTED]

DANIEL J DRENSON  
[REDACTED]



You sold 65,000 at a price of \$70.87  
HEARTWARE INTERNATIONAL INC  
Symbol: HTWR - CUSIP 422368100  
CONFIRM # 007238988  
Exchange: NASDAQ  
Trade Date: 09/02/15  
Settlement Date: 09/07/15

Gross Amount	\$4,606,550.00
Commission	-\$200.00
<b>Amount</b>	<b>\$4,606,350.00</b>

Instructions: HOLD PROCEEDS

[REDACTED]



As a reminder, payment for securities purchased, or delivery of securities sold,  
must be deposited by <sup>78</sup>Settlement Date.  
See reverse for further details. Thank you for your business.



**Legends Securities, Inc.**  
 45 Broadway 32nd Floor  
 New York, NY 10006  
[www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com)

TEL:212-344-5747

Confirmation for Account # [REDACTED]

DANIEL J DRENSON  
 [REDACTED]



You sold 40,000 at a price of \$30.45  
 HEARTWARE INTERNATIONAL INC  
 Symbol: HTWR - CUSIP 422368100  
 CONFIRM # 007260092  
 Exchange: NASDAQ  
 Trade Date: 03/28/16  
 Settlement Date: 03/31/16

Gross Amount	\$1,218,000.00
Commission	-\$200.00
<b>Amount</b>	<b>\$1,217,800.00</b>

Instructions: HOLD PROCEEDS



As a reminder, payment for securities purchased, or securities sold,  
 must be deposited by Settlement Date.  
 See reverse for further detail. Thank you for your business.

**Exhibit B-11**

*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

DANIEL DRENSON  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** November 13, 2019  
**RE:** *In re HeartWare International, Inc. Securities Litigation*

**CLAIM NUMBER:** 1012435  
DANIEL DRENSON

**RESPONSE DEADLINE:** November 22, 2019

Dear Claimant:

We have processed the Proof of Claim and Release Form (“Claim”) that you submitted in connection with the settlement achieved in the above-noted litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below.

**Inadequate Documentation for Entire Claim:** The documentation provided to support this claim has been deemed inadequate.

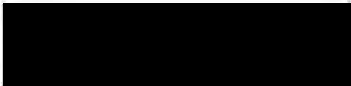
If you believe your Claim has been rejected in error, you may request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you “request that the Court review the rejection of this Claim”; and (c) includes a copy of this notice and is postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions about this notice please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication.

Very truly yours,

Analytics Consulting  
Claims Administrator

**Exhibit B-12**

  
November 21, 2019

Analytics Consulting  
PO Box 2003  
Chanhassen, MN 55317-2003

Dear 

Please accept this letter as my formal request to contest the determination of claim # 1012435 (Heartware International, Inc. Securities Litigation)

I am perplexed as to why my claim is being denied. In what way are my documentation deemed inadequate?

I have provided Analytics Consulting all the supporting paperwork as per the instructions on both the claim form, as well as the rejection letter.

I would like to officially request that the Court review the rejection of this Claim.

I hope I don't have resubmit all the documentation that I've already submitted.

Regards,

  
Daniel Drenson



**Exhibit B-13**

## Trade Analysis

Trades Claimed in Claim **1012435**

Market Activity - HeartWare

Trade Date	Settlement Date	Trade	Shares	Price	Gross Amount	ate	en	i	o	lose	ol me	of ol me
6/20/2014	6/25/2014	BOT	25,000	\$90.27	\$2,256,750	6/20/2014	\$90.92	\$91.87	\$90.27	\$91.65	219,651	11.38%
7/22/2014	7/25/2014	BOT	35,000	\$88.13	\$3,084,550	7/22/2014	\$89.18	\$90.61	\$88.13	\$89.42	196,463	17.82%
2/18/2015	2/23/2015	BOT	35,000	\$87.83	\$3,074,050	2/18/2015	\$88.20	\$90.43	\$87.83	\$89.87	146,094	23.96%
4/9/2015	4/14/2015	BOT	35,000	\$88.06	\$3,082,100	4/9/2015	\$88.25	\$90.94	\$88.06	\$90.92	241,259	14.51%
7/20/2015	7/23/2015	BOT	40,000	\$79.49	\$3,179,600	7/20/2015	\$77.76	\$79.49	\$76.95	\$79.17	411,260	9.73%
9/2/2015	9/7/2015	SOLD	(65,000)	\$70.87	(\$4,606,550)	9/2/2015	\$70.21	\$70.87	\$61.52	\$64.82	4,356,964	1.49%
3/28/2016	3/31/2016	SOLD	(40,000)	\$30.45	(\$1,218,000)							

**Exhibit B-14**

*In re HeartWare International, Inc. Securities Litigation*  
c/o Analytics Consulting  
P.O. Box 2003  
Chanhassen, MN 55317-2003

DANIEL DRENSON  


**NOTICE OF REJECTION OF CLAIM**

**DATE:** **January 23, 2020**  
**RE:** *In re HeartWare International, Inc. Securities Litigation*  
**CLAIM NUMBER:** **1012435**  
**DANIEL DRENSON**

**RESPONSE DEADLINE:** **January 30, 2020**

Dear Claimant:

We have received and processed the Proof of Claim and Release Form ("Claim Form"), as well as the supporting and supplemental documentation, that you provided in connection with the above-referenced Claim in the settlement of *In re HeartWare International, Inc. Securities Litigation*, Case No. 1:16-cv-00520-RA (S.D.N.Y.).

We continue to adhere to our determination, based on our review of the Claim Form and the documentation provided, that your Claim will be rejected. This is because the documentation you submitted contains irregularities, and, therefore, does not meet the standards necessary to validate your claim. These irregularities include, but are not limited to, the following:

- Inconsistencies in Broker-Dealer Name. The logo and footer included on the monthly statements and trade confirmations list the broker-dealer as "Legends Securities, Inc." However, the Terms and Conditions page refers to the broker-dealer as "Legend Securities, Inc.", which is consistent with the entity name registered with FINRA.
- Inconsistencies in Broker-Dealer Website. The account statements you provided lists Legends Securities, Inc.'s website both as [www.legendsecuritiesinc.com](http://www.legendsecuritiesinc.com) and [www.legendsscuritiesinc.com](http://www.legendsscuritiesinc.com). Legend Securities, Inc. operated under neither of those domain names.
- Errors in Trade Confirmation statements. Trade Confirmation statements contain various typographical errors. Additionally, the trade information listed on the confirmations is unreliable.

Please note we are in the process of notifying the appropriate law enforcement authorities about these issues.

You previously requested Court review of the rejection of your Claim which will now be presented to the Court. All of the information you provided to us will be submitted to the Court, including the basis for the rejection of your Claim. If you wish to retract your request to have your Claim presented to the Court as a Disputed Claim, you must do so in writing by January 30, 2020.

If you have any questions about this notice please contact us at 1-866-710-9044 or email us at [info@HeartWareSecuritiesLitigation.com](mailto:info@HeartWareSecuritiesLitigation.com). Please reference the Claim number listed above in any communication.

Very truly yours,

Analytics Consulting  
Claims Administrator

**Exhibit C**

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1	1000007	\$30,360.50	41	1006160	\$10,444.00
2	1000290	\$214,761.98	42	1006219	\$290.67
3	1004489	\$3,688.76	43	1006235	\$49.39
4	1004490	\$585.60	44	1006257	\$59.97
5	1004515	\$187.37	45	1006263	\$98.78
6	1004516	\$274.99	46	1006273	\$64.58
7	1004581	\$174.40	47	1006441	\$227.95
8	1004603	\$39.39	48	1006446	\$15,024.50
9	1004657	\$41.79	49	1006447	\$16,911.35
10	1004664	\$2,858.50	50	1006456	\$594.49
11	1004863	\$843.86	51	1006493	\$8,784.00
12	1004909	\$16.68	52	1006498	\$69.30
13	1004968	\$63.18	53	1006563	\$704.32
14	1004969	\$64.58	54	1006664	\$303.87
15	1005049	\$26.59	55	1006738	\$12,898.00
16	1005079	\$273.43	56	1006793	\$7.98
17	1005098	\$22.79	57	1006843	\$53.19
18	1005232	\$56.99	58	1006850	\$45.59
19	1005243	\$145.75	59	1006851	\$34.18
20	1005252	\$92.75	60	1006928	\$60.79
21	1005293	\$37.99	61	1006930	\$113.97
22	1005343	\$60.79	62	1006933	\$563.38
23	1005368	\$476.72	63	1006955	\$290.67
24	1005412	\$110.17	64	1007057	\$273.54
25	1005413	\$26.59	65	1007078	\$37.99
26	1005546	\$68.38	66	1007108	\$45.59
27	1005571	\$201.42	67	1007151	\$8,506.00
28	1005589	\$87.38	68	1007152	\$4,253.00
29	1005592	\$159.56	69	1007200	\$81.78
30	1005594	\$136.77	70	1007209	\$364.42
31	1005595	\$132.97	71	1007283	\$92.75
32	1005617	\$56.94	72	1007284	\$49.19
33	1005736	\$116.64	73	1007312	\$45.59
34	1005747	\$79.78	74	1007313	\$45.59
35	1005760	\$357.75	75	1007317	\$64.58
36	1005769	\$49.39	76	1007329	\$1,516.76
37	1005832	\$185.50	77	1007373	\$26.59
38	1005919	\$477.00	78	1007397	\$448.67
39	1005925	\$37.99	79	1007398	\$91.18
40	1005953	\$75.98	80	1007457	\$129.17

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
81	1007458	\$144.37	122	1010483	\$1,842.00
82	1007511	\$276.13	123	1010622	\$34.20
83	1007600	\$1,357.85	124	1010664	\$5,856.00
84	1007689	\$731.08	125	1010790	\$425.30
85	1007691	\$56.99	126	1010809	\$7,709.91
86	1007692	\$56.99	127	1010832	\$171.20
87	1007698	\$32,782.50	128	1010837	\$2,806.98
88	1007700	\$4,253.00	129	1010855	\$1,163.13
89	1007974	\$304.75	130	1010856	\$510.36
90	1007993	\$79.50	131	1010975	\$10,703.25
91	1008058	\$291.50	132	1010994	\$1,464.00
92	1008131	\$106.00	133	1011275	\$132.50
93	1008177	\$238.50	134	1011489	\$1,380.50
94	1008210	\$4,253.00	135	1011516	\$2,028.45
95	1008226	\$1,987.50	136	1011524	\$132.50
96	1008258	\$397.50	137	1011529	\$2,761.00
97	1008259	\$172.25	138	1011539	\$62,180.00
98	1008277	\$503.50	139	1011546	\$42,530.00
99	1008594	\$3,657.00	140	1011547	\$371.00
100	1008652	\$4,194.24	141	1011563	\$600.16
101	1008702	\$12,592.00	142	1011570	\$1,380.50
102	1008705	\$371.00	143	1011573	\$92.35
103	1009031	\$662.50	144	1011574	\$2,107.95
104	1009201	\$3,615.05	145	1011580	\$9,663.50
105	1009234	\$1,020.72	146	1011625	\$8,170.20
106	1009235	\$2,078.51	147	1011629	\$732.00
107	1009237	\$159.00	148	1011665	\$1,492.00
108	1009255	\$980.50	149	1011686	\$797.52
109	1009357	\$119.25	150	1011701	\$732.00
110	1009359	\$1,113.00	151	1011760	\$21,265.00
111	1009361	\$503.50	152	1011794	\$2,126.50
112	1009451	\$680.48	153	1011801	\$1,362,438.00
113	1009509	\$4,476.00	154	1011839	\$552.89
114	1009545	\$100,807.71	155	1011891	\$2,928.00
115	1009789	\$8,917.80	156	1011915	\$8,506.00
116	1009892	\$588.95	157	1012112	\$1,464.00
117	1009902	\$2,761.00	158	1012118	\$382.77
118	1010016	\$7,181.00	159	1012120	\$14,640.00
119	1010020	\$4,484.00	160	1012121	\$8,506.00
120	1010445	\$1,293.65	161	1012122	\$30,230.42
121	1010463	\$3,271.57	162	1012124	\$9,184.63



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
163	1012126	\$341.01	204	1012188	\$25,796.00
164	1012129	\$609.50	205	1012189	\$79.50
165	1012131	\$297.71	206	1012190	\$1,029,918.41
166	1012132	\$47,929.80	207	1012191	\$457,710.44
167	1012133	\$3,447.10	208	1012192	\$105,046.07
168	1012138	\$51,163.59	209	1012193	\$140,014.80
169	1012139	\$251.75	210	1012194	\$530.00
170	1012141	\$164.82	211	1012195	\$41,850.00
171	1012142	\$159.00	212	1012197	\$212.00
172	1012143	\$229.93	213	1012199	\$646.87
173	1012145	\$1,380.50	214	1012201	\$291.50
174	1012146	\$4,253.00	215	1012202	\$172.90
175	1012147	\$357.75	216	1012203	\$145.75
176	1012148	\$42.53	217	1012204	\$1,335.00
177	1012149	\$4,154.00	218	1012205	\$962.19
178	1012151	\$238.50	219	1012211	\$278.25
179	1012152	\$127.59	220	1012212	\$118.67
180	1012153	\$198.75	221	1012214	\$15,079.06
181	1012154	\$145.75	222	1012215	\$83.23
182	1012155	\$2,748.56	223	1012216	\$7,198,597.56
183	1012157	\$1,033.37	224	1012217	\$79.50
184	1012158	\$39.71	225	1012223	\$649.25
185	1012160	\$477.00	226	1012224	\$212.00
186	1012161	\$92.75	227	1012225	\$5,540.48
187	1012162	\$119.25	228	1012227	\$265.00
188	1012164	\$39.75	229	1012229	\$357.75
189	1012166	\$368,207.10	230	1012230	\$424.00
190	1012168	\$5,353.00	231	1012231	\$106.00
191	1012173	\$7,181.00	232	1012232	\$185.50
192	1012174	\$795.00	233	1012233	\$159.00
193	1012175	\$10,718.10	234	1012234	\$265.00
194	1012176	\$1,492.00	235	1012235	\$132.50
195	1012177	\$66.25	236	1012236	\$159.00
196	1012178	\$1,311.75	237	1012237	\$251.75
197	1012179	\$119.36	238	1012238	\$92.75
198	1012180	\$79.50	239	1012239	\$225.25
199	1012181	\$79.87	240	1012240	\$212.00
200	1012182	\$467.83	241	1012241	\$159.00
201	1012183	\$1,011.04	242	1012242	\$225.25
202	1012185	\$4,099.99	243	1012245	\$331.25
203	1012187	\$284.98	244	1012247	\$238.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
245	1012248	\$145.75	286	1012294	\$251.75
246	1012249	\$1,033.50	287	1012295	\$238.50
247	1012250	\$132.50	288	1012296	\$318.00
248	1012253	\$728.75	289	1012297	\$132.50
249	1012254	\$1,974.60	290	1012299	\$132.50
250	1012255	\$424.00	291	1012301	\$278.25
251	1012256	\$1,444.25	292	1012302	\$79.50
252	1012257	\$795.00	293	1012306	\$119.25
253	1012258	\$172.25	294	1012307	\$185.50
254	1012259	\$384.25	295	1012309	\$185.50
255	1012261	\$278.25	296	1012311	\$212.00
256	1012262	\$397.50	297	1012312	\$212.00
257	1012263	\$159.00	298	1012315	\$384.25
258	1012264	\$463.75	299	1012316	\$185.50
259	1012265	\$172.25	300	1012317	\$72,062.10
260	1012266	\$556.67	301	1012319	\$79.50
261	1012267	\$371.00	302	1012320	\$132.50
262	1012268	\$132.50	303	1012321	\$79.50
263	1012269	\$25,510.72	304	1012322	\$318.00
264	1012270	\$159.00	305	1012323	\$2,305.50
265	1012271	\$278.25	306	1012324	\$384.25
266	1012272	\$185.50	307	1012325	\$137,577.27
267	1012273	\$927.50	308	1012326	\$25,905.24
268	1012274	\$92.75	309	1012328	\$912,409.04
269	1012275	\$3,895.50	310	1012329	\$32,960.75
270	1012276	\$145.75	311	1012331	\$7,200,377.23
271	1012278	\$251.75	312	1012332	\$13,836,179.22
272	1012279	\$172.25	313	1012333	\$1,490.20
273	1012280	\$291.50	314	1012334	\$106,494.74
274	1012281	\$119.25	315	1012335	\$28,234.50
275	1012282	\$331.25	316	1012336	\$142,432.97
276	1012283	\$92.75	317	1012337	\$40,643.80
277	1012284	\$106.00	318	1012341	\$145.75
278	1012285	\$198.75	319	1012344	\$255.18
279	1012287	\$159.00	320	1012349	\$250,076.40
280	1012288	\$119.25	321	1012352	\$7,250.05
281	1012289	\$251.75	322	1012353	\$7,254.52
282	1012290	\$172.25	323	1012354	\$343.79
283	1012291	\$371.00	324	1012356	\$850.60
284	1012292	\$145.75	325	1012359	\$1,199.34
285	1012293	\$66.25	326	1012361	\$25,650.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
327	1012362	\$21,265.00	368	1012499	\$467,110.77
328	1012363	\$353,707.75	369	1012502	\$70.56
329	1012366	\$302.87	370	1012503	\$402.67
330	1012369	\$944.93	371	1012504	\$1,945.52
331	1012373	\$2,435.01	372	1012508	\$344.50
332	1012375	\$654.18	373	1012518	\$15,919.50
333	1012379	\$73,204.50	374	1012520	\$193,506.42
334	1012380	\$14.92	375	1012522	\$297.63
335	1012383	\$71,304.40	376	1012523	\$4,332,982.50
336	1012384	\$2,545.60	377	1012527	\$104,616.98
337	1012385	\$28,271.00	378	1012533	\$388.32
338	1012386	\$2,067.06	379	1012535	\$3,088.04
339	1012388	\$1,749.63	380	1012545	\$225.25
340	1012393	\$779.09	381	1012546	\$656,675.00
341	1012394	\$779.09	382	1012548	\$316,270.30
342	1012395	\$779.09	383	1012549	\$29,684.08
343	1012396	\$37,926.47	384	1012554	\$515,062.01
344	1012397	\$14,158.05	385	1012556	\$84,322.12
345	1012416	\$1,578,937.47	386	1012561	\$49,092.05
346	1012417	\$684,572.96	387	1012563	\$2,283,518.73
347	1012420	\$87,862.80	388	1012565	\$9,530.00
348	1012421	\$3,038,017.51	389	1012566	\$71,430.10
349	1012423	\$151,585.54	390	1012567	\$201,434.69
350	1012427	\$63,795.00	391	1012568	\$649,583.12
351	1012428	\$85,060.00	392	1012571	\$0.71
352	1012429	\$35,310.85	393	1012574	\$6,551,001.63
353	1012430	\$367,238.12	394	1012576	\$10,202.50
354	1012433	\$656,595.00	395	1012577	\$2,303,960.34
355	1012434	\$978.19	396	1012580	\$2,508.66
356	1012436	\$53.00	397	1012581	\$19,848,844.42
357	1012437	\$4,253.00	398	1012582	\$270,375.10
358	1012438	\$4,315.74	399	1012583	\$10,931.22
359	1012439	\$66.25	400	1012586	\$57,434.20
360	1012440	\$79.50	401	1012587	\$202,828.49
361	1012452	\$12,343.04	402	1012588	\$157,263.22
362	1012453	\$4,913.22	403	1012592	\$131,014.75
363	1012458	\$328,512.82	404	1012593	\$11,773,752.27
364	1012473	\$413,944.86	405	1012595	\$2,750,645.35
365	1012474	\$717,789.40	406	1012597	\$2,628,211.14
366	1012480	\$796,815.42	407	1012600	\$179,936.26
367	1012497	\$135.75	408	1012601	\$120,156.64

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
409	1012602	\$6,928.68	450	1012680	\$37,232.37
410	1012603	\$15,741.00	451	1012681	\$89,489.11
411	1012605	\$699,422.40	452	1012682	\$14,896.49
412	1012608	\$1,727,499.21	453	1012683	\$3,209.91
413	1012612	\$5,867.20	454	1012684	\$5,109.57
414	1012613	\$8,087.66	455	1012685	\$2,459.86
415	1012614	\$6,540.00	456	1012688	\$3,796.22
416	1012615	\$3,246.46	457	1012689	\$1,935.29
417	1012616	\$2,121.66	458	1012690	\$4,052.37
418	1012618	\$1,346,512.78	459	1012691	\$27,418.38
419	1012622	\$4,943,791.62	460	1012692	\$1,146.58
420	1012624	\$79,561.50	461	1012693	\$1,126.25
421	1012625	\$182,695.36	462	1012694	\$12,058.86
422	1012626	\$97,295.14	463	1012695	\$299,569.25
423	1012627	\$621,458.91	464	1012696	\$14,208.00
424	1012628	\$9,229.77	465	1012703	\$1,875.62
425	1012629	\$3,402.40	466	1012708	\$1,306,588.70
426	1012630	\$908,027.12	467	1012709	\$1,808,080.71
427	1012631	\$94,525.80	468	1012710	\$392,192.59
428	1012636	\$11,271.63	469	1012711	\$44,826.30
429	1012639	\$30,933.69	470	1012712	\$9,356.60
430	1012641	\$183.51	471	1012713	\$7,817.50
431	1012647	\$727.09	472	1012717	\$115.02
432	1012649	\$22,358.76	473	1012722	\$667.48
433	1012651	\$2,394.30	474	1012723	\$1,417.98
434	1012655	\$4,020.34	475	1012725	\$1,219.47
435	1012658	\$25,909.60	476	1012726	\$1,573.90
436	1012659	\$1,362,026.29	477	1012731	\$17,437.30
437	1012660	\$2,719.09	478	1012732	\$4,265.27
438	1012663	\$24,855.31	479	1012733	\$31,165.75
439	1012665	\$3,019.63	480	1012734	\$23,206.06
440	1012666	\$46,693.97	481	1012735	\$6,345.10
441	1012667	\$1,375.42	482	1012736	\$5,708.50
442	1012668	\$331.25	483	1012737	\$6,505.99
443	1012669	\$2,265.48	484	1012739	\$85,338.60
444	1012671	\$116,149.43	485	1012740	\$2,772.75
445	1012672	\$1,486.20	486	1012743	\$4,716.96
446	1012673	\$2,178.78	487	1012750	\$295,737.60
447	1012675	\$6,899.17	488	1012752	\$13,123.83
448	1012677	\$21,180.93	489	1012753	\$6,745.70
449	1012678	\$3,586.35	490	1012757	\$18,945.95

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
491	1012762	\$2,099.47	532	1012816	\$3,976.37
492	1012764	\$556.50	533	1012817	\$1,345.48
493	1012765	\$771,514.02	534	1012818	\$72,781.51
494	1012767	\$331.25	535	1012819	\$6,126.73
495	1012768	\$357.75	536	1012820	\$79.50
496	1012769	\$106.00	537	1012821	\$1,437.03
497	1012770	\$304.75	538	1012822	\$145.75
498	1012771	\$318.00	539	1012823	\$132.50
499	1012772	\$291.50	540	1012824	\$331.25
500	1012774	\$1,351.50	541	1012826	\$278.25
501	1012775	\$185.50	542	1012827	\$92.75
502	1012778	\$198.75	543	1012829	\$1,024.80
503	1012779	\$331.25	544	1012835	\$27.61
504	1012780	\$331.25	545	1012836	\$106.00
505	1012781	\$331.25	546	1012837	\$278.25
506	1012782	\$1,285.25	547	1012840	\$26.50
507	1012783	\$1,272.00	548	1012843	\$303.71
508	1012784	\$344.50	549	1012848	\$424.00
509	1012785	\$159.00	550	1012850	\$212.00
510	1012786	\$6,651.50	551	1012852	\$756,735.44
511	1012787	\$8,283.00	552	1012853	\$212.00
512	1012789	\$172.25	553	1012855	\$3,352.25
513	1012790	\$424.00	554	1012856	\$477.00
514	1012791	\$397.50	555	1012857	\$633,672.55
515	1012792	\$583.00	556	1012861	\$11,799.84
516	1012793	\$106.00	557	1012862	\$6,441.60
517	1012794	\$1,345.48	558	1012863	\$14,639.75
518	1012796	\$132.50	559	1012865	\$191,133.64
519	1012799	\$2,809.00	560	1012866	\$42,530.00
520	1012801	\$450.50	561	1012867	\$77,787.37
521	1012802	\$79.50	562	1012868	\$161,782.00
522	1012803	\$212.00	563	1012870	\$3,707.76
523	1012806	\$2,775.05	564	1012871	\$223.89
524	1012807	\$238.50	565	1012872	\$472,408.75
525	1012808	\$86,279.86	566	1012874	\$1,063.25
526	1012810	\$92.75	567	1012877	\$140,727.77
527	1012811	\$2,941.50	568	1012880	\$17,012.00
528	1012812	\$7,664.06	569	1012887	\$71,056.56
529	1012813	\$10,557.93	570	1012898	\$30,426.30
530	1012814	\$569.75	571	1012899	\$99,769.97
531	1012815	\$145.75	572	1012900	\$1,150,668.92

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
573	1012902	\$5,461.97	614	1012986	\$840.80
574	1012903	\$2,727.09	615	1012987	\$60,821.80
575	1012904	\$9,249.02	616	1012991	\$340.24
576	1012909	\$19,225.74	617	1012992	\$11,596.20
577	1012910	\$840.80	618	1012993	\$38,330.24
578	1012911	\$467.83	619	1012994	\$2,590.01
579	1012912	\$20,928.24	620	1012995	\$295,430.84
580	1012913	\$1,259,729.95	621	1012996	\$4,735.97
581	1012920	\$385,556.16	622	1012997	\$66.25
582	1012926	\$99,275.51	623	1012999	\$7,316.04
583	1012929	\$55,111.47	624	1013000	\$4,733.20
584	1012931	\$221,934.28	625	1013001	\$16,312.01
585	1012933	\$34,886.56	626	1013003	\$1,998.91
586	1012934	\$1,221,860.36	627	1013004	\$220.88
587	1012936	\$373,868.01	628	1013006	\$17,013.96
588	1012941	\$60,881.08	629	1013008	\$251,435.19
589	1012942	\$12,345.06	630	1013009	\$77,702.31
590	1012943	\$197,071.23	631	1013010	\$79,500.45
591	1012947	\$212,156.33	632	1013011	\$1,970,578.40
592	1012948	\$1,773.57	633	1013022	\$3,657.00
593	1012951	\$214,750.05	634	1013025	\$187,132.00
594	1012952	\$2,377.30	635	1013026	\$76,554.00
595	1012954	\$702.54	636	1013027	\$255.18
596	1012958	\$58,315.43	637	1013029	\$140,459.77
597	1012960	\$1,037.15	638	1013031	\$8,502.63
598	1012961	\$1,352.11	639	1013035	\$907,322.05
599	1012962	\$379.26	640	1013040	\$1,360.96
600	1012963	\$142,916.28	641	1013042	\$1,702,038.61
601	1012965	\$255.18	642	1013043	\$268,426.04
602	1012966	\$8,652.70	643	1013045	\$186,496.34
603	1012967	\$187,829.98	644	1013047	\$12,793.61
604	1012968	\$67,142.00	645	1013049	\$154,851.44
605	1012969	\$386.54	646	1013051	\$46,038.55
606	1012970	\$850.60	647	1013052	\$117,302.97
607	1012974	\$199,142.87	648	1013054	\$59,329.35
608	1012975	\$358.93	649	1013055	\$667,995.70
609	1012977	\$7,039.74	650	1013056	\$217,351.77
610	1012981	\$837.60	651	1013057	\$6,247.65
611	1012982	\$840.80	652	1013060	\$18,062.71
612	1012983	\$840.80	653	1013061	\$20,074.16
613	1012984	\$840.80	654	1013064	\$149,029.25

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
655	1013072	\$4,600.24	696	1013132	\$143,956.65
656	1013075	\$680.48	697	1013134	\$57,029.75
657	1013079	\$3,707.84	698	1013135	\$477,110.00
658	1013081	\$32,548.78	699	1013136	\$88,096.72
659	1013083	\$178,245.52	700	1013138	\$187,132.00
660	1013086	\$11,998.11	701	1013142	\$34,105.27
661	1013088	\$15,408,888.41	702	1013148	\$212.00
662	1013089	\$6,857.46	703	1013153	\$25,266.00
663	1013090	\$12,930.78	704	1013154	\$4,144.20
664	1013091	\$862.92	705	1013158	\$64,101.82
665	1013093	\$19,248.00	706	1013165	\$248,988.49
666	1013094	\$60,874.06	707	1013171	\$669,060.75
667	1013095	\$109,539.14	708	1013173	\$3,207.80
668	1013098	\$50,555.33	709	1013175	\$14,692.06
669	1013100	\$4,447.80	710	1013176	\$7,016.06
670	1013101	\$15,078.75	711	1013181	\$103.81
671	1013102	\$10,169.91	712	1013182	\$4,840.30
672	1013103	\$349,910.33	713	1013183	\$179.31
673	1013104	\$9,582.12	714	1013184	\$198.75
674	1013106	\$37,509.67	715	1013185	\$198.75
675	1013107	\$36,090.18	716	1013186	\$232,752.00
676	1013108	\$83,808.90	717	1013187	\$352.80
677	1013109	\$131,025.21	718	1013188	\$507.28
678	1013110	\$308,531.76	719	1013189	\$14,825.52
679	1013111	\$142,542.09	720	1013190	\$895.20
680	1013112	\$86,585.38	721	1013191	\$895.20
681	1013113	\$77,648.43	722	1013192	\$895.20
682	1013116	\$59,281.62	723	1013193	\$895.20
683	1013117	\$148,248.20	724	1013194	\$70.05
684	1013118	\$146,140.13	725	1013195	\$2,557.25
685	1013119	\$5,825.71	726	1013196	\$80.15
686	1013120	\$1,423,405.94	727	1013197	\$225.25
687	1013121	\$116,562.14	728	1013198	\$121.20
688	1013123	\$176,151.80	729	1013201	\$1,492.00
689	1013124	\$215,779.12	730	1013202	\$1,658.78
690	1013126	\$1,132,263.74	731	1013204	\$861.25
691	1013127	\$79,491.44	732	1013205	\$808.25
692	1013128	\$326,559.35	733	1013206	\$140.40
693	1013129	\$76,399.83	734	1013207	\$742.00
694	1013130	\$668,883.89	735	1013209	\$119.25
695	1013131	\$86,658.42	736	1013211	\$1,492.00

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
737	1013212	\$613.20	778	1013354	\$2,594.33
738	1013213	\$60.50	779	1013355	\$4,421.60
739	1013240	\$6,270.72	780	1013356	\$20,176.27
740	1013241	\$26,190.50	781	1013357	\$754,551.41
741	1013244	\$2,017.07	782	1013358	\$24,042.70
742	1013245	\$68.94	783	1013362	\$3,116,524.88
743	1013251	\$23,689.21	784	1013363	\$47,765.30
744	1013253	\$151,874.63	785	1013364	\$275,309.55
745	1013256	\$7,440.35	786	1013365	\$61,022.32
746	1013260	\$55,289.00	787	1013366	\$61,727.42
747	1013263	\$397.50	788	1013367	\$1,285.88
748	1013267	\$106.00	789	1013369	\$12,497.31
749	1013274	\$4,423.12	790	1013370	\$58,928.41
750	1013275	\$1,629.51	791	1013371	\$42,550.03
751	1013276	\$866,038.39	792	1013374	\$2,114,683.81
752	1013280	\$583.00	793	1013375	\$34,783.04
753	1013281	\$85,060.00	794	1013376	\$12,759.00
754	1013285	\$437.25	795	1013377	\$4,253.00
755	1013286	\$516.75	796	1013378	\$463,944.81
756	1013289	\$371.00	797	1013379	\$12,759.00
757	1013290	\$1,546.16	798	1013380	\$11,988,262.00
758	1013295	\$600.75	799	1013381	\$978.19
759	1013296	\$212,650.00	800	1013382	\$280,241.50
760	1013297	\$128,568.19	801	1013383	\$146,376.46
761	1013301	\$221,814.76	802	1013384	\$36,070.00
762	1013303	\$4,596.05	803	1013385	\$30,715.04
763	1013304	\$146,856.09	804	1013386	\$593,401.51
764	1013305	\$15,183.21	805	1013387	\$89,103.32
765	1013317	\$914.25	806	1013388	\$27,116.39
766	1013323	\$129,841.80	807	1013389	\$178,626.00
767	1013324	\$636.00	808	1013390	\$17,130,200.29
768	1013334	\$5,146.13	809	1013391	\$199,593.90
769	1013337	\$146,400.00	810	1013392	\$1,802,962.64
770	1013341	\$85,060.00	811	1013393	\$40,765.05
771	1013342	\$80,807.00	812	1013394	\$476,324.18
772	1013343	\$13,912.00	813	1013395	\$154,509.10
773	1013344	\$50,073.47	814	1013396	\$2,410.26
774	1013346	\$159.00	815	1013402	\$76,554.00
775	1013347	\$344.50	816	1013407	\$20,707.50
776	1013351	\$2,934.57	817	1013408	\$7,587,228.00
777	1013352	\$84,711.82	818	1013409	\$3,402.40



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
819	1013410	\$88,186.14	860	1013477	\$680.48
820	1013411	\$352,260.32	861	1013478	\$164,101.76
821	1013412	\$32,726.75	862	1013485	\$728.75
822	1013413	\$452,285.11	863	1013486	\$1,592.43
823	1013414	\$210,890.05	864	1013492	\$49.72
824	1013415	\$113,015.92	865	1013494	\$1,616.21
825	1013416	\$647,261.56	866	1013495	\$27,299.62
826	1013418	\$65,880.83	867	1013496	\$530.00
827	1013419	\$36,721.30	868	1013497	\$291.50
828	1013420	\$2,727.48	869	1013498	\$278.25
829	1013422	\$41,331.95	870	1013499	\$79.50
830	1013423	\$3,785.17	871	1013500	\$10,375.45
831	1013424	\$30,153.77	872	1013502	\$84,481.52
832	1013425	\$307,049.92	873	1013504	\$105,799.63
833	1013426	\$4,253.00	874	1013505	\$1,342,618.30
834	1013427	\$5,522.00	875	1013514	\$2,506.56
835	1013428	\$18,077.20	876	1013515	\$23,051.26
836	1013429	\$142,209.23	877	1013519	\$596.25
837	1013430	\$24,762.62	878	1013528	\$46.04
838	1013431	\$8,506.00	879	1013531	\$83.58
839	1013432	\$1,491,274.63	880	1013532	\$1,282.33
840	1013433	\$6,744.17	881	1013533	\$291,873.58
841	1013434	\$4,253.00	882	1013535	\$106,325.00
842	1013436	\$8,506.00	883	1013538	\$2,526.52
843	1013437	\$47,215.94	884	1013539	\$7,904.80
844	1013448	\$198.75	885	1013540	\$6,383.15
845	1013449	\$583.00	886	1013541	\$3,338.24
846	1013450	\$1,139.50	887	1013542	\$85.06
847	1013451	\$145.75	888	1013543	\$743,171.91
848	1013455	\$1,105.78	889	1013545	\$251.75
849	1013456	\$2,822,929.84	890	1013546	\$22,447.27
850	1013457	\$1,825,302.06	891	1013553	\$67.80
851	1013458	\$1,028,946.44	892	1013556	\$12,339.89
852	1013459	\$106,526.66	893	1013557	\$123,692.80
853	1013460	\$357,252.00	894	1013559	\$3,252.80
854	1013462	\$617,748.25	895	1013561	\$212.00
855	1013463	\$889,004.59	896	1013562	\$172.25
856	1013465	\$966,350.00	897	1013563	\$1,457.50
857	1013466	\$1,285.88	898	1013564	\$212.00
858	1013469	\$680.48	899	1013565	\$318.00
859	1013470	\$12,725.55	900	1013568	\$463.75

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
901	1013569	\$843.28	942	1013844	\$174.50
902	1013571	\$1,311.75	943	1013853	\$149.20
903	1013574	\$30.39	944	1013860	\$7.10
904	1013578	\$50,520.05	945	1013864	\$1,186.11
905	1013582	\$265.00	946	1013866	\$207.00
906	1013583	\$344.50	947	1013874	\$442.00
907	1013584	\$318.00	948	1013881	\$82.83
908	1013586	\$16,007.05	949	1013887	\$49.58
909	1013587	\$15,754.13	950	1013890	\$95.40
910	1013589	\$477.00	951	1013904	\$26,585.00
911	1013592	\$106.00	952	1013908	\$430.90
912	1013596	\$39.75	953	1013909	\$143.60
913	1013597	\$53.00	954	1013914	\$26.50
914	1013600	\$4,631.97	955	1013924	\$149.00
915	1013601	\$33.78	956	1013945	\$77.79
916	1013602	\$590.85	957	1013957	\$79.20
917	1013604	\$7,620.36	958	1013970	\$33.45
918	1013607	\$34,512.50	959	1013977	\$79.80
919	1013612	\$265.00	960	1013994	\$215.90
920	1013613	\$477.00	961	1014001	\$1,271.76
921	1013614	\$622.75	962	1014023	\$53.70
922	1013615	\$675.75	963	1014032	\$2,044.59
923	1013616	\$397.50	964	1014043	\$61.10
924	1013617	\$278.25	965	1014049	\$79.80
925	1013621	\$836,899.18	966	1014051	\$22.34
926	1013624	\$132.50	967	1014054	\$10.55
927	1013628	\$1,321.73	968	1014055	\$149.20
928	1013629	\$1,583.77	969	1014057	\$143.60
929	1013631	\$1,415.98	970	1014059	\$418.40
930	1013688	\$143.60	971	1014063	\$36.80
931	1013692	\$143.60	972	1014067	\$298.40
932	1013719	\$102.20	973	1014069	\$149.20
933	1013744	\$149.20	974	1014075	\$21.23
934	1013759	\$40.38	975	1014079	\$56.60
935	1013767	\$7.81	976	1014090	\$56.90
936	1013770	\$229.96	977	1014110	\$118.70
937	1013771	\$143.60	978	1014111	\$143.60
938	1013791	\$6.54	979	1014116	\$1,913.85
939	1013814	\$16.52	980	1014119	\$127,485.03
940	1013828	\$206.00	981	1014120	\$19,008.00
941	1013834	\$18.23	982	1014121	\$137,417.45

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
983	1014123	\$359,758.30	1024	1014181	\$522.36
984	1014125	\$97,507.22	1025	1014182	\$510.91
985	1014127	\$33,041.84	1026	1014183	\$2,640.72
986	1014128	\$44,424.49	1027	1014184	\$682.14
987	1014129	\$59,343.24	1028	1014185	\$540.19
988	1014130	\$637.95	1029	1014186	\$994.21
989	1014132	\$1,434,063.40	1030	1014187	\$2,564.27
990	1014135	\$15,145.49	1031	1014188	\$1,907.38
991	1014136	\$15,084.96	1032	1014189	\$16,309.37
992	1014137	\$8,080.70	1033	1014190	\$561.93
993	1014138	\$22,132.79	1034	1014191	\$540.19
994	1014140	\$238.50	1035	1014192	\$709.75
995	1014141	\$1,457.50	1036	1014193	\$509.55
996	1014142	\$8,324.54	1037	1014194	\$560.27
997	1014143	\$1,054,344.91	1038	1014195	\$1,371.15
998	1014144	\$142,123.48	1039	1014196	\$179.04
999	1014145	\$476,703.46	1040	1014198	\$468.38
1000	1014146	\$328,227.75	1041	1014199	\$767.64
1001	1014147	\$146,133.08	1042	1014200	\$125.66
1002	1014149	\$15,771.43	1043	1014201	\$303.08
1003	1014150	\$894.88	1044	1014202	\$4,331.43
1004	1014153	\$396,580.79	1045	1014203	\$4,500.53
1005	1014154	\$48,195.35	1046	1014204	\$826.50
1006	1014156	\$355,228.00	1047	1014205	\$510.91
1007	1014157	\$53,209.35	1048	1014206	\$160.56
1008	1014158	\$5,996.73	1049	1014207	\$755.77
1009	1014160	\$45,026.28	1050	1014208	\$379.52
1010	1014162	\$34,526.26	1051	1014209	\$549.93
1011	1014166	\$20,178.60	1052	1014210	\$553.70
1012	1014167	\$48,353.13	1053	1014211	\$1,055.46
1013	1014169	\$8,506.00	1054	1014212	\$2,840.12
1014	1014170	\$4,805.89	1055	1014213	\$340.12
1015	1014172	\$46,783.00	1056	1014214	\$500.76
1016	1014173	\$486.41	1057	1014215	\$1,021.82
1017	1014174	\$510.91	1058	1014216	\$1,215.67
1018	1014175	\$759.60	1059	1014217	\$186.16
1019	1014176	\$251.31	1060	1014218	\$767.20
1020	1014177	\$1,100.94	1061	1014219	\$483.30
1021	1014178	\$553.44	1062	1014221	\$922.40
1022	1014179	\$279.34	1063	1014222	\$553.44
1023	1014180	\$510.91	1064	1014223	\$625.25

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1065	1014224	\$711.69	1106	1014265	\$16,015.12
1066	1014225	\$223.80	1107	1014266	\$510.91
1067	1014226	\$365.16	1108	1014267	\$483.30
1068	1014227	\$695.90	1109	1014268	\$1,562.01
1069	1014228	\$1,008.57	1110	1014269	\$1,036.18
1070	1014229	\$1,005.19	1111	1014270	\$1,036.18
1071	1014230	\$525.27	1112	1014271	\$510.91
1072	1014231	\$468.38	1113	1014272	\$1,333.69
1073	1014232	\$132.11	1114	1014273	\$468.38
1074	1014233	\$483.30	1115	1014274	\$1,008.57
1075	1014234	\$567.80	1116	1014275	\$483.30
1076	1014235	\$767.20	1117	1014276	\$510.91
1077	1014236	\$497.66	1118	1014277	\$497.66
1078	1014237	\$502.55	1119	1014278	\$59.74
1079	1014238	\$497.66	1120	1014279	\$510.91
1080	1014239	\$894.23	1121	1014280	\$178.44
1081	1014240	\$553.44	1122	1014281	\$6,995.46
1082	1014241	\$525.27	1123	1014282	\$394.44
1083	1014242	\$525.27	1124	1014283	\$510.91
1084	1014243	\$1,618.90	1125	1014284	\$10,494.41
1085	1014244	\$318.18	1126	1014285	\$1,738.43
1086	1014245	\$440.21	1127	1014286	\$723.82
1087	1014246	\$553.44	1128	1014287	\$1,193.05
1088	1014247	\$497.66	1129	1014288	\$540.19
1089	1014248	\$542.36	1130	1014289	\$6,446.88
1090	1014249	\$1,905.09	1131	1014290	\$809.73
1091	1014250	\$25,788.63	1132	1014291	\$265.00
1092	1014251	\$469.71	1133	1014292	\$1,159.97
1093	1014252	\$525.27	1134	1014293	\$1,501.26
1094	1014253	\$567.80	1135	1014295	\$394.44
1095	1014254	\$337.64	1136	1014296	\$510.74
1096	1014255	\$509.55	1137	1014297	\$490.22
1097	1014256	\$1,046.24	1138	1014298	\$179.04
1098	1014257	\$497.66	1139	1014299	\$540.19
1099	1014258	\$683.10	1140	1014300	\$936.76
1100	1014259	\$1,533.56	1141	1014301	\$734.62
1101	1014260	\$346.79	1142	1014302	\$510.91
1102	1014261	\$167.58	1143	1014303	\$510.91
1103	1014262	\$510.91	1144	1014304	\$3,876.30
1104	1014263	\$1,576.37	1145	1014305	\$9,784.44
1105	1014264	\$510.91	1146	1014306	\$1,504.14

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1147	1014307	\$394.44	1188	1014348	\$289.18
1148	1014308	\$837.34	1189	1014349	\$1,218.57
1149	1014309	\$542.36	1190	1014350	\$1,377.53
1150	1014310	\$501.19	1191	1014351	\$510.91
1151	1014311	\$479.38	1192	1014352	\$478.29
1152	1014312	\$715.31	1193	1014353	\$490.21
1153	1014313	\$248.49	1194	1014354	\$510.74
1154	1014314	\$469.71	1195	1014355	\$510.74
1155	1014315	\$490.21	1196	1014356	\$5,893.44
1156	1014316	\$510.91	1197	1014357	\$226.10
1157	1014317	\$483.30	1198	1014358	\$542.43
1158	1014318	\$25,925.85	1199	1014359	\$3,713.50
1159	1014319	\$486.41	1200	1014360	\$165.66
1160	1014320	\$468.38	1201	1014361	\$724.67
1161	1014321	\$680.48	1202	1014362	\$468.38
1162	1014322	\$1,707.45	1203	1014363	\$553.44
1163	1014323	\$1,475.63	1204	1014364	\$273.46
1164	1014324	\$2,797.59	1205	1014365	\$132.99
1165	1014325	\$866.62	1206	1014366	\$510.91
1166	1014326	\$953.41	1207	1014367	\$165.63
1167	1014327	\$510.91	1208	1014368	\$567.80
1168	1014328	\$390.02	1209	1014369	\$546.50
1169	1014329	\$652.86	1210	1014370	\$794.81
1170	1014330	\$966.04	1211	1014371	\$1,509.52
1171	1014331	\$596.02	1212	1014372	\$567.80
1172	1014332	\$1,220.66	1213	1014373	\$794.81
1173	1014333	\$2,017.14	1214	1014374	\$510.91
1174	1014334	\$933.34	1215	1014375	\$1,686.91
1175	1014335	\$1,960.25	1216	1014376	\$510.91
1176	1014336	\$10,617.55	1217	1014377	\$613.11
1177	1014337	\$1,661.43	1218	1014378	\$497.66
1178	1014338	\$1,051.10	1219	1014379	\$553.70
1179	1014339	\$496.64	1220	1014380	\$544.79
1180	1014340	\$510.91	1221	1014381	\$1,359.52
1181	1014341	\$300.66	1222	1014382	\$167.58
1182	1014342	\$3,905.58	1223	1014383	\$510.91
1183	1014343	\$461.77	1224	1014384	\$1,048.92
1184	1014344	\$607.50	1225	1014385	\$1,362.61
1185	1014345	\$510.74	1226	1014386	\$1,163.77
1186	1014346	\$2,456.24	1227	1014387	\$510.91
1187	1014347	\$461.77	1228	1014388	\$532.74

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1229	1014389	\$510.91	1270	1014430	\$652.86
1230	1014390	\$510.91	1271	1014431	\$483.30
1231	1014391	\$510.91	1272	1014432	\$5,637.85
1232	1014392	\$508.83	1273	1014433	\$498.58
1233	1014393	\$625.25	1274	1014434	\$1,818.30
1234	1014394	\$2,670.00	1275	1014435	\$510.91
1235	1014395	\$461.77	1276	1014437	\$909.15
1236	1014396	\$168.60	1277	1014438	\$1,789.02
1237	1014397	\$567.80	1278	1014439	\$999.51
1238	1014398	\$1,290.57	1279	1014440	\$110.44
1239	1014399	\$125.66	1280	1014441	\$1,113.43
1240	1014400	\$695.39	1281	1014442	\$1,107.99
1241	1014401	\$1,001.69	1282	1014443	\$995.32
1242	1014402	\$553.44	1283	1014444	\$759.60
1243	1014403	\$643.27	1284	1014445	\$497.66
1244	1014404	\$610.33	1285	1014446	\$510.91
1245	1014405	\$1,245.43	1286	1014447	\$1,278.11
1246	1014406	\$510.91	1287	1014448	\$540.19
1247	1014407	\$348.29	1288	1014449	\$308.96
1248	1014408	\$639.61	1289	1014450	\$851.70
1249	1014409	\$2,995.32	1290	1014451	\$510.91
1250	1014410	\$557.55	1291	1014452	\$528.36
1251	1014411	\$289.90	1292	1014453	\$223.80
1252	1014412	\$478.29	1293	1014454	\$510.91
1253	1014413	\$2,503.05	1294	1014455	\$499.01
1254	1014414	\$2,627.78	1295	1014456	\$556.44
1255	1014415	\$454.82	1296	1014457	\$248.49
1256	1014416	\$510.91	1297	1014458	\$1,278.11
1257	1014417	\$934.72	1298	1014459	\$5,082.60
1258	1014418	\$468.38	1299	1014460	\$3,691.82
1259	1014419	\$368.77	1300	1014461	\$1,058.93
1260	1014420	\$486.41	1301	1014462	\$1,454.74
1261	1014421	\$416.37	1302	1014463	\$510.74
1262	1014422	\$496.61	1303	1014464	\$497.66
1263	1014423	\$442.37	1304	1014465	\$165.63
1264	1014424	\$714.20	1305	1014466	\$896.92
1265	1014425	\$772.60	1306	1014467	\$510.91
1266	1014426	\$2,740.14	1307	1014468	\$551.77
1267	1014427	\$468.38	1308	1014469	\$474.63
1268	1014428	\$497.66	1309	1014470	\$365.16
1269	1014429	\$25,232.94	1310	1014471	\$388.76

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1311	1014472	\$1,320.64	1352	1014513	\$1,022.42
1312	1014473	\$567.80	1353	1014514	\$709.75
1313	1014474	\$540.19	1354	1014515	\$510.91
1314	1014475	\$2,526.38	1355	1014516	\$125.66
1315	1014476	\$794.81	1356	1014517	\$582.73
1316	1014477	\$2,655.64	1357	1014518	\$1,928.00
1317	1014478	\$370.79	1358	1014519	\$510.91
1318	1014479	\$2,937.95	1359	1014520	\$573.28
1319	1014480	\$846.42	1360	1014521	\$553.44
1320	1014481	\$1,335.00	1361	1014522	\$985.15
1321	1014482	\$4,722.19	1362	1014523	\$553.44
1322	1014483	\$446.42	1363	1014524	\$390.02
1323	1014484	\$595.97	1364	1014525	\$438.08
1324	1014485	\$510.91	1365	1014526	\$540.19
1325	1014486	\$1,077.25	1366	1014527	\$11,167.33
1326	1014487	\$4,912.48	1367	1014528	\$468.38
1327	1014488	\$510.91	1368	1014529	\$1,589.62
1328	1014489	\$567.80	1369	1014530	\$1,718.32
1329	1014490	\$497.66	1370	1014531	\$2,241.24
1330	1014491	\$1,589.62	1371	1014533	\$1,263.19
1331	1014492	\$781.56	1372	1014534	\$510.91
1332	1014493	\$343.30	1373	1014535	\$446.42
1333	1014494	\$574.08	1374	1014536	\$553.44
1334	1014495	\$9,576.41	1375	1014537	\$510.91
1335	1014496	\$165.63	1376	1014538	\$1,048.58
1336	1014497	\$1,418.00	1377	1014539	\$571.52
1337	1014498	\$510.91	1378	1014540	\$551.77
1338	1014499	\$1,470.20	1379	1014541	\$510.91
1339	1014500	\$497.66	1380	1014542	\$824.09
1340	1014501	\$1,265.33	1381	1014543	\$3,521.40
1341	1014502	\$752.28	1382	1014544	\$470.79
1342	1014503	\$510.91	1383	1014545	\$540.19
1343	1014504	\$510.91	1384	1014546	\$483.30
1344	1014505	\$540.19	1385	1014547	\$510.91
1345	1014506	\$497.66	1386	1014548	\$243.19
1346	1014507	\$468.38	1387	1014549	\$3,600.85
1347	1014508	\$541.17	1388	1014550	\$567.80
1348	1014509	\$193.96	1389	1014551	\$691.36
1349	1014510	\$510.91	1390	1014552	\$365.16
1350	1014511	\$525.27	1391	1014553	\$2,655.64
1351	1014512	\$510.91	1392	1014554	\$478.36

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1393	1014555	\$358.08	1434	1014596	\$553.44
1394	1014556	\$707.94	1435	1014597	\$510.91
1395	1014557	\$553.44	1436	1014598	\$179.04
1396	1014558	\$510.91	1437	1014599	\$506.33
1397	1014559	\$510.91	1438	1014600	\$7,158.00
1398	1014560	\$825.68	1439	1014601	\$158.46
1399	1014561	\$461.77	1440	1014602	\$468.38
1400	1014562	\$510.91	1441	1014603	\$1,519.48
1401	1014563	\$423.46	1442	1014604	\$468.38
1402	1014564	\$553.44	1443	1014605	\$468.38
1403	1014565	\$497.66	1444	1014606	\$510.91
1404	1014566	\$257.41	1445	1014607	\$2,627.47
1405	1014567	\$509.65	1446	1014608	\$540.19
1406	1014568	\$652.86	1447	1014609	\$365.16
1407	1014569	\$273.46	1448	1014610	\$824.09
1408	1014570	\$124.75	1449	1014611	\$525.27
1409	1014571	\$490.21	1450	1014612	\$2,468.93
1410	1014572	\$1,434.42	1451	1014613	\$540.19
1411	1014573	\$587.28	1452	1014614	\$682.14
1412	1014574	\$2,342.44	1453	1014615	\$510.91
1413	1014575	\$689.67	1454	1014616	\$490.21
1414	1014576	\$567.80	1455	1014617	\$6,995.46
1415	1014577	\$489.68	1456	1014618	\$652.86
1416	1014578	\$468.38	1457	1014619	\$3,549.87
1417	1014579	\$567.80	1458	1014620	\$882.15
1418	1014580	\$767.20	1459	1014621	\$468.38
1419	1014581	\$1,557.53	1460	1014622	\$1,167.62
1420	1014582	\$496.64	1461	1014623	\$638.36
1421	1014583	\$1,093.07	1462	1014624	\$1,026.87
1422	1014584	\$824.09	1463	1014625	\$45.55
1423	1014585	\$542.36	1464	1014626	\$336.22
1424	1014586	\$682.14	1465	1014627	\$483.30
1425	1014587	\$510.91	1466	1014628	\$544.20
1426	1014588	\$1,703.96	1467	1014629	\$2,460.77
1427	1014589	\$743.79	1468	1014630	\$232.06
1428	1014590	\$1,803.38	1469	1014631	\$497.66
1429	1014591	\$938.09	1470	1014632	\$568.07
1430	1014592	\$2,740.14	1471	1014633	\$804.49
1431	1014593	\$625.25	1472	1014634	\$510.91
1432	1014594	\$488.34	1473	1014635	\$794.81
1433	1014595	\$997.15	1474	1014636	\$1,008.25



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1475	1014637	\$365.16	1516	1014678	\$510.91
1476	1014638	\$922.40	1517	1014679	\$510.91
1477	1014639	\$510.91	1518	1014680	\$495.73
1478	1014640	\$1,021.82	1519	1014681	\$553.44
1479	1014641	\$976.04	1520	1014682	\$510.91
1480	1014642	\$497.66	1521	1014683	\$365.16
1481	1014643	\$289.18	1522	1014684	\$553.44
1482	1014644	\$503.03	1523	1014685	\$510.91
1483	1014645	\$346.21	1524	1014686	\$510.91
1484	1014646	\$468.38	1525	1014687	\$510.91
1485	1014647	\$289.18	1526	1014688	\$719.66
1486	1014648	\$657.40	1527	1014689	\$805.13
1487	1014649	\$920.66	1528	1014690	\$837.34
1488	1014650	\$745.98	1529	1014691	\$735.55
1489	1014651	\$709.65	1530	1014692	\$1,008.57
1490	1014652	\$2,428.63	1531	1014693	\$461.77
1491	1014653	\$510.91	1532	1014694	\$2,528.05
1492	1014654	\$1,280.92	1533	1014695	\$570.44
1493	1014655	\$755.64	1534	1014696	\$639.61
1494	1014656	\$1,008.57	1535	1014697	\$82.83
1495	1014657	\$510.91	1536	1014698	\$4,922.44
1496	1014658	\$467.44	1537	1014699	\$467.36
1497	1014659	\$843.48	1538	1014700	\$1,262.32
1498	1014660	\$239.22	1539	1014701	\$478.72
1499	1014661	\$535.81	1540	1014702	\$510.91
1500	1014662	\$510.91	1541	1014703	\$510.91
1501	1014663	\$567.80	1542	1014704	\$718.59
1502	1014664	\$365.16	1543	1014705	\$1,576.37
1503	1014665	\$177.00	1544	1014706	\$654.08
1504	1014666	\$724.67	1545	1014707	\$1,945.33
1505	1014667	\$625.25	1546	1014708	\$370.79
1506	1014668	\$540.19	1547	1014709	\$553.44
1507	1014669	\$862.45	1548	1014710	\$522.43
1508	1014670	\$379.52	1549	1014711	\$2,488.99
1509	1014671	\$511.50	1550	1014712	\$142.89
1510	1014672	\$1,377.53	1551	1014713	\$1,595.90
1511	1014673	\$2,980.59	1552	1014714	\$2,722.44
1512	1014674	\$507.39	1553	1014715	\$467.36
1513	1014675	\$467.36	1554	1014716	\$510.91
1514	1014676	\$682.14	1555	1014717	\$1,787.24
1515	1014677	\$1,154.69	1556	1014718	\$733.09

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1557	1014719	\$394.44	1598	1014760	\$510.91
1558	1014720	\$1,803.38	1599	1014761	\$979.29
1559	1014721	\$365.16	1600	1014762	\$502.55
1560	1014722	\$665.97	1601	1014763	\$951.68
1561	1014723	\$518.21	1602	1014764	\$695.39
1562	1014724	\$510.91	1603	1014765	\$682.14
1563	1014725	\$553.44	1604	1014766	\$510.91
1564	1014726	\$510.91	1605	1014767	\$553.44
1565	1014727	\$625.25	1606	1014768	\$525.27
1566	1014728	\$909.15	1607	1014769	\$394.44
1567	1014729	\$343.16	1608	1014770	\$468.38
1568	1014730	\$501.19	1609	1014771	\$509.65
1569	1014731	\$510.91	1610	1014772	\$775.53
1570	1014732	\$776.64	1611	1014773	\$525.83
1571	1014733	\$765.12	1612	1014774	\$510.91
1572	1014734	\$319.18	1613	1014775	\$525.27
1573	1014735	\$497.66	1614	1014776	\$2,133.33
1574	1014736	\$510.91	1615	1014777	\$507.39
1575	1014737	\$508.39	1616	1014778	\$132.11
1576	1014738	\$468.38	1617	1014779	\$346.79
1577	1014739	\$510.91	1618	1014780	\$510.91
1578	1014740	\$790.53	1619	1014781	\$553.44
1579	1014741	\$1,201.46	1620	1014782	\$134.02
1580	1014742	\$2,797.59	1621	1014783	\$1,249.94
1581	1014743	\$2,811.95	1622	1014784	\$609.44
1582	1014744	\$2,386.45	1623	1014785	\$158.46
1583	1014745	\$478.36	1624	1014786	\$4,811.95
1584	1014746	\$279.34	1625	1014787	\$510.91
1585	1014747	\$567.80	1626	1014788	\$525.27
1586	1014748	\$687.66	1627	1014789	\$1,008.57
1587	1014749	\$370.79	1628	1014790	\$2,105.14
1588	1014750	\$510.91	1629	1014791	\$510.91
1589	1014751	\$1,335.00	1630	1014792	\$510.91
1590	1014752	\$365.16	1631	1014793	\$714.97
1591	1014753	\$359.25	1632	1014794	\$440.21
1592	1014754	\$810.84	1633	1014795	\$510.91
1593	1014755	\$540.19	1634	1014796	\$390.15
1594	1014756	\$243.92	1635	1014797	\$187.87
1595	1014757	\$446.42	1636	1014798	\$507.39
1596	1014758	\$5,351.55	1637	1014799	\$483.30
1597	1014759	\$697.24	1638	1014800	\$550.18

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1639	1014801	\$315.41	1680	1014842	\$358.08
1640	1014802	\$525.27	1681	1014843	\$652.72
1641	1014803	\$510.91	1682	1014844	\$866.62
1642	1014804	\$478.65	1683	1014845	\$542.16
1643	1014805	\$358.08	1684	1014846	\$525.27
1644	1014806	\$652.86	1685	1014847	\$1,120.26
1645	1014807	\$511.23	1686	1014848	\$2,343.80
1646	1014808	\$560.31	1687	1014849	\$651.57
1647	1014809	\$560.31	1688	1014850	\$123.37
1648	1014810	\$806.66	1689	1014851	\$817.00
1649	1014811	\$1,591.86	1690	1014852	\$261.17
1650	1014812	\$5,035.24	1691	1014853	\$510.91
1651	1014813	\$506.33	1692	1014854	\$1,193.05
1652	1014814	\$510.91	1693	1014855	\$1,320.64
1653	1014815	\$486.41	1694	1014856	\$1,576.37
1654	1014816	\$510.91	1695	1014857	\$478.29
1655	1014817	\$204.20	1696	1014858	\$525.27
1656	1014818	\$1,276.72	1697	1014859	\$1,987.86
1657	1014819	\$126.47	1698	1014860	\$979.29
1658	1014820	\$567.80	1699	1014861	\$1,705.15
1659	1014821	\$547.88	1700	1014862	\$468.38
1660	1014822	\$142.89	1701	1014863	\$1,703.96
1661	1014823	\$370.79	1702	1014864	\$794.81
1662	1014824	\$2,471.16	1703	1014865	\$593.93
1663	1014825	\$1,462.59	1704	1014866	\$154.68
1664	1014826	\$1,562.01	1705	1014867	\$741.85
1665	1014827	\$837.34	1706	1014868	\$178.44
1666	1014828	\$124.75	1707	1014869	\$1,519.48
1667	1014829	\$193.96	1708	1014870	\$497.66
1668	1014830	\$709.75	1709	1014871	\$500.76
1669	1014831	\$468.38	1710	1014872	\$126.47
1670	1014832	\$525.27	1711	1014873	\$509.28
1671	1014833	\$172.32	1712	1014874	\$468.38
1672	1014834	\$767.20	1713	1014875	\$652.86
1673	1014835	\$276.30	1714	1014876	\$59.74
1674	1014836	\$794.81	1715	1014877	\$540.19
1675	1014837	\$701.04	1716	1014878	\$547.76
1676	1014838	\$540.19	1717	1014879	\$1,061.12
1677	1014839	\$508.85	1718	1014880	\$1,318.39
1678	1014840	\$325.18	1719	1014881	\$640.58
1679	1014841	\$179.04	1720	1014882	\$2,598.19

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1721	1014883	\$453.00	1762	1014925	\$510.91
1722	1014884	\$510.91	1763	1014926	\$461.77
1723	1014885	\$1,661.43	1764	1014927	\$497.66
1724	1014886	\$966.99	1765	1014928	\$667.78
1725	1014887	\$120.67	1766	1014929	\$832.83
1726	1014888	\$2,503.05	1767	1014930	\$1,104.91
1727	1014889	\$606.84	1768	1014931	\$179.04
1728	1014890	\$951.68	1769	1014932	\$1,439.38
1729	1014891	\$365.16	1770	1014933	\$637.72
1730	1014892	\$289.90	1771	1014934	\$168.60
1731	1014893	\$525.27	1772	1014935	\$1,243.41
1732	1014894	\$446.42	1773	1014936	\$791.01
1733	1014895	\$1,556.84	1774	1014937	\$5,171.05
1734	1014896	\$759.60	1775	1014938	\$953.10
1735	1014897	\$510.91	1776	1014939	\$1,382.13
1736	1014898	\$468.38	1777	1014940	\$468.38
1737	1014899	\$273.46	1778	1014941	\$556.44
1738	1014900	\$695.39	1779	1014942	\$203.28
1739	1014901	\$483.80	1780	1014943	\$866.62
1740	1014903	\$4,842.34	1781	1014945	\$237.70
1741	1014904	\$548.86	1782	1014946	\$1,036.18
1742	1014905	\$279.34	1783	1014947	\$510.91
1743	1014906	\$510.91	1784	1014948	\$2,920.73
1744	1014907	\$346.79	1785	1014949	\$240.86
1745	1014908	\$138.05	1786	1014950	\$874.71
1746	1014909	\$988.11	1787	1014951	\$616.56
1747	1014910	\$508.85	1788	1014952	\$3,721.10
1748	1014911	\$598.84	1789	1014953	\$319.18
1749	1014912	\$474.63	1790	1014954	\$890.07
1750	1014913	\$582.72	1791	1014955	\$610.33
1751	1014914	\$569.56	1792	1014956	\$2,212.83
1752	1014915	\$625.25	1793	1014957	\$365.16
1753	1014916	\$994.21	1794	1014958	\$596.04
1754	1014917	\$483.30	1795	1014959	\$1,376.73
1755	1014918	\$763.89	1796	1014960	\$837.34
1756	1014919	\$1,006.87	1797	1014961	\$767.20
1757	1014920	\$567.95	1798	1014962	\$794.81
1758	1014921	\$979.29	1799	1014963	\$510.91
1759	1014922	\$582.72	1800	1014964	\$2,017.14
1760	1014923	\$1,700.16	1801	1014965	\$165.66
1761	1014924	\$936.76	1802	1014966	\$495.73

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1803	1014967	\$1,104.19	1844	1015008	\$866.62
1804	1014968	\$525.27	1845	1015009	\$525.27
1805	1014969	\$510.91	1846	1015010	\$179.04
1806	1014970	\$346.79	1847	1015011	\$289.90
1807	1014971	\$365.16	1848	1015012	\$300.74
1808	1014972	\$25,788.63	1849	1015013	\$365.16
1809	1014973	\$2,254.05	1850	1015014	\$794.81
1810	1014974	\$540.19	1851	1015015	\$525.27
1811	1014975	\$510.91	1852	1015016	\$169.54
1812	1014976	\$525.27	1853	1015017	\$321.81
1813	1014977	\$2,498.77	1854	1015018	\$510.91
1814	1014978	\$1,051.10	1855	1015019	\$5,154.41
1815	1014979	\$2,655.64	1856	1015020	\$59.74
1816	1014980	\$510.91	1857	1015021	\$463.30
1817	1014981	\$1,127.97	1858	1015022	\$490.89
1818	1014982	\$365.16	1859	1015023	\$862.82
1819	1014983	\$468.38	1860	1015024	\$489.68
1820	1014984	\$365.16	1861	1015025	\$489.68
1821	1014985	\$483.30	1862	1015026	\$560.27
1822	1014986	\$468.38	1863	1015027	\$510.91
1823	1014987	\$767.20	1864	1015028	\$497.66
1824	1014988	\$724.67	1865	1015029	\$966.04
1825	1014989	\$365.16	1866	1015030	\$179.04
1826	1014990	\$3,280.33	1867	1015031	\$752.28
1827	1014991	\$1,008.57	1868	1015032	\$510.91
1828	1014992	\$374.40	1869	1015033	\$3,419.92
1829	1014993	\$340.12	1870	1015034	\$510.91
1830	1014994	\$179.04	1871	1015035	\$1,122.77
1831	1014995	\$497.66	1872	1015036	\$510.91
1832	1014996	\$1,008.57	1873	1015037	\$365.16
1833	1014997	\$724.67	1874	1015038	\$317.14
1834	1014998	\$553.44	1875	1015039	\$501.19
1835	1014999	\$724.67	1876	1015040	\$468.38
1836	1015000	\$483.30	1877	1015041	\$1,693.09
1837	1015001	\$2,789.79	1878	1015042	\$468.38
1838	1015002	\$541.30	1879	1015043	\$497.66
1839	1015003	\$525.27	1880	1015044	\$1,081.70
1840	1015004	\$567.80	1881	1015045	\$508.85
1841	1015005	\$497.66	1882	1015046	\$572.96
1842	1015006	\$525.27	1883	1015047	\$516.74
1843	1015007	\$494.75	1884	1015048	\$468.38

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1885	1015049	\$10,201.57	1926	1015092	\$2,683.25
1886	1015050	\$510.91	1927	1015093	\$124.04
1887	1015051	\$962.62	1928	1015094	\$510.91
1888	1015052	\$540.19	1929	1015095	\$468.38
1889	1015053	\$510.91	1930	1015096	\$742.91
1890	1015054	\$752.28	1931	1015097	\$510.91
1891	1015055	\$2,584.94	1932	1015098	\$497.66
1892	1015057	\$994.21	1933	1015099	\$175.62
1893	1015058	\$1,235.58	1934	1015100	\$723.82
1894	1015059	\$1,277.69	1935	1015101	\$567.18
1895	1015060	\$831.73	1936	1015102	\$650.75
1896	1015061	\$1,107.99	1937	1015103	\$509.65
1897	1015062	\$540.19	1938	1015104	\$510.91
1898	1015063	\$691.96	1939	1015105	\$446.42
1899	1015064	\$510.91	1940	1015106	\$690.44
1900	1015065	\$497.66	1941	1015107	\$394.44
1901	1015066	\$1,661.43	1942	1015108	\$510.91
1902	1015067	\$497.66	1943	1015109	\$525.27
1903	1015068	\$5,260.61	1944	1015110	\$377.75
1904	1015069	\$4,093.24	1945	1015111	\$703.57
1905	1015070	\$510.91	1946	1015112	\$486.26
1906	1015071	\$752.28	1947	1015113	\$723.09
1907	1015072	\$1,006.82	1948	1015114	\$510.91
1908	1015073	\$525.27	1949	1015115	\$724.67
1909	1015074	\$1,501.00	1950	1015116	\$508.39
1910	1015075	\$469.71	1951	1015117	\$1,192.93
1911	1015076	\$553.44	1952	1015118	\$639.61
1912	1015077	\$497.66	1953	1015119	\$147.48
1913	1015078	\$1,647.07	1954	1015120	\$379.52
1914	1015080	\$643.98	1955	1015121	\$612.57
1915	1015081	\$1,888.44	1956	1015122	\$483.30
1916	1015082	\$239.22	1957	1015123	\$483.30
1917	1015083	\$934.72	1958	1015124	\$483.30
1918	1015084	\$510.91	1959	1015125	\$610.33
1919	1015085	\$553.44	1960	1015126	\$737.92
1920	1015086	\$625.25	1961	1015127	\$616.47
1921	1015087	\$1,663.32	1962	1015128	\$132.11
1922	1015088	\$652.86	1963	1015129	\$2,442.99
1923	1015089	\$468.38	1964	1015130	\$525.27
1924	1015090	\$824.09	1965	1015131	\$525.27
1925	1015091	\$625.25	1966	1015132	\$1,093.63

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
1967	1015133	\$547.88	2008	1015174	\$59.74
1968	1015134	\$382.45	2009	1015175	\$1,051.10
1969	1015135	\$510.91	2010	1015176	\$402.08
1970	1015136	\$446.42	2011	1015177	\$2,570.03
1971	1015137	\$325.00	2012	1015178	\$510.91
1972	1015138	\$1,246.57	2013	1015179	\$553.44
1973	1015139	\$1,193.05	2014	1015180	\$970.33
1974	1015140	\$5,026.82	2015	1015181	\$4,309.00
1975	1015141	\$768.25	2016	1015182	\$525.27
1976	1015142	\$461.77	2017	1015183	\$510.91
1977	1015143	\$546.57	2018	1015184	\$483.30
1978	1015144	\$239.22	2019	1015185	\$1,433.23
1979	1015145	\$510.91	2020	1015186	\$468.38
1980	1015146	\$1,051.10	2021	1015187	\$365.16
1981	1015147	\$918.46	2022	1015188	\$2,471.16
1982	1015148	\$497.66	2023	1015189	\$132.50
1983	1015149	\$555.20	2024	1015190	\$709.75
1984	1015150	\$510.91	2025	1015191	\$553.44
1985	1015151	\$999.98	2026	1015192	\$1,248.95
1986	1015152	\$1,420.06	2027	1015193	\$488.51
1987	1015153	\$731.89	2028	1015194	\$540.19
1988	1015154	\$596.02	2029	1015195	\$546.94
1989	1015155	\$478.36	2030	1015196	\$179.04
1990	1015156	\$598.84	2031	1015197	\$2,811.95
1991	1015157	\$567.80	2032	1015198	\$365.16
1992	1015158	\$483.30	2033	1015199	\$510.91
1993	1015159	\$515.52	2034	1015200	\$4,031.36
1994	1015160	\$623.55	2035	1015201	\$300.74
1995	1015161	\$554.51	2036	1015202	\$567.80
1996	1015162	\$179.04	2037	1015203	\$379.52
1997	1015163	\$4,700.39	2038	1015204	\$5,010.79
1998	1015164	\$1,016.98	2039	1015205	\$1,150.52
1999	1015165	\$510.91	2040	1015206	\$483.30
2000	1015166	\$525.27	2041	1015207	\$652.86
2001	1015167	\$490.22	2042	1015208	\$461.77
2002	1015168	\$1,061.40	2043	1015209	\$2,403.04
2003	1015169	\$553.44	2044	1015210	\$483.30
2004	1015170	\$540.19	2045	1015211	\$553.44
2005	1015171	\$510.91	2046	1015212	\$752.28
2006	1015172	\$1,738.55	2047	1015213	\$1,093.63
2007	1015173	\$1,519.48	2048	1015214	\$169.54

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2049	1015215	\$4,539.44	2090	1015256	\$619.95
2050	1015216	\$1,008.57	2091	1015257	\$468.38
2051	1015217	\$510.91	2092	1015258	\$839.48
2052	1015218	\$2,854.48	2093	1015259	\$340.12
2053	1015219	\$468.38	2094	1015260	\$1,434.42
2054	1015220	\$1,286.13	2095	1015261	\$346.79
2055	1015221	\$252.82	2096	1015262	\$510.91
2056	1015222	\$510.91	2097	1015263	\$567.80
2057	1015223	\$509.18	2098	1015264	\$497.66
2058	1015224	\$1,006.37	2099	1015265	\$505.71
2059	1015225	\$1,051.10	2100	1015266	\$510.91
2060	1015226	\$628.12	2101	1015267	\$1,235.58
2061	1015227	\$1,370.93	2102	1015268	\$510.91
2062	1015228	\$483.30	2103	1015269	\$510.91
2063	1015229	\$567.80	2104	1015270	\$525.27
2064	1015230	\$295.22	2105	1015271	\$1,051.10
2065	1015231	\$1,051.10	2106	1015272	\$510.91
2066	1015232	\$553.44	2107	1015273	\$510.91
2067	1015233	\$1,396.93	2108	1015274	\$625.25
2068	1015234	\$1,335.00	2109	1015275	\$569.56
2069	1015235	\$510.91	2110	1015276	\$379.52
2070	1015236	\$1,159.17	2111	1015277	\$529.16
2071	1015237	\$175.62	2112	1015278	\$653.15
2072	1015238	\$295.22	2113	1015279	\$563.93
2073	1015239	\$1,230.05	2114	1015280	\$316.32
2074	1015240	\$279.34	2115	1015281	\$1,683.92
2075	1015241	\$212.00	2116	1015282	\$510.91
2076	1015242	\$934.72	2117	1015283	\$483.30
2077	1015243	\$124.75	2118	1015284	\$595.97
2078	1015244	\$709.75	2119	1015285	\$566.00
2079	1015245	\$1,473.15	2120	1015286	\$483.30
2080	1015246	\$733.09	2121	1015287	\$4,159.09
2081	1015247	\$510.91	2122	1015288	\$575.11
2082	1015248	\$1,169.21	2123	1015289	\$341.15
2083	1015249	\$1,004.71	2124	1015290	\$510.91
2084	1015250	\$497.66	2125	1015291	\$1,121.24
2085	1015251	\$494.75	2126	1015292	\$1,051.10
2086	1015252	\$1,320.64	2127	1015293	\$510.91
2087	1015253	\$1,314.03	2128	1015294	\$876.07
2088	1015254	\$37.50	2129	1015295	\$1,136.16
2089	1015255	\$1,190.21	2130	1015297	\$251.31



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2131	1015298	\$511.13	2172	1015339	\$2,996.43
2132	1015299	\$567.80	2173	1015340	\$1,320.64
2133	1015300	\$1,305.72	2174	1015341	\$132.50
2134	1015301	\$610.33	2175	1015342	\$767.20
2135	1015302	\$340.12	2176	1015343	\$1,681.81
2136	1015303	\$14,456.88	2177	1015344	\$737.92
2137	1015304	\$723.82	2178	1015345	\$540.19
2138	1015305	\$529.48	2179	1015346	\$563.61
2139	1015306	\$1,335.00	2180	1015347	\$696.99
2140	1015307	\$634.28	2181	1015348	\$365.16
2141	1015308	\$509.28	2182	1015349	\$336.22
2142	1015309	\$123.37	2183	1015350	\$365.16
2143	1015310	\$503.03	2184	1015351	\$567.18
2144	1015311	\$510.91	2185	1015352	\$346.79
2145	1015312	\$352.62	2186	1015353	\$532.38
2146	1015313	\$1,504.28	2187	1015354	\$132.11
2147	1015314	\$494.75	2188	1015355	\$295.44
2148	1015315	\$365.16	2189	1015356	\$506.33
2149	1015316	\$2,430.12	2190	1015357	\$5,438.31
2150	1015317	\$567.80	2191	1015358	\$879.87
2151	1015318	\$468.38	2192	1015359	\$530.66
2152	1015319	\$124.75	2193	1015360	\$563.93
2153	1015320	\$289.90	2194	1015361	\$3,262.19
2154	1015321	\$858.28	2195	1015362	\$600.57
2155	1015322	\$2,528.05	2196	1015363	\$1,248.24
2156	1015323	\$510.91	2197	1015364	\$824.09
2157	1015324	\$458.72	2198	1015365	\$1,492.33
2158	1015325	\$567.80	2199	1015366	\$1,278.11
2159	1015326	\$523.05	2200	1015367	\$365.16
2160	1015327	\$483.30	2201	1015368	\$510.91
2161	1015328	\$645.00	2202	1015369	\$979.79
2162	1015329	\$497.66	2203	1015370	\$610.33
2163	1015330	\$495.73	2204	1015371	\$1,269.31
2164	1015331	\$134.02	2205	1015372	\$567.80
2165	1015332	\$693.30	2206	1015373	\$567.80
2166	1015333	\$1,433.32	2207	1015374	\$470.79
2167	1015334	\$1,049.90	2208	1015375	\$1,021.82
2168	1015335	\$1,136.16	2209	1015376	\$735.19
2169	1015336	\$1,215.40	2210	1015377	\$365.16
2170	1015337	\$30.00	2211	1015378	\$497.66
2171	1015338	\$506.33	2212	1015379	\$2,701.76

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2213	1015380	\$567.80	2254	1015421	\$365.16
2214	1015381	\$625.25	2255	1015422	\$1,278.11
2215	1015382	\$510.91	2256	1015423	\$346.15
2216	1015383	\$508.85	2257	1015424	\$1,590.81
2217	1015384	\$390.02	2258	1015425	\$1,703.96
2218	1015385	\$697.10	2259	1015426	\$1,235.58
2219	1015386	\$510.91	2260	1015427	\$1,377.53
2220	1015387	\$481.64	2261	1015428	\$468.38
2221	1015388	\$553.44	2262	1015429	\$1,305.71
2222	1015389	\$502.55	2263	1015430	\$417.30
2223	1015390	\$501.19	2264	1015431	\$509.76
2224	1015391	\$468.38	2265	1015432	\$510.91
2225	1015392	\$574.94	2266	1015433	\$889.80
2226	1015393	\$506.33	2267	1015434	\$567.80
2227	1015394	\$678.38	2268	1015435	\$553.44
2228	1015395	\$510.91	2269	1015437	\$495.89
2229	1015396	\$966.04	2270	1015438	\$502.55
2230	1015397	\$794.81	2271	1015439	\$498.58
2231	1015398	\$1,121.24	2272	1015440	\$2,229.23
2232	1015399	\$467.63	2273	1015441	\$124.04
2233	1015400	\$570.86	2274	1015442	\$486.26
2234	1015401	\$1,252.84	2275	1015443	\$468.38
2235	1015402	\$752.28	2276	1015444	\$979.29
2236	1015403	\$110.44	2277	1015445	\$979.29
2237	1015404	\$510.91	2278	1015446	\$1,500.64
2238	1015405	\$1,335.00	2279	1015447	\$3,295.25
2239	1015406	\$171.84	2280	1015448	\$553.44
2240	1015407	\$546.94	2281	1015449	\$510.91
2241	1015408	\$1,121.24	2282	1015450	\$468.38
2242	1015409	\$510.91	2283	1015451	\$553.44
2243	1015410	\$1,080.36	2284	1015452	\$490.57
2244	1015411	\$525.27	2285	1015453	\$768.26
2245	1015412	\$598.93	2286	1015454	\$555.20
2246	1015413	\$463.44	2287	1015455	\$2,541.30
2247	1015414	\$582.72	2288	1015456	\$709.75
2248	1015415	\$1,248.83	2289	1015457	\$1,677.17
2249	1015416	\$523.25	2290	1015458	\$979.29
2250	1015417	\$510.91	2291	1015459	\$1,008.57
2251	1015418	\$591.57	2292	1015460	\$462.52
2252	1015419	\$1,099.01	2293	1015461	\$1,193.05
2253	1015420	\$525.27	2294	1015462	\$510.91

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2295	1015463	\$567.80	2336	1015506	\$468.38
2296	1015464	\$1,021.82	2337	1015507	\$510.91
2297	1015465	\$73.53	2338	1015508	\$142.78
2298	1015466	\$52,216.87	2339	1015509	\$1,033.40
2299	1015467	\$495.73	2340	1015510	\$497.66
2300	1015468	\$359.25	2341	1015511	\$743.79
2301	1015469	\$188.48	2342	1015512	\$197.06
2302	1015470	\$525.27	2343	1015513	\$4,733.92
2303	1015471	\$560.27	2344	1015514	\$365.16
2304	1015473	\$179.04	2345	1015515	\$628.12
2305	1015474	\$1,618.90	2346	1015516	\$468.38
2306	1015475	\$5,010.79	2347	1015517	\$494.75
2307	1015476	\$164.56	2348	1015518	\$709.75
2308	1015477	\$348.49	2349	1015519	\$695.39
2309	1015478	\$503.03	2350	1015520	\$478.36
2310	1015479	\$535.81	2351	1015521	\$5,076.88
2311	1015480	\$1,003.12	2352	1015522	\$593.66
2312	1015481	\$6,878.14	2353	1015523	\$1,193.05
2313	1015482	\$551.77	2354	1015524	\$483.30
2314	1015483	\$999.61	2355	1015525	\$483.30
2315	1015484	\$508.39	2356	1015526	\$489.68
2316	1015485	\$201.04	2357	1015527	\$510.91
2317	1015486	\$1,051.10	2358	1015528	\$643.00
2318	1015487	\$1,665.48	2359	1015529	\$567.80
2319	1015488	\$390.02	2360	1015530	\$468.38
2320	1015489	\$832.39	2361	1015531	\$127.92
2321	1015490	\$114.82	2362	1015532	\$468.93
2322	1015491	\$553.44	2363	1015533	\$1,576.37
2323	1015492	\$1,232.81	2364	1015534	\$775.20
2324	1015493	\$1,245.89	2365	1015535	\$510.91
2325	1015494	\$346.79	2366	1015536	\$1,420.06
2326	1015496	\$1,004.71	2367	1015537	\$723.82
2327	1015497	\$1,215.03	2368	1015538	\$346.79
2328	1015498	\$514.67	2369	1015539	\$510.91
2329	1015499	\$682.95	2370	1015540	\$695.39
2330	1015500	\$468.38	2371	1015541	\$681.92
2331	1015501	\$1,265.33	2372	1015542	\$510.91
2332	1015502	\$555.67	2373	1015543	\$2,598.19
2333	1015503	\$1,265.33	2374	1015544	\$365.16
2334	1015504	\$1,437.91	2375	1015545	\$510.91
2335	1015505	\$1,051.10	2376	1015546	\$724.67

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2377	1015547	\$489.80	2418	1015588	\$610.33
2378	1015548	\$59.74	2419	1015589	\$945.82
2379	1015549	\$510.91	2420	1015590	\$697.66
2380	1015550	\$59.74	2421	1015591	\$510.91
2381	1015551	\$525.27	2422	1015592	\$837.34
2382	1015552	\$1,786.26	2423	1015593	\$540.19
2383	1015553	\$1,455.01	2424	1015594	\$318.18
2384	1015554	\$780.97	2425	1015595	\$1,847.41
2385	1015555	\$522.43	2426	1015596	\$951.68
2386	1015556	\$483.30	2427	1015597	\$1,263.19
2387	1015557	\$1,343.43	2428	1015598	\$1,179.53
2388	1015558	\$142.89	2429	1015599	\$497.66
2389	1015559	\$365.16	2430	1015600	\$752.28
2390	1015560	\$553.44	2431	1015601	\$497.66
2391	1015561	\$134.37	2432	1015602	\$365.16
2392	1015562	\$483.30	2433	1015603	\$1,051.10
2393	1015563	\$510.91	2434	1015604	\$859.16
2394	1015564	\$540.19	2435	1015605	\$974.57
2395	1015565	\$316.32	2436	1015606	\$582.72
2396	1015566	\$510.91	2437	1015607	\$1,095.70
2397	1015567	\$490.22	2438	1015608	\$1,235.58
2398	1015568	\$756.40	2439	1015609	\$1,945.33
2399	1015569	\$794.81	2440	1015610	\$553.44
2400	1015570	\$483.30	2441	1015611	\$1,193.05
2401	1015571	\$497.66	2442	1015612	\$510.91
2402	1015572	\$3,209.81	2443	1015613	\$483.30
2403	1015573	\$752.28	2444	1015614	\$1,703.96
2404	1015574	\$668.89	2445	1015615	\$2,825.20
2405	1015575	\$398.08	2446	1015616	\$1,936.91
2406	1015576	\$468.38	2447	1015617	\$2,283.51
2407	1015577	\$889.16	2448	1015618	\$510.91
2408	1015578	\$117.36	2449	1015619	\$547.98
2409	1015579	\$266.50	2450	1015620	\$234.53
2410	1015580	\$553.70	2451	1015621	\$1,263.19
2411	1015581	\$510.91	2452	1015622	\$424.84
2412	1015582	\$2,510.59	2453	1015623	\$1,021.82
2413	1015583	\$533.83	2454	1015624	\$172.32
2414	1015584	\$486.26	2455	1015625	\$737.92
2415	1015585	\$553.44	2456	1015626	\$468.38
2416	1015586	\$767.20	2457	1015627	\$1,102.50
2417	1015587	\$567.80	2458	1015628	\$1,276.02

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2459	1015629	\$547.88	2500	1015671	\$1,453.58
2460	1015630	\$497.66	2501	1015672	\$610.33
2461	1015631	\$2,087.28	2502	1015673	\$510.91
2462	1015632	\$1,146.20	2503	1015674	\$724.67
2463	1015633	\$1,036.18	2504	1015675	\$7,440.31
2464	1015634	\$759.60	2505	1015676	\$1,329.73
2465	1015635	\$1,375.66	2506	1015677	\$695.39
2466	1015636	\$525.27	2507	1015678	\$1,377.53
2467	1015637	\$2,001.11	2508	1015679	\$971.46
2468	1015638	\$7,161.62	2509	1015680	\$273.46
2469	1015639	\$165.63	2510	1015681	\$722.05
2470	1015640	\$1,193.05	2511	1015682	\$798.96
2471	1015641	\$836.58	2512	1015683	\$2,371.18
2472	1015642	\$3,691.82	2513	1015684	\$497.66
2473	1015643	\$752.28	2514	1015685	\$497.66
2474	1015644	\$12,382.85	2515	1015686	\$582.72
2475	1015645	\$540.19	2516	1015687	\$689.00
2476	1015646	\$669.85	2517	1015688	\$194.16
2477	1015647	\$2,385.40	2518	1015689	\$512.28
2478	1015648	\$1,249.94	2519	1015690	\$510.91
2479	1015649	\$803.48	2520	1015691	\$553.44
2480	1015650	\$510.91	2521	1015692	\$498.79
2481	1015651	\$171.84	2522	1015693	\$720.84
2482	1015652	\$525.27	2523	1015694	\$121.59
2483	1015653	\$483.30	2524	1015695	\$553.44
2484	1015654	\$1,107.99	2525	1015696	\$289.52
2485	1015655	\$2,393.01	2526	1015697	\$599.86
2486	1015656	\$910.12	2527	1015698	\$468.38
2487	1015657	\$542.16	2528	1015699	\$2,536.95
2488	1015658	\$1,048.01	2529	1015700	\$1,512.83
2489	1015659	\$1,305.72	2530	1015701	\$498.79
2490	1015660	\$510.91	2531	1015702	\$2,356.82
2491	1015661	\$510.91	2532	1015703	\$694.30
2492	1015662	\$1,462.59	2533	1015704	\$510.91
2493	1015663	\$1,051.10	2534	1015705	\$510.91
2494	1015664	\$1,066.20	2535	1015706	\$837.34
2495	1015665	\$682.14	2536	1015707	\$910.12
2496	1015667	\$1,049.07	2537	1015708	\$468.38
2497	1015668	\$794.81	2538	1015709	\$1,790.40
2498	1015669	\$92.75	2539	1015710	\$550.22
2499	1015670	\$1,120.62	2540	1015711	\$737.92

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2541	1015712	\$1,519.48	2582	1015762	\$375.59
2542	1015713	\$1,121.24	2583	1015763	\$902.43
2543	1015714	\$365.16	2584	1015764	\$49.39
2544	1015715	\$553.44	2585	1015765	\$3,505.51
2545	1015716	\$470.79	2586	1015766	\$20,524.24
2546	1015717	\$1,051.10	2587	1015767	\$1,551.35
2547	1015718	\$510.91	2588	1015769	\$3,471.63
2548	1015719	\$977.21	2589	1015770	\$297.71
2549	1015720	\$11,006.75	2590	1015771	\$2,827.21
2550	1015721	\$2,489.15	2591	1015780	\$9,814.20
2551	1015722	\$510.91	2592	1015781	\$566.14
2552	1015723	\$510.91	2593	1015782	\$3,827.70
2553	1015724	\$1,008.57	2594	1015784	\$932,485.00
2554	1015725	\$1,125.55	2595	1015785	\$191,823.50
2555	1015726	\$595.89	2596	1015787	\$221,221.30
2556	1015727	\$752.28	2597	1015788	\$24,078.54
2557	1015728	\$1,215.40	2598	1015789	\$89,902.70
2558	1015729	\$1,008.57	2599	1015792	\$37,596.49
2559	1015730	\$1,987.86	2600	1015793	\$42,313.91
2560	1015731	\$749.41	2601	1015794	\$327,771.32
2561	1015732	\$486.26	2602	1015795	\$2,685.74
2562	1015733	\$490.21	2603	1015797	\$589,529.80
2563	1015734	\$974.87	2604	1015798	\$154,808.41
2564	1015735	\$727.81	2605	1015799	\$360,999.08
2565	1015736	\$525.27	2606	1015800	\$18,693.60
2566	1015737	\$599.23	2607	1015801	\$169,257.37
2567	1015738	\$1,220.66	2608	1015802	\$390,837.48
2568	1015739	\$2,479.50	2609	1015803	\$48,851.88
2569	1015740	\$1,375.66	2610	1015805	\$11,942,161.43
2570	1015741	\$748,700.37	2611	1015807	\$1,334,497.85
2571	1015744	\$90,146.65	2612	1015808	\$2,732,148.24
2572	1015751	\$121,311.96	2613	1015809	\$204,058.94
2573	1015752	\$125,073.87	2614	1015810	\$475,184.75
2574	1015754	\$51,036.00	2615	1015811	\$2,155,633.62
2575	1015755	\$176,262.24	2616	1015812	\$1,146,395.48
2576	1015756	\$49.39	2617	1015814	\$6,735,372.61
2577	1015757	\$30.39	2618	1015815	\$2,625,974.78
2578	1015758	\$315.33	2619	1015817	\$26,469.32
2579	1015759	\$10.24	2620	1015818	\$109,683.99
2580	1015760	\$30.39	2621	1015819	\$146,147.50
2581	1015761	\$17.26	2622	1015820	\$143,296.07

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2623	1015821	\$197,901.71	2664	1015948	\$53.00
2624	1015827	\$304.75	2665	1015953	\$272.31
2625	1015828	\$911.82	2666	1015968	\$76.00
2626	1015833	\$19.00	2667	1015980	\$331.25
2627	1015837	\$38.31	2668	1015981	\$79.78
2628	1015838	\$45.60	2669	1015982	\$56.99
2629	1015839	\$255.18	2670	1015985	\$145.75
2630	1015840	\$212.00	2671	1015987	\$38.00
2631	1015843	\$22.80	2672	1015990	\$91.20
2632	1015847	\$251.75	2673	1015999	\$51,968.23
2633	1015851	\$55.58	2674	1016001	\$2,102,304.00
2634	1015866	\$96.87	2675	1016002	\$4,416,161.43
2635	1015871	\$288.93	2676	1016003	\$102.60
2636	1015875	\$13.46	2677	1016007	\$3,101,526.60
2637	1015879	\$26.59	2678	1016008	\$116,702.32
2638	1015884	\$2,881.73	2679	1016009	\$34,133.03
2639	1015885	\$24,202.37	2680	1016010	\$1,414.91
2640	1015887	\$251.75	2681	1016013	\$338.50
2641	1015890	\$18.45	2682	1016014	\$114.00
2642	1015893	\$110.44	2683	1016019	\$853.92
2643	1015899	\$276.10	2684	1016028	\$4,462.09
2644	1015901	\$10,345.45	2685	1016029	\$1,628.70
2645	1015902	\$47.97	2686	1016036	\$45.60
2646	1015904	\$87.10	2687	1016039	\$14,850.72
2647	1015905	\$26.60	2688	1016040	\$10,465.17
2648	1015910	\$164,151.25	2689	1016041	\$81.53
2649	1015914	\$49.40	2690	1016045	\$347,216.73
2650	1015917	\$304.75	2691	1016059	\$145.75
2651	1015919	\$303.40	2692	1016061	\$49.40
2652	1015923	\$185.50	2693	1016064	\$13.46
2653	1015924	\$10,514.47	2694	1016065	\$76.00
2654	1015928	\$48,836.00	2695	1016066	\$1,456,060.20
2655	1015929	\$49.40	2696	1016069	\$4,977.66
2656	1015930	\$19.00	2697	1016070	\$106,139.58
2657	1015933	\$49.40	2698	1016073	\$87.40
2658	1015936	\$41,669.37	2699	1016074	\$37.99
2659	1015937	\$67,919.78	2700	1016076	\$64.60
2660	1015938	\$51,152.62	2701	1016077	\$38.00
2661	1015941	\$114.00	2702	1016087	\$1,871.32
2662	1015943	\$33,388.98	2703	1016088	\$28.08
2663	1015944	\$66,393,079.55	2704	1016089	\$37.99

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2705	1016091	\$723.66	2746	1016191	\$277.40
2706	1016092	\$84.44	2747	1016193	\$68.38
2707	1016101	\$1,045.00	2748	1016194	\$144.37
2708	1016102	\$185.50	2749	1016197	\$37.99
2709	1016105	\$3,214.17	2750	1016200	\$243.14
2710	1016106	\$34.19	2751	1016201	\$20.47
2711	1016108	\$1,809.16	2752	1016208	\$4,132.64
2712	1016112	\$19.00	2753	1016213	\$5,253.10
2713	1016120	\$190.18	2754	1016214	\$23,472.41
2714	1016121	\$1,037.76	2755	1016215	\$16,727.62
2715	1016122	\$738.14	2756	1016216	\$68.40
2716	1016124	\$888.38	2757	1016217	\$76.00
2717	1016125	\$318.41	2758	1016285	\$1,172,702.96
2718	1016127	\$22.80	2759	1016286	\$5,592.03
2719	1016128	\$72.20	2760	1016287	\$21,107.69
2720	1016129	\$216.15	2761	1016294	\$6,710.10
2721	1016130	\$347.28	2762	1016295	\$24.28
2722	1016132	\$121.60	2763	1016297	\$15.20
2723	1016133	\$153.52	2764	1016300	\$33,895.69
2724	1016137	\$60,050.92	2765	1016301	\$563.38
2725	1016138	\$41,967.20	2766	1016303	\$1,348.00
2726	1016139	\$21,229.60	2767	1016304	\$41.80
2727	1016140	\$138,947.54	2768	1016305	\$83.60
2728	1016143	\$87.38	2769	1016310	\$65.84
2729	1016145	\$4,477.66	2770	1016311	\$154.10
2730	1016148	\$53.19	2771	1016314	\$42.11
2731	1016149	\$119.25	2772	1016316	\$53.19
2732	1016152	\$22.80	2773	1016321	\$106.40
2733	1016157	\$158.55	2774	1016330	\$3,180.00
2734	1016171	\$278.25	2775	1016333	\$53,148.80
2735	1016172	\$202.27	2776	1016338	\$1,020,383.08
2736	1016174	\$38.00	2777	1016340	\$591,666.78
2737	1016175	\$145.75	2778	1016343	\$278.79
2738	1016176	\$6,705.12	2779	1016354	\$520.08
2739	1016177	\$151,750.53	2780	1016356	\$84,427.43
2740	1016178	\$399.00	2781	1016359	\$19.00
2741	1016179	\$19.00	2782	1016361	\$201.79
2742	1016180	\$49.40	2783	1016364	\$742.00
2743	1016182	\$3,233,788.32	2784	1016365	\$26,300.05
2744	1016189	\$265.00	2785	1016367	\$174,659.46
2745	1016190	\$238.50	2786	1016368	\$123,100.17



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2787	1016369	\$150,061.47	2828	1016472	\$202,995.69
2788	1016371	\$204,549.40	2829	1016473	\$38,277.00
2789	1016372	\$75,001.61	2830	1016474	\$41.80
2790	1016373	\$18,117.78	2831	1016477	\$12,150.83
2791	1016386	\$34.20	2832	1016483	\$98.78
2792	1016387	\$31.30	2833	1016488	\$2,195,076.07
2793	1016388	\$307.80	2834	1016489	\$24,436.16
2794	1016389	\$76.00	2835	1016490	\$11,754.52
2795	1016390	\$34.20	2836	1016495	\$844,997.77
2796	1016391	\$95.00	2837	1016498	\$87.40
2797	1016392	\$117.80	2838	1016501	\$396.66
2798	1016396	\$450.50	2839	1016508	\$574.47
2799	1016400	\$117,066.40	2840	1016509	\$83.60
2800	1016401	\$41.80	2841	1016511	\$1,034.09
2801	1016402	\$125.40	2842	1016512	\$212.00
2802	1016404	\$92.75	2843	1016513	\$212.00
2803	1016409	\$57.00	2844	1016518	\$10,199.52
2804	1016410	\$327,581.78	2845	1016519	\$15,656.05
2805	1016412	\$526,341.74	2846	1016520	\$20,275.36
2806	1016416	\$7,686.81	2847	1016524	\$557.27
2807	1016417	\$19,022.76	2848	1016527	\$17,274.48
2808	1016420	\$31,557.06	2849	1016528	\$59,978.83
2809	1016424	\$53.19	2850	1016541	\$7,181.00
2810	1016425	\$45.59	2851	1016554	\$691,071.21
2811	1016429	\$202.27	2852	1016555	\$6,563.77
2812	1016433	\$4,504.60	2853	1016560	\$326,366.33
2813	1016434	\$119.25	2854	1016563	\$453,573.86
2814	1016437	\$165,130.29	2855	1016564	\$94,184.30
2815	1016438	\$51.19	2856	1016565	\$71,069.15
2816	1016439	\$106.00	2857	1016567	\$202,574.53
2817	1016442	\$92.75	2858	1016574	\$108,152.38
2818	1016449	\$302.68	2859	1016575	\$3,402.40
2819	1016453	\$331.25	2860	1016576	\$50,352.11
2820	1016454	\$172.25	2861	1016578	\$60,412.23
2821	1016459	\$925,669.72	2862	1016579	\$127,292.29
2822	1016460	\$14,098.17	2863	1016581	\$168,421.00
2823	1016461	\$804,393.91	2864	1016584	\$9,739.37
2824	1016462	\$41.80	2865	1016585	\$2,818,421.89
2825	1016465	\$4,108.00	2866	1016586	\$131,007.34
2826	1016467	\$333.98	2867	1016587	\$130,021.71
2827	1016471	\$80,621.20	2868	1016588	\$88,677.01

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2869	1016589	\$204,487.68	2910	1016650	\$8,371.51
2870	1016590	\$24,849.00	2911	1016651	\$9,820.49
2871	1016593	\$53,874.44	2912	1016654	\$154,644.54
2872	1016594	\$66,668.05	2913	1016655	\$26,805.72
2873	1016596	\$190,355.02	2914	1016656	\$40,786.78
2874	1016597	\$1,286,537.17	2915	1016657	\$34,491.84
2875	1016598	\$42,955.30	2916	1016660	\$6,191.80
2876	1016600	\$127,441.37	2917	1016661	\$1,167,527.07
2877	1016601	\$195,212.70	2918	1016662	\$67,920.60
2878	1016602	\$76,253.87	2919	1016665	\$17,947.74
2879	1016607	\$329.73	2920	1016666	\$195,384.15
2880	1016608	\$47,963.89	2921	1016667	\$4,253.00
2881	1016609	\$7,697.93	2922	1016668	\$298.40
2882	1016610	\$23,831.29	2923	1016669	\$168,803.67
2883	1016612	\$313,079.91	2924	1016672	\$37,040.28
2884	1016614	\$39,715.64	2925	1016673	\$4,597.89
2885	1016616	\$2,100.36	2926	1016674	\$2,786.90
2886	1016617	\$13,607.93	2927	1016676	\$196.35
2887	1016619	\$77,343.29	2928	1016677	\$1,756.80
2888	1016620	\$99,765.96	2929	1016678	\$75,753.61
2889	1016621	\$737,962.35	2930	1016679	\$148,772.71
2890	1016622	\$8,506.00	2931	1016680	\$916.80
2891	1016625	\$102.76	2932	1016681	\$251.60
2892	1016626	\$25,053.83	2933	1016683	\$53,874.44
2893	1016627	\$35,063.09	2934	1016684	\$3,599,120.61
2894	1016629	\$137,779.78	2935	1016685	\$79,354.17
2895	1016630	\$207,075.00	2936	1016686	\$190,358.17
2896	1016631	\$102,298.01	2937	1016687	\$42,360.00
2897	1016632	\$154,287.72	2938	1016688	\$195,113.44
2898	1016634	\$806,959.04	2939	1016690	\$50,503.69
2899	1016635	\$3,308.11	2940	1016691	\$332,520.80
2900	1016637	\$423,510.80	2941	1016692	\$4,561.00
2901	1016638	\$61,949.71	2942	1016693	\$2,817.00
2902	1016639	\$8,283.00	2943	1016694	\$8,261.00
2903	1016640	\$68,583.72	2944	1016695	\$538.00
2904	1016642	\$24,717.47	2945	1016697	\$25,475.93
2905	1016643	\$632,821.20	2946	1016715	\$62,503.52
2906	1016644	\$17,309.71	2947	1016716	\$31,555.30
2907	1016645	\$15,956.22	2948	1016827	\$5,230.31
2908	1016648	\$444,631.44	2949	1016841	\$53.00
2909	1016649	\$32,235.77	2950	1016842	\$132.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
2951	1016843	\$26.50	2992	1016887	\$26.50
2952	1016844	\$66.25	2993	1016888	\$53.00
2953	1016845	\$172.25	2994	1016889	\$397.47
2954	1016846	\$106.00	2995	1016890	\$92.75
2955	1016847	\$291.50	2996	1016891	\$79.50
2956	1016848	\$39.75	2997	1016892	\$145.75
2957	1016849	\$134.66	2998	1016893	\$106.00
2958	1016851	\$781.75	2999	1016894	\$53.00
2959	1016852	\$66.25	3000	1016895	\$39.75
2960	1016853	\$159.00	3001	1016896	\$145.75
2961	1016854	\$13.25	3002	1016897	\$251.75
2962	1016855	\$39.75	3003	1016898	\$119.25
2963	1016856	\$39.75	3004	1016899	\$432.18
2964	1016857	\$53.00	3005	1016900	\$21,265.00
2965	1016858	\$139.41	3006	1016901	\$1,818.70
2966	1016859	\$172.25	3007	1016903	\$145.75
2967	1016860	\$212.00	3008	1016904	\$159.00
2968	1016861	\$66.25	3009	1016905	\$39.75
2969	1016862	\$92.75	3010	1016906	\$198.75
2970	1016863	\$119.25	3011	1016908	\$2,636.75
2971	1016865	\$344.50	3012	1016909	\$106.00
2972	1016866	\$39.75	3013	1016910	\$145.75
2973	1016867	\$13.25	3014	1016911	\$26.50
2974	1016868	\$53.00	3015	1016912	\$251.75
2975	1016869	\$66.25	3016	1016914	\$26.50
2976	1016870	\$185.50	3017	1016915	\$172.25
2977	1016871	\$26.50	3018	1016916	\$26.50
2978	1016872	\$39.75	3019	1016917	\$167.30
2979	1016873	\$66.25	3020	1016918	\$238.50
2980	1016874	\$66.25	3021	1016919	\$39.75
2981	1016875	\$119.25	3022	1016920	\$159.00
2982	1016876	\$821.50	3023	1016921	\$53.00
2983	1016877	\$993.75	3024	1016922	\$291.50
2984	1016878	\$26.50	3025	1016923	\$463.75
2985	1016879	\$92.75	3026	1016924	\$13.25
2986	1016880	\$53.00	3027	1016925	\$172.25
2987	1016881	\$39.75	3028	1016926	\$53.00
2988	1016882	\$66.25	3029	1016927	\$26.50
2989	1016883	\$225.25	3030	1016928	\$2,835.50
2990	1016885	\$119.25	3031	1016929	\$463.75
2991	1016886	\$26.50	3032	1016930	\$39.75

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3033	1016931	\$26.50	3074	1016972	\$53.00
3034	1016932	\$26.50	3075	1016973	\$119.25
3035	1016933	\$39.75	3076	1016974	\$26.50
3036	1016934	\$132.50	3077	1016975	\$251.75
3037	1016935	\$79.50	3078	1016976	\$251.75
3038	1016936	\$53.00	3079	1016977	\$223.06
3039	1016937	\$79.50	3080	1016978	\$79.50
3040	1016938	\$53.00	3081	1016979	\$39.75
3041	1016939	\$318.00	3082	1016980	\$145.75
3042	1016940	\$265.00	3083	1016981	\$159.00
3043	1016941	\$106.00	3084	1016982	\$251.75
3044	1016942	\$39.75	3085	1016983	\$145.75
3045	1016943	\$92.75	3086	1016984	\$159.00
3046	1016944	\$39.75	3087	1016985	\$53.00
3047	1016945	\$742.00	3088	1016987	\$3,806.00
3048	1016946	\$344.50	3089	1016988	\$1,881.50
3049	1016947	\$212.00	3090	1016989	\$172.25
3050	1016948	\$39.75	3091	1016990	\$185.50
3051	1016949	\$689.00	3092	1016991	\$371.00
3052	1016950	\$291.50	3093	1016992	\$53.00
3053	1016951	\$26.50	3094	1016993	\$92.75
3054	1016952	\$39.75	3095	1016994	\$53.00
3055	1016953	\$66.25	3096	1016995	\$26.50
3056	1016954	\$39.75	3097	1016996	\$26.50
3057	1016955	\$66.25	3098	1016997	\$5,596.50
3058	1016956	\$3,710.00	3099	1016998	\$26.50
3059	1016957	\$26.50	3100	1016999	\$172.25
3060	1016958	\$79.50	3101	1017000	\$132.50
3061	1016959	\$53.00	3102	1017001	\$198.75
3062	1016960	\$159.00	3103	1017002	\$145.75
3063	1016961	\$119.25	3104	1017003	\$92.75
3064	1016962	\$53.00	3105	1017004	\$371.00
3065	1016963	\$92.75	3106	1017005	\$53.00
3066	1016964	\$106.00	3107	1017006	\$106.00
3067	1016965	\$2,044.95	3108	1017007	\$53.00
3068	1016966	\$53.00	3109	1017008	\$79.50
3069	1016967	\$14,080.22	3110	1017009	\$172.25
3070	1016968	\$79.50	3111	1017010	\$26.50
3071	1016969	\$225.25	3112	1017011	\$265.00
3072	1016970	\$159.00	3113	1017012	\$450.50
3073	1016971	\$66.25	3114	1017014	\$53.00

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3115	1017015	\$450.50	3156	1017058	\$225.25
3116	1017016	\$145.75	3157	1017059	\$92.75
3117	1017017	\$92.75	3158	1017060	\$291.50
3118	1017018	\$198.75	3159	1017061	\$636.00
3119	1017019	\$132.50	3160	1017062	\$26.50
3120	1017020	\$26.50	3161	1017064	\$1,603.25
3121	1017021	\$79.50	3162	1017065	\$26.50
3122	1017022	\$53.00	3163	1017066	\$79.50
3123	1017023	\$238.50	3164	1017067	\$39.75
3124	1017024	\$66.25	3165	1017068	\$26.50
3125	1017025	\$119.25	3166	1017069	\$225.25
3126	1017026	\$132.50	3167	1017070	\$106.00
3127	1017027	\$26.50	3168	1017071	\$92.75
3128	1017028	\$39.75	3169	1017072	\$1,139.50
3129	1017029	\$26.50	3170	1017073	\$198.75
3130	1017030	\$26.50	3171	1017074	\$26.50
3131	1017031	\$39.75	3172	1017075	\$39.75
3132	1017032	\$212.00	3173	1017076	\$185.50
3133	1017033	\$119.25	3174	1017077	\$159.00
3134	1017034	\$26.50	3175	1017078	\$26.50
3135	1017035	\$39.75	3176	1017079	\$79.50
3136	1017038	\$79.50	3177	1017080	\$13.25
3137	1017039	\$39.75	3178	1017081	\$26.50
3138	1017040	\$26.50	3179	1017082	\$92.75
3139	1017041	\$106.00	3180	1017083	\$13.25
3140	1017042	\$251.75	3181	1017084	\$53.00
3141	1017043	\$53.00	3182	1017085	\$106.00
3142	1017044	\$331.25	3183	1017086	\$225.25
3143	1017045	\$53.00	3184	1017087	\$79.50
3144	1017046	\$1,325.00	3185	1017088	\$66.25
3145	1017047	\$715.50	3186	1017089	\$450.50
3146	1017048	\$39.75	3187	1017090	\$39.75
3147	1017049	\$26.50	3188	1017091	\$26.50
3148	1017050	\$119.25	3189	1017092	\$66.25
3149	1017051	\$318.00	3190	1017093	\$79.50
3150	1017052	\$26.50	3191	1017094	\$304.75
3151	1017053	\$66.25	3192	1017095	\$410.75
3152	1017054	\$79.50	3193	1017096	\$39.75
3153	1017055	\$159.00	3194	1017097	\$53.00
3154	1017056	\$39.75	3195	1017098	\$26.50
3155	1017057	\$132.50	3196	1017099	\$212.00

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3197	1017100	\$26.50	3238	1017144	\$119.25
3198	1017101	\$39.75	3239	1017145	\$145.75
3199	1017102	\$159.00	3240	1017146	\$53.00
3200	1017103	\$66.25	3241	1017147	\$79.50
3201	1017104	\$278.25	3242	1017148	\$106.00
3202	1017105	\$159.00	3243	1017149	\$92.75
3203	1017106	\$79.50	3244	1017150	\$808.60
3204	1017107	\$53.00	3245	1017151	\$66.25
3205	1017108	\$212.00	3246	1017152	\$39.75
3206	1017109	\$79.50	3247	1017153	\$26.50
3207	1017111	\$79.50	3248	1017154	\$159.00
3208	1017112	\$167.30	3249	1017155	\$172.25
3209	1017114	\$167.30	3250	1017156	\$212.00
3210	1017115	\$172.24	3251	1017157	\$265.00
3211	1017116	\$119.25	3252	1017158	\$26.50
3212	1017117	\$145.75	3253	1017159	\$159.00
3213	1017118	\$26.50	3254	1017160	\$39.75
3214	1017119	\$132.50	3255	1017161	\$119.25
3215	1017120	\$79.50	3256	1017162	\$39.75
3216	1017121	\$132.50	3257	1017163	\$181.24
3217	1017122	\$26.50	3258	1017164	\$39.75
3218	1017123	\$225.25	3259	1017165	\$53.00
3219	1017124	\$424.00	3260	1017166	\$79.50
3220	1017125	\$132.50	3261	1017167	\$26.50
3221	1017126	\$212.00	3262	1017168	\$53.00
3222	1017127	\$79.50	3263	1017169	\$92.75
3223	1017128	\$26.50	3264	1017170	\$119.25
3224	1017129	\$424.00	3265	1017171	\$649.25
3225	1017130	\$66.25	3266	1017172	\$675.75
3226	1017131	\$26.50	3267	1017174	\$39.75
3227	1017132	\$106.00	3268	1017175	\$92.75
3228	1017133	\$66.25	3269	1017176	\$66.25
3229	1017134	\$39.75	3270	1017177	\$26.50
3230	1017135	\$145.75	3271	1017178	\$92.75
3231	1017137	\$26.50	3272	1017179	\$92.75
3232	1017138	\$223.06	3273	1017180	\$159.00
3233	1017139	\$195.18	3274	1017181	\$53.00
3234	1017140	\$172.25	3275	1017182	\$556.50
3235	1017141	\$331.25	3276	1017183	\$159.00
3236	1017142	\$223.06	3277	1017184	\$139.41
3237	1017143	\$291.50	3278	1017185	\$79.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3279	1017186	\$53.00	3320	1017229	\$503.50
3280	1017187	\$119.25	3321	1017230	\$66.25
3281	1017188	\$53.00	3322	1017231	\$265.00
3282	1017189	\$66.25	3323	1017232	\$26.50
3283	1017190	\$66.25	3324	1017233	\$79.50
3284	1017191	\$53.00	3325	1017234	\$39.75
3285	1017192	\$26.50	3326	1017235	\$675.75
3286	1017193	\$4,207.20	3327	1017236	\$92.75
3287	1017194	\$132.50	3328	1017237	\$66.25
3288	1017195	\$79.50	3329	1017238	\$320.65
3289	1017196	\$39.75	3330	1017239	\$39.75
3290	1017197	\$53.00	3331	1017240	\$26.50
3291	1017198	\$39.75	3332	1017241	\$92.75
3292	1017199	\$39.75	3333	1017242	\$185.50
3293	1017200	\$675.75	3334	1017243	\$26.50
3294	1017201	\$26.50	3335	1017244	\$79.50
3295	1017202	\$106.00	3336	1017245	\$26.50
3296	1017203	\$145.75	3337	1017246	\$79.50
3297	1017204	\$145.75	3338	1017247	\$26.50
3298	1017205	\$119.25	3339	1017248	\$39.75
3299	1017207	\$26.50	3340	1017249	\$172.25
3300	1017208	\$53.00	3341	1017250	\$119.25
3301	1017209	\$132.50	3342	1017251	\$848.00
3302	1017210	\$238.50	3343	1017252	\$265.00
3303	1017211	\$119.25	3344	1017253	\$119.25
3304	1017212	\$66.25	3345	1017254	\$39.75
3305	1017213	\$39.75	3346	1017255	\$79.50
3306	1017214	\$53.00	3347	1017256	\$145.75
3307	1017215	\$424.00	3348	1017257	\$225.25
3308	1017216	\$238.50	3349	1017258	\$198.75
3309	1017217	\$256.82	3350	1017259	\$26.50
3310	1017218	\$39.75	3351	1017260	\$53.00
3311	1017219	\$139.41	3352	1017261	\$2,035.28
3312	1017220	\$39.75	3353	1017262	\$265.00
3313	1017221	\$26.50	3354	1017263	\$26.50
3314	1017222	\$79.50	3355	1017264	\$5,370.48
3315	1017223	\$320.65	3356	1017265	\$39.75
3316	1017224	\$198.75	3357	1017266	\$26.50
3317	1017225	\$39.75	3358	1017267	\$66.25
3318	1017226	\$53.00	3359	1017268	\$66.25
3319	1017228	\$66.25	3360	1017269	\$185.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3361	1017270	\$251.75	3402	1017313	\$53.00
3362	1017271	\$39.75	3403	1017314	\$26.50
3363	1017272	\$132.50	3404	1017315	\$92.75
3364	1017273	\$145.75	3405	1017316	\$39.75
3365	1017274	\$26.50	3406	1017317	\$39.75
3366	1017275	\$119.25	3407	1017318	\$53.00
3367	1017276	\$92.75	3408	1017319	\$53.00
3368	1017278	\$132.50	3409	1017320	\$53.00
3369	1017279	\$53.00	3410	1017321	\$119.25
3370	1017280	\$26.50	3411	1017322	\$198.75
3371	1017281	\$92.75	3412	1017323	\$225.25
3372	1017282	\$26.50	3413	1017324	\$119.25
3373	1017283	\$26.50	3414	1017325	\$172.25
3374	1017284	\$53.00	3415	1017326	\$119.25
3375	1017285	\$39.75	3416	1017327	\$26.50
3376	1017286	\$39.75	3417	1017328	\$92.75
3377	1017287	\$738.89	3418	1017329	\$92.75
3378	1017288	\$185.50	3419	1017330	\$79.50
3379	1017289	\$609.50	3420	1017331	\$39.75
3380	1017290	\$92.75	3421	1017332	\$53.00
3381	1017292	\$140.49	3422	1017333	\$384.25
3382	1017293	\$39.75	3423	1017334	\$516.75
3383	1017294	\$39.75	3424	1017335	\$39.75
3384	1017295	\$145.75	3425	1017337	\$265.00
3385	1017296	\$145.75	3426	1017338	\$92.75
3386	1017297	\$106.00	3427	1017340	\$132.50
3387	1017298	\$106.00	3428	1017341	\$304.75
3388	1017299	\$39.75	3429	1017342	\$26.50
3389	1017300	\$501.89	3430	1017343	\$26.50
3390	1017301	\$291.50	3431	1017344	\$66.25
3391	1017302	\$79.50	3432	1017345	\$79.50
3392	1017303	\$609.50	3433	1017346	\$119.25
3393	1017304	\$39.75	3434	1017347	\$225.25
3394	1017305	\$235.06	3435	1017348	\$132.50
3395	1017306	\$66.25	3436	1017349	\$13.25
3396	1017307	\$132.50	3437	1017350	\$26.50
3397	1017308	\$344.50	3438	1017351	\$66.25
3398	1017309	\$79.50	3439	1017352	\$79.50
3399	1017310	\$4,054.50	3440	1017353	\$1,380.20
3400	1017311	\$185.50	3441	1017354	\$119.25
3401	1017312	\$53.00	3442	1017356	\$153.36



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3443	1017357	\$79.50	3484	1017400	\$53.00
3444	1017358	\$53.00	3485	1017401	\$265.00
3445	1017359	\$172.25	3486	1017403	\$26.50
3446	1017360	\$106.00	3487	1017404	\$265.00
3447	1017361	\$172.25	3488	1017405	\$26.50
3448	1017362	\$225.25	3489	1017406	\$39.75
3449	1017363	\$26.50	3490	1017407	\$198.75
3450	1017364	\$79.50	3491	1017408	\$53.00
3451	1017365	\$238.50	3492	1017409	\$39.75
3452	1017366	\$569.75	3493	1017410	\$92.75
3453	1017367	\$662.50	3494	1017411	\$26.50
3454	1017368	\$649.25	3495	1017412	\$13.25
3455	1017369	\$876.52	3496	1017413	\$132.50
3456	1017370	\$26.50	3497	1017414	\$39.75
3457	1017371	\$26.50	3498	1017415	\$26.50
3458	1017372	\$556.50	3499	1017416	\$26.50
3459	1017373	\$92.75	3500	1017417	\$132.50
3460	1017374	\$331.25	3501	1017418	\$167.30
3461	1017375	\$39.75	3502	1017419	\$569.75
3462	1017376	\$159.00	3503	1017420	\$26.50
3463	1017377	\$26.50	3504	1017421	\$209.12
3464	1017378	\$79.50	3505	1017422	\$66.25
3465	1017380	\$26.50	3506	1017423	\$132.50
3466	1017381	\$139.41	3507	1017424	\$132.50
3467	1017382	\$1,749.00	3508	1017425	\$251.75
3468	1017383	\$39.75	3509	1017426	\$66.25
3469	1017384	\$26.50	3510	1017427	\$39.75
3470	1017385	\$53.00	3511	1017428	\$26.50
3471	1017386	\$53.00	3512	1017429	\$390.36
3472	1017387	\$79.50	3513	1017430	\$119.25
3473	1017389	\$39.75	3514	1017431	\$53.00
3474	1017390	\$397.50	3515	1017432	\$53.00
3475	1017391	\$132.50	3516	1017433	\$132.50
3476	1017392	\$39.75	3517	1017434	\$106.00
3477	1017393	\$569.75	3518	1017435	\$92.75
3478	1017394	\$238.50	3519	1017436	\$1,709.25
3479	1017395	\$39.75	3520	1017437	\$132.50
3480	1017396	\$291.50	3521	1017438	\$238.50
3481	1017397	\$39.75	3522	1017439	\$39.75
3482	1017398	\$39.75	3523	1017440	\$2,597.00
3483	1017399	\$39.75	3524	1017441	\$66.25

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3525	1017442	\$145.75	3566	1017486	\$26.50
3526	1017443	\$106.00	3567	1017487	\$278.25
3527	1017444	\$92.75	3568	1017488	\$3,808.00
3528	1017445	\$132.50	3569	1017489	\$292.77
3529	1017446	\$26.50	3570	1017490	\$307.49
3530	1017447	\$53.00	3571	1017491	\$711.01
3531	1017448	\$79.50	3572	1017492	\$585.54
3532	1017449	\$331.25	3573	1017493	\$153.36
3533	1017450	\$278.25	3574	1017494	\$39.75
3534	1017451	\$39.75	3575	1017495	\$1,404.38
3535	1017453	\$66.25	3576	1017496	\$158.99
3536	1017455	\$13.25	3577	1017497	\$211.98
3537	1017456	\$53.00	3578	1017498	\$182.02
3538	1017457	\$26.50	3579	1017499	\$153.36
3539	1017458	\$26.50	3580	1017500	\$66.25
3540	1017459	\$145.75	3581	1017501	\$53.00
3541	1017460	\$92.75	3582	1017502	\$39.75
3542	1017461	\$318.00	3583	1017503	\$1,492.00
3543	1017462	\$53.00	3584	1017504	\$139.41
3544	1017463	\$79.50	3585	1017505	\$92.75
3545	1017464	\$79.50	3586	1017506	\$251.75
3546	1017465	\$79.50	3587	1017507	\$92.75
3547	1017466	\$371.00	3588	1017508	\$1,179.15
3548	1017467	\$26.50	3589	1017509	\$119.25
3549	1017468	\$53.00	3590	1017510	\$212.00
3550	1017470	\$92.75	3591	1017511	\$662.50
3551	1017471	\$39.75	3592	1017512	\$13.25
3552	1017472	\$106.00	3593	1017513	\$53.00
3553	1017473	\$198.75	3594	1017514	\$26.50
3554	1017474	\$79.50	3595	1017515	\$463.75
3555	1017475	\$92.75	3596	1017516	\$53.00
3556	1017476	\$79.50	3597	1017517	\$53.00
3557	1017477	\$39.75	3598	1017518	\$145.75
3558	1017478	\$185.50	3599	1017519	\$79.50
3559	1017479	\$437.25	3600	1017520	\$119.25
3560	1017480	\$53.00	3601	1017521	\$39.75
3561	1017481	\$39.75	3602	1017522	\$145.75
3562	1017482	\$119.25	3603	1017524	\$13.25
3563	1017483	\$119.25	3604	1017525	\$39.75
3564	1017484	\$92.75	3605	1017526	\$53.00
3565	1017485	\$119.25	3606	1017527	\$79.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3607	1017528	\$39.75	3648	1017569	\$66.25
3608	1017529	\$344.50	3649	1017570	\$66.25
3609	1017530	\$291.50	3650	1017571	\$26.50
3610	1017531	\$53.00	3651	1017572	\$79.50
3611	1017532	\$26.50	3652	1017573	\$39.75
3612	1017533	\$26.50	3653	1017574	\$53.00
3613	1017534	\$39.75	3654	1017575	\$26.50
3614	1017535	\$198.75	3655	1017576	\$278.25
3615	1017536	\$26.50	3656	1017577	\$357.75
3616	1017537	\$26.50	3657	1017578	\$26.50
3617	1017538	\$26.50	3658	1017579	\$212.00
3618	1017539	\$119.25	3659	1017580	\$371.00
3619	1017540	\$331.25	3660	1017581	\$159.00
3620	1017541	\$53.00	3661	1017582	\$26.50
3621	1017542	\$26.50	3662	1017584	\$278.25
3622	1017543	\$39.75	3663	1017585	\$4,103.98
3623	1017544	\$331.25	3664	1017586	\$298.25
3624	1017545	\$139.41	3665	1017587	\$132.50
3625	1017546	\$250.95	3666	1017588	\$53.00
3626	1017547	\$278.83	3667	1017589	\$53.00
3627	1017548	\$13.25	3668	1017590	\$304.75
3628	1017549	\$66.25	3669	1017591	\$596.25
3629	1017550	\$39.75	3670	1017592	\$26.50
3630	1017551	\$39.75	3671	1017593	\$145.75
3631	1017552	\$92.75	3672	1017594	\$26.50
3632	1017553	\$437.25	3673	1017595	\$106.00
3633	1017554	\$1,310.49	3674	1017596	\$26.50
3634	1017555	\$39.75	3675	1017598	\$198.75
3635	1017556	\$119.25	3676	1017599	\$66.25
3636	1017557	\$39.75	3677	1017600	\$198.75
3637	1017558	\$13.25	3678	1017601	\$79.50
3638	1017559	\$384.25	3679	1017602	\$159.00
3639	1017560	\$53.00	3680	1017603	\$265.00
3640	1017561	\$53.00	3681	1017604	\$198.75
3641	1017562	\$278.25	3682	1017606	\$39.75
3642	1017563	\$198.75	3683	1017607	\$92.75
3643	1017564	\$185.50	3684	1017608	\$119.25
3644	1017565	\$39.75	3685	1017609	\$26.50
3645	1017566	\$26.50	3686	1017610	\$39.75
3646	1017567	\$39.75	3687	1017611	\$212.00
3647	1017568	\$463.75	3688	1017612	\$39.75

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3689	1017613	\$212.00	3730	1017656	\$39.75
3690	1017614	\$79.50	3731	1017657	\$39.75
3691	1017615	\$92.75	3732	1017658	\$26.50
3692	1017616	\$39.75	3733	1017659	\$185.50
3693	1017617	\$66.25	3734	1017660	\$132.50
3694	1017618	\$66.25	3735	1017661	\$4,706.44
3695	1017619	\$26.50	3736	1017662	\$26.50
3696	1017620	\$79.50	3737	1017663	\$362.48
3697	1017621	\$39.75	3738	1017664	\$39.75
3698	1017622	\$79.50	3739	1017665	\$397.50
3699	1017623	\$92.75	3740	1017666	\$278.25
3700	1017624	\$132.50	3741	1017668	\$768.50
3701	1017625	\$26.50	3742	1017670	\$145.75
3702	1017626	\$371.00	3743	1017671	\$477.00
3703	1017627	\$145.75	3744	1017672	\$39.75
3704	1017628	\$53.00	3745	1017673	\$79.50
3705	1017629	\$225.25	3746	1017674	\$119.25
3706	1017631	\$172.25	3747	1017675	\$410.75
3707	1017632	\$742.00	3748	1017676	\$145.75
3708	1017633	\$145.75	3749	1017677	\$26.50
3709	1017634	\$384.25	3750	1017678	\$185.50
3710	1017635	\$66.25	3751	1017679	\$26.50
3711	1017636	\$185.50	3752	1017680	\$66.25
3712	1017637	\$39.75	3753	1017681	\$79.50
3713	1017638	\$490.25	3754	1017682	\$291.50
3714	1017639	\$571.60	3755	1017683	\$39.75
3715	1017640	\$371.00	3756	1017684	\$304.75
3716	1017641	\$92.75	3757	1017685	\$119.25
3717	1017642	\$66.25	3758	1017686	\$119.25
3718	1017643	\$53.00	3759	1017687	\$39.75
3719	1017644	\$26.50	3760	1017688	\$768.50
3720	1017645	\$26.50	3761	1017689	\$53.00
3721	1017646	\$198.75	3762	1017690	\$26.50
3722	1017647	\$92.75	3763	1017691	\$79.50
3723	1017648	\$251.75	3764	1017692	\$92.75
3724	1017649	\$39.75	3765	1017693	\$145.75
3725	1017651	\$291.50	3766	1017694	\$278.25
3726	1017652	\$26.50	3767	1017695	\$39.75
3727	1017653	\$39.75	3768	1017696	\$172.25
3728	1017654	\$92.75	3769	1017697	\$209.12
3729	1017655	\$39.75	3770	1017698	\$53.00

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3771	1017699	\$39.75	3812	1017743	\$92.75
3772	1017700	\$39.75	3813	1017744	\$251.75
3773	1017702	\$39.75	3814	1017745	\$304.75
3774	1017703	\$53.00	3815	1017746	\$132.50
3775	1017704	\$39.75	3816	1017747	\$159.00
3776	1017705	\$92.75	3817	1017748	\$198.75
3777	1017706	\$39.75	3818	1017749	\$291.50
3778	1017707	\$79.50	3819	1017750	\$278.25
3779	1017708	\$53.00	3820	1017751	\$66.25
3780	1017709	\$119.25	3821	1017752	\$39.75
3781	1017710	\$39.75	3822	1017753	\$304.75
3782	1017711	\$172.25	3823	1017754	\$53.00
3783	1017712	\$26.50	3824	1017755	\$198.75
3784	1017713	\$92.75	3825	1017756	\$92.75
3785	1017714	\$92.75	3826	1017757	\$79.50
3786	1017716	\$39.75	3827	1017758	\$291.50
3787	1017717	\$39.75	3828	1017759	\$26.50
3788	1017718	\$13.25	3829	1017760	\$26.50
3789	1017719	\$728.75	3830	1017761	\$185.50
3790	1017720	\$306.71	3831	1017762	\$39.75
3791	1017721	\$357.75	3832	1017763	\$66.25
3792	1017722	\$26.50	3833	1017765	\$1,179.15
3793	1017723	\$185.50	3834	1017766	\$185.50
3794	1017724	\$26.50	3835	1017767	\$79.50
3795	1017726	\$132.50	3836	1017768	\$106.00
3796	1017727	\$662.50	3837	1017769	\$487.95
3797	1017728	\$530.00	3838	1017770	\$26.50
3798	1017729	\$291.50	3839	1017771	\$66.25
3799	1017730	\$106.00	3840	1017772	\$238.50
3800	1017731	\$119.25	3841	1017773	\$320.65
3801	1017732	\$26.50	3842	1017774	\$39.75
3802	1017733	\$119.25	3843	1017775	\$79.50
3803	1017734	\$66.25	3844	1017777	\$39.75
3804	1017735	\$26.50	3845	1017778	\$371.00
3805	1017736	\$212.00	3846	1017779	\$39.75
3806	1017737	\$39.75	3847	1017780	\$318.00
3807	1017738	\$39.75	3848	1017781	\$66.25
3808	1017739	\$212.00	3849	1017782	\$39.75
3809	1017740	\$159.00	3850	1017783	\$13.25
3810	1017741	\$66.25	3851	1017784	\$26.50
3811	1017742	\$125.47	3852	1017785	\$410.75

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3853	1017786	\$225.25	3894	1017830	\$39.75
3854	1017787	\$2,491.00	3895	1017831	\$106.00
3855	1017788	\$39.75	3896	1017832	\$212.00
3856	1017789	\$39.75	3897	1017833	\$66.25
3857	1017790	\$39.75	3898	1017834	\$39.75
3858	1017791	\$26.50	3899	1017835	\$39.75
3859	1017792	\$39.75	3900	1017836	\$291.50
3860	1017794	\$159.00	3901	1017837	\$344.50
3861	1017795	\$79.50	3902	1017838	\$13,294.49
3862	1017796	\$26.50	3903	1017839	\$53.00
3863	1017797	\$39.75	3904	1017840	\$26.50
3864	1017798	\$92.75	3905	1017841	\$7,446.50
3865	1017799	\$106.00	3906	1017842	\$26.50
3866	1017800	\$66.25	3907	1017843	\$1,086.50
3867	1017801	\$145.75	3908	1017844	\$185.50
3868	1017802	\$132.50	3909	1017845	\$39.75
3869	1017803	\$198.75	3910	1017846	\$119.25
3870	1017804	\$106.00	3911	1017847	\$622.75
3871	1017806	\$26.50	3912	1017848	\$291.50
3872	1017807	\$66.25	3913	1017849	\$26.50
3873	1017808	\$278.25	3914	1017850	\$159.00
3874	1017809	\$159.00	3915	1017851	\$278.25
3875	1017811	\$13.25	3916	1017852	\$66.25
3876	1017812	\$26.50	3917	1017853	\$132.50
3877	1017813	\$39.75	3918	1017854	\$53.00
3878	1017814	\$92.75	3919	1017856	\$106.00
3879	1017815	\$965.84	3920	1017857	\$26.50
3880	1017816	\$53.00	3921	1017858	\$172.25
3881	1017817	\$543.25	3922	1017859	\$79.50
3882	1017818	\$53.00	3923	1017860	\$26.50
3883	1017819	\$596.25	3924	1017861	\$159.00
3884	1017820	\$106.00	3925	1017862	\$39.75
3885	1017821	\$79.50	3926	1017863	\$237.00
3886	1017822	\$357.75	3927	1017864	\$53.00
3887	1017823	\$2,727.98	3928	1017865	\$788.55
3888	1017824	\$53.00	3929	1017866	\$66.25
3889	1017825	\$132.50	3930	1017868	\$119.25
3890	1017826	\$662.50	3931	1017869	\$79.50
3891	1017827	\$251.75	3932	1017870	\$53.00
3892	1017828	\$66.25	3933	1017871	\$39.75
3893	1017829	\$39.75	3934	1017872	\$92.75

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
3935	1017873	\$159.00	3976	1017915	\$26.50
3936	1017874	\$79.50	3977	1017916	\$198.75
3937	1017875	\$119.25	3978	1017917	\$212.00
3938	1017876	\$13.25	3979	1017918	\$53.00
3939	1017877	\$450.50	3980	1017919	\$39.75
3940	1017878	\$159.00	3981	1017920	\$92.75
3941	1017879	\$53.00	3982	1017921	\$26.50
3942	1017880	\$92.75	3983	1017922	\$92.75
3943	1017881	\$212.00	3984	1017923	\$159.00
3944	1017882	\$39.75	3985	1017924	\$543.25
3945	1017883	\$26.50	3986	1017925	\$53.00
3946	1017884	\$106.00	3987	1017926	\$145.75
3947	1017885	\$119.25	3988	1017927	\$145.75
3948	1017886	\$172.25	3989	1017928	\$26.50
3949	1017887	\$185.50	3990	1017929	\$26.50
3950	1017888	\$181.24	3991	1017930	\$278.25
3951	1017889	\$132.50	3992	1017931	\$477.00
3952	1017890	\$159.00	3993	1017932	\$26.50
3953	1017891	\$66.25	3994	1017933	\$13.25
3954	1017892	\$26.50	3995	1017934	\$39.75
3955	1017893	\$331.25	3996	1017935	\$26.50
3956	1017894	\$153.36	3997	1017936	\$132.50
3957	1017895	\$132.50	3998	1017937	\$26.50
3958	1017896	\$66.25	3999	1017938	\$53.00
3959	1017897	\$66.25	4000	1017939	\$26.50
3960	1017898	\$26.50	4001	1017940	\$26.50
3961	1017899	\$1,709.25	4002	1017941	\$39.75
3962	1017900	\$689.00	4003	1017942	\$92.75
3963	1017901	\$132.50	4004	1017943	\$132.50
3964	1017902	\$39.75	4005	1017944	\$357.75
3965	1017903	\$79.50	4006	1017945	\$132.50
3966	1017905	\$238.50	4007	1017946	\$304.75
3967	1017906	\$106.00	4008	1017947	\$53.00
3968	1017907	\$26.50	4009	1017948	\$490.25
3969	1017908	\$66.25	4010	1017949	\$7,639.89
3970	1017909	\$66.25	4011	1017950	\$225.25
3971	1017910	\$172.25	4012	1017951	\$92.75
3972	1017911	\$1,099.75	4013	1017952	\$26.50
3973	1017912	\$185.50	4014	1017953	\$357.75
3974	1017913	\$53.00	4015	1017954	\$140.01
3975	1017914	\$106.00	4016	1017955	\$153.36

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
4017	1017956	\$344.50	4058	1017998	\$238.50
4018	1017957	\$119.25	4059	1017999	\$79.50
4019	1017958	\$145.75	4060	1018000	\$238.50
4020	1017959	\$198.75	4061	1018001	\$119.25
4021	1017960	\$13.25	4062	1018002	\$318.00
4022	1017961	\$39.75	4063	1018003	\$503.50
4023	1017962	\$225.25	4064	1018005	\$66.25
4024	1017963	\$26.50	4065	1018006	\$145.75
4025	1017964	\$26.50	4066	1018007	\$119.25
4026	1017965	\$26.50	4067	1018008	\$66.25
4027	1017966	\$198.75	4068	1018009	\$39.75
4028	1017967	\$159.00	4069	1018010	\$26.50
4029	1017968	\$145.75	4070	1018011	\$39.75
4030	1017970	\$26.50	4071	1018012	\$26.50
4031	1017971	\$92.75	4072	1018013	\$132.50
4032	1017972	\$172.25	4073	1018014	\$39.75
4033	1017973	\$119.25	4074	1018015	\$66.25
4034	1017974	\$119.25	4075	1018016	\$927.50
4035	1017975	\$39.75	4076	1018018	\$119.25
4036	1017976	\$39.75	4077	1018019	\$887.75
4037	1017977	\$53.00	4078	1018020	\$66.25
4038	1017978	\$212.00	4079	1018021	\$172.25
4039	1017979	\$139.41	4080	1018022	\$172.25
4040	1017980	\$424.00	4081	1018023	\$53.00
4041	1017981	\$159.00	4082	1018024	\$39.75
4042	1017982	\$13.25	4083	1018025	\$636.00
4043	1017983	\$26.50	4084	1018026	\$53.00
4044	1017984	\$26.50	4085	1018027	\$26.50
4045	1017985	\$66.25	4086	1018028	\$159.00
4046	1017986	\$92.75	4087	1018029	\$132.50
4047	1017987	\$92.75	4088	1018030	\$145.75
4048	1017988	\$145.75	4089	1018031	\$185.50
4049	1017989	\$39.75	4090	1018032	\$26.50
4050	1017990	\$212.00	4091	1018033	\$39.75
4051	1017991	\$371.00	4092	1018034	\$53.00
4052	1017992	\$145.75	4093	1018035	\$53.00
4053	1017993	\$212.00	4094	1018036	\$2,954.75
4054	1017994	\$39.75	4095	1018037	\$424.00
4055	1017995	\$306.71	4096	1018038	\$26.50
4056	1017996	\$26.50	4097	1018040	\$26.50
4057	1017997	\$53.00	4098	1018041	\$278.25



**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
4099	1018042	\$238.50	4140	1018083	\$79.50
4100	1018043	\$39.75	4141	1018084	\$410.75
4101	1018044	\$198.75	4142	1018085	\$53.00
4102	1018045	\$53.00	4143	1018086	\$26.50
4103	1018046	\$26.50	4144	1018087	\$53.00
4104	1018047	\$26.50	4145	1018088	\$79.50
4105	1018048	\$79.50	4146	1018089	\$39.75
4106	1018049	\$92.75	4147	1018091	\$39.75
4107	1018050	\$198.75	4148	1018092	\$292.77
4108	1018051	\$92.75	4149	1018093	\$53.00
4109	1018052	\$463.75	4150	1018094	\$39.75
4110	1018053	\$39.75	4151	1018095	\$39.75
4111	1018054	\$357.75	4152	1018096	\$119.25
4112	1018055	\$39.75	4153	1018097	\$92.75
4113	1018056	\$291.50	4154	1018098	\$251.75
4114	1018057	\$39.75	4155	1018099	\$384.25
4115	1018058	\$238.50	4156	1018100	\$66.25
4116	1018059	\$278.25	4157	1018101	\$26.50
4117	1018060	\$26.50	4158	1018102	\$613.42
4118	1018061	\$1,563.50	4159	1018103	\$119.25
4119	1018062	\$26.50	4160	1018104	\$39.75
4120	1018063	\$79.50	4161	1018106	\$79.50
4121	1018064	\$92.75	4162	1018107	\$26.50
4122	1018065	\$212.00	4163	1018108	\$884.95
4123	1018066	\$66.25	4164	1018109	\$79.50
4124	1018067	\$53.00	4165	1018110	\$8,506.00
4125	1018068	\$92.75	4166	1018111	\$66.25
4126	1018069	\$66.25	4167	1018112	\$26.50
4127	1018070	\$39.75	4168	1018113	\$79.50
4128	1018071	\$477.00	4169	1018114	\$198.75
4129	1018072	\$132.50	4170	1018115	\$26.50
4130	1018073	\$185.50	4171	1018116	\$98.31
4131	1018074	\$198.75	4172	1018117	\$39.75
4132	1018075	\$198.75	4173	1018118	\$119.25
4133	1018076	\$198.75	4174	1018119	\$66.25
4134	1018077	\$53.00	4175	1018120	\$132.50
4135	1018078	\$26.50	4176	1018121	\$92.75
4136	1018079	\$106.00	4177	1018122	\$39.75
4137	1018080	\$106.00	4178	1018123	\$26.50
4138	1018081	\$66.25	4179	1018124	\$26.50
4139	1018082	\$278.83	4180	1018125	\$66.25

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
4181	1018126	\$39.75	4222	1018169	\$172.25
4182	1018127	\$159.00	4223	1018170	\$132.50
4183	1018128	\$450.50	4224	1018171	\$212.00
4184	1018129	\$198.75	4225	1018172	\$596.25
4185	1018130	\$292.77	4226	1018173	\$39.75
4186	1018131	\$106.00	4227	1018174	\$172.25
4187	1018132	\$39.75	4228	1018175	\$26.50
4188	1018133	\$304.75	4229	1018176	\$212.00
4189	1018134	\$954.00	4230	1018177	\$26.50
4190	1018135	\$39.75	4231	1018178	\$251.75
4191	1018136	\$5,522.00	4232	1018179	\$132.50
4192	1018137	\$477.00	4233	1018180	\$26.50
4193	1018139	\$967.25	4234	1018181	\$331.25
4194	1018140	\$79.50	4235	1018182	\$119.25
4195	1018141	\$26.50	4236	1018183	\$159.00
4196	1018143	\$39.75	4237	1018184	\$39.75
4197	1018144	\$66.25	4238	1018185	\$596.25
4198	1018145	\$26.50	4239	1018186	\$145.75
4199	1018146	\$79.50	4240	1018187	\$251.75
4200	1018147	\$39.75	4241	1018188	\$53.00
4201	1018148	\$53.00	4242	1018189	\$92.75
4202	1018149	\$79.50	4243	1018190	\$410.75
4203	1018150	\$39.75	4244	1018191	\$225.25
4204	1018151	\$13.25	4245	1018192	\$265.00
4205	1018152	\$53.00	4246	1018193	\$26.50
4206	1018153	\$53.00	4247	1018194	\$26.50
4207	1018154	\$397.50	4248	1018195	\$39.75
4208	1018155	\$132.50	4249	1018196	\$92.75
4209	1018156	\$39.75	4250	1018197	\$159.00
4210	1018157	\$119.25	4251	1018198	\$198.75
4211	1018158	\$1,258.75	4252	1018199	\$79.50
4212	1018159	\$53.00	4253	1018200	\$53.00
4213	1018160	\$583.00	4254	1018201	\$543.25
4214	1018161	\$39.75	4255	1018202	\$26.50
4215	1018162	\$66.25	4256	1018203	\$79.50
4216	1018163	\$79.50	4257	1018204	\$66.25
4217	1018164	\$26.50	4258	1018205	\$424.00
4218	1018165	\$66.25	4259	1018206	\$66.25
4219	1018166	\$26.50	4260	1018207	\$66.25
4220	1018167	\$39.75	4261	1018208	\$26.50
4221	1018168	\$66.25	4262	1018209	\$26.50

**Exhibit C**  
**Timely Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>		<b>Claim</b>	<b>Recognized Claim</b>
4263	1018210	\$39.75	4304	1018253	\$92.75
4264	1018211	\$145.75	4305	1018254	\$291.50
4265	1018212	\$291.50	4306	1018255	\$26.50
4266	1018213	\$26.50	4307	1018256	\$331.25
4267	1018214	\$185.50	4308	1018257	\$39.75
4268	1018215	\$53.00	4309	1018258	\$66.25
4269	1018216	\$225.25	4310	1018259	\$53.00
4270	1018217	\$53.00	4311	1018260	\$26.50
4271	1018218	\$159.00	4312	1018261	\$26.50
4272	1018219	\$53.00	4313	1018262	\$66.25
4273	1018220	\$26.50	4314	1018263	\$26.50
4274	1018221	\$119.25	4315	1018264	\$596.25
4275	1018222	\$53.00	4316	1018265	\$225.25
4276	1018223	\$53.00	4317	1018266	\$26.50
4277	1018224	\$39.75	4318	1018267	\$53.00
4278	1018225	\$53.00	4319	1018268	\$13.25
4279	1018226	\$195.18	4320	1018269	\$106.00
4280	1018227	\$119.25	4321	1018270	\$39.75
4281	1018230	\$26.50	4322	1018271	\$26.50
4282	1018231	\$198.75	4323	1018272	\$132.50
4283	1018232	\$159.00	4324	1018273	\$26.50
4284	1018233	\$26.50			
4285	1018234	\$106.00			
4286	1018235	\$26.50			
4287	1018236	\$185.50			
4288	1018237	\$53.00			
4289	1018238	\$106.00			
4290	1018239	\$39.75			
4291	1018240	\$39.75			
4292	1018241	\$26.50			
4293	1018242	\$198.75			
4294	1018243	\$106.00			
4295	1018244	\$106.00			
4296	1018245	\$92.75			
4297	1018246	\$26.50			
4298	1018247	\$291.50			
4299	1018248	\$39.75			
4300	1018249	\$225.25			
4301	1018250	\$172.25			
4302	1018251	\$53.00			
4303	1018252	\$185.50			
				<b>Total</b>	<b>\$382,094,829.93</b>

**Exhibit D**

**Exhibit D**  
**Late But Otherwise Eligible Claims**

	<b>Claim</b>	<b>Recognized Claim</b>
1	1008433	\$10,375.55
2	1010858	\$21,540.00
3	1011854	\$2,994.50
4	1016529	\$2,290,232.80
5	1016530	\$6,805.01
6	1016553	\$8,506.00
7	1016705	\$27,208.00
8	1016706	\$27,247.13
9	1016707	\$8,506.00
10	1016708	\$46,783.00
11	1016709	\$8,493.80
12	1016710	\$385,762.43
13	1016711	\$3,107,905.24
14	1016712	\$130,334.20
15	1016713	\$54,380.88
16	1016714	\$19,992.45
17	1016738	\$637.95
18	1016753	\$378.58
19	1016764	\$212.65
20	1016779	\$36.33
21	1016783	\$46.32
22	1016821	\$487.68
23	1016822	\$1,022.75
24	1016824	\$552.89
25	1016830	\$12,759.00
26	1016838	\$10,261,556.02
27	1018279	\$5,968.00
28	1018280	\$127.59
29	1018286	\$622.75
30	1018287	\$2,097.93
31	1018302	\$11.00
32	1018303	\$55,789.85
33	1018306	\$9,012.67
34	1018326	\$25,050.17
<b>Total</b>		<b>\$16,533,437.12</b>

**Exhibit E**

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1	1004347	No Eligible Purchase Transactions	42	1008572	No Eligible Purchase Transactions
2	1004348	No Eligible Purchase Transactions	43	1008596	No Recognized Claim Amount
3	1004356	No Eligible Purchase Transactions	44	1008619	No Recognized Claim Amount
4	1004480	Ineligible	45	1008620	No Recognized Claim Amount
5	1004673	Ineligible	46	1008651	No Recognized Claim Amount
6	1005178	No Eligible Purchase Transactions	47	1008746	No Recognized Claim Amount
7	1005188	No Eligible Purchase Transactions	48	1008982	No Recognized Claim Amount
8	1005194	Ineligible	49	1009150	No Recognized Claim Amount
9	1005231	No Recognized Claim Amount	50	1009164	No Recognized Claim Amount
10	1005244	No Eligible Purchase Transactions	51	1009165	No Recognized Claim Amount
11	1005354	Ineligible	52	1009166	No Recognized Claim Amount
12	1005513	No Recognized Claim Amount	53	1009170	No Recognized Claim Amount
13	1005514	No Recognized Claim Amount	54	1009172	No Recognized Claim Amount
14	1005745	Ineligible	55	1009173	No Recognized Claim Amount
15	1005858	No Recognized Claim Amount	56	1009188	No Recognized Claim Amount
16	1005879	No Eligible Purchase Transactions	57	1009196	No Recognized Claim Amount
17	1005974	No Eligible Purchase Transactions	58	1009209	No Recognized Claim Amount
18	1005994	No Eligible Purchase Transactions	59	1009245	No Recognized Claim Amount
19	1006297	No Eligible Purchase Transactions	60	1009252	No Recognized Claim Amount
20	1006312	No Eligible Purchase Transactions	61	1009253	No Recognized Claim Amount
21	1006458	No Eligible Purchase Transactions	62	1009254	No Recognized Claim Amount
22	1006914	No Recognized Claim Amount	63	1009278	No Recognized Claim Amount
23	1006929	No Recognized Claim Amount	64	1009289	No Recognized Claim Amount
24	1006952	No Eligible Purchase Transactions	65	1009306	No Recognized Claim Amount
25	1007107	No Eligible Purchase Transactions	66	1009311	No Eligible Purchase Transactions
26	1008050	No Recognized Claim Amount	67	1009363	No Recognized Claim Amount
27	1008051	No Eligible Purchase Transactions	68	1009364	No Recognized Claim Amount
28	1008083	No Recognized Claim Amount	69	1009461	No Eligible Purchase Transactions
29	1008132	No Recognized Claim Amount	70	1009485	No Eligible Purchase Transactions
30	1008136	No Recognized Claim Amount	71	1009498	No Eligible Purchase Transactions
31	1008188	No Recognized Claim Amount	72	1009499	No Eligible Purchase Transactions
32	1008208	No Eligible Purchase Transactions	73	1009517	No Recognized Claim Amount
33	1008232	No Recognized Claim Amount	74	1009532	No Eligible Purchase Transactions
34	1008256	No Recognized Claim Amount	75	1009539	No Eligible Purchase Transactions
35	1008268	No Recognized Claim Amount	76	1009541	No Eligible Purchase Transactions
36	1008296	No Eligible Purchase Transactions	77	1009551	Ineligible
37	1008297	No Eligible Purchase Transactions	78	1009564	No Eligible Purchase Transactions
38	1008396	No Eligible Purchase Transactions	79	1009599	Ineligible
39	1008410	No Eligible Purchase Transactions	80	1009622	Ineligible
40	1008431	No Eligible Purchase Transactions	81	1009658	No Eligible Purchase Transactions
41	1008571	No Eligible Purchase Transactions	82	1009666	No Eligible Purchase Transactions

### Exhibit E Rejected Claims

Claim	Reason for Rejection	Claim	Reason for Rejection
83	1009691 No Eligible Purchase Transactions	124	1011826 No Recognized Claim Amount
84	1009707 No Eligible Purchase Transactions	125	1011861 Ineligible
85	1009748 No Recognized Claim Amount	126	1011948 No Recognized Claim Amount
86	1009790 No Eligible Purchase Transactions	127	1012068 No Eligible Purchase Transactions
87	1009793 Ineligible	128	1012071 No Eligible Purchase Transactions
88	1009794 No Eligible Purchase Transactions	129	1012117 No Recognized Claim Amount
89	1009809 No Eligible Purchase Transactions	130	1012119 No Eligible Purchase Transactions
90	1009816 No Eligible Purchase Transactions	131	1012125 No Eligible Purchase Transactions
91	1009831 No Eligible Purchase Transactions	132	1012130 No Recognized Claim Amount
92	1009861 No Eligible Purchase Transactions	133	1012135 No Eligible Purchase Transactions
93	1009870 No Eligible Purchase Transactions	134	1012136 No Recognized Claim Amount
94	1009894 No Recognized Claim Amount	135	1012137 No Recognized Claim Amount
95	1009973 No Eligible Purchase Transactions	136	1012140 No Recognized Claim Amount
96	1009974 No Eligible Purchase Transactions	137	1012144 No Eligible Purchase Transactions
97	1010007 No Eligible Purchase Transactions	138	1012150 No Recognized Claim Amount
98	1010444 No Recognized Claim Amount	139	1012156 No Recognized Claim Amount
99	1010462 No Recognized Claim Amount	140	1012159 No Eligible Purchase Transactions
100	1010491 No Recognized Claim Amount	141	1012163 No Eligible Purchase Transactions
101	1010498 No Recognized Claim Amount	142	1012165 No Eligible Purchase Transactions
102	1010740 No Recognized Claim Amount	143	1012167 No Eligible Purchase Transactions
103	1010771 No Recognized Claim Amount	144	1012169 No Recognized Claim Amount
104	1010894 Ineligible	145	1012170 No Recognized Claim Amount
105	1011019 No Recognized Claim Amount	146	1012171 No Recognized Claim Amount
106	1011039 No Recognized Claim Amount	147	1012172 No Recognized Claim Amount
107	1011160 No Recognized Claim Amount	148	1012184 No Eligible Purchase Transactions
108	1011257 No Eligible Purchase Transactions	149	1012186 No Recognized Claim Amount
109	1011268 No Eligible Purchase Transactions	150	1012196 Questionable
110	1011316 No Eligible Purchase Transactions	151	1012198 Questionable
111	1011346 No Recognized Claim Amount	152	1012200 Questionable
112	1011391 No Recognized Claim Amount	153	1012206 Questionable
113	1011483 No Recognized Claim Amount	154	1012207 Questionable
114	1011484 Ineligible	155	1012208 No Recognized Claim Amount
115	1011495 No Recognized Claim Amount	156	1012209 No Recognized Claim Amount
116	1011513 No Recognized Claim Amount	157	1012210 No Eligible Purchase Transactions
117	1011515 No Recognized Claim Amount	158	1012213 No Eligible Purchase Transactions
118	1011542 No Recognized Claim Amount	159	1012218 Questionable
119	1011612 No Recognized Claim Amount	160	1012219 Ineligible
120	1011771 No Recognized Claim Amount	161	1012220 Questionable
121	1011782 Ineligible	162	1012221 Questionable
122	1011785 No Recognized Claim Amount	163	1012222 No Recognized Claim Amount
123	1011786 No Eligible Purchase Transactions	164	1012226 No Eligible Purchase Transactions



### Exhibit E Rejected Claims

Claim	Reason for Rejection	Claim	Reason for Rejection		
165	1012228	No Eligible Purchase Transactions	206	1012372	No Recognized Claim Amount
166	1012243	No Eligible Purchase Transactions	207	1012374	Duplicate Claim
167	1012244	No Eligible Purchase Transactions	208	1012376	No Recognized Claim Amount
168	1012246	No Eligible Purchase Transactions	209	1012377	No Recognized Claim Amount
169	1012251	No Eligible Purchase Transactions	210	1012378	No Eligible Purchase Transactions
170	1012252	No Recognized Claim Amount	211	1012381	No Eligible Purchase Transactions
171	1012260	No Recognized Claim Amount	212	1012382	No Eligible Purchase Transactions
172	1012277	No Eligible Purchase Transactions	213	1012387	No Eligible Purchase Transactions
173	1012286	No Eligible Purchase Transactions	214	1012389	No Eligible Purchase Transactions
174	1012298	No Recognized Claim Amount	215	1012390	No Eligible Purchase Transactions
175	1012300	No Recognized Claim Amount	216	1012391	No Recognized Claim Amount
176	1012303	No Eligible Purchase Transactions	217	1012392	No Eligible Purchase Transactions
177	1012304	No Eligible Purchase Transactions	218	1012398	No Recognized Claim Amount
178	1012305	No Eligible Purchase Transactions	219	1012399	No Eligible Purchase Transactions
179	1012308	No Eligible Purchase Transactions	220	1012400	No Recognized Claim Amount
180	1012310	No Eligible Purchase Transactions	221	1012401	No Recognized Claim Amount
181	1012313	No Eligible Purchase Transactions	222	1012402	No Recognized Claim Amount
182	1012314	No Eligible Purchase Transactions	223	1012403	No Recognized Claim Amount
183	1012318	No Recognized Claim Amount	224	1012404	No Recognized Claim Amount
184	1012327	No Recognized Claim Amount	225	1012405	No Recognized Claim Amount
185	1012330	No Recognized Claim Amount	226	1012406	No Recognized Claim Amount
186	1012338	No Eligible Purchase Transactions	227	1012407	No Recognized Claim Amount
187	1012340	No Recognized Claim Amount	228	1012408	No Recognized Claim Amount
188	1012342	Duplicate Claim	229	1012409	No Recognized Claim Amount
189	1012343	No Recognized Claim Amount	230	1012410	No Recognized Claim Amount
190	1012345	No Recognized Claim Amount	231	1012411	No Recognized Claim Amount
191	1012346	No Eligible Purchase Transactions	232	1012412	No Recognized Claim Amount
192	1012347	No Eligible Purchase Transactions	233	1012413	No Recognized Claim Amount
193	1012348	No Eligible Purchase Transactions	234	1012414	No Recognized Claim Amount
194	1012350	No Eligible Purchase Transactions	235	1012415	No Recognized Claim Amount
195	1012351	No Eligible Purchase Transactions	236	1012418	No Recognized Claim Amount
196	1012355	No Eligible Purchase Transactions	237	1012419	No Eligible Purchase Transactions
197	1012357	No Recognized Claim Amount	238	1012422	No Eligible Purchase Transactions
198	1012358	No Eligible Purchase Transactions	239	1012424	No Recognized Claim Amount
199	1012360	No Recognized Claim Amount	240	1012425	No Recognized Claim Amount
200	1012364	No Recognized Claim Amount	241	1012426	No Recognized Claim Amount
201	1012365	No Recognized Claim Amount	242	1012431	Ineligible
202	1012367	No Recognized Claim Amount	243	1012432	No Recognized Claim Amount
203	1012368	No Recognized Claim Amount	244	1012435	Questionable
204	1012370	No Recognized Claim Amount	245	1012441	No Recognized Claim Amount
205	1012371	No Eligible Purchase Transactions	246	1012442	No Recognized Claim Amount

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
247	1012443	No Recognized Claim Amount	288	1012490	No Recognized Claim Amount
248	1012444	No Recognized Claim Amount	289	1012491	No Recognized Claim Amount
249	1012445	No Recognized Claim Amount	290	1012492	No Recognized Claim Amount
250	1012446	No Recognized Claim Amount	291	1012493	No Eligible Purchase Transactions
251	1012447	No Recognized Claim Amount	292	1012494	No Eligible Purchase Transactions
252	1012448	No Recognized Claim Amount	293	1012495	No Recognized Claim Amount
253	1012449	No Recognized Claim Amount	294	1012496	No Recognized Claim Amount
254	1012450	No Recognized Claim Amount	295	1012498	No Recognized Claim Amount
255	1012451	No Recognized Claim Amount	296	1012500	No Recognized Claim Amount
256	1012454	No Eligible Purchase Transactions	297	1012501	No Recognized Claim Amount
257	1012455	No Recognized Claim Amount	298	1012505	No Recognized Claim Amount
258	1012456	No Recognized Claim Amount	299	1012506	No Recognized Claim Amount
259	1012457	No Recognized Claim Amount	300	1012507	No Eligible Purchase Transactions
260	1012459	No Recognized Claim Amount	301	1012509	No Eligible Purchase Transactions
261	1012460	No Recognized Claim Amount	302	1012510	No Eligible Purchase Transactions
262	1012461	No Recognized Claim Amount	303	1012511	No Recognized Claim Amount
263	1012462	No Recognized Claim Amount	304	1012512	No Eligible Purchase Transactions
264	1012463	No Recognized Claim Amount	305	1012513	No Eligible Purchase Transactions
265	1012464	No Recognized Claim Amount	306	1012514	No Eligible Purchase Transactions
266	1012465	Ineligible	307	1012515	No Recognized Claim Amount
267	1012466	No Recognized Claim Amount	308	1012516	No Recognized Claim Amount
268	1012467	No Recognized Claim Amount	309	1012517	No Recognized Claim Amount
269	1012468	No Recognized Claim Amount	310	1012519	No Recognized Claim Amount
270	1012469	No Recognized Claim Amount	311	1012521	No Recognized Claim Amount
271	1012470	No Recognized Claim Amount	312	1012524	No Eligible Purchase Transactions
272	1012471	No Recognized Claim Amount	313	1012525	No Recognized Claim Amount
273	1012472	No Recognized Claim Amount	314	1012526	No Recognized Claim Amount
274	1012475	No Recognized Claim Amount	315	1012528	No Recognized Claim Amount
275	1012476	No Recognized Claim Amount	316	1012529	No Eligible Purchase Transactions
276	1012477	No Recognized Claim Amount	317	1012530	No Recognized Claim Amount
277	1012478	No Recognized Claim Amount	318	1012531	No Recognized Claim Amount
278	1012479	No Recognized Claim Amount	319	1012532	No Eligible Purchase Transactions
279	1012481	No Eligible Purchase Transactions	320	1012534	No Recognized Claim Amount
280	1012482	No Recognized Claim Amount	321	1012536	No Eligible Purchase Transactions
281	1012483	No Recognized Claim Amount	322	1012537	No Recognized Claim Amount
282	1012484	No Eligible Purchase Transactions	323	1012538	No Recognized Claim Amount
283	1012485	No Recognized Claim Amount	324	1012539	No Recognized Claim Amount
284	1012486	No Recognized Claim Amount	325	1012540	No Recognized Claim Amount
285	1012487	No Recognized Claim Amount	326	1012541	No Recognized Claim Amount
286	1012488	No Recognized Claim Amount	327	1012542	No Recognized Claim Amount
287	1012489	No Eligible Purchase Transactions	328	1012543	No Recognized Claim Amount

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
329	1012544	No Recognized Claim Amount	370	1012633	No Recognized Claim Amount
330	1012547	No Recognized Claim Amount	371	1012634	No Recognized Claim Amount
331	1012550	No Recognized Claim Amount	372	1012635	No Recognized Claim Amount
332	1012551	No Eligible Purchase Transactions	373	1012637	No Recognized Claim Amount
333	1012552	No Eligible Purchase Transactions	374	1012638	No Eligible Purchase Transactions
334	1012553	No Eligible Purchase Transactions	375	1012640	No Recognized Claim Amount
335	1012555	No Eligible Purchase Transactions	376	1012642	No Eligible Purchase Transactions
336	1012557	No Eligible Purchase Transactions	377	1012643	No Recognized Claim Amount
337	1012558	No Recognized Claim Amount	378	1012644	No Recognized Claim Amount
338	1012559	No Eligible Purchase Transactions	379	1012645	No Eligible Purchase Transactions
339	1012560	No Recognized Claim Amount	380	1012646	No Recognized Claim Amount
340	1012562	Ineligible	381	1012648	Ineligible
341	1012564	No Eligible Purchase Transactions	382	1012650	No Recognized Claim Amount
342	1012569	No Eligible Purchase Transactions	383	1012652	No Eligible Purchase Transactions
343	1012570	No Eligible Purchase Transactions	384	1012653	No Eligible Purchase Transactions
344	1012572	No Recognized Claim Amount	385	1012654	No Recognized Claim Amount
345	1012573	No Recognized Claim Amount	386	1012656	No Eligible Purchase Transactions
346	1012575	No Recognized Claim Amount	387	1012657	No Recognized Claim Amount
347	1012578	No Eligible Purchase Transactions	388	1012661	Ineligible
348	1012579	No Recognized Claim Amount	389	1012662	No Recognized Claim Amount
349	1012584	No Recognized Claim Amount	390	1012664	No Eligible Purchase Transactions
350	1012585	No Recognized Claim Amount	391	1012670	No Recognized Claim Amount
351	1012589	No Recognized Claim Amount	392	1012674	No Eligible Purchase Transactions
352	1012590	No Eligible Purchase Transactions	393	1012676	No Recognized Claim Amount
353	1012591	No Recognized Claim Amount	394	1012679	No Recognized Claim Amount
354	1012594	No Eligible Purchase Transactions	395	1012686	No Recognized Claim Amount
355	1012596	No Recognized Claim Amount	396	1012687	No Eligible Purchase Transactions
356	1012598	No Recognized Claim Amount	397	1012697	No Recognized Claim Amount
357	1012599	No Eligible Purchase Transactions	398	1012698	No Recognized Claim Amount
358	1012604	No Eligible Purchase Transactions	399	1012699	No Recognized Claim Amount
359	1012606	No Eligible Purchase Transactions	400	1012700	No Recognized Claim Amount
360	1012607	No Eligible Purchase Transactions	401	1012701	No Eligible Purchase Transactions
361	1012609	No Recognized Claim Amount	402	1012702	No Recognized Claim Amount
362	1012610	No Eligible Purchase Transactions	403	1012704	No Recognized Claim Amount
363	1012611	No Eligible Purchase Transactions	404	1012705	No Eligible Purchase Transactions
364	1012617	No Recognized Claim Amount	405	1012706	No Recognized Claim Amount
365	1012619	No Recognized Claim Amount	406	1012707	No Recognized Claim Amount
366	1012620	No Recognized Claim Amount	407	1012714	No Eligible Purchase Transactions
367	1012621	No Eligible Purchase Transactions	408	1012715	No Eligible Purchase Transactions
368	1012623	No Recognized Claim Amount	409	1012716	No Eligible Purchase Transactions
369	1012632	No Recognized Claim Amount	410	1012718	No Recognized Claim Amount

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
411	1012719	No Recognized Claim Amount	452	1012831	Questionable
412	1012720	No Eligible Purchase Transactions	453	1012832	No Eligible Purchase Transactions
413	1012721	No Recognized Claim Amount	454	1012833	No Recognized Claim Amount
414	1012724	No Recognized Claim Amount	455	1012834	No Eligible Purchase Transactions
415	1012727	No Eligible Purchase Transactions	456	1012838	No Eligible Purchase Transactions
416	1012728	No Eligible Purchase Transactions	457	1012839	No Eligible Purchase Transactions
417	1012729	No Eligible Purchase Transactions	458	1012841	Questionable
418	1012730	No Recognized Claim Amount	459	1012842	Questionable
419	1012738	No Eligible Purchase Transactions	460	1012846	Duplicate Claim
420	1012741	No Recognized Claim Amount	461	1012849	Questionable
421	1012742	No Recognized Claim Amount	462	1012851	No Recognized Claim Amount
422	1012744	No Recognized Claim Amount	463	1012854	Questionable
423	1012745	No Recognized Claim Amount	464	1012858	No Recognized Claim Amount
424	1012746	No Recognized Claim Amount	465	1012859	No Eligible Purchase Transactions
425	1012747	No Eligible Purchase Transactions	466	1012860	No Eligible Purchase Transactions
426	1012748	No Recognized Claim Amount	467	1012869	No Eligible Purchase Transactions
427	1012749	No Recognized Claim Amount	468	1012873	No Eligible Purchase Transactions
428	1012751	No Recognized Claim Amount	469	1012875	No Eligible Purchase Transactions
429	1012754	No Eligible Purchase Transactions	470	1012876	No Recognized Claim Amount
430	1012755	No Eligible Purchase Transactions	471	1012878	No Eligible Purchase Transactions
431	1012756	No Eligible Purchase Transactions	472	1012879	No Eligible Purchase Transactions
432	1012758	No Recognized Claim Amount	473	1012881	No Recognized Claim Amount
433	1012759	No Recognized Claim Amount	474	1012882	No Recognized Claim Amount
434	1012760	No Eligible Purchase Transactions	475	1012883	No Recognized Claim Amount
435	1012761	No Recognized Claim Amount	476	1012884	No Eligible Purchase Transactions
436	1012763	No Recognized Claim Amount	477	1012885	No Eligible Purchase Transactions
437	1012766	No Recognized Claim Amount	478	1012886	No Recognized Claim Amount
438	1012773	No Recognized Claim Amount	479	1012888	No Recognized Claim Amount
439	1012776	No Recognized Claim Amount	480	1012889	No Recognized Claim Amount
440	1012777	No Eligible Purchase Transactions	481	1012890	No Eligible Purchase Transactions
441	1012788	No Eligible Purchase Transactions	482	1012891	No Recognized Claim Amount
442	1012795	No Eligible Purchase Transactions	483	1012892	No Recognized Claim Amount
443	1012797	No Recognized Claim Amount	484	1012893	No Eligible Purchase Transactions
444	1012798	No Eligible Purchase Transactions	485	1012894	No Eligible Purchase Transactions
445	1012800	No Eligible Purchase Transactions	486	1012895	No Eligible Purchase Transactions
446	1012804	Ineligible	487	1012896	No Eligible Purchase Transactions
447	1012805	No Recognized Claim Amount	488	1012897	No Recognized Claim Amount
448	1012809	No Recognized Claim Amount	489	1012901	No Recognized Claim Amount
449	1012825	No Eligible Purchase Transactions	490	1012905	No Recognized Claim Amount
450	1012828	No Eligible Purchase Transactions	491	1012906	No Recognized Claim Amount
451	1012830	No Eligible Purchase Transactions	492	1012907	No Eligible Purchase Transactions

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
493	1012908	No Eligible Purchase Transactions	534	1012989	No Eligible Purchase Transactions
494	1012914	No Recognized Claim Amount	535	1012990	No Eligible Purchase Transactions
495	1012915	No Eligible Purchase Transactions	536	1012998	No Recognized Claim Amount
496	1012916	No Eligible Purchase Transactions	537	1013002	No Eligible Purchase Transactions
497	1012917	No Recognized Claim Amount	538	1013005	No Eligible Purchase Transactions
498	1012918	No Eligible Purchase Transactions	539	1013007	No Recognized Claim Amount
499	1012919	No Recognized Claim Amount	540	1013012	No Eligible Purchase Transactions
500	1012921	No Eligible Purchase Transactions	541	1013013	No Eligible Purchase Transactions
501	1012922	No Recognized Claim Amount	542	1013014	No Eligible Purchase Transactions
502	1012923	No Recognized Claim Amount	543	1013015	No Recognized Claim Amount
503	1012924	No Recognized Claim Amount	544	1013016	No Recognized Claim Amount
504	1012925	No Eligible Purchase Transactions	545	1013017	No Eligible Purchase Transactions
505	1012927	No Eligible Purchase Transactions	546	1013018	No Eligible Purchase Transactions
506	1012928	No Recognized Claim Amount	547	1013019	No Eligible Purchase Transactions
507	1012930	No Eligible Purchase Transactions	548	1013020	No Recognized Claim Amount
508	1012932	No Eligible Purchase Transactions	549	1013021	No Recognized Claim Amount
509	1012935	No Eligible Purchase Transactions	550	1013023	No Recognized Claim Amount
510	1012937	No Recognized Claim Amount	551	1013024	No Recognized Claim Amount
511	1012938	No Eligible Purchase Transactions	552	1013028	No Recognized Claim Amount
512	1012939	No Eligible Purchase Transactions	553	1013030	No Recognized Claim Amount
513	1012940	No Recognized Claim Amount	554	1013032	No Recognized Claim Amount
514	1012944	No Eligible Purchase Transactions	555	1013033	No Recognized Claim Amount
515	1012945	No Recognized Claim Amount	556	1013034	No Recognized Claim Amount
516	1012946	No Eligible Purchase Transactions	557	1013036	No Eligible Purchase Transactions
517	1012949	No Recognized Claim Amount	558	1013037	No Eligible Purchase Transactions
518	1012950	No Eligible Purchase Transactions	559	1013038	No Eligible Purchase Transactions
519	1012953	No Recognized Claim Amount	560	1013039	No Recognized Claim Amount
520	1012955	No Eligible Purchase Transactions	561	1013041	No Eligible Purchase Transactions
521	1012956	No Recognized Claim Amount	562	1013044	No Recognized Claim Amount
522	1012957	No Recognized Claim Amount	563	1013046	No Recognized Claim Amount
523	1012959	No Recognized Claim Amount	564	1013048	No Recognized Claim Amount
524	1012964	No Eligible Purchase Transactions	565	1013050	No Eligible Purchase Transactions
525	1012971	No Recognized Claim Amount	566	1013053	No Recognized Claim Amount
526	1012972	No Recognized Claim Amount	567	1013058	No Eligible Purchase Transactions
527	1012973	No Eligible Purchase Transactions	568	1013059	No Eligible Purchase Transactions
528	1012976	No Recognized Claim Amount	569	1013062	No Eligible Purchase Transactions
529	1012978	No Eligible Purchase Transactions	570	1013063	No Eligible Purchase Transactions
530	1012979	No Recognized Claim Amount	571	1013065	No Recognized Claim Amount
531	1012980	No Recognized Claim Amount	572	1013066	No Recognized Claim Amount
532	1012985	No Recognized Claim Amount	573	1013067	No Eligible Purchase Transactions
533	1012988	No Eligible Purchase Transactions	574	1013068	No Recognized Claim Amount

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
575	1013069	No Eligible Purchase Transactions	616	1013161	No Recognized Claim Amount
576	1013070	No Recognized Claim Amount	617	1013162	No Recognized Claim Amount
577	1013071	No Recognized Claim Amount	618	1013163	No Recognized Claim Amount
578	1013073	No Eligible Purchase Transactions	619	1013164	No Recognized Claim Amount
579	1013074	No Recognized Claim Amount	620	1013166	Ineligible
580	1013076	No Eligible Purchase Transactions	621	1013167	Questionable
581	1013077	No Recognized Claim Amount	622	1013168	No Recognized Claim Amount
582	1013078	No Eligible Purchase Transactions	623	1013169	No Recognized Claim Amount
583	1013080	No Recognized Claim Amount	624	1013170	No Recognized Claim Amount
584	1013082	No Recognized Claim Amount	625	1013172	Duplicate Claim
585	1013084	No Recognized Claim Amount	626	1013174	No Recognized Claim Amount
586	1013085	No Eligible Purchase Transactions	627	1013177	No Recognized Claim Amount
587	1013087	No Recognized Claim Amount	628	1013178	No Recognized Claim Amount
588	1013092	No Recognized Claim Amount	629	1013179	No Recognized Claim Amount
589	1013096	No Recognized Claim Amount	630	1013180	No Eligible Purchase Transactions
590	1013097	No Recognized Claim Amount	631	1013199	No Recognized Claim Amount
591	1013099	No Recognized Claim Amount	632	1013200	No Recognized Claim Amount
592	1013105	No Recognized Claim Amount	633	1013203	No Recognized Claim Amount
593	1013114	No Recognized Claim Amount	634	1013208	No Eligible Purchase Transactions
594	1013115	Ineligible	635	1013210	No Recognized Claim Amount
595	1013122	No Eligible Purchase Transactions	636	1013214	No Eligible Purchase Transactions
596	1013125	Ineligible	637	1013215	No Recognized Claim Amount
597	1013133	No Recognized Claim Amount	638	1013216	No Recognized Claim Amount
598	1013137	No Recognized Claim Amount	639	1013217	No Recognized Claim Amount
599	1013139	No Recognized Claim Amount	640	1013218	No Recognized Claim Amount
600	1013140	No Recognized Claim Amount	641	1013219	No Recognized Claim Amount
601	1013141	No Recognized Claim Amount	642	1013220	No Eligible Purchase Transactions
602	1013143	No Eligible Purchase Transactions	643	1013221	No Recognized Claim Amount
603	1013144	No Eligible Purchase Transactions	644	1013222	No Recognized Claim Amount
604	1013145	No Eligible Purchase Transactions	645	1013223	No Recognized Claim Amount
605	1013146	No Eligible Purchase Transactions	646	1013224	No Recognized Claim Amount
606	1013147	No Eligible Purchase Transactions	647	1013225	No Recognized Claim Amount
607	1013149	No Eligible Purchase Transactions	648	1013226	No Recognized Claim Amount
608	1013150	No Recognized Claim Amount	649	1013227	No Recognized Claim Amount
609	1013151	No Recognized Claim Amount	650	1013228	No Recognized Claim Amount
610	1013152	No Recognized Claim Amount	651	1013229	No Recognized Claim Amount
611	1013155	No Eligible Purchase Transactions	652	1013230	No Recognized Claim Amount
612	1013156	No Recognized Claim Amount	653	1013231	No Recognized Claim Amount
613	1013157	No Eligible Purchase Transactions	654	1013232	No Recognized Claim Amount
614	1013159	No Eligible Purchase Transactions	655	1013233	No Recognized Claim Amount
615	1013160	No Recognized Claim Amount	656	1013234	No Recognized Claim Amount



**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
657	1013235	No Recognized Claim Amount	698	1013298	No Recognized Claim Amount
658	1013236	No Recognized Claim Amount	699	1013299	No Eligible Purchase Transactions
659	1013237	No Recognized Claim Amount	700	1013300	No Recognized Claim Amount
660	1013238	No Recognized Claim Amount	701	1013302	No Eligible Purchase Transactions
661	1013239	No Recognized Claim Amount	702	1013306	No Eligible Purchase Transactions
662	1013242	No Eligible Purchase Transactions	703	1013307	No Eligible Purchase Transactions
663	1013243	No Eligible Purchase Transactions	704	1013308	No Eligible Purchase Transactions
664	1013246	No Eligible Purchase Transactions	705	1013309	No Eligible Purchase Transactions
665	1013247	No Recognized Claim Amount	706	1013310	No Recognized Claim Amount
666	1013248	No Recognized Claim Amount	707	1013311	No Eligible Purchase Transactions
667	1013249	No Recognized Claim Amount	708	1013312	No Eligible Purchase Transactions
668	1013250	No Eligible Purchase Transactions	709	1013313	No Eligible Purchase Transactions
669	1013252	No Eligible Purchase Transactions	710	1013314	No Eligible Purchase Transactions
670	1013254	No Eligible Purchase Transactions	711	1013315	No Eligible Purchase Transactions
671	1013255	No Eligible Purchase Transactions	712	1013316	No Eligible Purchase Transactions
672	1013257	No Recognized Claim Amount	713	1013318	No Eligible Purchase Transactions
673	1013258	No Eligible Purchase Transactions	714	1013319	No Eligible Purchase Transactions
674	1013259	No Eligible Purchase Transactions	715	1013320	No Eligible Purchase Transactions
675	1013261	No Recognized Claim Amount	716	1013321	No Eligible Purchase Transactions
676	1013262	No Eligible Purchase Transactions	717	1013322	No Recognized Claim Amount
677	1013264	No Recognized Claim Amount	718	1013325	No Eligible Purchase Transactions
678	1013265	No Eligible Purchase Transactions	719	1013326	No Eligible Purchase Transactions
679	1013266	No Eligible Purchase Transactions	720	1013327	No Recognized Claim Amount
680	1013268	No Recognized Claim Amount	721	1013328	No Recognized Claim Amount
681	1013269	No Eligible Purchase Transactions	722	1013329	No Recognized Claim Amount
682	1013270	No Recognized Claim Amount	723	1013330	No Recognized Claim Amount
683	1013271	No Eligible Purchase Transactions	724	1013331	No Recognized Claim Amount
684	1013272	No Eligible Purchase Transactions	725	1013332	No Eligible Purchase Transactions
685	1013273	No Recognized Claim Amount	726	1013333	No Recognized Claim Amount
686	1013277	No Recognized Claim Amount	727	1013335	No Recognized Claim Amount
687	1013278	No Recognized Claim Amount	728	1013336	No Eligible Purchase Transactions
688	1013279	No Eligible Purchase Transactions	729	1013338	No Recognized Claim Amount
689	1013282	No Recognized Claim Amount	730	1013339	No Recognized Claim Amount
690	1013283	No Recognized Claim Amount	731	1013340	No Recognized Claim Amount
691	1013284	No Eligible Purchase Transactions	732	1013345	No Recognized Claim Amount
692	1013287	No Recognized Claim Amount	733	1013348	No Recognized Claim Amount
693	1013288	No Recognized Claim Amount	734	1013349	No Eligible Purchase Transactions
694	1013291	No Recognized Claim Amount	735	1013350	No Recognized Claim Amount
695	1013292	No Recognized Claim Amount	736	1013353	No Recognized Claim Amount
696	1013293	No Eligible Purchase Transactions	737	1013359	No Recognized Claim Amount
697	1013294	No Recognized Claim Amount	738	1013360	No Eligible Purchase Transactions

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
739	1013361	Ineligible	780	1013481	No Eligible Purchase Transactions
740	1013368	Ineligible	781	1013482	No Eligible Purchase Transactions
741	1013372	Ineligible	782	1013483	No Recognized Claim Amount
742	1013373	Ineligible	783	1013484	No Recognized Claim Amount
743	1013397	No Recognized Claim Amount	784	1013487	No Recognized Claim Amount
744	1013398	Ineligible	785	1013488	No Recognized Claim Amount
745	1013399	No Eligible Purchase Transactions	786	1013489	No Eligible Purchase Transactions
746	1013400	Ineligible	787	1013490	No Eligible Purchase Transactions
747	1013401	Ineligible	788	1013491	No Recognized Claim Amount
748	1013403	Ineligible	789	1013493	No Eligible Purchase Transactions
749	1013404	No Eligible Purchase Transactions	790	1013501	No Eligible Purchase Transactions
750	1013405	No Eligible Purchase Transactions	791	1013503	No Recognized Claim Amount
751	1013406	No Eligible Purchase Transactions	792	1013506	No Eligible Purchase Transactions
752	1013417	No Eligible Purchase Transactions	793	1013507	No Eligible Purchase Transactions
753	1013421	No Recognized Claim Amount	794	1013508	No Eligible Purchase Transactions
754	1013435	No Recognized Claim Amount	795	1013509	No Eligible Purchase Transactions
755	1013438	No Recognized Claim Amount	796	1013510	No Eligible Purchase Transactions
756	1013439	No Recognized Claim Amount	797	1013511	No Eligible Purchase Transactions
757	1013440	No Recognized Claim Amount	798	1013512	No Eligible Purchase Transactions
758	1013441	No Recognized Claim Amount	799	1013513	No Recognized Claim Amount
759	1013442	No Recognized Claim Amount	800	1013516	No Recognized Claim Amount
760	1013443	No Recognized Claim Amount	801	1013517	No Recognized Claim Amount
761	1013444	Duplicate Claim	802	1013518	No Eligible Purchase Transactions
762	1013445	No Recognized Claim Amount	803	1013520	No Recognized Claim Amount
763	1013446	No Recognized Claim Amount	804	1013521	No Recognized Claim Amount
764	1013447	No Eligible Purchase Transactions	805	1013522	No Recognized Claim Amount
765	1013452	No Recognized Claim Amount	806	1013523	No Eligible Purchase Transactions
766	1013453	No Recognized Claim Amount	807	1013524	No Recognized Claim Amount
767	1013454	No Recognized Claim Amount	808	1013525	No Eligible Purchase Transactions
768	1013461	No Eligible Purchase Transactions	809	1013526	No Eligible Purchase Transactions
769	1013464	No Recognized Claim Amount	810	1013527	No Recognized Claim Amount
770	1013467	No Recognized Claim Amount	811	1013529	No Eligible Purchase Transactions
771	1013468	No Recognized Claim Amount	812	1013530	No Eligible Purchase Transactions
772	1013471	No Eligible Purchase Transactions	813	1013534	No Eligible Purchase Transactions
773	1013472	No Eligible Purchase Transactions	814	1013536	No Recognized Claim Amount
774	1013473	No Recognized Claim Amount	815	1013537	No Recognized Claim Amount
775	1013474	No Recognized Claim Amount	816	1013544	No Recognized Claim Amount
776	1013475	No Recognized Claim Amount	817	1013547	No Recognized Claim Amount
777	1013476	No Eligible Purchase Transactions	818	1013548	No Recognized Claim Amount
778	1013479	No Recognized Claim Amount	819	1013549	No Eligible Purchase Transactions
779	1013480	No Recognized Claim Amount	820	1013550	No Eligible Purchase Transactions



**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
821	1013551	No Recognized Claim Amount	862	1013630	No Eligible Purchase Transactions
822	1013552	No Recognized Claim Amount	863	1013632	No Recognized Claim Amount
823	1013554	No Eligible Purchase Transactions	864	1013633	No Recognized Claim Amount
824	1013555	No Recognized Claim Amount	865	1013634	No Recognized Claim Amount
825	1013558	No Recognized Claim Amount	866	1013635	No Recognized Claim Amount
826	1013560	No Recognized Claim Amount	867	1013636	No Eligible Purchase Transactions
827	1013566	No Recognized Claim Amount	868	1013637	No Eligible Purchase Transactions
828	1013567	No Eligible Purchase Transactions	869	1013638	No Eligible Purchase Transactions
829	1013570	No Recognized Claim Amount	870	1013639	No Eligible Purchase Transactions
830	1013572	No Recognized Claim Amount	871	1013640	No Eligible Purchase Transactions
831	1013573	No Recognized Claim Amount	872	1013641	No Eligible Purchase Transactions
832	1013575	No Recognized Claim Amount	873	1013642	No Eligible Purchase Transactions
833	1013576	No Eligible Purchase Transactions	874	1013643	No Eligible Purchase Transactions
834	1013577	No Eligible Purchase Transactions	875	1013644	No Eligible Purchase Transactions
835	1013579	No Recognized Claim Amount	876	1013645	No Eligible Purchase Transactions
836	1013580	No Recognized Claim Amount	877	1013646	No Eligible Purchase Transactions
837	1013581	No Eligible Purchase Transactions	878	1013647	No Eligible Purchase Transactions
838	1013585	No Recognized Claim Amount	879	1013648	No Eligible Purchase Transactions
839	1013588	No Eligible Purchase Transactions	880	1013649	No Eligible Purchase Transactions
840	1013590	No Eligible Purchase Transactions	881	1013650	No Eligible Purchase Transactions
841	1013591	No Recognized Claim Amount	882	1013651	No Eligible Purchase Transactions
842	1013593	No Recognized Claim Amount	883	1013652	No Eligible Purchase Transactions
843	1013594	No Eligible Purchase Transactions	884	1013653	No Eligible Purchase Transactions
844	1013595	No Recognized Claim Amount	885	1013654	No Eligible Purchase Transactions
845	1013598	No Recognized Claim Amount	886	1013655	No Eligible Purchase Transactions
846	1013599	No Recognized Claim Amount	887	1013656	No Eligible Purchase Transactions
847	1013603	No Recognized Claim Amount	888	1013657	No Eligible Purchase Transactions
848	1013605	No Eligible Purchase Transactions	889	1013658	No Eligible Purchase Transactions
849	1013606	No Eligible Purchase Transactions	890	1013659	No Eligible Purchase Transactions
850	1013608	No Recognized Claim Amount	891	1013660	No Eligible Purchase Transactions
851	1013609	No Recognized Claim Amount	892	1013661	No Eligible Purchase Transactions
852	1013610	No Eligible Purchase Transactions	893	1013662	No Eligible Purchase Transactions
853	1013611	No Eligible Purchase Transactions	894	1013663	No Eligible Purchase Transactions
854	1013618	No Recognized Claim Amount	895	1013664	No Eligible Purchase Transactions
855	1013619	No Recognized Claim Amount	896	1013665	No Eligible Purchase Transactions
856	1013620	No Recognized Claim Amount	897	1013666	No Eligible Purchase Transactions
857	1013622	No Recognized Claim Amount	898	1013667	No Eligible Purchase Transactions
858	1013623	No Recognized Claim Amount	899	1013668	No Eligible Purchase Transactions
859	1013625	No Recognized Claim Amount	900	1013669	No Recognized Claim Amount
860	1013626	No Recognized Claim Amount	901	1013670	No Eligible Purchase Transactions
861	1013627	No Eligible Purchase Transactions	902	1013671	No Eligible Purchase Transactions

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
903	1013672	No Eligible Purchase Transactions	944	1013715	No Eligible Purchase Transactions
904	1013673	No Eligible Purchase Transactions	945	1013716	No Eligible Purchase Transactions
905	1013674	No Eligible Purchase Transactions	946	1013717	No Recognized Claim Amount
906	1013675	No Eligible Purchase Transactions	947	1013718	No Eligible Purchase Transactions
907	1013676	No Eligible Purchase Transactions	948	1013720	No Eligible Purchase Transactions
908	1013677	No Eligible Purchase Transactions	949	1013721	No Eligible Purchase Transactions
909	1013678	No Eligible Purchase Transactions	950	1013722	No Eligible Purchase Transactions
910	1013679	No Eligible Purchase Transactions	951	1013723	No Eligible Purchase Transactions
911	1013680	No Eligible Purchase Transactions	952	1013724	No Eligible Purchase Transactions
912	1013681	No Eligible Purchase Transactions	953	1013725	No Eligible Purchase Transactions
913	1013682	No Eligible Purchase Transactions	954	1013726	No Eligible Purchase Transactions
914	1013683	No Eligible Purchase Transactions	955	1013727	No Eligible Purchase Transactions
915	1013684	No Eligible Purchase Transactions	956	1013728	No Eligible Purchase Transactions
916	1013685	No Eligible Purchase Transactions	957	1013729	No Eligible Purchase Transactions
917	1013686	No Eligible Purchase Transactions	958	1013730	No Eligible Purchase Transactions
918	1013687	No Eligible Purchase Transactions	959	1013731	No Eligible Purchase Transactions
919	1013689	No Eligible Purchase Transactions	960	1013732	No Eligible Purchase Transactions
920	1013690	No Recognized Claim Amount	961	1013733	No Eligible Purchase Transactions
921	1013691	No Recognized Claim Amount	962	1013734	No Eligible Purchase Transactions
922	1013693	No Eligible Purchase Transactions	963	1013735	No Eligible Purchase Transactions
923	1013694	No Eligible Purchase Transactions	964	1013736	No Eligible Purchase Transactions
924	1013695	No Eligible Purchase Transactions	965	1013737	No Eligible Purchase Transactions
925	1013696	No Eligible Purchase Transactions	966	1013738	No Eligible Purchase Transactions
926	1013697	No Eligible Purchase Transactions	967	1013739	No Eligible Purchase Transactions
927	1013698	No Eligible Purchase Transactions	968	1013740	No Eligible Purchase Transactions
928	1013699	No Recognized Claim Amount	969	1013741	No Eligible Purchase Transactions
929	1013700	No Eligible Purchase Transactions	970	1013742	No Eligible Purchase Transactions
930	1013701	No Eligible Purchase Transactions	971	1013743	No Eligible Purchase Transactions
931	1013702	No Eligible Purchase Transactions	972	1013745	No Eligible Purchase Transactions
932	1013703	No Eligible Purchase Transactions	973	1013746	No Eligible Purchase Transactions
933	1013704	No Eligible Purchase Transactions	974	1013747	No Eligible Purchase Transactions
934	1013705	No Eligible Purchase Transactions	975	1013748	No Eligible Purchase Transactions
935	1013706	No Eligible Purchase Transactions	976	1013749	No Eligible Purchase Transactions
936	1013707	No Eligible Purchase Transactions	977	1013750	No Eligible Purchase Transactions
937	1013708	No Eligible Purchase Transactions	978	1013751	No Eligible Purchase Transactions
938	1013709	No Eligible Purchase Transactions	979	1013752	No Eligible Purchase Transactions
939	1013710	No Eligible Purchase Transactions	980	1013753	No Eligible Purchase Transactions
940	1013711	No Eligible Purchase Transactions	981	1013754	No Eligible Purchase Transactions
941	1013712	No Eligible Purchase Transactions	982	1013755	No Eligible Purchase Transactions
942	1013713	No Eligible Purchase Transactions	983	1013756	No Eligible Purchase Transactions
943	1013714	No Eligible Purchase Transactions	984	1013757	No Eligible Purchase Transactions

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
985	1013758	No Eligible Purchase Transactions	1026	1013804	No Eligible Purchase Transactions
986	1013760	No Eligible Purchase Transactions	1027	1013805	No Eligible Purchase Transactions
987	1013761	No Eligible Purchase Transactions	1028	1013806	No Eligible Purchase Transactions
988	1013762	No Eligible Purchase Transactions	1029	1013807	No Eligible Purchase Transactions
989	1013763	No Eligible Purchase Transactions	1030	1013808	No Eligible Purchase Transactions
990	1013764	No Eligible Purchase Transactions	1031	1013809	No Eligible Purchase Transactions
991	1013765	No Recognized Claim Amount	1032	1013810	No Recognized Claim Amount
992	1013766	No Eligible Purchase Transactions	1033	1013811	No Eligible Purchase Transactions
993	1013768	No Eligible Purchase Transactions	1034	1013812	No Eligible Purchase Transactions
994	1013769	No Eligible Purchase Transactions	1035	1013813	No Eligible Purchase Transactions
995	1013772	No Eligible Purchase Transactions	1036	1013815	No Eligible Purchase Transactions
996	1013773	No Eligible Purchase Transactions	1037	1013816	No Eligible Purchase Transactions
997	1013774	No Eligible Purchase Transactions	1038	1013817	No Eligible Purchase Transactions
998	1013775	No Eligible Purchase Transactions	1039	1013818	No Eligible Purchase Transactions
999	1013776	No Eligible Purchase Transactions	1040	1013819	No Eligible Purchase Transactions
1000	1013777	No Eligible Purchase Transactions	1041	1013820	No Eligible Purchase Transactions
1001	1013778	No Eligible Purchase Transactions	1042	1013821	No Eligible Purchase Transactions
1002	1013779	No Eligible Purchase Transactions	1043	1013822	No Eligible Purchase Transactions
1003	1013780	No Eligible Purchase Transactions	1044	1013823	No Eligible Purchase Transactions
1004	1013781	No Eligible Purchase Transactions	1045	1013824	No Eligible Purchase Transactions
1005	1013782	No Eligible Purchase Transactions	1046	1013825	No Eligible Purchase Transactions
1006	1013783	No Eligible Purchase Transactions	1047	1013826	No Eligible Purchase Transactions
1007	1013784	No Eligible Purchase Transactions	1048	1013827	No Eligible Purchase Transactions
1008	1013785	No Eligible Purchase Transactions	1049	1013829	No Eligible Purchase Transactions
1009	1013786	No Eligible Purchase Transactions	1050	1013830	No Eligible Purchase Transactions
1010	1013787	No Eligible Purchase Transactions	1051	1013831	No Eligible Purchase Transactions
1011	1013788	No Eligible Purchase Transactions	1052	1013832	No Recognized Claim Amount
1012	1013789	No Eligible Purchase Transactions	1053	1013833	No Eligible Purchase Transactions
1013	1013790	No Eligible Purchase Transactions	1054	1013835	No Eligible Purchase Transactions
1014	1013792	No Eligible Purchase Transactions	1055	1013836	No Eligible Purchase Transactions
1015	1013793	No Eligible Purchase Transactions	1056	1013837	No Eligible Purchase Transactions
1016	1013794	No Eligible Purchase Transactions	1057	1013838	No Eligible Purchase Transactions
1017	1013795	No Eligible Purchase Transactions	1058	1013839	No Eligible Purchase Transactions
1018	1013796	No Eligible Purchase Transactions	1059	1013840	No Eligible Purchase Transactions
1019	1013797	No Eligible Purchase Transactions	1060	1013841	No Eligible Purchase Transactions
1020	1013798	No Eligible Purchase Transactions	1061	1013842	No Eligible Purchase Transactions
1021	1013799	No Eligible Purchase Transactions	1062	1013843	No Eligible Purchase Transactions
1022	1013800	No Eligible Purchase Transactions	1063	1013845	No Eligible Purchase Transactions
1023	1013801	No Eligible Purchase Transactions	1064	1013846	No Eligible Purchase Transactions
1024	1013802	No Eligible Purchase Transactions	1065	1013847	No Eligible Purchase Transactions
1025	1013803	No Eligible Purchase Transactions	1066	1013848	No Eligible Purchase Transactions

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
1067	1013849	No Eligible Purchase Transactions	1108	1013898	No Eligible Purchase Transactions
1068	1013850	No Eligible Purchase Transactions	1109	1013899	No Eligible Purchase Transactions
1069	1013851	No Eligible Purchase Transactions	1110	1013900	No Eligible Purchase Transactions
1070	1013852	No Eligible Purchase Transactions	1111	1013901	No Recognized Claim Amount
1071	1013854	No Eligible Purchase Transactions	1112	1013902	No Recognized Claim Amount
1072	1013855	No Eligible Purchase Transactions	1113	1013903	No Eligible Purchase Transactions
1073	1013856	No Eligible Purchase Transactions	1114	1013905	No Eligible Purchase Transactions
1074	1013857	No Eligible Purchase Transactions	1115	1013906	No Eligible Purchase Transactions
1075	1013858	No Eligible Purchase Transactions	1116	1013907	No Recognized Claim Amount
1076	1013859	No Eligible Purchase Transactions	1117	1013910	No Recognized Claim Amount
1077	1013861	No Eligible Purchase Transactions	1118	1013911	No Eligible Purchase Transactions
1078	1013862	No Eligible Purchase Transactions	1119	1013912	No Eligible Purchase Transactions
1079	1013863	No Eligible Purchase Transactions	1120	1013913	No Eligible Purchase Transactions
1080	1013865	No Eligible Purchase Transactions	1121	1013915	No Eligible Purchase Transactions
1081	1013867	No Eligible Purchase Transactions	1122	1013916	No Eligible Purchase Transactions
1082	1013868	No Eligible Purchase Transactions	1123	1013917	No Eligible Purchase Transactions
1083	1013869	No Eligible Purchase Transactions	1124	1013918	No Eligible Purchase Transactions
1084	1013870	No Eligible Purchase Transactions	1125	1013919	No Eligible Purchase Transactions
1085	1013871	No Eligible Purchase Transactions	1126	1013920	No Eligible Purchase Transactions
1086	1013872	No Eligible Purchase Transactions	1127	1013921	No Eligible Purchase Transactions
1087	1013873	No Eligible Purchase Transactions	1128	1013922	No Eligible Purchase Transactions
1088	1013875	No Eligible Purchase Transactions	1129	1013923	No Eligible Purchase Transactions
1089	1013876	No Eligible Purchase Transactions	1130	1013925	No Eligible Purchase Transactions
1090	1013877	No Eligible Purchase Transactions	1131	1013926	No Eligible Purchase Transactions
1091	1013878	No Eligible Purchase Transactions	1132	1013927	No Eligible Purchase Transactions
1092	1013879	No Eligible Purchase Transactions	1133	1013928	No Recognized Claim Amount
1093	1013880	No Eligible Purchase Transactions	1134	1013929	No Recognized Claim Amount
1094	1013882	No Eligible Purchase Transactions	1135	1013930	No Eligible Purchase Transactions
1095	1013883	No Eligible Purchase Transactions	1136	1013931	No Recognized Claim Amount
1096	1013884	No Eligible Purchase Transactions	1137	1013932	No Eligible Purchase Transactions
1097	1013885	No Eligible Purchase Transactions	1138	1013933	No Eligible Purchase Transactions
1098	1013886	No Eligible Purchase Transactions	1139	1013934	No Eligible Purchase Transactions
1099	1013888	No Eligible Purchase Transactions	1140	1013935	No Eligible Purchase Transactions
1100	1013889	No Eligible Purchase Transactions	1141	1013936	No Eligible Purchase Transactions
1101	1013891	No Recognized Claim Amount	1142	1013937	No Eligible Purchase Transactions
1102	1013892	No Eligible Purchase Transactions	1143	1013938	No Eligible Purchase Transactions
1103	1013893	No Eligible Purchase Transactions	1144	1013939	No Eligible Purchase Transactions
1104	1013894	No Eligible Purchase Transactions	1145	1013940	No Eligible Purchase Transactions
1105	1013895	No Eligible Purchase Transactions	1146	1013941	No Eligible Purchase Transactions
1106	1013896	No Eligible Purchase Transactions	1147	1013942	No Eligible Purchase Transactions
1107	1013897	No Eligible Purchase Transactions	1148	1013943	No Eligible Purchase Transactions

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
1149	1013944	No Eligible Purchase Transactions	1190	1013989	No Eligible Purchase Transactions
1150	1013946	No Eligible Purchase Transactions	1191	1013990	No Eligible Purchase Transactions
1151	1013947	No Eligible Purchase Transactions	1192	1013991	No Eligible Purchase Transactions
1152	1013948	No Eligible Purchase Transactions	1193	1013992	No Eligible Purchase Transactions
1153	1013949	No Eligible Purchase Transactions	1194	1013993	No Eligible Purchase Transactions
1154	1013950	No Eligible Purchase Transactions	1195	1013995	No Eligible Purchase Transactions
1155	1013951	No Eligible Purchase Transactions	1196	1013996	No Eligible Purchase Transactions
1156	1013952	No Eligible Purchase Transactions	1197	1013997	No Eligible Purchase Transactions
1157	1013953	No Eligible Purchase Transactions	1198	1013998	No Eligible Purchase Transactions
1158	1013954	No Eligible Purchase Transactions	1199	1013999	No Eligible Purchase Transactions
1159	1013955	No Eligible Purchase Transactions	1200	1014000	No Eligible Purchase Transactions
1160	1013956	No Eligible Purchase Transactions	1201	1014002	No Eligible Purchase Transactions
1161	1013958	No Eligible Purchase Transactions	1202	1014003	No Eligible Purchase Transactions
1162	1013959	No Eligible Purchase Transactions	1203	1014004	No Eligible Purchase Transactions
1163	1013960	No Recognized Claim Amount	1204	1014005	No Eligible Purchase Transactions
1164	1013961	No Eligible Purchase Transactions	1205	1014006	No Eligible Purchase Transactions
1165	1013962	No Eligible Purchase Transactions	1206	1014007	No Recognized Claim Amount
1166	1013963	No Eligible Purchase Transactions	1207	1014008	No Recognized Claim Amount
1167	1013964	No Eligible Purchase Transactions	1208	1014009	No Eligible Purchase Transactions
1168	1013965	No Eligible Purchase Transactions	1209	1014010	No Eligible Purchase Transactions
1169	1013966	No Eligible Purchase Transactions	1210	1014011	No Eligible Purchase Transactions
1170	1013967	No Eligible Purchase Transactions	1211	1014012	No Eligible Purchase Transactions
1171	1013968	No Recognized Claim Amount	1212	1014013	No Eligible Purchase Transactions
1172	1013969	No Eligible Purchase Transactions	1213	1014014	No Eligible Purchase Transactions
1173	1013971	No Eligible Purchase Transactions	1214	1014015	No Eligible Purchase Transactions
1174	1013972	No Eligible Purchase Transactions	1215	1014016	No Eligible Purchase Transactions
1175	1013973	No Eligible Purchase Transactions	1216	1014017	No Eligible Purchase Transactions
1176	1013974	No Eligible Purchase Transactions	1217	1014018	No Eligible Purchase Transactions
1177	1013975	No Recognized Claim Amount	1218	1014019	No Recognized Claim Amount
1178	1013976	No Eligible Purchase Transactions	1219	1014020	No Eligible Purchase Transactions
1179	1013978	No Eligible Purchase Transactions	1220	1014021	No Eligible Purchase Transactions
1180	1013979	No Eligible Purchase Transactions	1221	1014022	No Eligible Purchase Transactions
1181	1013980	No Eligible Purchase Transactions	1222	1014024	No Eligible Purchase Transactions
1182	1013981	No Eligible Purchase Transactions	1223	1014025	No Eligible Purchase Transactions
1183	1013982	No Eligible Purchase Transactions	1224	1014026	No Eligible Purchase Transactions
1184	1013983	No Eligible Purchase Transactions	1225	1014027	No Eligible Purchase Transactions
1185	1013984	No Eligible Purchase Transactions	1226	1014028	No Eligible Purchase Transactions
1186	1013985	No Eligible Purchase Transactions	1227	1014029	No Eligible Purchase Transactions
1187	1013986	No Eligible Purchase Transactions	1228	1014030	No Eligible Purchase Transactions
1188	1013987	No Eligible Purchase Transactions	1229	1014031	No Eligible Purchase Transactions
1189	1013988	No Eligible Purchase Transactions	1230	1014033	No Eligible Purchase Transactions

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1231	1014034	No Eligible Purchase Transactions	1272	1014087	No Eligible Purchase Transactions
1232	1014035	No Eligible Purchase Transactions	1273	1014088	No Eligible Purchase Transactions
1233	1014036	No Eligible Purchase Transactions	1274	1014089	No Eligible Purchase Transactions
1234	1014037	No Eligible Purchase Transactions	1275	1014091	No Recognized Claim Amount
1235	1014038	No Eligible Purchase Transactions	1276	1014092	No Eligible Purchase Transactions
1236	1014039	No Eligible Purchase Transactions	1277	1014093	No Eligible Purchase Transactions
1237	1014040	No Eligible Purchase Transactions	1278	1014094	No Eligible Purchase Transactions
1238	1014041	No Eligible Purchase Transactions	1279	1014095	No Eligible Purchase Transactions
1239	1014042	No Eligible Purchase Transactions	1280	1014096	No Eligible Purchase Transactions
1240	1014044	No Eligible Purchase Transactions	1281	1014097	No Eligible Purchase Transactions
1241	1014045	No Eligible Purchase Transactions	1282	1014098	No Eligible Purchase Transactions
1242	1014046	No Eligible Purchase Transactions	1283	1014099	No Eligible Purchase Transactions
1243	1014047	No Eligible Purchase Transactions	1284	1014100	No Eligible Purchase Transactions
1244	1014048	No Eligible Purchase Transactions	1285	1014101	No Eligible Purchase Transactions
1245	1014050	No Eligible Purchase Transactions	1286	1014102	No Eligible Purchase Transactions
1246	1014052	No Eligible Purchase Transactions	1287	1014103	No Eligible Purchase Transactions
1247	1014053	No Eligible Purchase Transactions	1288	1014104	No Eligible Purchase Transactions
1248	1014056	No Eligible Purchase Transactions	1289	1014105	No Recognized Claim Amount
1249	1014058	No Eligible Purchase Transactions	1290	1014106	No Eligible Purchase Transactions
1250	1014060	No Eligible Purchase Transactions	1291	1014107	No Eligible Purchase Transactions
1251	1014061	No Eligible Purchase Transactions	1292	1014108	No Eligible Purchase Transactions
1252	1014062	No Eligible Purchase Transactions	1293	1014109	No Eligible Purchase Transactions
1253	1014064	No Recognized Claim Amount	1294	1014112	No Eligible Purchase Transactions
1254	1014065	No Eligible Purchase Transactions	1295	1014113	No Eligible Purchase Transactions
1255	1014066	No Eligible Purchase Transactions	1296	1014114	No Eligible Purchase Transactions
1256	1014068	No Eligible Purchase Transactions	1297	1014115	No Eligible Purchase Transactions
1257	1014070	No Eligible Purchase Transactions	1298	1014117	No Recognized Claim Amount
1258	1014071	No Eligible Purchase Transactions	1299	1014118	No Recognized Claim Amount
1259	1014072	No Eligible Purchase Transactions	1300	1014122	No Recognized Claim Amount
1260	1014073	No Eligible Purchase Transactions	1301	1014124	No Recognized Claim Amount
1261	1014074	No Eligible Purchase Transactions	1302	1014126	No Recognized Claim Amount
1262	1014076	No Eligible Purchase Transactions	1303	1014131	No Recognized Claim Amount
1263	1014077	No Eligible Purchase Transactions	1304	1014133	No Recognized Claim Amount
1264	1014078	No Eligible Purchase Transactions	1305	1014134	No Eligible Purchase Transactions
1265	1014080	No Eligible Purchase Transactions	1306	1014139	No Recognized Claim Amount
1266	1014081	No Eligible Purchase Transactions	1307	1014148	No Recognized Claim Amount
1267	1014082	No Eligible Purchase Transactions	1308	1014151	No Recognized Claim Amount
1268	1014083	No Eligible Purchase Transactions	1309	1014152	No Recognized Claim Amount
1269	1014084	No Eligible Purchase Transactions	1310	1014155	No Recognized Claim Amount
1270	1014085	No Eligible Purchase Transactions	1311	1014161	No Recognized Claim Amount
1271	1014086	No Eligible Purchase Transactions	1312	1014163	No Recognized Claim Amount



**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
1313	1014164	No Recognized Claim Amount	1354	1015804	No Eligible Purchase Transactions
1314	1014165	No Recognized Claim Amount	1355	1015806	No Eligible Purchase Transactions
1315	1014168	No Recognized Claim Amount	1356	1015813	No Recognized Claim Amount
1316	1014171	No Recognized Claim Amount	1357	1015816	No Eligible Purchase Transactions
1317	1014197	Ineligible	1358	1015822	No Recognized Claim Amount
1318	1014220	Ineligible	1359	1015823	No Recognized Claim Amount
1319	1014294	No Recognized Claim Amount	1360	1015824	No Recognized Claim Amount
1320	1014436	Ineligible	1361	1015825	No Recognized Claim Amount
1321	1014532	Ineligible	1362	1015826	No Eligible Purchase Transactions
1322	1014902	Ineligible	1363	1015829	No Eligible Purchase Transactions
1323	1014944	No Recognized Claim Amount	1364	1015830	No Recognized Claim Amount
1324	1015056	No Recognized Claim Amount	1365	1015831	No Recognized Claim Amount
1325	1015079	No Recognized Claim Amount	1366	1015832	No Eligible Purchase Transactions
1326	1015296	Ineligible	1367	1015834	No Eligible Purchase Transactions
1327	1015436	Ineligible	1368	1015835	No Eligible Purchase Transactions
1328	1015472	No Recognized Claim Amount	1369	1015836	No Eligible Purchase Transactions
1329	1015495	No Recognized Claim Amount	1370	1015841	No Eligible Purchase Transactions
1330	1015666	No Recognized Claim Amount	1371	1015842	No Eligible Purchase Transactions
1331	1015742	No Recognized Claim Amount	1372	1015844	No Recognized Claim Amount
1332	1015743	No Recognized Claim Amount	1373	1015845	No Recognized Claim Amount
1333	1015745	No Eligible Purchase Transactions	1374	1015846	No Eligible Purchase Transactions
1334	1015746	No Eligible Purchase Transactions	1375	1015848	No Eligible Purchase Transactions
1335	1015747	No Recognized Claim Amount	1376	1015849	No Eligible Purchase Transactions
1336	1015748	No Eligible Purchase Transactions	1377	1015850	No Eligible Purchase Transactions
1337	1015749	No Recognized Claim Amount	1378	1015852	No Eligible Purchase Transactions
1338	1015750	No Recognized Claim Amount	1379	1015853	No Eligible Purchase Transactions
1339	1015753	No Recognized Claim Amount	1380	1015854	No Eligible Purchase Transactions
1340	1015768	No Eligible Purchase Transactions	1381	1015855	No Recognized Claim Amount
1341	1015772	No Recognized Claim Amount	1382	1015856	No Eligible Purchase Transactions
1342	1015773	No Recognized Claim Amount	1383	1015857	No Recognized Claim Amount
1343	1015774	No Recognized Claim Amount	1384	1015858	No Recognized Claim Amount
1344	1015775	No Eligible Purchase Transactions	1385	1015859	No Eligible Purchase Transactions
1345	1015776	No Recognized Claim Amount	1386	1015860	No Recognized Claim Amount
1346	1015777	No Recognized Claim Amount	1387	1015861	No Recognized Claim Amount
1347	1015778	No Recognized Claim Amount	1388	1015862	No Recognized Claim Amount
1348	1015779	Duplicate Claim	1389	1015863	No Recognized Claim Amount
1349	1015783	No Eligible Purchase Transactions	1390	1015864	No Recognized Claim Amount
1350	1015786	No Recognized Claim Amount	1391	1015865	No Eligible Purchase Transactions
1351	1015790	No Recognized Claim Amount	1392	1015867	No Eligible Purchase Transactions
1352	1015791	No Recognized Claim Amount	1393	1015868	No Eligible Purchase Transactions
1353	1015796	No Recognized Claim Amount	1394	1015869	No Recognized Claim Amount

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
1395	1015870	No Recognized Claim Amount	1436	1015934	No Eligible Purchase Transactions
1396	1015872	No Eligible Purchase Transactions	1437	1015935	No Eligible Purchase Transactions
1397	1015873	No Eligible Purchase Transactions	1438	1015939	No Recognized Claim Amount
1398	1015874	No Eligible Purchase Transactions	1439	1015940	No Eligible Purchase Transactions
1399	1015876	No Eligible Purchase Transactions	1440	1015942	No Recognized Claim Amount
1400	1015877	No Eligible Purchase Transactions	1441	1015945	No Eligible Purchase Transactions
1401	1015878	No Eligible Purchase Transactions	1442	1015946	No Eligible Purchase Transactions
1402	1015880	No Recognized Claim Amount	1443	1015947	No Eligible Purchase Transactions
1403	1015881	No Recognized Claim Amount	1444	1015949	No Recognized Claim Amount
1404	1015882	No Recognized Claim Amount	1445	1015950	No Recognized Claim Amount
1405	1015883	No Recognized Claim Amount	1446	1015951	No Recognized Claim Amount
1406	1015886	No Eligible Purchase Transactions	1447	1015952	No Eligible Purchase Transactions
1407	1015888	No Eligible Purchase Transactions	1448	1015954	No Eligible Purchase Transactions
1408	1015889	No Recognized Claim Amount	1449	1015955	No Eligible Purchase Transactions
1409	1015891	No Recognized Claim Amount	1450	1015956	No Eligible Purchase Transactions
1410	1015892	No Recognized Claim Amount	1451	1015957	No Recognized Claim Amount
1411	1015894	No Eligible Purchase Transactions	1452	1015958	No Eligible Purchase Transactions
1412	1015895	No Eligible Purchase Transactions	1453	1015959	No Eligible Purchase Transactions
1413	1015896	No Eligible Purchase Transactions	1454	1015960	No Eligible Purchase Transactions
1414	1015897	No Recognized Claim Amount	1455	1015961	No Eligible Purchase Transactions
1415	1015898	No Recognized Claim Amount	1456	1015962	No Eligible Purchase Transactions
1416	1015900	No Recognized Claim Amount	1457	1015963	No Eligible Purchase Transactions
1417	1015903	No Eligible Purchase Transactions	1458	1015964	No Eligible Purchase Transactions
1418	1015906	No Recognized Claim Amount	1459	1015965	No Eligible Purchase Transactions
1419	1015907	No Eligible Purchase Transactions	1460	1015966	No Eligible Purchase Transactions
1420	1015908	No Recognized Claim Amount	1461	1015967	No Eligible Purchase Transactions
1421	1015909	No Eligible Purchase Transactions	1462	1015969	No Recognized Claim Amount
1422	1015911	No Eligible Purchase Transactions	1463	1015970	No Recognized Claim Amount
1423	1015912	No Eligible Purchase Transactions	1464	1015971	No Recognized Claim Amount
1424	1015913	No Eligible Purchase Transactions	1465	1015972	No Eligible Purchase Transactions
1425	1015915	No Eligible Purchase Transactions	1466	1015973	No Eligible Purchase Transactions
1426	1015916	No Eligible Purchase Transactions	1467	1015974	No Eligible Purchase Transactions
1427	1015918	No Recognized Claim Amount	1468	1015975	No Eligible Purchase Transactions
1428	1015920	No Eligible Purchase Transactions	1469	1015976	No Eligible Purchase Transactions
1429	1015921	No Eligible Purchase Transactions	1470	1015977	No Eligible Purchase Transactions
1430	1015922	No Eligible Purchase Transactions	1471	1015978	No Eligible Purchase Transactions
1431	1015925	No Eligible Purchase Transactions	1472	1015979	No Eligible Purchase Transactions
1432	1015926	No Eligible Purchase Transactions	1473	1015983	No Eligible Purchase Transactions
1433	1015927	No Recognized Claim Amount	1474	1015984	No Eligible Purchase Transactions
1434	1015931	No Recognized Claim Amount	1475	1015986	No Recognized Claim Amount
1435	1015932	No Eligible Purchase Transactions	1476	1015988	No Eligible Purchase Transactions



### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1477	1015989	No Eligible Purchase Transactions	1518	1016049	No Recognized Claim Amount
1478	1015991	No Eligible Purchase Transactions	1519	1016050	No Recognized Claim Amount
1479	1015992	No Eligible Purchase Transactions	1520	1016051	No Recognized Claim Amount
1480	1015993	No Eligible Purchase Transactions	1521	1016052	No Recognized Claim Amount
1481	1015994	No Eligible Purchase Transactions	1522	1016053	No Recognized Claim Amount
1482	1015995	No Eligible Purchase Transactions	1523	1016054	No Recognized Claim Amount
1483	1015996	No Eligible Purchase Transactions	1524	1016055	No Eligible Purchase Transactions
1484	1015997	No Eligible Purchase Transactions	1525	1016056	No Eligible Purchase Transactions
1485	1015998	No Eligible Purchase Transactions	1526	1016057	No Recognized Claim Amount
1486	1016000	No Eligible Purchase Transactions	1527	1016058	No Eligible Purchase Transactions
1487	1016004	No Eligible Purchase Transactions	1528	1016060	No Recognized Claim Amount
1488	1016005	No Eligible Purchase Transactions	1529	1016062	No Eligible Purchase Transactions
1489	1016006	No Eligible Purchase Transactions	1530	1016063	No Eligible Purchase Transactions
1490	1016011	No Eligible Purchase Transactions	1531	1016067	No Eligible Purchase Transactions
1491	1016012	No Eligible Purchase Transactions	1532	1016068	No Eligible Purchase Transactions
1492	1016015	No Eligible Purchase Transactions	1533	1016071	No Recognized Claim Amount
1493	1016016	No Eligible Purchase Transactions	1534	1016072	No Recognized Claim Amount
1494	1016017	No Eligible Purchase Transactions	1535	1016075	No Eligible Purchase Transactions
1495	1016018	No Eligible Purchase Transactions	1536	1016078	No Recognized Claim Amount
1496	1016020	No Eligible Purchase Transactions	1537	1016079	No Eligible Purchase Transactions
1497	1016021	No Eligible Purchase Transactions	1538	1016080	No Eligible Purchase Transactions
1498	1016022	No Eligible Purchase Transactions	1539	1016081	No Eligible Purchase Transactions
1499	1016023	No Eligible Purchase Transactions	1540	1016082	No Recognized Claim Amount
1500	1016024	No Recognized Claim Amount	1541	1016083	No Eligible Purchase Transactions
1501	1016025	No Recognized Claim Amount	1542	1016084	No Eligible Purchase Transactions
1502	1016026	No Recognized Claim Amount	1543	1016085	No Eligible Purchase Transactions
1503	1016027	No Recognized Claim Amount	1544	1016086	No Eligible Purchase Transactions
1504	1016030	No Eligible Purchase Transactions	1545	1016090	No Eligible Purchase Transactions
1505	1016031	No Eligible Purchase Transactions	1546	1016093	No Eligible Purchase Transactions
1506	1016032	No Eligible Purchase Transactions	1547	1016094	No Eligible Purchase Transactions
1507	1016033	No Recognized Claim Amount	1548	1016095	No Eligible Purchase Transactions
1508	1016034	No Recognized Claim Amount	1549	1016096	No Eligible Purchase Transactions
1509	1016035	No Eligible Purchase Transactions	1550	1016097	No Eligible Purchase Transactions
1510	1016037	No Eligible Purchase Transactions	1551	1016098	No Eligible Purchase Transactions
1511	1016038	No Eligible Purchase Transactions	1552	1016099	No Eligible Purchase Transactions
1512	1016042	No Recognized Claim Amount	1553	1016100	No Eligible Purchase Transactions
1513	1016043	No Recognized Claim Amount	1554	1016103	No Eligible Purchase Transactions
1514	1016044	No Eligible Purchase Transactions	1555	1016104	No Eligible Purchase Transactions
1515	1016046	No Recognized Claim Amount	1556	1016107	No Eligible Purchase Transactions
1516	1016047	No Recognized Claim Amount	1557	1016109	No Eligible Purchase Transactions
1517	1016048	No Recognized Claim Amount	1558	1016110	No Eligible Purchase Transactions

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
1559	1016111	No Eligible Purchase Transactions	1600	1016184	No Eligible Purchase Transactions
1560	1016113	No Eligible Purchase Transactions	1601	1016185	No Eligible Purchase Transactions
1561	1016114	No Eligible Purchase Transactions	1602	1016186	No Eligible Purchase Transactions
1562	1016115	No Eligible Purchase Transactions	1603	1016187	No Eligible Purchase Transactions
1563	1016116	No Eligible Purchase Transactions	1604	1016188	No Eligible Purchase Transactions
1564	1016117	No Eligible Purchase Transactions	1605	1016192	No Eligible Purchase Transactions
1565	1016118	No Eligible Purchase Transactions	1606	1016195	No Eligible Purchase Transactions
1566	1016119	No Recognized Claim Amount	1607	1016196	No Eligible Purchase Transactions
1567	1016123	No Eligible Purchase Transactions	1608	1016198	No Eligible Purchase Transactions
1568	1016126	No Recognized Claim Amount	1609	1016199	No Eligible Purchase Transactions
1569	1016131	No Eligible Purchase Transactions	1610	1016202	No Eligible Purchase Transactions
1570	1016134	No Recognized Claim Amount	1611	1016203	No Eligible Purchase Transactions
1571	1016135	No Recognized Claim Amount	1612	1016204	No Eligible Purchase Transactions
1572	1016136	No Recognized Claim Amount	1613	1016205	No Eligible Purchase Transactions
1573	1016141	No Eligible Purchase Transactions	1614	1016206	No Eligible Purchase Transactions
1574	1016142	No Eligible Purchase Transactions	1615	1016207	No Eligible Purchase Transactions
1575	1016144	No Eligible Purchase Transactions	1616	1016209	No Eligible Purchase Transactions
1576	1016146	No Eligible Purchase Transactions	1617	1016210	No Recognized Claim Amount
1577	1016147	No Eligible Purchase Transactions	1618	1016211	No Recognized Claim Amount
1578	1016150	No Eligible Purchase Transactions	1619	1016212	No Eligible Purchase Transactions
1579	1016151	No Eligible Purchase Transactions	1620	1016218	No Eligible Purchase Transactions
1580	1016153	No Eligible Purchase Transactions	1621	1016219	No Recognized Claim Amount
1581	1016154	No Eligible Purchase Transactions	1622	1016220	No Recognized Claim Amount
1582	1016155	No Eligible Purchase Transactions	1623	1016221	No Recognized Claim Amount
1583	1016156	No Eligible Purchase Transactions	1624	1016222	No Recognized Claim Amount
1584	1016158	No Eligible Purchase Transactions	1625	1016223	No Eligible Purchase Transactions
1585	1016159	No Recognized Claim Amount	1626	1016224	No Eligible Purchase Transactions
1586	1016160	No Recognized Claim Amount	1627	1016225	No Eligible Purchase Transactions
1587	1016161	No Recognized Claim Amount	1628	1016226	No Recognized Claim Amount
1588	1016162	No Eligible Purchase Transactions	1629	1016227	No Eligible Purchase Transactions
1589	1016163	No Eligible Purchase Transactions	1630	1016228	No Eligible Purchase Transactions
1590	1016164	No Recognized Claim Amount	1631	1016229	No Eligible Purchase Transactions
1591	1016165	No Eligible Purchase Transactions	1632	1016230	No Eligible Purchase Transactions
1592	1016166	No Eligible Purchase Transactions	1633	1016231	No Eligible Purchase Transactions
1593	1016167	No Eligible Purchase Transactions	1634	1016232	No Eligible Purchase Transactions
1594	1016168	No Eligible Purchase Transactions	1635	1016233	No Eligible Purchase Transactions
1595	1016169	No Eligible Purchase Transactions	1636	1016234	No Eligible Purchase Transactions
1596	1016170	No Eligible Purchase Transactions	1637	1016235	No Eligible Purchase Transactions
1597	1016173	No Eligible Purchase Transactions	1638	1016236	No Eligible Purchase Transactions
1598	1016181	No Eligible Purchase Transactions	1639	1016237	No Eligible Purchase Transactions
1599	1016183	No Eligible Purchase Transactions	1640	1016238	No Eligible Purchase Transactions

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1641	1016239	No Eligible Purchase Transactions	1682	1016280	No Eligible Purchase Transactions
1642	1016240	No Eligible Purchase Transactions	1683	1016281	No Eligible Purchase Transactions
1643	1016241	No Eligible Purchase Transactions	1684	1016282	No Eligible Purchase Transactions
1644	1016242	No Eligible Purchase Transactions	1685	1016283	No Eligible Purchase Transactions
1645	1016243	No Eligible Purchase Transactions	1686	1016284	No Eligible Purchase Transactions
1646	1016244	No Eligible Purchase Transactions	1687	1016288	No Eligible Purchase Transactions
1647	1016245	No Eligible Purchase Transactions	1688	1016289	No Eligible Purchase Transactions
1648	1016246	No Eligible Purchase Transactions	1689	1016290	No Eligible Purchase Transactions
1649	1016247	No Eligible Purchase Transactions	1690	1016291	No Recognized Claim Amount
1650	1016248	No Eligible Purchase Transactions	1691	1016292	No Eligible Purchase Transactions
1651	1016249	No Eligible Purchase Transactions	1692	1016293	No Eligible Purchase Transactions
1652	1016250	No Eligible Purchase Transactions	1693	1016296	No Eligible Purchase Transactions
1653	1016251	No Eligible Purchase Transactions	1694	1016298	No Recognized Claim Amount
1654	1016252	No Eligible Purchase Transactions	1695	1016299	No Recognized Claim Amount
1655	1016253	No Eligible Purchase Transactions	1696	1016302	No Eligible Purchase Transactions
1656	1016254	No Eligible Purchase Transactions	1697	1016306	No Eligible Purchase Transactions
1657	1016255	No Eligible Purchase Transactions	1698	1016307	No Eligible Purchase Transactions
1658	1016256	No Eligible Purchase Transactions	1699	1016308	No Eligible Purchase Transactions
1659	1016257	No Eligible Purchase Transactions	1700	1016309	No Eligible Purchase Transactions
1660	1016258	No Eligible Purchase Transactions	1701	1016312	No Eligible Purchase Transactions
1661	1016259	No Eligible Purchase Transactions	1702	1016313	No Eligible Purchase Transactions
1662	1016260	No Eligible Purchase Transactions	1703	1016315	No Eligible Purchase Transactions
1663	1016261	No Eligible Purchase Transactions	1704	1016317	No Eligible Purchase Transactions
1664	1016262	No Eligible Purchase Transactions	1705	1016318	No Eligible Purchase Transactions
1665	1016263	No Eligible Purchase Transactions	1706	1016319	No Eligible Purchase Transactions
1666	1016264	No Eligible Purchase Transactions	1707	1016320	No Eligible Purchase Transactions
1667	1016265	No Eligible Purchase Transactions	1708	1016322	No Eligible Purchase Transactions
1668	1016266	No Eligible Purchase Transactions	1709	1016323	No Recognized Claim Amount
1669	1016267	No Eligible Purchase Transactions	1710	1016324	No Recognized Claim Amount
1670	1016268	No Eligible Purchase Transactions	1711	1016325	No Recognized Claim Amount
1671	1016269	No Eligible Purchase Transactions	1712	1016326	No Recognized Claim Amount
1672	1016270	No Eligible Purchase Transactions	1713	1016327	No Recognized Claim Amount
1673	1016271	No Eligible Purchase Transactions	1714	1016328	No Recognized Claim Amount
1674	1016272	No Eligible Purchase Transactions	1715	1016329	No Recognized Claim Amount
1675	1016273	No Eligible Purchase Transactions	1716	1016331	No Recognized Claim Amount
1676	1016274	No Eligible Purchase Transactions	1717	1016332	No Recognized Claim Amount
1677	1016275	No Eligible Purchase Transactions	1718	1016334	No Recognized Claim Amount
1678	1016276	No Eligible Purchase Transactions	1719	1016335	No Eligible Purchase Transactions
1679	1016277	No Eligible Purchase Transactions	1720	1016336	No Eligible Purchase Transactions
1680	1016278	No Eligible Purchase Transactions	1721	1016337	No Recognized Claim Amount
1681	1016279	No Eligible Purchase Transactions	1722	1016339	No Recognized Claim Amount

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1723	1016341	No Recognized Claim Amount	1764	1016407	No Eligible Purchase Transactions
1724	1016342	No Recognized Claim Amount	1765	1016408	No Eligible Purchase Transactions
1725	1016344	No Eligible Purchase Transactions	1766	1016411	No Eligible Purchase Transactions
1726	1016345	No Recognized Claim Amount	1767	1016413	No Recognized Claim Amount
1727	1016346	No Eligible Purchase Transactions	1768	1016414	No Eligible Purchase Transactions
1728	1016347	No Recognized Claim Amount	1769	1016415	No Eligible Purchase Transactions
1729	1016348	No Eligible Purchase Transactions	1770	1016418	No Eligible Purchase Transactions
1730	1016349	No Eligible Purchase Transactions	1771	1016419	No Eligible Purchase Transactions
1731	1016350	No Recognized Claim Amount	1772	1016421	No Recognized Claim Amount
1732	1016351	No Eligible Purchase Transactions	1773	1016422	No Eligible Purchase Transactions
1733	1016352	No Recognized Claim Amount	1774	1016423	No Recognized Claim Amount
1734	1016353	No Recognized Claim Amount	1775	1016426	No Eligible Purchase Transactions
1735	1016355	No Eligible Purchase Transactions	1776	1016427	No Recognized Claim Amount
1736	1016357	No Eligible Purchase Transactions	1777	1016428	No Eligible Purchase Transactions
1737	1016358	No Eligible Purchase Transactions	1778	1016430	No Eligible Purchase Transactions
1738	1016360	No Eligible Purchase Transactions	1779	1016431	No Eligible Purchase Transactions
1739	1016362	No Eligible Purchase Transactions	1780	1016432	No Recognized Claim Amount
1740	1016363	No Eligible Purchase Transactions	1781	1016435	No Eligible Purchase Transactions
1741	1016366	No Recognized Claim Amount	1782	1016436	No Eligible Purchase Transactions
1742	1016370	Ineligible	1783	1016440	No Eligible Purchase Transactions
1743	1016374	Ineligible	1784	1016441	No Eligible Purchase Transactions
1744	1016375	No Eligible Purchase Transactions	1785	1016443	No Eligible Purchase Transactions
1745	1016376	No Recognized Claim Amount	1786	1016444	No Eligible Purchase Transactions
1746	1016377	No Eligible Purchase Transactions	1787	1016445	No Eligible Purchase Transactions
1747	1016378	No Eligible Purchase Transactions	1788	1016446	No Eligible Purchase Transactions
1748	1016379	No Eligible Purchase Transactions	1789	1016447	No Eligible Purchase Transactions
1749	1016380	No Eligible Purchase Transactions	1790	1016448	No Eligible Purchase Transactions
1750	1016381	No Eligible Purchase Transactions	1791	1016450	No Recognized Claim Amount
1751	1016382	No Eligible Purchase Transactions	1792	1016451	No Eligible Purchase Transactions
1752	1016383	No Eligible Purchase Transactions	1793	1016452	No Eligible Purchase Transactions
1753	1016384	No Eligible Purchase Transactions	1794	1016455	No Eligible Purchase Transactions
1754	1016385	No Eligible Purchase Transactions	1795	1016456	No Recognized Claim Amount
1755	1016393	No Eligible Purchase Transactions	1796	1016457	No Eligible Purchase Transactions
1756	1016394	No Eligible Purchase Transactions	1797	1016458	No Eligible Purchase Transactions
1757	1016395	No Eligible Purchase Transactions	1798	1016463	No Recognized Claim Amount
1758	1016397	No Eligible Purchase Transactions	1799	1016464	No Recognized Claim Amount
1759	1016398	No Eligible Purchase Transactions	1800	1016466	No Recognized Claim Amount
1760	1016399	No Recognized Claim Amount	1801	1016468	No Eligible Purchase Transactions
1761	1016403	No Eligible Purchase Transactions	1802	1016469	No Recognized Claim Amount
1762	1016405	No Eligible Purchase Transactions	1803	1016470	No Eligible Purchase Transactions
1763	1016406	No Eligible Purchase Transactions	1804	1016475	No Eligible Purchase Transactions

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1805	1016476	No Eligible Purchase Transactions	1846	1016538	No Recognized Claim Amount
1806	1016478	No Eligible Purchase Transactions	1847	1016539	No Recognized Claim Amount
1807	1016479	No Eligible Purchase Transactions	1848	1016540	No Recognized Claim Amount
1808	1016480	No Eligible Purchase Transactions	1849	1016542	No Recognized Claim Amount
1809	1016481	No Eligible Purchase Transactions	1850	1016543	No Recognized Claim Amount
1810	1016482	No Eligible Purchase Transactions	1851	1016544	No Recognized Claim Amount
1811	1016484	No Eligible Purchase Transactions	1852	1016545	No Recognized Claim Amount
1812	1016485	No Eligible Purchase Transactions	1853	1016546	No Recognized Claim Amount
1813	1016486	No Eligible Purchase Transactions	1854	1016547	No Recognized Claim Amount
1814	1016487	No Recognized Claim Amount	1855	1016548	No Recognized Claim Amount
1815	1016491	No Eligible Purchase Transactions	1856	1016549	No Recognized Claim Amount
1816	1016492	No Eligible Purchase Transactions	1857	1016550	No Recognized Claim Amount
1817	1016493	No Recognized Claim Amount	1858	1016551	No Recognized Claim Amount
1818	1016494	No Recognized Claim Amount	1859	1016552	Ineligible
1819	1016496	No Eligible Purchase Transactions	1860	1016556	No Recognized Claim Amount
1820	1016497	No Eligible Purchase Transactions	1861	1016557	No Recognized Claim Amount
1821	1016499	No Eligible Purchase Transactions	1862	1016558	No Eligible Purchase Transactions
1822	1016500	No Eligible Purchase Transactions	1863	1016559	No Recognized Claim Amount
1823	1016502	No Eligible Purchase Transactions	1864	1016561	No Eligible Purchase Transactions
1824	1016503	No Recognized Claim Amount	1865	1016562	Duplicate Claim
1825	1016504	No Recognized Claim Amount	1866	1016566	No Recognized Claim Amount
1826	1016505	No Recognized Claim Amount	1867	1016568	No Recognized Claim Amount
1827	1016506	No Eligible Purchase Transactions	1868	1016569	No Eligible Purchase Transactions
1828	1016507	No Recognized Claim Amount	1869	1016570	No Eligible Purchase Transactions
1829	1016510	No Eligible Purchase Transactions	1870	1016571	No Eligible Purchase Transactions
1830	1016514	No Recognized Claim Amount	1871	1016572	No Eligible Purchase Transactions
1831	1016515	No Recognized Claim Amount	1872	1016573	No Eligible Purchase Transactions
1832	1016516	No Eligible Purchase Transactions	1873	1016577	Ineligible
1833	1016517	No Recognized Claim Amount	1874	1016580	No Recognized Claim Amount
1834	1016521	No Recognized Claim Amount	1875	1016582	No Recognized Claim Amount
1835	1016522	No Recognized Claim Amount	1876	1016583	No Recognized Claim Amount
1836	1016523	No Recognized Claim Amount	1877	1016591	No Recognized Claim Amount
1837	1016525	No Recognized Claim Amount	1878	1016592	No Recognized Claim Amount
1838	1016526	No Recognized Claim Amount	1879	1016595	No Recognized Claim Amount
1839	1016531	No Recognized Claim Amount	1880	1016599	No Recognized Claim Amount
1840	1016532	No Recognized Claim Amount	1881	1016603	No Recognized Claim Amount
1841	1016533	No Recognized Claim Amount	1882	1016604	No Recognized Claim Amount
1842	1016534	No Recognized Claim Amount	1883	1016605	No Recognized Claim Amount
1843	1016535	No Recognized Claim Amount	1884	1016606	Ineligible
1844	1016536	No Recognized Claim Amount	1885	1016611	Ineligible
1845	1016537	No Recognized Claim Amount	1886	1016613	No Recognized Claim Amount

### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1887	1016615	Ineligible	1928	1016729	No Recognized Claim Amount
1888	1016618	No Recognized Claim Amount	1929	1016730	No Recognized Claim Amount
1889	1016623	No Recognized Claim Amount	1930	1016731	No Eligible Purchase Transactions
1890	1016624	No Recognized Claim Amount	1931	1016732	No Recognized Claim Amount
1891	1016628	No Recognized Claim Amount	1932	1016733	No Recognized Claim Amount
1892	1016633	Ineligible	1933	1016734	No Recognized Claim Amount
1893	1016636	Duplicate Claim	1934	1016735	No Recognized Claim Amount
1894	1016641	No Recognized Claim Amount	1935	1016736	No Recognized Claim Amount
1895	1016646	No Eligible Purchase Transactions	1936	1016737	No Recognized Claim Amount
1896	1016647	No Eligible Purchase Transactions	1937	1016739	No Eligible Purchase Transactions
1897	1016652	No Eligible Purchase Transactions	1938	1016740	No Recognized Claim Amount
1898	1016653	No Eligible Purchase Transactions	1939	1016741	No Recognized Claim Amount
1899	1016658	Ineligible	1940	1016742	No Recognized Claim Amount
1900	1016659	Ineligible	1941	1016743	No Recognized Claim Amount
1901	1016663	No Eligible Purchase Transactions	1942	1016744	No Recognized Claim Amount
1902	1016664	No Recognized Claim Amount	1943	1016745	No Eligible Purchase Transactions
1903	1016670	Ineligible	1944	1016746	No Eligible Purchase Transactions
1904	1016671	No Recognized Claim Amount	1945	1016747	No Recognized Claim Amount
1905	1016675	Duplicate Claim	1946	1016748	No Recognized Claim Amount
1906	1016682	Ineligible	1947	1016749	No Recognized Claim Amount
1907	1016689	Ineligible	1948	1016750	No Recognized Claim Amount
1908	1016696	Ineligible	1949	1016751	No Recognized Claim Amount
1909	1016698	Questionable	1950	1016752	No Eligible Purchase Transactions
1910	1016699	Questionable	1951	1016754	No Eligible Purchase Transactions
1911	1016700	No Recognized Claim Amount	1952	1016755	No Recognized Claim Amount
1912	1016701	No Recognized Claim Amount	1953	1016756	No Recognized Claim Amount
1913	1016702	No Recognized Claim Amount	1954	1016757	No Recognized Claim Amount
1914	1016703	Questionable	1955	1016758	No Recognized Claim Amount
1915	1016704	No Recognized Claim Amount	1956	1016759	No Recognized Claim Amount
1916	1016717	No Recognized Claim Amount	1957	1016760	No Recognized Claim Amount
1917	1016718	No Recognized Claim Amount	1958	1016761	No Recognized Claim Amount
1918	1016719	No Recognized Claim Amount	1959	1016762	No Recognized Claim Amount
1919	1016720	No Recognized Claim Amount	1960	1016763	No Recognized Claim Amount
1920	1016721	No Recognized Claim Amount	1961	1016765	No Recognized Claim Amount
1921	1016722	No Eligible Purchase Transactions	1962	1016766	No Recognized Claim Amount
1922	1016723	No Recognized Claim Amount	1963	1016767	No Recognized Claim Amount
1923	1016724	No Recognized Claim Amount	1964	1016768	No Recognized Claim Amount
1924	1016725	No Recognized Claim Amount	1965	1016769	No Recognized Claim Amount
1925	1016726	No Recognized Claim Amount	1966	1016770	No Recognized Claim Amount
1926	1016727	No Recognized Claim Amount	1967	1016771	No Recognized Claim Amount
1927	1016728	No Eligible Purchase Transactions	1968	1016772	No Recognized Claim Amount



### Exhibit E Rejected Claims

	Claim	Reason for Rejection		Claim	Reason for Rejection
1969	1016773	No Recognized Claim Amount	2010	1016816	No Eligible Purchase Transactions
1970	1016774	No Recognized Claim Amount	2011	1016817	No Recognized Claim Amount
1971	1016775	No Recognized Claim Amount	2012	1016818	No Recognized Claim Amount
1972	1016776	No Recognized Claim Amount	2013	1016819	No Eligible Purchase Transactions
1973	1016777	No Recognized Claim Amount	2014	1016820	No Recognized Claim Amount
1974	1016778	No Recognized Claim Amount	2015	1016823	No Recognized Claim Amount
1975	1016780	No Recognized Claim Amount	2016	1016825	No Recognized Claim Amount
1976	1016781	No Recognized Claim Amount	2017	1016826	No Recognized Claim Amount
1977	1016782	No Recognized Claim Amount	2018	1016828	No Recognized Claim Amount
1978	1016784	No Recognized Claim Amount	2019	1016829	No Recognized Claim Amount
1979	1016785	No Recognized Claim Amount	2020	1016831	No Eligible Purchase Transactions
1980	1016786	No Recognized Claim Amount	2021	1016832	No Eligible Purchase Transactions
1981	1016787	No Recognized Claim Amount	2022	1016833	No Recognized Claim Amount
1982	1016788	No Recognized Claim Amount	2023	1016834	No Recognized Claim Amount
1983	1016789	No Recognized Claim Amount	2024	1016835	Questionable
1984	1016790	No Recognized Claim Amount	2025	1016836	No Recognized Claim Amount
1985	1016791	No Recognized Claim Amount	2026	1016837	No Recognized Claim Amount
1986	1016792	No Recognized Claim Amount	2027	1016839	No Recognized Claim Amount
1987	1016793	No Eligible Purchase Transactions	2028	1016840	No Recognized Claim Amount
1988	1016794	No Recognized Claim Amount	2029	1016850	No Recognized Claim Amount
1989	1016795	No Recognized Claim Amount	2030	1016864	No Recognized Claim Amount
1990	1016796	No Recognized Claim Amount	2031	1016884	No Recognized Claim Amount
1991	1016797	No Eligible Purchase Transactions	2032	1016902	No Recognized Claim Amount
1992	1016798	No Recognized Claim Amount	2033	1016907	No Recognized Claim Amount
1993	1016799	No Recognized Claim Amount	2034	1016913	No Eligible Purchase Transactions
1994	1016800	No Recognized Claim Amount	2035	1016986	No Eligible Purchase Transactions
1995	1016801	No Recognized Claim Amount	2036	1017013	No Eligible Purchase Transactions
1996	1016802	No Recognized Claim Amount	2037	1017036	No Recognized Claim Amount
1997	1016803	No Eligible Purchase Transactions	2038	1017037	No Recognized Claim Amount
1998	1016804	No Recognized Claim Amount	2039	1017063	No Recognized Claim Amount
1999	1016805	No Recognized Claim Amount	2040	1017110	No Recognized Claim Amount
2000	1016806	No Recognized Claim Amount	2041	1017113	No Recognized Claim Amount
2001	1016807	No Recognized Claim Amount	2042	1017136	No Recognized Claim Amount
2002	1016808	No Recognized Claim Amount	2043	1017173	No Recognized Claim Amount
2003	1016809	No Recognized Claim Amount	2044	1017206	No Eligible Purchase Transactions
2004	1016810	No Recognized Claim Amount	2045	1017227	No Recognized Claim Amount
2005	1016811	No Recognized Claim Amount	2046	1017277	No Recognized Claim Amount
2006	1016812	No Recognized Claim Amount	2047	1017291	No Recognized Claim Amount
2007	1016813	No Eligible Purchase Transactions	2048	1017336	No Recognized Claim Amount
2008	1016814	No Recognized Claim Amount	2049	1017339	No Recognized Claim Amount
2009	1016815	No Recognized Claim Amount	2050	1017355	No Recognized Claim Amount

**Exhibit E**  
**Rejected Claims**

	Claim	Reason for Rejection		Claim	Reason for Rejection
2051	1017379	No Recognized Claim Amount	2092	1018284	Duplicate Claim
2052	1017388	No Recognized Claim Amount	2093	1018285	Duplicate Claim
2053	1017402	No Recognized Claim Amount	2094	1018288	No Recognized Claim Amount
2054	1017452	No Recognized Claim Amount	2095	1018289	No Recognized Claim Amount
2055	1017454	No Recognized Claim Amount	2096	1018290	No Recognized Claim Amount
2056	1017469	No Recognized Claim Amount	2097	1018291	No Recognized Claim Amount
2057	1017523	No Recognized Claim Amount	2098	1018292	No Recognized Claim Amount
2058	1017583	No Recognized Claim Amount	2099	1018293	No Recognized Claim Amount
2059	1017597	No Recognized Claim Amount	2100	1018294	No Recognized Claim Amount
2060	1017605	No Recognized Claim Amount	2101	1018295	No Recognized Claim Amount
2061	1017630	No Recognized Claim Amount	2102	1018296	No Recognized Claim Amount
2062	1017650	No Recognized Claim Amount	2103	1018297	No Recognized Claim Amount
2063	1017667	No Recognized Claim Amount	2104	1018298	No Recognized Claim Amount
2064	1017669	No Eligible Purchase Transactions	2105	1018299	No Recognized Claim Amount
2065	1017701	No Recognized Claim Amount	2106	1018300	Duplicate Claim
2066	1017715	No Recognized Claim Amount	2107	1018301	No Recognized Claim Amount
2067	1017725	No Recognized Claim Amount	2108	1018304	No Recognized Claim Amount
2068	1017764	No Recognized Claim Amount	2109	1018305	No Recognized Claim Amount
2069	1017776	No Recognized Claim Amount	2110	1018307	No Recognized Claim Amount
2070	1017793	No Recognized Claim Amount	2111	1018308	No Recognized Claim Amount
2071	1017805	Ineligible	2112	1018309	No Recognized Claim Amount
2072	1017810	No Recognized Claim Amount	2113	1018310	No Recognized Claim Amount
2073	1017855	No Recognized Claim Amount	2114	1018311	No Recognized Claim Amount
2074	1017867	No Eligible Purchase Transactions	2115	1018312	No Recognized Claim Amount
2075	1017904	No Eligible Purchase Transactions	2116	1018313	No Recognized Claim Amount
2076	1017969	No Eligible Purchase Transactions	2117	1018314	No Recognized Claim Amount
2077	1018004	No Recognized Claim Amount	2118	1018315	No Recognized Claim Amount
2078	1018017	No Eligible Purchase Transactions	2119	1018316	No Recognized Claim Amount
2079	1018039	No Recognized Claim Amount	2120	1018317	No Eligible Purchase Transactions
2080	1018090	Ineligible	2121	1018318	No Recognized Claim Amount
2081	1018105	No Recognized Claim Amount	2122	1018319	No Eligible Purchase Transactions
2082	1018138	No Recognized Claim Amount	2123	1018320	No Recognized Claim Amount
2083	1018142	No Recognized Claim Amount	2124	1018321	No Recognized Claim Amount
2084	1018228	No Recognized Claim Amount	2125	1018322	No Recognized Claim Amount
2085	1018229	No Recognized Claim Amount	2126	1018323	No Recognized Claim Amount
2086	1018276	No Recognized Claim Amount	2127	1018324	No Eligible Purchase Transactions
2087	1018277	No Recognized Claim Amount	2128	1018325	No Recognized Claim Amount
2088	1018278	No Recognized Claim Amount	2129	1018327	No Recognized Claim Amount
2089	1018281	No Recognized Claim Amount	2130	1018328	No Recognized Claim Amount
2090	1018282	Duplicate Claim	2131	1018329	No Eligible Purchase Transactions
2091	1018283	Duplicate Claim	2132	1018330	No Recognized Claim Amount



**Exhibit E**  
**Rejected Claims**

<b>Claim</b>	<b>Reason for Rejection</b>	<b>Claim</b>	<b>Reason for Rejection</b>
2133	1018331 No Recognized Claim Amount	2144	1018342 No Eligible Purchase Transactions
2134	1018332 No Recognized Claim Amount	2145	1018343 No Eligible Purchase Transactions
2135	1018333 No Recognized Claim Amount	2146	1018344 No Eligible Purchase Transactions
2136	1018334 No Recognized Claim Amount	2147	1018345 No Eligible Purchase Transactions
2137	1018335 No Recognized Claim Amount	2148	1018346 No Eligible Purchase Transactions
2138	1018336 No Recognized Claim Amount	2149	1018347 No Eligible Purchase Transactions
2139	1018337 No Recognized Claim Amount	2150	1018348 No Eligible Purchase Transactions
2140	1018338 No Eligible Purchase Transactions	2151	1018349 No Eligible Purchase Transactions
2141	1018339 No Eligible Purchase Transactions	2152	1018350 No Eligible Purchase Transactions
2142	1018340 No Eligible Purchase Transactions	2153	1018351 No Eligible Purchase Transactions
2143	1018341 No Eligible Purchase Transactions		

**Exhibit F**

## Invoice



Invoice Date	Invoice Number
2/12/2019	10699
Period Start	Through Date
11/1/2018	3/12/2020

Catherine E. van Kampen, Esq.  
 Bernstein Litowitz Berger & Grossman LLP  
 1251 Avenue of the Americas  
 New York, NY 10020

Engagement: *HeartWare International Securities*

Due: Upon Approval

Description	Quantity	Rate	Amount
<b>Notice and Claims Administration (11/1/2018 - 11/26/2019)</b>			
<b>Claims Administration Fees</b>			
Initial Notice Coordination and Set Up Fee			\$10,000.00
<b>Claims Processing/Validation Fees</b>			
Claims 1 to 5,000	5,000	\$6.50	\$32,500.00
Claims 5,001 to 10,000	1,511	\$4.55	\$6,875.05
<b>Expenses</b>			
<b>Printing</b>			
Print Class Notice and Claim Form Inserted into Envelope	19,659	\$0.50	\$9,829.50
Acknowledgement Postcards	446	\$0.20	\$89.20
<b>Postage/Shipping</b>			
Postage for Notices			\$7,575.66
Postage for Correspondence with Claimants			\$290.45
<b>Summary Notice</b>			
Summary Notice - PR Newswire			\$2,205.00
Summary Notice - 1/4 Page B & W: Wall Street Journal			\$13,466.61
<b>Broker Reimbursement</b>			
Fees Paid to Brokers			\$17,035.23
<b>Class Member Support</b>			
Website Development			\$1,000.00
Monthly Website Maintenance and Hosting (Jan 2019 to Feb 2020)	14	\$50	\$700.00
Contact Center Setup			\$1,000.00
Telephone Charges - Interactive Voice Response (Minutes)	642.900	\$0.30	\$192.87
Telephone Charges - Live Agent (per Hour)	88.350	\$45.00	\$3,975.75
<b>Total Fees and Expenses to Date</b>			<b>\$106,735.32</b>

**Invoice**

ANALYTICS

Engagement: *HeartWare International Securities*

Due: Upon Approval

Description	Quantity	Rate	Amount
<b>Distribution Fees and Expenses (Estimated)</b>			
<b><i>Printing</i></b>			
Distribution Checks	4,304	\$0.50	\$2,152.00
<b><i>Postage/Shipping</i></b>			
Distribution Checks	4,304	\$0.50	\$2,152.00
<b><i>Class Member Support</i></b>			
Monthly Website Maintenance and Hosting (March - August 2020)	6	\$50	\$300.00
Telephone Charges - Interactive Voice Response (Minutes)	100.0	\$0.30	\$30.00
Telephone Charges - Live Agent (per Hour)	20.00	\$45.00	\$900.00
<b>Total Fees and Expenses (Estimated)</b>			<b>\$5,534.00</b>
<b>Total Fees and Expenses:</b>			<b>\$112,269.32</b>
<b>Please Remit To:</b>			
Analytics 18675 Lake Drive East Chanhassen, MN 55317			