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Join us in New Orleans for the 2019 Institutional Investor Forum

Protecting Shareholder Rights

April 10-13, 2019

FINAL AGENDA!



Adm. Mike Mullen, USN (Ret.) Former Chairman of the Joint Chiefs of Staff under Presidents George W. Bush and Barack Obama



Ronan Farrow American journalist and winner of the Pulitzer Prize, lawyer and government advisor



Preet Bharara Former United States Attorney for the Southern District of New York

Learn more about your rights and responsibilities as a fiduciary and gain valuable insights from fellow pension fund leaders and nationally recognized speakers and panelists.

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Protecting Shareholder Rights

What the Institutional Investor Community Needs to Know

Our Forum is designed to help fiduciaries enhance their knowledge of the US securities markets and deepen their understanding of fiduciary responsibilities. We will also explore important issues of shareholder rights, corporate governance, and securities litigation that affect pension funds today.

One of the premier educational conferences in the field, the Forum brings together representatives of public pension systems, Taft-Hartley funds, and other institutional investors from around the globe with experts from corporate America, finance, the law, academia, and the media in a relaxed, collegial setting. The educational sessions will provide a valuable learning experience, while the evening events at various New Orleans landmarks will be the backdrop for excellent opportunities to interact with colleagues and friends.

For Attorneys: Continuing Legal Education Accreditation Pending

Is this event for you?

The Forum for Institutional Investors is designed for representatives of public pension fund systems, Taft-Hartley funds, private money managers and other institutional investors including:

Chief Executives,
Business Managers, and
Executive Directors

Administrators

Trustees

Investment and Finance
Officers

Fund Counsel

Corporate Governance
Officers

What will you learn?

Get an inside look at the different regulatory and legal landscapes affecting your holdings.

Hear experts speak to the value and impact of securities litigation on public pension and institutional portfolios.

Learn how institutional investor activism is effecting structural change within corporate culture globally.

Learn best practices for handling the complex issues related to the monitoring of markets for, and protecting of portfolio assets against, securities fraud.



Wednesday, April 10

Welcome Reception 5:30 - 7:30 pm

Hot Tin Rooftop Bar

at the Pontchartrain Hotel 2031 St. Charles Avenue



Day One: Thursday, April 11





National World War II Museum

945 Magazine Street

8:00 - 8:45 am	RREAKEAST

8:45 am	Welcome and
	Opening Remarks

8:50 – 10:20 am Opening Address and Panel Discussion

The PSLRA at 24: What has happened and what can we expect in the future?

Since the passage of the Private Securities Litigation Reform Act in 1995, the markets have been hit by distinct waves of fraud and misconduct, as well as periods of relative reform. The institutional investor community has become a visible and indispensable force in the fight against corporate wrongdoing, recovering over \$130 billion in losses for injured investors and obtaining a variety of reforms to corporate governance practices through federal securities class actions. Over this same period of time, jurisprudence has developed considerably, with courts strengthening certain investor rights and erecting new barriers to bringing meritorious securities cases. This opening session sets the stage for the conference with an outline of the accomplishments of the institutional investor community, how the investor community can stay vigilant to promote honesty and integrity in the marketplace, and to proffer specific ideas about the future of investor rights.

Continued on next page.

Distinguished Faculty / Speakers

Listed in alphabetical order



Shaamini Babu Partner Saltzman & Johnson, Counsel Pension Trust Fund for Operating Engineers (Alameda, CA)



Kevin Bergenhenegouwen Head of Legal & Regulatory Affairs PGB Pensioendiensten (The Netherlands)



Frank BurneyPartner
Martin & Drought, P.C.
(San Antonio, TX)



Joseph Burns Partner Jacobs, Burns, Orlove & Hernandez (Chicago, IL)



Mary Pat Burns Partner Burke Burns & Pinelli, Ltd. (Chicago, IL)



Commander William Cook Trustee City of Miami Police Relief and Pension Fund

Distinguished Faculty / Speakers

Listed in alphabetical order



Jim Coyne Business Manager The Chicago Journeymen Plumbers Local Union 130 UA



Amy Crane General Counsel Rhode Island Office of the General Treasurer



Gerard Cruz Trustee and Treasurer Government of Guam Retirement Fund



Jeff Dana Solicitor City of Providence, RI



Derek Dobson Chief Executive Officer and Plan Manager College of Applied Arts and Technology Pension Plan (Ontario)



Carolina de Onis General Counsel The Teacher Retirement System of Texas



Hans Ek
Deputy CEO
SEB Investment
Management AB
(Sweden)

The Changing Regulatory and Legislative Landscape

- Are we experiencing an erosion of investor rights?
- The push for mandatory arbitration.
- What do new Supreme Court appointments portend?
- Regulatory threats: decreased enforcement by the SEC, CFPB and other governmental agencies.
- Institutional investor activism options: How can, and should, shareholders respond?
- The potential impact on investors of changing the current quarterly disclosure regime.

10:20 - 10:30 am BREAK

10:30 - 11:30 am Panel Discussion

Bulls vs. Bears: Investment Strategies for a Changing Market

A panel of experienced investment professionals from some of the world's largest pension funds, investment firms and hedge funds discuss their views on the benefits and downsides of different investment strategies; the challenges of investing in today's global economy; as well as how pension officers can fulfill their fiduciary responsibilities in a volatile economic environment. Specific topics to be discussed include:

- Active vs. passive investing;
- Investing in a market with rising interest rates, including balancing bond allocations;
- Considerations for quantitative investing;
- Moving from a bull to a bear market; and
- Macro assessment of the overall marketplace.

11:30 am - 12:30 pm Panel Discussion

How do you decide what type of action is best for your fund?

What situations and circumstances drive the decision for a fund to be involved — or not to be involved — in a securities litigation? What factors are most important in your analysis? Does your fund use the same factors when considering corporate governance cases? What is your fund's process for assessing a case and making a decision to proceed or not?

12:30 - 2:00 pm LUNCH

Keynote Speaker

Adm. Mike Mullen United States Navy (Ret.) and Former Chairman of the Joint

Chiefs of Staff



Considered one of the most influential chairmen in history, Admiral Mike Mullen served under Presidents Bush and Obama. Since retiring from the Navy, Mullen has joined the boards of General Motors, Sprint and the Bloomberg Family Foundation and teaches at the Woodrow Wilson School of Public and International Affairs at Princeton University. He looks at how today's geopolitical issues and economic trends directly impact America's national security.

2:00 - 3:00 pm Panel Discussion

Foreign Litigation Roundtable: Developments "Since Morrison"

It has been almost a decade since the US Supreme Court ruled that investors in foreign securities no longer had much access to US courts to seek redress for fraud and misconduct. Investors damaged by corpo-

rate fraud in portfolio companies not based in the US have been forced to pursue legal action in other nations and jurisdictions — with wildly varying results. In this panel discussion we explore what we know now that we didn't know 8 years ago, including:

- Foreign securities litigation war stories;
- What types of cases have been successful and not successful;
- What venues are proving viable;
- Whether the effort to pursue cases outside the US has been worth it; and
- How much effort a pension fund should put toward foreign cases.

3:00 pm

Conference is adjourned for the day

Conference attendees will have open access to the entire National World War II Museum and movie passes to the Solomon Victory Theater on Thursday afternoon.





6:30 - 9:30 pm

Evening Activities

Cocktails and Dinner in New Orleans' Garden District

Featured speaker: Ronan Farrow

American journalist, lawyer and government advisor

Ronan Farrow is an influential voice in government and media, helping traditional institutions address the frustrations — and tap the promise — of a new generation. Twice named



one of the "30 Under 30" most influential people in law and policy by Forbes, he was the winner of a Pulitzer Prize for Journalism in 2018. Farrow is a Yale Law School educated attorney and a member of the New York Bar, and attended Oxford University as a Rhodes Scholar.

Distinguished Faculty / Speakers

Listed in alphabetical order



Jesse Evans, Jr. Director City of New Orleans Employees' Retirement System



Michael Herrera Senior Staff Counsel Los Angeles County Employees Retirement Association



Pedro Herrera Partner Sugarman & Susskind, P.A. (Coral Gables, FL)



Joseph Indelicato, Jr. General Counsel New York State Teachers' Retirement System



Ron King Member Clark Hill PLC (Lansing, MI)



Robert Klausner Principal Klausner, Kaufmann, Jensen & Levinson (Plantation, FL)



Danial Lam Managing Director, Legal & Business Development Ontario Municipal Employees' Retirement System

Distinguished Faculty / Speakers

Listed in alphabetical order



Kevin Lindahl
Deputy Executive Director
General Counsel
Fire & Police Pension
Association of Colorado



Ann Lipton
Michael Fleishman
Associate Professor in
Corporate Law &
Entrepreneurship
Tulane University Law
School
(New Orleans, LA)



David LorberPortfolio Manager
FrontFour Capital
Group, LLC



Lou Mandarini Executive Director Massachusetts Laborers Benefit Funds



James Napier Deputy City Attorney IV City of Los Angeles Pensions-Retirement Division



Harmen Nieuwenhuis Senior Legal Counsel Blue Sky Group (The Netherlands)



Arnaud's Restaurant

813 Bienville Street

8:00 - 8:30 am Breakfast

8:30 am Welcome and Recap

8:40 - 9:30 am Opening Address

The Evolution of Corporate Governance Litigation and Institutional Investor Activism

The last two decades have seen significant growth in the number and types of institutions bringing shareholder litigation to improve corporate governance practices and enforce the fiduciary obligations of boards and officers. From actions challenging board oversight failures, to claims for breach of fiduciary duty in the corporate M&A context, to executive compensation abuse, to proxy and voting rights violations, among other wrongdoing, the institutional investor community has taken some important steps to check bad corporate practices and improve governance at public companies. This session will outline what has been achieved on this critical front.

9:30 - 10:30 am Panel Discussion

Panel of Distinguished Executive Directors, Trustees and Other Pension Leaders

This panel will discuss the challenges facing defined benefit pension plans in today's policy and political world, including how they address the challenges to make certain pensions are adequately funded and protected.

10:30 - 10:40 am BREAK

10:40 - 11:40 am Panel Discussion

US Securities Class Actions through Foreign Eyes

Our panel of experts bring their unique experiences to bear in this comparative analysis of how different jurisdictions cope with corporate fraud and remedy failing corporate governance practices.

How do other countries view the US approach to holding wrongdoers accountable?



- What are the differences between the US approach to activism v. engagement in other countries and venues?
- What are the pros and cons of various systems?

11:40 am - 12:30 pm Panel Discussion

Best Practices for Pension Fund and Institutional Executives, Trustees and Counsel: "Do's and Don'ts"

Our panel of experts will discuss the importance of securities fraud monitoring and the lessons they have learned in their time acting as key fiduciary representatives for their funds' beneficiaries.

- Assuming you have a well-defined securities fraud monitoring program, what are the obstacles to managing it and what benefits does it provide?
- How do you manage your counsel selection process?
- What has a bull market meant for your perceptions of shareholder litigation?
- Do's and Don'ts: Lessons from our esteemed panel.

12:30 - 1:45 pm LUNCH

Interview

Preet Bharara

Former United States Attorney for the Southern District of New York



Preet Bharara served as US Attorney for the Southern District of New York from 2009 to 2017, where he earned a reputation as one of "the nation's most aggressive and outspoken prosecutors" (The New York Times). As US Attorney, Bharara supervised an office of more than 200 Assistant US Attorneys, who handled cases involving

terrorism, financial and healthcare fraud, cybercrime, public corruption, gang violence, organized crime, and civil rights violations.

1:45 - 2:45 pm

Panel Discussion

Securities Litigation Trends

Researchers and industry observers report that the rate of filings of traditional securities litigations are on par with recent years.

- What types of misconduct are driving current filings?
- What does the current pipeline of actions tell us?
- Is there an identifiable trend in the size of the recent recoveries?
- Are there fewer cases or fewer egregious frauds than in other eras?
- Have public companies gotten the message that the institutional investor community is committed to policing misconduct in its portfolio companies?
- Has index investing shielded companies from accountability?
- What makes a strong and healthy market?

2:45 - 3:45 pm

Panel Discussion

Outside Fiduciary Counsel as Pension Fund Gatekeepers

With an increasingly complex legal and investment environment, outside advisors to public pension funds and other institutions must embrace an increasingly more complicated role. Our expert panel will share their war stories, priorities and experiences in aiding fiduciaries as to how best to protect a fund's interest.

3:45 pm

Conference is adjourned for the day

Distinguished Faculty / Speakers

Listed in alphabetical order



Tiffany Reeves Shareholder Reinhart Boerner Van Deuren S.C. (Madison, Wisconsin)



Cindy Rougeou
Executive Director
Louisiana State
Employees' Retirement



Lori Schnall In-House Counsel Alameda County Employees' Retirement Association (Alameda, CA)



Cathy Smith General Counsel Government Employees' Retirement System of the Virgin Islands



Doug Snyder Managing Director Starboard Value LP (New York, NY)



Elmira K.L. Tsang Deputy Attorney General Counsel Employees' Retirement System of the State of Hawaii

Distinguished Faculty / Speakers

Listed in alphabetical order



David Webber
Professor of Law
Boston University School
of Law



John Weinstein County Treasurer, Board President Allegheny County (PA) Employees' Retirement System



Steven Wieting Chief Investment Strategist and Chief Economist Citi Private Bank (New York, NY)



Steve Wolosky Partner Olshan Frome Wolosky LLP (New York, NY)



Mark Zigler Partner Koskie Minsky LLP (Toronto, Ontario)

Faculty members here are confirmed for April; additional speakers to be announced.

Afternoon and Evening Activities

French Quarter Festival (April 11-14, 2019)

The Festival is the largest free music event in the United States and features 1,700 musicians playing 400 hours of music from a variety of musical genres on 23 stages throughout the French Quarter. It also includes the 'Culinary Lineup' — festival food booths operated by some of New Orleans' finest restaurants, open throughout the festival weekend. All details are available at the official festival website: https://fqfi.org/



The Pontchartrain Hotel

2031 St. Charles Avenue

9:00 – 11:00 am Roundtable Discussions

Breakfast/Brunch Roundtables

- Discovery Workshop: Challenges & Solutions
- Monitoring your Portfolio: Ins and Outs of our PortfolioWatch System
- Being a Leading Woman in Securities Litigation: The Experience from the Frontline
- Macro Thoughts: The Consolidation and Privatization of Corporate America

11:00 am - 2 pm Litigation Review and Updates

Your BLB&G team will be available to discuss ongoing litigation.

Ongoing Litigation Review: BLB&G attorneys lead an interactive discussion highlighting the nature and status of major ongoing securities litigations. (Public session)

Client Case Specific Updates: Institutional investor clients meet confidentially with BLB&G attorneys for review of pending litigation. (Private break-out sessions)

Afternoon and Evening Activities

Conference attendees who were unable to visit the WWII Museum on Thursday will have additional open access to the museum and movie passes to the Solomon Victory Theater on Saturday afternoon.

From 6:30 to 9:30 pm, attendees will "Dine Around" New Orleans.

Keynote Speakers

Preet Bharara

Former United States Attorney for the Southern District of New York; Distinguished Scholar, NYU School of Law; Host of CAFE's "Stay Tuned With Preet"



Preet Bharara is an American lawyer who served as US Attorney for the Southern District of New York from 2009 to 2017, nominated to the position on May 15, 2009 by President Barack Obama. Bharara's nomination was unanimously confirmed by the US Senate, and he was sworn in on August 13. During his tenure, Bharara earned a reputation as one of "the nation's most aggressive and outspoken prose-

cutors," according to The New York Times. His office's case against SAC Capital, for example, resulted in the largest fine ever paid in the history of insider trading prosecutions and reportedly served as an inspiration for the television series *Billions*, currently airing on Showtime. As US Attorney, Bharara oversaw the investigation and litigation of all criminal and civil cases brought on behalf of the United States in the Southern District of New York. He supervised an office of more than 200 Assistant US Attorneys, who handled a high volume of cases involving terrorism, narcotics and arms trafficking, financial and healthcare fraud, cybercrime, public corruption, gang violence, organized crime, and civil rights violations. On April 1, 2017, Bharara joined the NYU School of Law faculty as a Distinguished Scholar in Residence. In September 2017, Bharara launched "Stay Tuned with Preet," a podcast about justice and fairness.

Ronan Farrow

Pulitzer Prize winning journalist, lawyer and government advisor

Ronan Farrow is an influential voice in government and media, helping traditional institutions address the frustrations — and tap the promise — of a new generation. Forbes has twice named him one of the "30 Under 30" most influential people in law and policy, a contest he has gone on to judge. He won the world's most prestigious journalism award, the Pulitzer Prize, for his reporting on sexual harassment by



Harvey Weinstein. In April, 2018 he published a new book, *War on Peace: The End of Diplomacy and the Decline of American Influence*, which gives a unique insight into US foreign policy, and what many describe as US resignation in global leadership. In this book, Farrow interviews all of America's former living secretaries of state. Farrow served as a State Department diplomat in Afghanistan and Pakistan, and reported to Secretary of State Hillary Clinton as the United States' first special adviser for global youth during the Arab Spring revolutions. Farrow is a Yale Law School educated attorney and a member of the New York Bar, and attended Oxford University as a Rhodes Scholar.



Adm. Mike Mullen United States Navy (Ret.) Former Chairman, Joint Chiefs of Staff

Considered one of the most influential chairman of the Joint Chiefs of Staff in history, Admiral Mike Mullen spent four years as chairman—the top military advisor to Presidents George W. Bush and Barack Obama. Mullen oversaw the end of the combat mission in Iraq and

the development of a new military strategy for Afghanistan, while promoting international partnerships, new technologies and new counter-terrorism tactics culminating in the killing of Osama bin Laden. Since retiring from the Navy, Mullen has joined the boards of General Motors, Sprint and the Bloomberg Family Foundation. He teaches at the Woodrow Wilson School of Public and International Affairs at Princeton University. He is also known for his efforts on behalf of service members, veterans and their families. He is renowned for his role in dismantling "don't ask, don't tell" and allowing gay service members to serve openly. Today, he shares with audiences his deep experience in leading change in complex organizations, his assessment of geopolitical relationships, diversity implementation, crisis management, economic policy, risk management and the growing and existential threat of cyber security. Mullen takes a fresh approach to the most important geopolitical issues of the 21st Century, including America's position in the world and how economic health directly impacts our national security. Mullen believes our national debt is our greatest security threat.

About the Venues





DAY TWO: ARNAUD'S RESTAURANT

Sessions will be held at the renowned Arnaud's Restaurant in the colorful French Quarter. (More information about Arnaud's can be found at www.arnaudsrestaurant.com.)

DAY ONE: THE NATIONAL WWII MUSEUM

The National WWII Museum will host educational portions of the Forum. Located in New Orleans' historic Warehouse District, the museum tells the story of the American experience in the war that changed the world. Conference attendees will have open access to the entire museum and movie passes to the Solomon Victory Theater on Thursday afternoon and Saturday afternoon. (For information about the museum, please visit ww.nationalww2museum.org.)



DAY THREE: PONTCHARTRAIN HOTEL (Optional Small Group Sessions):

The iconic Pontchartrain Hotel — the "Grande Dame" of New Orleans hotels — will host breakfast/brunch roundtables on a variety of industry topics and updates on ongoing major litigations. (For more about the hotel visit www.thepontchartrainhotel.com.)



Tours and Tastes of the "Big Easy" for Attendees' Guests!

Social events for guests include a tour of the historic Garden District with lunch at the legendary Commander's Palace — a New Orleans landmark—and an exciting (and authentic) culinary tour in the French Quarter. Guests are also invited to attend all of the Forum's networking events.

Unique Afternoon





The Forum will host a series of evening activities following each daily program. The first evening will include a welcome reception. The second evening will feature cocktails and dinner in a private Garden District home. The final evening will include a "dine-around" option, where attendees can select from a group of New Orleans restaurants.

French Quarter Festival (April 11-14, 2019)

The 2019 Forum will be held during the award-winning French Quarter Festival, a four-day local music showcase in the French Quarter—the largest free music event in the

US. The festival also features the Culinary Lineup, festival food booths operated by some of New Orleans finest restaurants, open throughout the festival weekend. (For more details, visit the festival website at www.fqfi.org.)





Protecting Shareholder Rights

April 10-13, 2019 in New Orleans, LA

BLB&G invites administrators, trustees, directors, fund counsel and other leaders of the institutional investor community to New Orleans to learn more about their fiduciary rights and responsibilities.

4 EASY WAYS TO REGISTER	PAYMENT INFORMATION	
WEB: ww.blbglaw.com/nola MAIL: Send this form, along	The registration fee for the Forum is \$625.	
 E-MAIL: Scan this form to amanda@blbglaw.com TELEPHONE: with payment to: Amanda Rekemeier BLB&G 1251 Ave. of the Americas, 	The registration fee is intended to cover meals and entertainment in conformity with many jurisdictions' gift/gratuity limitations or restrictions, and represents our best estimate at the pre-event stage.	
Call Amanda Rekemeier at 44th floor +1 (212)-554-1451 New York, NY 10020	If you are not governed by these restrictions, you may select the "Registration fee waiver" option provided below. For qualified institu- tional investors from the United Kingdom and Europe, the registration	
WHEN AND WHERE	is automatically waived unless required by your jurisdiction.	
Wednesday, April 10, 2019 to Saturday, April 13, 2019 at the National World War II Museum, Arnaud's Restaurant and The Pontchartrain Hotel.	Registration fee waiver: I confirm that I am not governed by gift/gratuity limitations or restrictions, and/or our organization's restrictions do not relate to this conference.	
PERSONAL INFORMATION	Yes, waive my registration fee.	
First Name	PAYMENT OPTIONS	
Last Name	I will mail a check Please bill me	
Email Address	Please make checks payable to Bernstein Litowitz Berger & Grossmann LLP and send to:	
Phone	Amanda Rekemeier Bernstein Litowitz Berger & Grossmann LLP	
ORGANIZATION INFORMATION	1251 Avenue of the Americas, 44th Floor, New York, NY 10020	
Job Title		
Organization	CLE ACCREDITATION	
Address 1	If you are an attorney and would like to apply for CLE accreditation, please confirm your state of practice in the space	
Address 2	provided.	
City		
State ZIP	ACCOMMODATIONS	
State ZIP	Please contact Katherine Burke at 504-899-2339 or katherineB@blbglaw.com for assistance in booking your	
BRINGING A GUEST? Yes No	accommodations.	
Name of Guest		
Daytime activities for guest of attendees include a Garden District tour with lunch at Commander's Palace, and a culinary tour in the French Quarter. Will your guest wish to participate?		
Yes No	For more information, please visit www.blbglaw.com/nola or	
163	contact Amanda Rekemeier , Director of Client Relations, at amanda@blbglaw.com or 212-554-1451.	





Join us in New Orleans for the 2019 Institutional Investor Forum:

PROTECTING SHAREHOLDER RIGHTS

April 10-13, 2019

CLE Accreditation Pending