

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW HAMPSHIRE**

ADAM S. LEVY on behalf of himself and all others
similarly situated,

Plaintiff,

v.

THOMAS GUTIERREZ, RICHARD J. GAYNOR,
RAJA BAL, J. MICHAL CONAWAY, KATHLEEN A.
COTE, ERNEST L. GODSHALK, MATTHEW E.
MASSENGILL, MARY PETROVICH, ROBERT E.
SWITZ, NOEL G. WATSON, THOMAS WROE, JR.,
MORGAN STANLEY & CO. LLC, GOLDMAN,
SACHS & CO., AND CANACCORD GENUITY INC.,
AND APPLE, INC.

Defendants.

No. 1:14-cv-00443-JL

ECF CASE

LEAD PLAINTIFF'S MOTION FOR APPROVAL OF DISTRIBUTION PLAN

Lead Plaintiff and Class Representative Douglas Kurz (“Lead Plaintiff”), on behalf of himself and the Settlement Classes,¹ respectfully moves this Court for entry of the accompanying [Proposed] Order Approving Distribution Plan (the “Distribution Order”), which would, *inter alia*: (i) approve the administrative determinations of the Court-approved Claims Administrator, Epiq Class Action and Claims Solutions, Inc. (“Epiq”), accepting and rejecting Claims submitted in connection with the partial Settlements reached in the above-captioned Action as stated in the Declaration of Tara Donohue in Support of Lead Plaintiff’s Motion for Approval of Distribution Plan (the “Donohue Declaration”); (ii) direct the distribution of the Net Settlement Funds to Claimants whose Claims are accepted by Epiq as valid and approved by the Court (“Authorized Claimants”), while maintaining a Reserve for any tax liability and claims administration-related contingencies that may arise; (iii) direct that distribution checks state that the check must be cashed within 90 days after the issue date; (iv) direct that Authorized Claimants will forfeit all recovery from the Settlements if they fail to cash their distribution checks in a timely manner; (v) approve Epiq’s fees and expenses incurred and estimated to be incurred in the administration of the Settlements; (vi) release claims related to the administration process; and (vii) authorize the destruction of Proofs of Claim and supporting documents at an appropriate time.

This motion is based upon the accompanying Memorandum of Law in Support of Lead Plaintiff’s Motion for Approval of Distribution Plan and the Donohue Declaration submitted on behalf of Epiq.

¹ All terms with initial capitalization not otherwise defined herein have the meanings ascribed to them in the Donohue Declaration or in the Stipulation and Agreement of Settlement with Individual Defendants dated January 26, 2018 (ECF No. 178-1) (the “Individual Defendant Stipulation”), and the Stipulation and Agreement of Settlement with Settling Underwriter Defendants dated August 18, 2017, and the Supplement thereto dated January 26, 2018 (ECF No. 178-2) (the “Underwriter Defendant Stipulation” and, collectively with the Individual Defendant Stipulation, the “Stipulations”).

Pursuant to the Stipulations, Defendants have no role in or responsibility for the administration of the Settlement Funds or processing of Claims, including determinations as to the validity of Claims or the distribution of the Net Settlement Funds.²

Dated: November 26, 2019

Respectfully submitted,

**BERNSTEIN LITOWITZ BERGER &
GROSSMANN LLP**

/s/ John C. Browne

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Liaison Counsel for Plaintiffs

² See Individual Defendant Stipulation ¶¶ 23, 28, and Underwriter Defendant Stipulation ¶¶ 21, 27.

CERTIFICATE OF SERVICE

I hereby certify that on this 26th day of November 2019, the above Lead Plaintiff's Motion for Approval of Distribution Plan was electronically served through ECF on all registered attorneys in the case under Civil Action No. 14-cv-00443-JL.

/s/ Jennifer A. Eber

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**MEMORANDUM OF LAW IN SUPPORT OF LEAD PLAINTIFF'S
MOTION FOR APPROVAL OF DISTRIBUTION PLAN**

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Lead Plaintiff and Class Representative Douglas Kurz (“Lead Plaintiff”) respectfully moves for entry of the proposed Order Approving Distribution Plan (the “Class Distribution Order”) for the proceeds of the partial settlements (the “Settlements”) in the above-captioned action (the “Action”). The Distribution Plan is included in the accompanying Declaration of Tara Donohue in Support of Lead Plaintiff’s Motion for Approval of Distribution Plan (the “Donohue Declaration” or “Donohue Decl.”),¹ submitted on behalf of the Court-approved Claims Administrator, Epiq Class Action and Claims Solutions, Inc. (“Epiq”).²

If entered by the Court, the Class Distribution Order would, among other things, (i) approve Epiq’s administrative recommendations accepting and rejecting Claims submitted in the Action; (ii) direct the Initial Distribution of the Net Settlement Funds to Claimants whose Claims are accepted by Epiq as valid and approved by the Court, while maintaining a Reserve for any tax liability and claims administration-related contingencies that may arise; and (iii) approve Epiq’s fees and expenses incurred and estimated to be incurred in the administration of the Settlements.

Pursuant to the Stipulations, Defendants have no role in or responsibility for the administration of the Settlement Funds or processing of Claims, including determinations as to the validity of Claims or the distribution of the Net Settlement Funds. *See* Individual Defendant Stipulation ¶¶ 23, 28, and Underwriter Defendant Stipulation ¶¶ 21, 27.

¹ Unless otherwise indicated in this memorandum, all terms with initial capitalization shall have the meanings ascribed to them in the accompanying Donohue Declaration or in the Stipulation and Agreement of Settlement with Individual Defendants dated January 26, 2018 (ECF No. 178-1) (the “Individual Defendant Stipulation”), and the Stipulation and Agreement of Settlement with Settling Underwriter Defendants dated August 18, 2017, and the Supplement thereto dated January 26, 2018 (ECF No. 178-2) (the “Underwriter Defendant Stipulation” and, collectively with the Individual Defendant Stipulation, the “Stipulations”). The Settlements are contained in the Stipulations.

² The Court approved Garden City Group, LLC (“GCG”), as Claims Administrator. GCG was acquired by Epiq on June 15, 2018, and now is continuing operations as part of Epiq.

I. BACKGROUND

The Court has approved the Stipulations entered into by Lead Plaintiff, on behalf of himself and the other members of the Settlement Classes, and the settling Defendants in the Action. The Stipulations set forth the terms of the settlements (the “Settlements”), which represents a complete resolution of the claims against the Individual Defendants and the Underwriter Defendants in this Action in return for a payment of \$36.7 million, which the Settling Parties will pay or cause to be paid for the benefit of Settlement Class Members.³

In accordance with the Order Preliminarily Approving Settlements and Providing for Notice (the “Preliminary Approval Order”) entered by the Court, Epiq has mailed the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys’ Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the “Notice”) and the Proof of Claim and Release Form (the “Claim Form”, and together with the Notice, the “Notice Packet”) to potential Settlement Class Members, brokers, and other nominees. Donohue Decl. ¶ 2. As stated in the Donohue Declaration, Epiq has disseminated over 189,000 Notice Packets to potential Settlement Class Members and nominees. *Id.* ¶ 4. The Notice informed Settlement Class Members that if they wished to be eligible to participate in the distribution of the Net Settlement Funds, they were required to submit a properly executed Claim so that it would be received or postmarked no later than July 12, 2018. *Id.* ¶ 7.

³ “Plaintiffs on behalf of themselves and the other members of the respective relevant Settlement Classes, have agreed to settle with the Individual Defendants in exchange for a payment of \$27,000,000 in cash (the “Individual Defendant Settlement Amount”) and to settle with the Underwriter Defendants in exchange for a payment of \$9,700,000 in cash (the “Underwriter Defendant Settlement Amount”), such amounts to be deposited into separate escrow accounts for the benefit of the Individual Defendant Settlement Class and the Underwriter Defendant Settlement Class, respectively.” *See* Notice ¶ 2.

On July 27, 2018, the Court issued its Order Approving Plan of Allocation of Net Settlement Funds⁴ (ECF No. 191), its Judgment Approving Class Action Settlement with Individual Defendants (ECF No. 192), and Judgment Approving Class Action Settlement with Underwriter Defendants (ECF No. 193) (together the “Judgments”). The Effective Date of the Settlements has occurred. Accordingly, the Net Settlement Funds may be distributed to Authorized Claimants. In accordance with paragraph 30 of the Underwriter Defendant Stipulation and paragraph 31 of the Individual Defendant Stipulation, Lead Plaintiff respectfully asks the Court to enter the Class Distribution Order approving the Distribution Plan.⁵

II. CLAIMS ADMINISTRATION

As detailed in the accompanying Donohue Declaration, through November 6, 2019, Epiq received and processed 21,566 Claims. Donohue Decl. ¶ 7. All Claims received through November 6, 2019, have been fully processed in accordance with the Stipulations and the Court-approved Plan of Allocation included in the Notice (*id.*), and Epiq has worked with Claimants to help them perfect their Claims (*see id.* ¶¶ 19-26).⁶

As discussed in the Donohue Declaration, many of the Claims submitted in the Settlements were initially deficient or ineligible for one or more reasons, including being incomplete, not signed,

⁴ “The respective Net Settlement Funds (i.e., the respective Settlement Amounts plus any and all interest earned thereon (the “Settlement Funds”) less (i) any Taxes, (ii) any Notice and Administration Costs, (iii) any Litigation Expenses awarded by the Court, and (iv) any attorneys’ fees awarded by the Court) will be distributed in accordance with the plan of allocation that is approved by the Court.” *See* Notice ¶ 2.

⁵ The Court retained continuing and exclusive jurisdiction over, among other things, implementing the Settlements, including the disposition of the Settlement Funds and any motion to approve the Class Distribution Order. *See* Judgments ¶ 20.

⁶ In order to allow a proportional allocation of the Net Settlement Funds, and so that a distribution to eligible Claimants would not be delayed, Claims received after November 6, 2019, have not been processed. *See* Donohue Decl. ¶ 33. Any Claims received or adjusted after November 6, 2019, will be processed and presented to the Court in connection with Lead Counsel’s motion for a Second Distribution. *See Id.* ¶ 42(e).

not properly documented, or otherwise deficient, which required substantial follow-up work by Epiq. *Id.* ¶¶ 19, 22.

If Epiq determined a Claim to be defective or ineligible, a letter (if the Claimant filed a paper Claim) (the “Deficiency Notice”) or an email (if the Claimant filed an electronic Claim) (the “Status Email” and “Status Spreadsheet”) was sent by Epiq to the Claimant or filer, as applicable, describing the defect(s) or condition(s) of ineligibility in the Claim and what was necessary to cure any curable defect(s) in the Claim. *Id.* ¶¶ 20, 22. The Deficiency Notice or Status Email advised the Claimant or filer that the appropriate information or documentary evidence to complete the Claim had to be sent within 20 days from the date of the letter or email or Epiq would recommend the Claim for rejection to the extent the deficiency or condition of ineligibility was not cured. *Id.* ¶¶ 20, 23. An example of the Deficiency Notice is attached as Exhibit A to the Donohue Declaration and examples of the Status Email and Status Spreadsheet are attached thereto as Exhibits B and C, respectively.

As shown in the Donohue Declaration, of the 21,566 Claims that are the subject of this motion, Epiq has determined that 8,505 are acceptable in whole or in part, and that 13,061 (including the Disputed Claims discussed above) should be wholly rejected because they are ineligible for payment from the Net Settlement Funds. Donohue Decl. ¶¶ 36-39. Lead Plaintiff respectfully requests that the Court approve Epiq’s administrative determinations accepting and rejecting Claims as stated in the Donohue Declaration.

A. Disputed Claims

Epiq carefully reviewed Claimants’ and filers’ responses to the Deficiency Notices and worked with them to resolve deficiencies where possible. Donohue Decl. ¶¶ 21, 26. Consistent with paragraph 25(e) of the Stipulations, the Deficiency Notices specifically advised the Claimant or filer of the right, within 20 days after the mailing or e-mailing of the Deficiency Notice, to contest the rejection of the Claim and request Court review of the administrative determination of the Claim. Donohue Decl. ¶¶ 20, 23, and Exhibits A and B.

With respect to the fully processed Claims, Epiq received 117 requests for a review by the Court of its administrative determinations. *Id.* ¶ 28. To resolve the disputes without necessitating the Court's intervention, Epiq contacted all persons requesting Court review, and with respect to those Claimants who were reached, Epiq answered all their questions, fully explained Epiq's determination of the Claim's status and facilitated the submission of missing information or documentation where applicable. *Id.* As a result of these efforts, 114 Claims for which Court review had been requested have either been cured or the Claimant withdrew the request for Court review. *Id.*

Currently 3 Claims remain disputed and are being submitted to the Court for resolution (the "Disputed Claims"). *Id.* ¶ 29. Exhibit D (the "Disputed Claims Chart") of the Donohue Declaration contains copies of Claims and supporting documentation submitted by the 3 Disputing Claimants and other documents related to each Disputed Claim.⁷ *Id.* Epiq recommends the rejection of Disputed Claims 1-3 because the Claims do not calculate to a Recognized Claim under the Plan of Allocation. *Id.* ¶ 30. As set forth in the Disputed Claims Chart, Epiq recommends Disputed Claim 1 for rejection because all shares of GTAT Common Stock purchased during the Class Period were sold before September 9, 2014, or on September 9, 2014, before 1:12 p.m. EST. *See Id.* ¶ 30(a) and Appendix A to the Notice ¶ 27(i). As all shares of GTAT Common Stock were sold before September 9, 2014, or on September 9, 2014, before 1:12 p.m. EST, each of the Claimant's Recognized Loss Amounts on the transactions is zero and, as they had no other transactions in GTAT Common Stock, the Recognized Claim is zero. Donohue Decl. ¶ 30(a). Further, this Claim also calculated to an Exchange Act Market Gain. *Id.* As set forth in the Disputed Claims Chart, Epiq recommends

⁷ For privacy reasons, the documents included in Exhibit D have been redacted to remove all personal information such as street addresses, email addresses, telephone numbers, account numbers, Taxpayer ID, Social Security, or Social Insurance Numbers, and all financial and transaction information not related to the Claimants' transactions in GTAT Securities, unless the financial or transaction information serves as a basis for the dispute or as a basis for the Claim's rejection.

Disputed Claims 2 and 3 for rejection because all shares of GTAT Common Stock purchased during the Class Period were sold for an overall Exchange Act Market Gain. *Id.* ¶ 30(b).

Epiq has made multiple attempts to contact each of the Disputed Claimants, by telephone and/or email. For those Disputed Claimants who Epiq was able to contact, an Epiq representative answered all their questions and fully explained Epiq's determination of the Claim's status. However, these Claimants have indicated their desire to maintain the request for Court review. *Id.* ¶ 31.

B. Late Claims – and Final Cut-Off Date for the Initial Distribution

The 21,566 Claims received through November 6, 2019, include 576 that were postmarked or received after the Court-approved Claim-filing deadline of July 12, 2018, but that were received before November 6, 2019. Donohue Decl. ¶¶ 32, 38. Those late Claims have been fully processed, and 156 of them are, but for the late submission, otherwise eligible. *Id.* Although these 156 Claims were late, they were received while the processing of timely Claims was ongoing. Due to the amount of time needed to process the timely Claims received, the processing of these late Claims did not delay the completion of the Claims administration process or the distribution of the Net Settlement Funds. The Court has discretion to accept Claims received after the filing deadline.⁸ Lead Plaintiff respectfully submits that, when the equities are balanced, it would be unfair to prevent an otherwise eligible Claim from participating in the Net Settlement Funds solely because it was received after the Court-approved Claim-filing deadline, if it was submitted while timely Claims were still being processed.

⁸ See Preliminary Approval Order ¶ 10 (“*Unless the Court orders otherwise*, all Claim Forms must be postmarked no later than one hundred twenty (120) calendar days after the Notice Date. Notwithstanding the foregoing, Lead Counsel may, at its discretion, accept for processing late Claims provided such acceptance does not delay the distribution of the Net Settlement Funds to Authorized Claimants.”) (emphasis added).

To facilitate the efficient distribution of the Initial Distribution of the Net Settlement Funds, however, there must be a final cut-off date after which no other Claims may be accepted. Accordingly, Lead Plaintiff respectfully requests that this Court order that any new Claims and any adjustments to previously filed Claims that would result in an increased Recognized Claim amount received after November 6, 2019, be barred from payment in the Initial Distribution.⁹ Any Claims received or adjusted after November 6, 2019, will be processed and presented to the Court in connection with Lead Counsel's motion for a Second Distribution.

III. FEES AND EXPENSES OF CLAIMS ADMINISTRATOR

In accordance with Epiq's agreement with Lead Counsel to act as the Claims Administrator for the Settlements, Epiq was responsible for, among other things, disseminating notice of the Settlements to the Settlement Classes, creating and maintaining a website and toll-free telephone helpline, processing Claims, and allocating and distributing the Net Settlement Funds to Authorized Claimants. Donohue Decl. ¶ 2. As stated in the accompanying Donohue Declaration, Epiq's fees and expenses for its work performed on behalf of the Settlement Classes as well as an estimate for the work that will be performed and the costs that will be incurred in connection with the Initial Distribution of the Net Settlement Funds are \$911,195.94.¹⁰ Donohue Decl. ¶ 41. To date, Epiq has received payment in the amount of \$494,792.72 for its fees and expenses. *Id.* Accordingly, there is an outstanding balance of \$416,403.22 payable to Epiq, which amount includes the estimated fees and expenses to be incurred by Epiq in connection with the Initial Distribution. *Id.* Lead Counsel

⁹ Should an adjustment be received that results in a lower Recognized Claim amount, that adjustment will be made, and the Recognized Claim amount will be reduced accordingly prior to a distribution to that Claimant. Donohue Decl. ¶ 33.

¹⁰ Should the estimate of fees and expenses to conduct the Initial Distribution of the Net Settlement Funds exceed the actual cost to conduct the distribution, the excess will be returned to the Net Settlement Funds and will be available for subsequent distribution to Authorized Claimants. Donohue Decl. ¶ 41 n.5.

reviewed Epiq's invoices and respectfully request on behalf of Lead Plaintiff that the Court approve all of Epiq's fees and expenses.

IV. DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUNDS

The Net Settlement Funds are ready to be distributed. Lead Plaintiff respectfully moves the Court for entry of an order approving Epiq's determinations concerning acceptance and rejection of the Claims that are included in the present motion and approving the proposed plan for the distribution of the Net Settlement Funds as stated in the Donohue Declaration (the "Distribution Plan").

A. Initial Distribution of the Net Settlement Funds

Under the proposed Distribution Plan, Epiq will distribute approximately 95% of the Net Settlement Funds, after deducting all payments previously allowed and the payments approved by the Court on this motion, and after deducting payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees (the "Initial Distribution"). For the purposes of executing the Distribution Plan for the Net Settlement Funds, Epiq has developed a proprietary "calculation module" that would calculate an Exchange Act Recognized Claim or a Securities Act Recognized Claim (when combined referred to as the Claimant's Recognized Claims) pursuant to the Court-approved Plan of Allocation of the Net Settlement Funds stated in the Notice. *See* Donohue Decl. ¶ 8.

To conduct the Initial Distribution, Epiq will first determine each Authorized Claimant's *pro rata* share of the total Net Settlement Funds based on the Claimant's Recognized Claim, calculating award amounts for all Authorized Claimants as if the entire Net Settlement Funds were to be distributed now.¹¹ In accordance with the Court-approved Plan of Allocation, Epiq will calculate

¹¹ *See* Appendix A to the Notice ¶¶ 37-38, "Calculation of Claimant's 'Exchange Act Recognized Claim': A Claimant's 'Exchange Act Recognized Claim' will be the sum of his, her or its Exchange Act Recognized Loss Amounts as calculated above with respect to all GTAT Securities" and "Calculation of Claimant's 'Securities Act Recognized Claim': A Claimant's 'Securities Act

each Authorized Claimant's: (a) *pro rata* share of the Individual Defendant Net Settlement Fund based on the amount of the Authorized Claimant's Exchange Act Recognized Claim in comparison to the total Exchange Act Recognized Claims of all Authorized Claimants; and (b) *pro rata* share of the Underwriter Defendant Net Settlement Fund based on the amount of the Authorized Claimant's Securities Act Recognized Claim in comparison to the total Securities Act Recognized Claims of all Authorized Claimants.¹² See Donohue Decl. ¶ 42(a)(1). In accordance with the Court-approved Plan of Allocation and given the costs of making payments, Epiq will eliminate from the Initial Distribution any Authorized Claimant whose combined *pro rata* shares of the Individual Defendant Net Settlement Fund and Underwriter Defendant Net Settlement Fund calculate to less than \$10.00,¹³ as these Claimants will not receive any payment from the Net Settlement Funds and will be so notified by Epiq. *Id.* ¶ 42(a)(2). After eliminating Claimants who would have received less than \$10.00, Epiq will recalculate the *pro rata* shares of the Individual Defendant Net Settlement Fund and/or Underwriter Defendant Net Settlement Fund for Authorized Claimants who would have received \$10.00 or more pursuant to the calculations described in subparagraph ¶ 42(a)(1) of the Donohue Declaration. *Id.* ¶ 42(a)(3). This sum of these *pro rata* shares is the Authorized Claimant's "Distribution Amount."¹⁴ *Id.* 95% of the remaining balance of each Net Settlement Fund will be distributed *pro rata* to Authorized Claimants eligible to recover from the respective funds whose Distribution Amount calculates to \$10.00 or more under subparagraph 42(a)(3) of the Donohue Declaration. *Id.* ¶ 42(a)(4). The remaining 5% of each Net Settlement Fund will be held in reserve

Recognized Claim' will be the sum of his, her or its Securities Act Recognized Loss Amounts as calculated above with respect to all GTAT Common Stock Offering Shares and GTAT Senior Notes."

¹² See *Id.* ¶¶ 50-51, *Allocation of the Individual Defendant Net Settlement Fund and Allocation of the Underwriter Defendant Net Settlement Fund*.

¹³ See *Id.* ¶ 52, *Distribution Amount*.

¹⁴ See *Id.*

(the “Reserve”) to address any tax liability and claims administration-related contingencies that may arise. *Id.* To the extent the Reserve is not depleted, the remainder will be distributed in the “Second Distribution” described in subparagraph 42(d) of the Donohue Declaration. *Id.*

In order to encourage Authorized Claimants to cash their checks promptly, the distribution checks will bear the notation: “CASH PROMPTLY. VOID AND SUBJECT TO REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER ISSUE DATE].” *Id.* ¶ 42(b). Authorized Claimants who do not cash their checks within the time allotted or on the conditions stated in paragraph 42(b) footnote 9 of the Donohue Declaration will irrevocably forfeit all recovery from the Settlements, and the funds allocated to these stale-dated checks will be available to be redistributed to other Authorized Claimants in any subsequent distribution, as described below. *Id.* ¶ 42(c).

B. Additional Distribution(s) of the Net Settlement Funds

After Epiq has made reasonable and diligent efforts to have Authorized Claimants cash their Initial Distribution checks, but not earlier than nine (9) months after the Initial Distribution, Lead Counsel will seek Court approval to conduct a second distribution of the Net Settlement Funds (the “Second Distribution”). In the Second Distribution, any amounts remaining in the Net Settlement Funds after the Initial Distribution (including from the Reserve and the funds from all void stale-dated checks), after deducting Epiq’s fees and expenses incurred in connection with administering the Settlements for which it has not yet been paid (including the estimated costs of the Second Distribution), and after deducting payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, will be distributed to all Authorized Claimants in the Initial Distribution who cashed their Initial Distribution checks. *Id.* ¶ 42(d). Any Claims received or adjusted after November 6, 2019, will be processed and presented to the Court in connection with Lead Counsel’s motion for a Second Distribution. *Id.* ¶ 42(e).

V. RELEASE OF CLAIMS

In order to allow the full and final distribution of the Net Settlement Funds, it is necessary to bar any further claims against the Net Settlement Funds beyond the amounts allocated to Authorized

Claimants, and to provide that all persons involved in the review, verification, calculation, tabulation, or any other aspect of the processing of the Claims submitted in connection with the Settlements, or who are otherwise involved in the administration or taxation of the Settlements, be released and discharged from all claims arising out of that involvement. Accordingly, Lead Plaintiff respectfully requests that the Court enter the Class Distribution Order, which will release and discharge all such claims.

VI. CONCLUSION

For the foregoing reasons, Lead Plaintiff respectfully submits that Lead Plaintiff's Motion for Approval of Distribution Plan should be granted, and the [Proposed] Order Approving Distribution Plan should be entered.

Dated: November 26, 2019

Respectfully submitted,

**BERNSTEIN LITOWITZ BERGER &
GROSSMANN LLP**

/s/ John C. Browne

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Liaison Counsel for Plaintiffs

CERTIFICATE OF SERVICE

I hereby certify that on this 26th day of November 2019, the above Memorandum of Law in Support of Lead Plaintiff's Motion for Approval of Distribution Plan was electronically served through ECF on all registered attorneys in the case under Civil Action No. 14-cv-00443-JL.

/s/ Jennifer A. Eber

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ADAM S. LEVY on behalf of himself and all others
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Plaintiff,

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AND APPLE, INC.

Defendants.

No. 1:14-cv-00443-JL

ECF CASE

[PROPOSED] ORDER APPROVING DISTRIBUTION PLAN

Lead Plaintiff moved this Court for an order approving a distribution plan for the Net Settlement Funds in the above-captioned class action (the “Action”). Having reviewed and considered all the materials and arguments submitted in support of the motion, including the Memorandum of Law in Support of Lead Plaintiff’s Motion for Approval of Distribution Plan and the Declaration of Tara Donohue in Support of Lead Plaintiff’s Motion for Approval of Distribution Plan (the “Donohue Declaration”),

NOW, THEREFORE, IT IS HEREBY ORDERED that:

1. This Order incorporates by reference the definitions in the Stipulation and Agreement of Settlement with Individual Defendants dated January 26, 2018 (ECF No. 178-1) (the “Individual Defendant Stipulation”), and the Stipulation and Agreement of Settlement with Settling Underwriter Defendants dated August 18, 2017 (ECF No. 158-2), and the Supplement thereto dated January 26, 2018 (ECF No. 178-2) (the “Underwriter Defendant Stipulation” and, collectively with the Individual Defendant Stipulation, the “Stipulations”), and the Donohue Declaration and all terms

used in this Order shall have the same meanings as defined in the Stipulations or in the Donohue Declaration.

2. This Court has jurisdiction over the subject matter of the Action and over all parties to the Action, including all Settlement Class Members.

3. Lead Plaintiff's plan for distribution of the Net Settlement Funds to Authorized Claimants is **APPROVED**. Accordingly:

(a) The administrative recommendations of the Court-approved Claims Administrator, Epiq Class Action and Claims Solutions, Inc. ("Epiq"), to accept the Timely Eligible Claims stated in Exhibit E to the Donohue Declaration and the Late But Otherwise Eligible Claims stated in Exhibit F to the Donohue Declaration, are adopted;

(b) The Claims Administrator's administrative recommendations to reject wholly ineligible Claims, as stated in Exhibit G to the Donohue Declaration, including the Disputed Claims discussed in paragraphs 27 to 31 of the Donohue Declaration and Exhibit D to the Donohue Declaration, are adopted;

(c) Epiq is directed to conduct an Initial Distribution of the Net Settlement Funds, after deducting all payments previously allowed and the payments approved by this Order, and after deducting the payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, while maintaining a 5% reserve from the Net Settlement Funds to address any tax liability and claims administration-related contingencies that may arise, as stated in paragraph 42(a) of the Donohue Declaration. Specifically, as stated in paragraph 42(a) of the Donohue Declaration: (1) Epiq will calculate each Authorized Claimant's: (a) *pro rata* share of the Individual Defendant Net Settlement Fund based on the amount of the Authorized Claimant's Exchange Act Recognized Claim in comparison to the total Exchange Act Recognized Claims of all Authorized Claimants; and (b) *pro rata* share of the Underwriter Defendant Net Settlement Fund based on the amount of the Authorized Claimant's Securities Act Recognized Claim in comparison to the total Securities Act Recognized Claims of all Authorized Claimants, as stated in subparagraph 42(a)(1) of the

Donohue Declaration; (2) Epiq will, in accordance with the terms of the Court-approved Plan of Allocation, calculate the total amount each Authorized Claimant would recover in accordance with the calculations stated in subparagraph (c)(1) above and then it will eliminate from the Initial Distribution any Authorized Claimant whose combined *pro rata* share of the Individual Defendant Net Settlement Fund and/or Underwriter Defendant Net Settlement Fund calculates to less than \$10.00. These Claimants will not receive any payment from the Net Settlement Funds and will be so notified by Epiq; (3) After eliminating Claimants who would have received less than \$10.00 in the Initial Distribution, Epiq will recalculate the *pro rata* share of the Individual Defendant Net Settlement Fund and the Underwriter Defendant Net Settlement Fund for all Authorized Claimants who would have received \$10.00 or more pursuant to the calculations described in subparagraph (c)(1) above. The sum of these *pro rata* shares is the Authorized Claimant's "Distribution Amount"; (4) 95% of the remaining balance of the Net Settlement Funds will be distributed *pro rata* to Authorized Claimants whose Distribution Amount calculates to \$10.00 or more under subparagraph (c)(3) above. The remaining 5% of the Net Settlement Funds will be held in reserve (the "Reserve") to address any tax liability and claims administration-related contingencies that may arise. To the extent the Reserve is not depleted, the remainder will be distributed in the "Second Distribution" described in subparagraph (f) below.

(d) In order to encourage Authorized Claimants to cash their checks promptly, all Distribution checks will bear the following notation: "CASH PROMPTLY. VOID AND SUBJECT TO REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER ISSUE DATE]." Lead Counsel and Epiq are authorized to take appropriate action to locate and contact any Authorized Claimant who has not cashed his, her, or its check within said time as detailed in paragraph 42(b) footnote 9 of the Donohue Declaration;

(e) Authorized Claimants who do not cash their Initial Distribution checks within the time allotted or on the conditions stated in paragraph 42(b) footnote 9 of the Donohue Declaration will irrevocably forfeit all recovery from the Settlements, and the funds allocated

to all such stale-dated checks will be available to be distributed to other Authorized Claimants in the second distribution;

(f) After Epiq has made reasonable and diligent efforts to have Authorized Claimants cash their Initial Distribution checks (provided in paragraph 42(b) footnote 9 of the Donohue Declaration), but not earlier than nine (9) months after the Initial Distribution, Lead Counsel shall seek Court approval to conduct a second distribution (the “Second Distribution”), in which any amount remaining in the Net Settlement Funds after the Initial Distribution, including from the Reserve and the funds from all void stale-dated checks, after deducting Epiq’s fees and expenses incurred in administering the Settlements for which it has not yet been paid, including Epiq’s estimated costs of the Second Distribution, and after deducting the payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, will be distributed to all Authorized Claimants in the Initial Distribution who cashed their Initial Distribution check;

(g) Any Claims received or adjusted after November 6, 2019, will be processed and presented to the Court in connection with Lead Counsel’s motion for a Second Distribution;

(h) All persons involved in the review, verification, calculation, tabulation, or any other aspect of the processing of the Claims submitted, or who are otherwise involved in the administration or taxation of the Settlements, are hereby released and discharged from any and all claims arising out of that involvement, and all Settlement Class Members and other Claimants, whether or not they receive payment from the Net Settlement Funds, are hereby barred from making any further claims against the Net Settlement Funds, Lead Plaintiff, Lead Counsel, the Claims Administrator, the Escrow Agent, or any other agent retained by Lead Plaintiff or Lead Counsel in connection with the administration or taxation of the Settlement Funds or the Net Settlement Funds, or any other person released under the Settlements beyond the amounts allocated to Authorized Claimants;

(i) All of Epiq's fees and expenses incurred in the administration of the Settlements and estimated to be incurred in connection with the Distribution of the Net Settlement Funds as stated in the invoices attached as Exhibit H to the Donohue Declaration are approved, and Lead Counsel is directed to pay the outstanding balance of \$416,403.22 out of the Settlement Funds to Epiq; and

(j) Unless otherwise ordered by the Court, Epiq may destroy the paper copies of the Claims and all supporting documentation one year after the Second Distribution, and one year after all funds have been distributed may destroy electronic copies of the same.

4. This Court retains jurisdiction to consider any further applications concerning the administration of the Settlements, and any other and further relief that this Court deems appropriate.

SO ORDERED this ____ day of _____ 2019.

The Honorable Joseph N. Laplante
United States District Judge

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW HAMPSHIRE**

ADAM S. LEVY on behalf of himself and all others
similarly situated,

Plaintiff,

v.

THOMAS GUTIERREZ, RICHARD J. GAYNOR, RAJA
BAL, J. MICHAL CONAWAY, KATHLEEN A. COTE,
ERNEST L. GODSHALK, MATTHEW E.
MASSENGILL, MARY PETROVICH, ROBERT E.
SWITZ, NOEL G. WATSON, THOMAS WROE, JR.,
MORGAN STANLEY & CO. LLC, GOLDMAN,
SACHS & CO., CANACCORD GENUITY INC., AND
APPLE, INC.

Defendants.

No. 1:14-cv-00443-JL

ECF CASE

**DECLARATION OF TARA DONOHUE IN SUPPORT OF LEAD PLAINTIFF'S
MOTION FOR APPROVAL OF DISTRIBUTION PLAN**

I, TARA DONOHUE, hereby declare and state as follows:

1. I am a Senior Project Manager for Epiq Class Action and Claims Solutions, Inc. (“Epiq”), and formerly Assistant Director of Operations for Garden City Group, LLC (“GCG”).¹ I am over 21 years of age and am not a party to this Action. I have personal knowledge of the facts set forth in this declaration and, if called as a witness, could and would testify competently thereto.

2. Epiq was retained by Lead Counsel to serve as the Claims Administrator in connection with the partial Settlements in the above-captioned action (the “Action”).² In its Order

¹ GCG was acquired by Epiq on June 15, 2018, and now is continuing operations as part of Epiq.

² Unless otherwise defined in this Declaration, all capitalized terms have the meanings defined in the Stipulation and Agreement of Settlement with Individual Defendants dated January 26, 2018

Preliminarily Approving Settlements and Providing for Notice (ECF No. 179) (the “Preliminary Approval Order”), the Court approved the retention of Epiq as the Claims Administrator. As Claims Administrator, Epiq has, among other things: (i) mailed the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys’ Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the “Notice”) and the Proof of Claim and Release Form (the “Claim Form”, and together with the Notice, the “Notice Packet”) to potential Settlement Class Members and brokers and other nominees; (ii) created and continues to maintain a toll-free helpline for inquiries during the course of the administration; (iii) created and continues to maintain a Settlements website and posted case-specific documents on it; (iv) caused the Summary Notice to be published; (v) provided, upon request, additional copies of the Notice Packet to potential Settlement Class Members, brokers, and other nominees; and (vi) received and processed Claims.

3. On July 27, 2018, the Court issued its Order Approving Plan of Allocation of Net Settlement Funds (ECF No. 191), Judgment Approving Class Action Settlement with Individual Defendants (ECF No. 192), and Judgment Approving Class Action Settlement with Underwriter Defendants (ECF No. 193). Epiq has completed processing all Claims received through November 6, 2019, in accordance with the terms of the Stipulations and the Court-approved Plan of Allocation

(ECF No. 178-1) (the “Individual Defendant Stipulation”), and the Stipulation and Agreement of Settlement with Settling Underwriter Defendants dated August 18, 2017, and the Supplement thereto dated January 26, 2018 (ECF No. 178-2) (the “Underwriter Defendant Stipulation” and, collectively with the Individual Defendant Stipulation, the “Stipulations”). The Individual Defendant Stipulation sets forth the terms and conditions of Lead Plaintiff’s settlement with the Individual Defendants for \$27 million in cash (the “Individual Defendant Settlement”) and the Underwriter Defendant Stipulation sets forth the terms and conditions of the Lead Plaintiff’s settlement with the Underwriter Defendants for \$9.7 million in cash (the “Underwriter Defendant Settlement” and, together with the Individual Defendant Settlement, the “Settlements”).

set forth in the Preliminary Approval Order, and hereby submits its administrative determinations accepting and rejecting the Claims in preparation for a distribution of the Net Settlement Funds to Authorized Claimants. Epiq also presents this declaration in support of Lead Plaintiff's Motion for Approval of Distribution Plan.

DISSEMINATION OF NOTICE

4. As more fully described in the Declaration of Jose C. Fraga Regarding (A) Mailing of Notice and Claim Form; and (B) Publication of the Summary Notice; and (C) Report on Requests for Exclusion Received to Date (ECF No. 188-6) (the "Mailing Decl."), as of May 23, 2018, Epiq had mailed 179,435 Notice Packets to potential Settlement Class Members and their nominees. Mailing Decl. ¶ 7. Since that date, 10,000 additional Notice Packets have been disseminated. In total, Epiq has disseminated 189,435 Notice Packets to potential Settlement Class Members, brokers, and other nominees.

5. Epiq established and continues to maintain a website (www.GTATSecuritiesLitigation.com) and a toll-free telephone helpline (1-866-562-8790) to assist potential Settlement Class Members. The Settlements website (which provides access to important documents relevant to the Settlements) and the telephone helpline enable Settlement Class Members to obtain information about the Settlements.

6. In accordance with Paragraph 7(c) of the Preliminary Approval Order, on March 26, 2018, Epiq caused the Summary Notice to be published in *The Wall Street Journal* and released via *PR Newswire*. Mailing Decl. ¶ 8.

PROCEDURES FOLLOWED IN PROCESSING CLAIMS

7. Under the terms of the Preliminary Approval Order and as set forth in the Notice, each Settlement Class Member who wished to be eligible to receive a distribution from the Net

Settlement Funds was required to complete and submit to Epiq a properly executed Claim Form postmarked no later than July 12, 2018, together with adequate supporting documentation for the transactions and holdings reported in the Claim. Through November 6, 2019, Epiq has received and fully processed 21,566 Claims (the “Presented Claims”).

8. In preparation for receiving and processing Claims, Epiq: (i) conferred with Lead Counsel to define the guidelines for processing Claims; (ii) created a unique database to store Claim details, images of Claims, and supporting documentation (the “Settlements Database”); (iii) trained staff in the specifics of the Settlements so that Claims would be properly processed; (iv) formulated a system so that telephone and email inquiries would be properly responded to; (v) developed various computer programs and screens for entry of Settlement Class Members’ identifying information and their transactional information; and (vi) developed a proprietary “calculation module” that would calculate an Exchange Act Recognized Claim or a Securities Act Recognized Claim (when combined referred to as the Claimant’s Recognized Claims) pursuant to the Court-approved Plan of Allocation of the Net Settlement Funds stated in the Notice.³

9. Settlement Class Members seeking to share in the Net Settlement Funds were directed in the Notice to submit their Claims to a post office box address specifically designated for the Settlements. Notice Packets returned by the United States Postal Service as undeliverable were reviewed for updated addresses and, where available, updated addresses were entered into the database and Notice Packets were mailed to the updated addresses. Any correspondence

³ See Appendix A to the Notice ¶¶ 37-38, “*Calculation of Claimant’s ‘Exchange Act Recognized Claim’*: A Claimant’s ‘Exchange Act Recognized Claim’ will be the sum of his, her or its Exchange Act Recognized Loss Amounts as calculated above with respect to all GTAT Securities” and “*Calculation of Claimant’s ‘Securities Act Recognized Claim’*: A Claimant’s ‘Securities Act Recognized Claim’ will be the sum of his, her or its Securities Act Recognized Loss Amounts as calculated above with respect to all GTAT Common Stock Offering Shares and GTAT Senior Notes.”

received at the post office box was reviewed and, when necessary, appropriate responses were provided to the senders.

PROCESSING CLAIMS

A. Paper Claims

10. Of the 21,566 Presented Claims, 12,221 are paper Claims. Once received, the paper Claims were opened and prepared for scanning. This process included unfolding documents, removing staples, copying nonconforming-sized documents, and sorting documents. This manual task of preparing the paper Claims is very laborious and time-intensive. Once prepared, paper Claims were scanned into the Settlements Database together with all submitted documentation. Subsequently, each Claim was assigned a unique Claim number. Once scanned, the information from each Claim (including the Claimant's name, address, account number/information from the supporting documentation, and the Claimant's purchase/acquisition transactions, sale transactions, and holdings listed on the Claim) was entered into the Settlements Database. Once entered into the Settlements Database, each Claim was reviewed to verify that all required information had been provided. The documentation provided by the Claimant in support of the Claim was reviewed for authenticity and compared to the information provided in the Claim to verify the Claimant's identity and the purchase/acquisition transactions, sale transactions, and holdings listed on the Claim.

11. To process the transactions detailed in the Claims, Epiq utilized internal codes ("message codes") to identify and classify deficiency or ineligibility conditions existing within those Claims. Appropriate message codes were assigned to the Claims as they were processed. For example, where a Claim was submitted by a Claimant who did not have any eligible transactions in GTAT Securities during the Settlements Class Period (e.g., the Claimant purchased GTAT

Common Stock only before or after the Settlements Class Period), that Claim would receive a message code that denoted ineligibility. Similar defect message codes were used to denote other ineligible conditions, such as duplicate Claims. These message codes would indicate to Epiq that the Claimant was not eligible to receive any payment from the Net Settlement Funds with respect to that Claim unless the deficiency was cured in its entirety. Examples of conditions of ineligibility are as follows:

- No Documentation Submitted for the Entire Claim
- Duplicate Claim Submitted
- No Eligible Purchase During the Settlements Class Period
- No Signature
- No Recognized Claim

12. Because a Claim may be deficient only in part, but otherwise acceptable, Epiq utilized message codes that were applied only to specific transactions within a Claim. For example, if a Claimant submitted a Claim with supporting documentation for all but one purchase transaction, that one transaction would receive a defect message code. The message code indicated that although the transaction was deficient, the Claim was otherwise eligible for payment if other transactions in the Claim calculated to a Recognized Claim according to the Court-approved Plan of Allocation. Thus, even if the deficiency was never cured, the Claim could still be partially accepted. Examples of transaction-specific message codes are as follows:

- Claim did not Balance/Trade Discrepancy
- Inadequate Documentation for transaction
- Received Shares (i.e., shares transferred into or out of an account)
- No Proof of Unsold Holdings

B. Electronic Claims

13. Of the 21,566 Presented Claims, 9,345 were filed electronically (“Electronic Claims”). Electronic Claims are typically submitted by institutional investors (“Electronic Claim Filers” or “E-Claim Filers”) who may have hundreds or thousands of transactions during the Settlements Class Period. Rather than provide reams of paper requiring data entry, the E-Claim Filers submitting Electronic Claims either mail a computer disc or electronically submit a file to Epiq so that Epiq can upload all transactions to the Settlements Database.

14. Epiq maintains an electronic filing operations team (the “Electronic Filing Team”) to coordinate and supervise the receipt and handling of all Electronic Claims. In this case, the Electronic Filing Team reviewed and analyzed each electronic file to ensure that it was formatted in accordance with Epiq’s required format and to identify any potential data issues or inconsistencies within the file. If any issues or inconsistencies arose, Epiq notified the filer. If the electronic file was deemed to be in an acceptable format, it was then loaded to the Settlements Database.

15. Once each electronic file was loaded, the Electronic Claims were coded to denote any deficient or ineligible conditions that existed within them. These message codes are similar to those applied to paper Claims. In lieu of manually applying message codes, the Electronic Filing Team performed programmatic reviews on Electronic Claims to identify deficient and ineligible conditions (such as, but not limited to, price out-of-range issues, out-of-balance conditions, transactions outside the Settlements Class Period, etc.). The output was thoroughly verified and confirmed as accurate.

16. The review process also included message coding any Electronic Claims that were not accompanied by a signed Proof of Claim, which serves as a “Master Proof of Claim Form” for

all Claims referenced on the electronic file submitted. This process was reviewed by Epiq's Electronic Filing Team and, when appropriate, Epiq contacted the E-Claim Filers whose submissions were missing information. This ensured that only fully completed Claims, submitted by properly authorized representatives of the Claimants, were considered eligible for payment from the Net Settlement Funds.

17. Finally, at the end of the process, Epiq performed various targeted reviews of Electronic Claims. Specifically, Epiq used criteria such as the calculated Recognized Claims and other identified criteria to message code and reach out to a number of E-Claim Filers and request that various sample purchases, sales, and holdings selected by Epiq be documented by providing confirmation slips or other transaction-specific supporting documentation. These targeted reviews help to ensure that electronic data supplied by Claimants does not contain inaccurate information.

EXCLUDED PERSONS

18. Epiq also reviewed all Claims to ensure that they were not submitted by, or on behalf of, "Excluded Persons," to the extent that the identities of such persons or entities were known to Epiq through the list of Defendants and other excluded persons and entities set forth in the Stipulations and the Notice and from the Claimants' certifications on the Proofs of Claim. Epiq also reviewed all Claims against the list of persons who were excluded from the Settlement Classes pursuant to request.

THE DEFICIENCY PROCESS

A. Paper Claims

19. Approximately 54% of the paper Claims, i.e., 6,592 of the 12,221 paper Claims, were incomplete or had one or more defects or conditions of ineligibility, such as the Claim not being signed, not being properly documented, or indicating no eligible transactions in GTAT

Securities during the Settlements Class Period. The “Deficiency Process,” which primarily involved mailing letters to Claimants and responding to communications from Claimants by email and/or telephone, was intended to assist Claimants in properly completing their otherwise deficient submissions so that they could be eligible to participate in the Settlements.

20. If paper Claims were determined to be defective, a Notice of Rejection of Your Entire Claim or a Notice of Rejection of Part of Your Claim (“Deficiency Notice”) was sent to the Claimants describing the defect(s) in the Claims and what, if anything, was necessary to cure the defect(s) in these Claims. The Deficiency Notice advised Claimants that submission of appropriate information and/or documentary evidence to complete the Claim had to be sent within twenty (20) days from the date of the Deficiency Notice or the Claim would be recommended for rejection to the extent that the deficiency or condition of ineligibility was not cured. The Deficiency Notice also advised Claimants that to contest these administrative determinations, they were required to submit written statements to Epiq requesting Court review of their Claims and setting forth the basis for such requests. Epiq sent a total of 6,605 Deficiency Notices to Claimants who filed paper Claims that Epiq determined to be defective. Attached hereto as Exhibit A is an example of a Deficiency Notice.

21. Claimants’ responses to Deficiency Notices were scanned into the Settlements Database and associated with the corresponding Claims. The responses were then carefully reviewed and evaluated by Epiq’s team of processors. If a Claimant’s response corrected the defect(s), Epiq manually updated the Settlements Database to reflect the changes in the status of the Claim.

B. Electronic Claims

22. In addition, for Electronic Claims, Epiq used the following process to contact the banks, brokers, nominees, and other filers who submitted their data electronically to confirm receipt of their submissions and to notify the filers of any deficiencies or Electronic Claims that were ineligible. These filers were sent an email to the email address included with their Proof of Claim (“Status Email”) with an attached report containing detailed information associated with the Claims and indicating which of those Claims within the filing were deficient and/or rejected (“Status Spreadsheet”). Notification was also provided online through Epiq’s web-based filing platform, Epiq ICETM, to those filers that submitted files via this method.

23. The Status Email sent to the email address of record provided with the Proof of Claim:

- (a) Notified the filer that any Claims with deficiencies not corrected within twenty (20) days from the date of the email may be rejected;
- (b) Advised the filer of the right to contest the rejection of the Claim(s) and request this Court’s review of Epiq’s administrative determination within twenty (20) days from the date of the Status Email; and
- (c) Provided instructions for how to submit corrections.

24. The Status Spreadsheet attached to the Status Email identified each of the individual Claims that were found to be deficient or ineligible and the basis for that deficiency or condition of ineligibility.

25. Epiq has mailed a Status Email and Status Spreadsheet to 66 E-Claim Filers. Samples of a Status Email and Status Spreadsheet are attached hereto as Exhibits B and C, respectively.

26. The E-Claim Filers' responses were reviewed by the Electronic Filing Team, scanned and/or loaded into Epiq's database, and associated with the corresponding Electronic Claims. If a response corrected the defect(s) or affected an Electronic Claim's status, Epiq manually and/or programmatically updated the database to reflect such change in status of the Electronic Claim.

DISPUTED CLAIMS

27. As noted above, Claimants were advised they had the right to contest Epiq's administrative determination of deficiencies or ineligibility within twenty (20) days from the date of notification and that they could request that the dispute be submitted to the Court for review. More specifically, Claimants were advised in the deficiency notice that, if they disputed Epiq's determination, they had to provide a statement of reasons indicating the grounds for contesting the determination, along with supporting documentation, and if the dispute concerning the Claim could not otherwise be resolved, Lead Counsel would thereafter present the request for review to the Court for a final determination.

28. A total of 117 Claimants contested Epiq's administrative determinations and requested review by the Court. To resolve the disputes without necessitating the Court's intervention, Epiq contacted all persons requesting Court review, and with respect to those Claimants who were reached, Epiq answered all their questions, fully explained Epiq's determination of the Claim's status and facilitated the submission of missing information or documentation where applicable. As a result of these efforts, 114 requests for Court review have either been cured or the request for Court review has been retracted.

29. Of the 117 Claimants that had contested Epiq's determination to reject their Claims, only 3 Claimants (each a "Disputing Claimant") have outstanding requests for Court review (each

a “Disputed Claim”). Exhibit D attached hereto (the “Disputed Claims Chart”) contains copies of Claims and supporting documentation submitted by the 3 Disputing Claimants and other documents related to each Disputed Claim.⁴ The Claims are labeled Disputed Claims 1-3 and are categorized as follows:

Disputed Claims Category	Number of Claims
Claims determined not to have a Recognized Claim	3

30. Epiq recommends the rejection of Disputed Claims 1-3 because these Claims do not calculate to a Recognized Claim under the Plan of Allocation.

- (a) As set forth in the Disputed Claims Chart, Epiq recommends Disputed Claim 1 for rejection because all shares of GTAT Common Stock purchased during the Settlements Class Period were sold before September 9, 2014, or on September 9, 2014, before 1:12 p.m. EST. *See* Appendix A to the Notice ¶ 27 (i). As all shares of GTAT Common Stock were sold before September 9, 2014, or on September 9, 2014, before 1:12 p.m. EST, each of the Claimant’s Recognized Loss Amounts on the transactions is zero and, as they had no other transactions in GTAT Common Stock, the Recognized Claim is zero. Further, this Claim also calculated to an Exchange Act Market Gain.

⁴ For privacy reasons, the documents included in Exhibit D have been redacted to remove all personal information such as street addresses, email addresses, telephone numbers, account numbers, Taxpayer ID, Social Security, or Social Insurance Numbers, and all financial and transaction information not related to the Claimants’ transactions in GTAT Securities, unless the financial or transaction information serves as a basis for the dispute or as a basis for the Claim’s rejection.

- (b) As set forth in the Disputed Claims Chart, Epiq recommends Disputed Claims 2-3 for rejection because all shares of GTAT Common Stock purchased during the Settlements Class Period and sold for an overall Exchange Act Market Gain.

31. Epiq has made multiple attempts to contact each Disputed Claimant, by telephone and/or email. For those Disputing Claimants who Epiq was able to contact, an Epiq representative answered all their questions and fully explained Epiq's determination of the Claim's status. However, these Claimants have indicated their desire to maintain the request for Court review.

LATE BUT OTHERWISE ELIGIBLE CLAIMS

32. Of the Presented Claims, 576 were received or postmarked after the July 12, 2018 Claim submission deadline established by the Court. Epiq processed all late Claims received through November 6, 2019, and 156 have been found to be otherwise eligible in whole or in part (the "Late But Otherwise Eligible Claims"). Epiq has not rejected any Claim received through November 6, 2019, solely based on its late submission, and Epiq believes no delay has resulted from the provisional acceptance of these Late But Otherwise Eligible Claims. To the extent they are eligible but for the fact that they were late, they are recommended for payment.

33. However, there must be a final cut-off date to conduct the initial distribution so that there may be a proportional allocation of the Net Settlement Funds and the initial distribution may be accomplished. Acceptance of additional Claims or responses to notices of deficiency received during the finalization of the administration and the preparation of this application would necessarily require a delay in the distribution. Accordingly, Epiq also respectfully requests that this Court order that no Claim received or adjusted after November 6, 2019, be eligible for payment from the initial distribution for any reason whatsoever. Claims received or adjusted after

November 6, 2019, shall be eligible to participate in subsequent distributions subject to the provisions of paragraphs 42(d) and 42(e) of the proposed distribution plan discussed below. However, should an adjustment be received that results in a lower Recognized Claim amount, that adjustment will be made and the Recognized Claim amount will be reduced accordingly prior to a distribution to that Claimant.

QUALITY ASSURANCE

34. An integral part of the claims administration process is the Quality Assurance review. Throughout the administration process, Epiq's Quality Assurance personnel worked to verify that Claims were processed properly by ensuring that information was entered correctly into the database, deficiency and/or rejection message codes were assigned accurately, and deficiency and/or rejection notification letters were sent appropriately. After all Claims were processed, deficiency and/or rejection letters were mailed, and Claimants' responses to the deficiency and/or rejection letters were reviewed and processed, Epiq's Quality Assurance personnel performed additional Quality Assurance reviews. These final Quality Assurance reviews further ensured the correctness and completeness of all Claims processed prior to preparing this declaration and all Epiq's final documents in support of distribution of the Net Settlement Funds. As part of the Quality Assurance reviews, Epiq:

- (a) Verified that all Proofs of Claim had signatures of authorized individuals;
- (b) Verified that true duplicate Claims were identified, verified, and rejected;
- (c) Verified that persons and entities excluded from the Settlement Classes did not file Claims or their Claims were rejected upon review;
- (d) Performed a final Quality Assurance audit of Claims and all supporting documentation to ensure completeness of Claims;

- (e) Determined that all Claimants requiring deficiency and/or rejection letters were sent such letters;
- (f) Performed an audit of deficient Claims;
- (g) Performed additional review of Claims with high Recognized Claim amounts;
- (h) Audited Claims that were designated invalid;
- (i) Audited Claims with a Recognized Claim amount equal to zero;
- (j) Performed other auditing based on Claims completion requirements and the approved calculation specifications based on the Court-approved Plan of Allocation; and
- (k) Re-tested the accuracy of the Recognized Claim amount calculation program.

35. As part of its due diligence in processing the Claims, Epiq reviewed and compared the entire Settlements database against the “watch list” of known questionable filers that Epiq has developed throughout its years of experience as a claims administrator. Epiq has worked closely with the FBI to update that watch list with the latest information available. Epiq performs searches based on names, aliases, addresses, and city/zip codes. In addition, Epiq’s claim processors are trained to identify any potentially inauthentic documentation when processing claims, including Claims submitted by Claimants not previously captured in the “watch list.” Processors are instructed to message code any Claims that match to a record on the “watch list” and escalate them to management for review. No Claims were identified as having been submitted by someone on the “watch list.”

RECOMMENDATIONS FOR APPROVAL AND REJECTION

36. As noted above, the number of Claims on this motion is 21,566.

Timely Submitted and Valid Claims

37. A total of 20,990 Claims were received or postmarked on or before the Court-approved Claim submission deadline of July 12, 2018, of which 8,349 were determined by Epiq to be eligible and are recommended for approval (“Timely Eligible Claims”). The total Recognized Claim amount for these Claims is \$149,929,594.92 for the Securities Act and \$928,152,874.10 for the Exchange Act.

Late But Otherwise Eligible Claims

38. A total of 576 Claims were received or postmarked after the Court-approved Claim submission deadline of July 12, 2018, but received on or before November 6, 2019. Of those, 156 were determined by Epiq to be otherwise eligible and are recommended for approval (“Late But Otherwise Eligible Claims”). The total Recognized Claim amount for these Claims is \$5,952,795.26 for the Securities Act and \$20,492,671.51 for the Exchange Act.

Rejected Claims

39. After the responses to notices of deficiencies were processed, a total of 13,061 Claims (including the Disputed Claims discussed above) remain recommended for rejection by the Court (“Rejected Claims”) for the following reasons:

- (a) 3,052 Claims Did Not Fit Settlements Class Definition;
- (b) 2,124 Claims did not result in a Recognized Claim;
- (c) 652 Claims were duplicates; and
- (d) 7,233 Claims had uncured conditions of ineligibility.

Lists of All Presented Claims

40. Attached hereto as Exhibits E through G are listings of all the Presented Claims:
- (a) Exhibit E lists the Timely Eligible Claims and shows each Claimant's Recognized Claim;
 - (b) Exhibit F lists the Late But Otherwise Eligible Claims and shows each Claimant's Recognized Claim; and
 - (c) Exhibit G lists the Rejected Claims and the reasons for rejection.

FEES AND DISBURSEMENTS

41. Epiq agreed to be the Claims Administrator in exchange for payment of its fees and out-of-pocket expenses. Lead Counsel received reports on and invoices for the work Epiq performed with respect to the provision of notice and administration of the Settlements. Attached hereto as Exhibit H are copies of Epiq's invoices for its work performed on behalf of the Settlement Classes as well as an estimate for the work that will be performed and the costs that will be incurred in connection with the initial distribution of the Net Settlement Funds.⁵ As set forth in these invoices, the cost of administration for this project through the initial distribution is \$911,195.94 in fees and expenses. To date, Epiq has received payment in the amount of \$494,792.72 for its fees and expenses. Accordingly, there is a total of \$416,403.22 payable to Epiq.

⁵ Should the estimate of fees and expenses to conduct the initial distribution of the Net Settlement Funds exceed the actual cost to conduct the distribution, the excess will be returned to the Net Settlement Funds and will be available for subsequent distribution to Authorized Claimants.

DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUNDS

42. Should the Court concur with Epiq’s determinations concerning the provisionally accepted and rejected Claims, including the Late But Otherwise Eligible Claims, Epiq recommends the following distribution plan (the “Distribution Plan”):

(a) Epiq will conduct an initial distribution (the “Initial Distribution”) of the Net Settlement Funds, after deducting all payments approved by the Court, and after payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, while maintaining a 5% reserve to address any tax liability and claims administration-related contingencies that may arise, as follows:

(1) Epiq will calculate award amounts for all Authorized Claimants as if the entire Net Settlement Funds were to be distributed now. In accordance with the Court-approved Plan of Allocation, Epiq will calculate each Authorized Claimant’s: (a) *pro rata* share of the Individual Defendant Net Settlement Fund based on the amount of the Authorized Claimant’s Exchange Act Recognized Claim in comparison to the total Exchange Act Recognized Claims of all Authorized Claimants; (b) *pro rata* share of the Underwriter Defendant Net Settlement Fund based on the amount of the Authorized Claimant’s Securities Act Recognized Claim in comparison to the total Securities Act Recognized Claims of all Authorized Claimants.⁶

(2) Epiq will, pursuant to the terms of the Plan of Allocation, eliminate from the Initial Distribution any Authorized Claimant whose combined *pro rata*

⁶ See Appendix A to the Notice ¶¶ 50-51, *Allocation of the Individual Defendant Net Settlement Fund and Allocation of the Underwriter Defendant Net Settlement Fund*.

share of the Individual Defendant Net Settlement Fund and Underwriter Defendant Net Settlement Fund calculates to less than \$10.00.⁷ These Claimants will not receive any payment from the Net Settlement Funds, and Epiq will send notifications to those Authorized Claimants advising them of that fact.

(3) After eliminating Claimants who would have received less than \$10.00, Epiq will recalculate the *pro rata* share of the Individual Defendant Net Settlement Fund and/or Underwriter Defendant Net Settlement Fund for all Authorized Claimants who would have received \$10.00 or more pursuant to the calculations described in subparagraph (a)(1) above. The sum of these *pro rata* shares is the Authorized Claimant's "Distribution Amount."⁸

(4) 95% of the remaining balance of each Net Settlement Fund will be distributed to Authorized Claimants whose Distribution Amount calculates to \$10.00 or more pursuant to subparagraph (a)(3) above, on a *pro rata* basis based on their Distribution Amounts. The remaining 5% of each Net Settlement Fund will be held in reserve (the "Reserve") to address any tax liability and claims administration-related contingencies that may arise. To the extent the Reserve is not depleted, the remainder will be distributed in the "Second Distribution" described in subparagraph (d) below.

(b) In order to encourage Authorized Claimants to deposit their payments promptly, all distribution checks will bear a notation: "CASH PROMPTLY. VOID AND SUBJECT TO REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER

⁷ See *Id.* ¶ 52, *Distribution Amount*.

⁸ See *Id.*

ISSUE DATE].”⁹

(c) Authorized Claimants who do not cash their Initial Distribution checks within the time allotted or on the conditions set forth in footnote 9 will irrevocably forfeit all recovery from the Settlements. The funds allocated to all such stale-dated checks will be available to be redistributed to other Authorized Claimants in the second distribution.

(d) Consistent with the Court-approved Plan of Allocation, after Epiq has made reasonable and diligent efforts to have Authorized Claimants cash their Initial Distribution checks, which efforts shall consist of the follow-up efforts described in footnote 9, but not earlier than nine (9) months after the Initial Distribution, Lead Counsel will seek Court approval to conduct a second distribution of the Net Settlement Funds (the “Second Distribution”). Any amounts remaining in the Net Settlement Funds after the Initial Distribution (including from the Reserve and the funds from all void stale-dated checks), after deducting Epiq’s fees and expenses incurred in connection with administering the

⁹ For Authorized Claimants whose checks are returned as undeliverable, Epiq will endeavor to locate new addresses by running the undeliverable addresses through address-lookup services. Where a new address is located, Epiq will update the database accordingly and reissue a distribution check to the Authorized Claimant at the new address. In the event a distribution check is lost, damaged, or otherwise requires reissuance, Epiq will issue replacements. Distribution reissues will be undertaken only upon written instructions from the Authorized Claimant, provided that the Authorized Claimant returns the previous check where appropriate. For all checks, Epiq will void the initial payment prior to reissuing a payment. In order not to delay further distributions to Authorized Claimants who have timely cashed their checks, Epiq’s outreach program, described in the preceding sentences, shall end 30 days after the initial void date. Authorized Claimants will be informed that, if they do not cash their Initial Distribution checks within 90 days of the mail date, or they do not cash check reissues within 30 days of the mailing of such reissued check, their check will lapse, their entitlement to recovery will be irrevocably forfeited, and the funds will be reallocated to other Authorized Claimants. Reissue requests for lost or damaged checks will be granted after the void date on the checks as long as the request for the reissue is received no later than 45 days prior to the next planned distribution. Requests for reissued checks in connection with any subsequent distributions (should such distributions occur) will be handled in the same manner.

Settlements for which it has not yet been paid, including Epiq's estimated costs of the Second Distribution, and after deducting the payment of any estimated taxes, the costs of preparing appropriate tax returns, and any escrow fees, will be distributed to all Authorized Claimants in the Initial Distribution who cashed their first distribution check.¹⁰

(e) Any Claims received or adjusted after November 6, 2019, will be processed and presented to the Court in connection with Lead Counsel's motion for a Second Distribution.

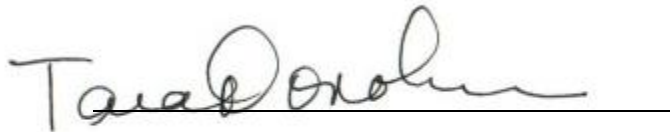
(f) Unless otherwise ordered by the Court, Epiq may destroy the paper copies of the Claims and all supporting documentation one year after the Second Distribution and may destroy electronic copies of the same one year after all funds have been distributed.

CONCLUSION

43. Epiq respectfully requests that the Court enter an Order approving its administrative determinations accepting and rejecting the Claims submitted herein and approving the proposed Distribution Plan. Epiq further respectfully submits that its fees and expenses, as reflected on the invoices attached hereto as Exhibit H, should be approved for payment from the Settlement Funds.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 26th day of November 2019.

A handwritten signature in dark ink, appearing to read "Tara Donohue", is written over a horizontal line.

Tara Donohue

¹⁰ See Appendix A to the Notice ¶ 53.

EXHIBIT A



GTAT Securities Litigation
 c/o GCG
 P.O. Box 10463
 Dublin, OH 43017-4063
 (866)-562-8790
 www.GTATSecuritiesLitigation.com
 GTS0214561964



Mailing Date: March 28, 2019
Response Due Date: April 18, 2019
Claim No: [REDACTED]

Eligible Securities:
 GTAT Common Stock
 GTAT 3.00% Convertible Senior Notes Due 2020
 GTAT Call Options
 GTAT Put Options

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Settlement in this action (which is included in the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that you received), the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please **do not merely re-submit** the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your Claim will be considered to the extent cured.

PLEASE NOTE: Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

PLEASE NOTE: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure ineligible condition(s) identified, this Claim will be rejected in its entirety.

If you disagree with the determination to reject this Claim, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. If your response cures sufficient ineligibility condition(s) identified and the Claim calculates to a Recognized Claim under the Court-approved Plan of Allocation, the Claim will be included in the list of eligible Claims presented to the Court for approval.

Claim No: [REDACTED]

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Notice (which contains the Plan of Allocation), you may do so on the Settlement website at www.GTATSecuritiesLitigation.com.

Very truly yours,

GCG
The Claims Administrator

INELIGIBILITY CONDITION: Claim does not reflect any eligible Class Period purchase or acquisition.

The Claim referenced above did not include any eligible Class Period purchase/acquisition. The transactions included in the Claim Form were either for securities other than GTAT Securities (which are the only securities eligible for recovery under the Settlement); or, it included transactions in GTAT Securities, but there were no purchases or other eligible acquisitions during the Class Period.

HOW TO RESOLVE: Unless you purchased/acquired GTAT Securities during the Class Period, this is NOT a curable deficiency. If you had eligible purchases/acquisitions of GTAT Securities that were not reflected in your original submission, you must submit all information and supporting documentation for those purchases/acquisitions as called for in the Claim Form. All transactions and holding positions must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless this deficiency is also cured. In order to be eligible for a recovery, you must have Class Period purchases or other eligible acquisitions of GTAT Securities that you support with acceptable documentation which result in the Claim calculating to a Recognized Claim under the Plan of Allocation.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the rejection of this Claim," (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.



GTAT Securities Litigation
 c/o GCG
 P.O. Box 10463
 Dublin, OH 43017-4063
 (866)-562-8790
 www.GTATSecuritiesLitigation.com
 GTS0214560218



Mailing Date: March 28, 2019
Response Due Date: April 18, 2019
Claim No: [REDACTED]

Eligible Securities:
 GTAT Common Stock
 GTAT 3.00% Convertible Senior Notes Due 2020
 GTAT Call Options
 GTAT Put Options

NOTICE OF REJECTION OF PART OF YOUR CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Settlement in this action (which is included in the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that you received), part of the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS PARTIALLY INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please **do not merely re-submit** the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate.

PLEASE NOTE: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to cure the ineligibility condition(s) identified, this Claim will be rejected to the extent that those conditions remain uncured.

PLEASE NOTE: In curing deficiencies, you may affect the calculation of the Claim. Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

If you disagree with the determination to reject this Claim in part, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. The Claim will be considered to the extent your response cures ineligibility condition(s) identified.



Claim No: ■

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Notice (which contains the Plan of Allocation), you may do so on the Settlement website at www.GTATSecuritiesLitigation.com.

Very truly yours,

GCG
The Claims Administrator

INELIGIBILITY CONDITION: Missing Supporting Documentation for Certain Transactions.

You did not provide any documentation to support certain transactions and/or holding positions set forth in the Claim Form. The specific transaction(s) and/or holding position(s) at issue is (are) listed in the chart below.

Security	Transaction/ Holding	Trade/ Holding Date	Number of Shares	Price Per Share	Total Price
Common Stock	Purchase	02/13/2014	2,500.00	11.54	28,874.77
Common Stock	Purchase	02/14/2014	2,500.00	11.82	29,538.50
Common Stock	Purchase	11/19/2013	5,000.00	9.78	48,898.25

HOW TO RESOLVE: You must submit acceptable documentation supporting the transaction(s) and/or holding position(s) listed in the chart above. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities broker's confirmation slips, month and year-end account statements, or similar documentation (self-generated documents are not acceptable).

If there is a blank space ("_____") in any of the boxes in the chart above, this means that the Claim Form submitted did not provide this information regarding the transaction/holding position at issue. You must submit acceptable supporting documentation that not only provides this missing information; it must also contain the other information listed in the chart above.

If there is an "N/A" in any of the boxes in the chart above, this means that the supporting documentation need not provide this information. For example, in order to fully document "Ending Holdings" as of the close of trading on January 2, 2015, you need only submit acceptable supporting documentation demonstrating the number of shares of GTAT common stock held as of that date. You do NOT need to provide documentation demonstrating the "Price Per Share" or "Total Price" paid for those holdings.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim in part, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the partial rejection of this Claim," (2) state your argument(s) for why your Claim should be fully accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the partial rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

EXHIBIT B

From: eClaim
Sent: Friday, June 21, 2019 2:14 PM
To: [REDACTED]
Subject: GTAT Securities Litigation - Submission # [REDACTED]
Attachments: GTAT EClaim Rejection Reasons.pdf; [REDACTED].xlsx

Dear Claimant:

You filed claims electronically in connection with the **GTAT Securities Litigation**. Attached is a spreadsheet representing the claims that are partially or wholly rejected under the terms of the Settlement as approved by the Court. The spreadsheet lists the claim numbers, account numbers, and the corresponding rejection reason for each claim. For your convenience, we have also attached a document with more detailed descriptions of the rejection reasons.

If you wish to cure the claims that are deficient, you must clearly state the claim number and the deficiency that you are attempting to resolve and provide information and/or documentation to support your response. We will review your response and documentation and make updates to the extent your response is complete and adequately documented.

ANY RESPONSES MUST BE RECEIVED AS SOON AS POSSIBLE BUT NO LATER THAN JULY 11, 2019. YOU WILL RECEIVE NO FURTHER CORRESPONDENCE REGARDING THESE CLAIMS. THIS WILL BE YOUR ONLY OPPORTUNITY TO RESPOND.

If you prefer to email your response, please only respond to eClaim@epigglobal.com and your documentation must be scanned as .pdf documents or equivalent. **Do not email any individuals directly.**

If you prefer to mail your response and supporting documentation, please send them to the following address:

GTAT Securities Litigation c/o Epiq
Attn: Electronic Filing Department
5151 Blazer Parkway, Suite A
Dublin, OH 43017

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you “request that the Court review the rejection of this Claim,” (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

If you have any questions regarding the attached spreadsheet(s) or what are acceptable responses, please contact us via email at eClaim@epigglobal.com. If you need to speak with someone regarding your determinations, you may provide your contact information in the email and request a phone call from one of our representatives.

Please let us know if there are any questions.

Kind Regards,

Epiq | Electronic Filing Department (MSH)
5151 Blazer Pkwy, Suite A
Dublin, Ohio 43017
Office: +1 614 553 1044
Email: eclaim@epiqglobal.com

People. Partnership. Performance.

www.epiqglobal.com

This electronic mail (including any attachments) may contain information that is privileged, confidential, and/or otherwise protected from disclosure to anyone other than its intended recipient(s). If you have received this message in error, please notify the sender immediately by reply email of the inadvertent transmission and then immediately delete the original message (including any attachments) in its entirety.

In re GTAT Securities Litigation

Claim Rejection Reasons – Page 1 to 7

Transaction Rejection Reasons – Page 8 to 9

CUSIP	SEDOL	ISIN	Security Description
36191U106	B6TB704	US36191U1060	GTAT Common Stock
36191UAB2	BH58W6	US36191UAB26	3% Senior Convertible Notes

Class Period: November 5, 2013 through 9:40 a.m. Eastern Standard Time on October 6, 2014, inclusive

Helpful Pointers for Responding To Deficiencies

1. Acceptable supporting documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are **not** acceptable.
2. If you have a large volume of deficiencies or require any clarification on the determination and/or necessary response, please contact us at 614-553-1044 for additional assistance.
3. Claim numbers **must** be referenced on all responses.
4. Clearly state the deficiency that you are attempting to resolve **and** how your response is intended to resolve the deficiency.
5. If you are providing revised or additional data, it **MUST**:
 - a. Be accompanied by a letter disclosing the source of the data and attesting to its truth and accuracy, which also provides a brief explanation of what is being adjusted;
 - b. Be accompanied by a document or communication listing the total accounts and **transactions** being updated;
 - c. Clearly indicate if you are replacing data or if you are adding data to your Claim(s); and,
 - d. Either list the Claim number for the Claim being updated or provide account names and numbers exactly as they are listed on your original submission.
6. If you are providing revised data for specific transactions, please insert additional columns on the deficient transaction spreadsheet to provide corrections.
7. If you are providing revised data for an entire Claim, you must include the Claim number in an additional column.

CLAIM REJECTION REASONS

CLAIM DOES NOT BALANCE

The Claim(s) referenced on the enclosed spreadsheet is/are ineligible because they did not include all of the required information with respect to transactions in eligible GTAT Securities during the relevant dates for the security as required by the Claim Form. The amount of each eligible security held as of the opening of trading on November 5, 2013 ('A') plus purchases/acquisitions during the Class Period plus purchases/acquisitions

during the Class Period ('B') SHOULD EQUAL the amount of each eligible security sold during the Class Period ('C') plus the amount held as of the close of trading on the relevant End Date ('D'). Had all the transactions and holdings amounts been listed, A+B would equal C+D.

HOW TO RESOLVE: Please provide revised, balanced transactional data with all shares purchased or otherwise acquired and/or sold from the relevant dates for the security in question.

PLEASE NOTE: To the extent the transactions you did provide calculate to a Recognized Claim under the Court-approved Plan of Allocation, your Claim will be partially accepted.

NO ELIGIBLE CLASS PERIOD PURCHASES

The Claim(s) referenced on the enclosed spreadsheet did not include any eligible Class Period purchases/acquisitions. The transactions included in the Claim Form were either for securities other than GTAT Securities (which is the only security eligible for recovery under the Settlement) or it included transactions in GTAT Securities, but there were no purchases or other eligible acquisitions during the Class Period.

HOW TO RESOLVE: Unless you purchased/acquired GTAT Securities during the Class Period, this is NOT a curable deficiency. If you had eligible purchases/acquisitions of GTAT Securities that were not reflected in your original submission, you must submit all information and supporting documentation for those purchases/acquisitions as called for in the Claim Form. All transactions and holding positions must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased/acquired/sold and the price paid/received per share, face value of notes or option contracts. With respect to the required "holding" positions, the documentation need only support the number of shares held as of the dates set forth in the Claim Form. Acceptable documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless this deficiency is also cured. In order to be eligible for a recovery, you must have Class Period purchases or other eligible acquisitions of GTAT Securities that you support with acceptable documentation which result in the Claim calculating to a Recognized Claim under the Plan of Allocation.

DUPLICATE CLAIM

The Claim(s) referenced on the enclosed spreadsheet is/are a duplicate Claim of another Claim filed directly by the ultimate beneficiary or another authorized representative on behalf of the same beneficial owner and is therefore rejected.

HOW TO RESOLVE: You can only resolve this condition of ineligibility if you can demonstrate this Claim is not a duplicate Claim. You must send a letter explaining why you believe this Claim should not be deemed a duplicate along with acceptable documentation to support your position. If you acknowledge this Claim is a duplicate, but wish this Claim to be the operative Claim and the other Claim to be rejected, you must submit a signed, notarized letter from the beneficial owner of the GTAT Securities or the authorized representative who filed the other Claim, stating the other Claim has been determined to be a duplicate of this Claim and should be withdrawn. That letter must clearly reference the Claim Number of the Claim that is to be rejected and it must be submitted along with a copy of this notice.

PLEASE NOTE: There may be deficiencies in the Claim designated by the Claims Administrator as the operative Claim and/or in this Claim. If there are deficiencies in the current operative Claim, a notice has been sent to the filer. If this Claim is ultimately designated to be the operative Claim, in accordance with the instructions set

forth in the previous paragraph, and there are deficiencies in this Claim, a notice of the deficiencies will be sent to you. Whichever Claim is elected to be the operative Claim must satisfy all the requirements set forth in the Notice and in the Claim Form in order for the Claim to be eligible.

BLANK CLAIM

The Claim(s) referenced on the enclosed spreadsheet did not contain any transaction or holding information for the account(s) listed.

HOW TO RESOLVE: You can resolve this condition of ineligibility by submitting revised transactional data with all the transactional and holding information called for in Part III of the Claim Form for the account in question.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, unless this deficiency is also cured and the Claim calculates to a Recognized Claim under the Plan of Allocation.

NO RECOGNIZED CLAIM

Under the terms of the Court-approved Plan of Allocation set forth in the Notice (available at www.GTATSecuritiesLitigation.com), the transactions included in your electronic Claim submission for the referenced account(s) do not calculate to a Recognized Claim and, therefore, the Claim(s) is/are not eligible to receive a recovery from the Net Settlement Fund.

HOW TO RESOLVE: Unless you had purchases or otherwise eligible acquisitions of GTAT Securities during the Class Period that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of GTAT Securities not reflected in your original submission, you must submit all of the information and supporting documentation for those purchases/acquisitions as required by the Claim Form. All transactions and holding positions in your Claim Form must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received per share, face value of notes or option contracts. With respect to the required "holding" positions, the documentation need only support the number of shares or option contracts held as of the dates set forth in the Claim Form. Acceptable documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless you had additional Class Period purchases or other eligible acquisitions of GTAT Securities that are properly documented and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

NOT A CLASS MEMBER: EXCLUDED PERSON OR ENTITY

The beneficial owner for shares represented in the Claim(s) referenced on the enclosed spreadsheet is/are not a Class Member and therefore, not eligible to participate in the Settlement by virtue of being excluded by definition or pursuant to request.

Under the Court-approved definition of the Class, you (or, if the Claim was filed by a representative, the beneficial owner of the shares for whom the Claim was filed) are excluded from the Class by definition (see the definition of the Class and who is excluded by the definition set forth in the Notice) or you (or, if the Claim was filed by a representative, the beneficial owner of the shares for whom the Claim was filed) were excluded from the Class pursuant to a request to be excluded.

HOW TO RESOLVE: The only way that this deficiency can be cured is if it can be demonstrated that you (or, if the Claim was filed by a representative, the beneficial owner of the shares for whom the Claim was filed) are not an excluded person or entity.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is also cured.

CLAIM(S) NEED(S) TO BE COMBINED

The transactional information provided for one or more beneficial owner(s) references multiple unique account numbers and transactional information for these unique accounts need to be combined into a single Claim for each unique beneficial owner. As indicated in the electronic filing instructions for this matter, a separate Claim should be submitted for each separate legal entity. However, if the legal entity is the beneficial owner of the securities trading through multiple accounts (no matter how many separate accounts that entity has), all transactions executed for the benefit of that beneficial owner, through all of its accounts, must be included in the same Claim.

HOW TO RESOLVE: To resolve this condition, you must provide a revised file clearly indicating how many Claims should be created for each beneficial owner, no matter how many separate accounts that entity has, along with information about the “master” or combined account number to use for this Claim and a clear indication of the beneficial owner’s name, OR, provide additional information and supporting documentation demonstrating that these Claims are, in fact, separate legal entities and should not be combined.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is also cured and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

MISSING OR INCOMPLETE BENEFICIAL OWNER NAME

The complete beneficial owner name for one or more Claims on your file is either missing or inadequate. As indicated in the electronic filing instructions for this matter, you **MUST** provide the **correct complete, unabbreviated name of the beneficial owner** for each unique legal entity represented on your electronic Claim submission.

HOW TO RESOLVE: To resolve this condition, you must provide a revised file that includes the complete, **unabbreviated** name of the beneficial owner for the transactions represented in the Claim in question.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is also cured and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

MISSING, INCOMPLETE OR INADEQUATE CLAIM FORM

As indicated in the electronic filing instructions for this matter, you must complete the Claimant Identification Page, (Part I, Page 2) and Release of Claims and Signature, (Part VII, Pages 14-15) of a single Proof of Claim and Release form, which will serve as an “umbrella” or “master” Claim form for all Claims in your electronic file, and this document **must** be signed by an authorized signatory who is also listed on your signature verification document and state the capacity (job title) of the signatory.

You either did not provide a Claim form when you provided your electronic Claim submission, or the document you provided is missing the Claimant identification or certification pages, or the document you provided is not signed by an authorized signatory.

HOW TO RESOLVE: The only way to cure this condition of ineligibility is to provide a Claim form where both the Claimant Information and Certification sections are completed. This Claim form must be signed by an authorized signatory for the party submitting the Claim or beneficial owner of the GTAT Securities represented in the electronic Claim submission.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is also cured and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

MISSING, INCOMPLETE OR INADEQUATE SIGNATURE VERIFICATION DOCUMENT

As indicated in the electronic filing instructions for this matter, if you are an institution filing on your own behalf or on behalf of other beneficial owners or a Claim preparer filing on behalf of beneficial owners, you must provide a document verifying that the individual who signed the Claim form and any supplemental documents is authorized to sign.

You either did not provide a signature verification document when you provided your electronic Claim submission or the document you provided does not include the signatory of your Claim form or other documents provided with your Claim submission.

HOW TO RESOLVE: The only way to cure this condition of ineligibility is to provide adequate documentation to substantiate that the party who signed the Claim form and any supplemental documents is authorized to do so on behalf of the Claim filer or beneficial owner of the GTAT Securities represented in the electronic Claim submission. Some common types of documents that fulfill this requirement include the following (this list is not

exclusive and non-US entities may have different documents that fulfill this requirement. If you are a non-US entity, you must submit an equivalent document):

- Copy of filer's By-Laws, including signature page(s)
- Copy of filer's Corporate Resolution, including signature page(s)
- Notarized Affidavit signed by an officer of the filing institution or company clearly granting a specific individual(s) authorization or confirming his/her authority to sign on behalf of his/her institution or company.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless this deficiency is also cured and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

MISSING, INCOMPLETE OR INADEQUATE DATA VERIFICATION

As indicated in the electronic filing instructions for this matter, if you are an institution filing on your own behalf or on behalf of other beneficial owners or a Claim preparer filing on behalf of beneficial owners, you must provide a notarized affidavit or signed letter on your firm's letterhead which: 1) confirms the number of distinct Claims and transactions in your file; 2) sets forth the source of the data for each Claim included in your file; 3) attests to the truth and accuracy of the data for each Claim in your file; and 4) is executed by an authorized signatory who is listed on your firm's signature verification document, and specifies both the capacity and contact information of that signatory.

You either did not provide a data verification document when you provided your electronic Claim submission, or the document you provided does not meet one or more of the above referenced requirements.

HOW TO RESOLVE: The only way to cure this condition is to provide a notarized affidavit or signed letter on your firm's letterhead which meets the below criteria:

- Confirms the number of distinct Claims and transactions in your file
- Sets forth the source of the data for each Claim included in your file
- Attests to the truth and accuracy of the data for each Claim in your file
- Is executed by an authorized signatory who is listed on your firm's signature verification document, and specifies both the capacity and contact information of that signatory.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless this deficiency is also cured and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

MISSING, INCOMPLETE OR INADEQUATE AUTHORIZATION DOCUMENT(S)

As indicated on the electronic filing instructions, if you are an institution or Claim preparer filing on behalf of beneficial owners other than yourself, you must provide a current document verifying that you are authorized to file and sign Claims on behalf of the beneficial owners of the securities.

You either did not provide an authorization document when you provided your electronic Claim submission, or the document you provided is not current (within three years of the filing deadline for this matter), or the document you provided does not clearly show that you are authorized to file and sign Claims on behalf of the beneficial owners of the securities.

HOW TO RESOLVE: The only way to cure this condition of ineligibility is to provide a current (within three years of the filing deadline for this matter) document showing that you are authorized to file and sign Claims on behalf of the beneficial owners of the securities represented in your electronic Claim submission.

Some common types of documents that fulfill this requirement include the following (this list is not exclusive and non-US entities may have different documents that fulfill this requirement. If you are a non-US entity, you must submit an equivalent document):

- Power of Attorney
- Service Agreement
- Signed and dated letter on client's company letterhead specifically granting your company authority to file/sign Claims on their behalf
- Notarized affidavit or signed letter on your company's letterhead confirming your authority to file and sign Claims on behalf of each client for whom you filed a Claim. It must be executed by an officer of the company who is also listed on your signature verification document and reference the capacity and contact information of the signatory.

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for “partial acceptance,” unless this deficiency is also cured and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

TRANSACTION REJECTION REASONS

TRANSACTIONAL REVIEW

There are issues with the data provided for certain of your transactions/shareholding positions. The specific transaction(s)/shareholding position(s) at issue are on the enclosed spreadsheet.

For purchases and sales, the following are some examples of potential data issues:

- The price per share referenced is not within the high/low price range for the trade date submitted on your file.
- The net amount provided does not reasonably correlate with the given quantity and price.
- The transaction was given with the incorrect currency.
- The trade date referenced is invalid or was blank on your file.

HOW TO RESOLVE: You must submit revised data and/or acceptable documentation supporting the transaction(s)/shareholding position(s) in question.

FREE RECEIVE (TRANSFER IN)

The Claim(s) referenced on the enclosed spreadsheet contain(s) one or more transactions that were presented or identified as a gift or transfer into your account (also called a “free receipt”) during the Class Period. The specific “Transfer In” transaction(s) at issue is (are) identified on the enclosed spreadsheet.

HOW TO RESOLVE: In order to include the above-noted shares in the calculation of the Claim, you must submit acceptable supporting documentation demonstrating that the **original** purchase or eligible acquisition transaction for the relevant security prior to the transfer into the account occurred during the Class Period. Specifically, if the shares identified above as a “Transfer In” were originally purchased/acquired during the Class Period, you must submit, with respect to each transaction, acceptable supporting documentation that provides the following information regarding the original purchase/acquisition transaction: date of purchase/acquisition, purchase/acquisition price per share, face value of notes or option contracts, and total purchase/acquisition price.

Acceptable supporting documentation includes securities brokers’ confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: If you already filed a Claim for the prior account that contains the original trades and a corresponding transfer (same date and quantity), then you may provide both Claim numbers with clear instruction to combine the Claims accordingly. **Failure to include both Claim numbers and adequate instruction will result in no further processing of your Claims with respect to these transfer transactions.** Even if you cure this condition of ineligibility and other condition(s) of ineligibility listed in this notice, your Claim must calculate to a Recognized Claim under the Plan of Allocation in order to be eligible to receive a recovery from the Net Settlement Fund.

FREE DELIVER (TRANSFER OUT)

The Claim(s) referenced on the enclosed spreadsheet contain(s) one or more transactions that were presented or identified as a transfer out of your account (also called a “free delivery”) prior to the close of trading on January 2, 2015. The specific “Transfer Out” transaction(s) at issue is (are) identified on the enclosed spreadsheet.

HOW TO RESOLVE: In order for the Claim to be properly calculated, you must submit acceptable supporting documentation that provides information regarding the **final** disposition of the shares in the above-noted

transactions after they were transferred out of the account. Specifically, if the shares identified above as a "Transfer Out" were sold prior to the close of trading on January 2, 2015, you must submit, with respect to each transaction, acceptable supporting documentation that provides the following information regarding the final sale transaction: date of sale, sale price per share, face value of notes or option contracts and total sale price. If the shares were not sold prior to the close of trading on January 2, 2015 and, therefore, were held as of the close of trading on that date, you need only submit acceptable documentation demonstrating that the security was held as of the close of trading on January 2, 2015.

Acceptable supporting documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

PLEASE NOTE: If you already filed a Claim for the subsequent account that contains the ultimate disposition and corresponding transfer (same date and quantity), then you may provide both Claim numbers with clear instruction to combine the Claims accordingly. **Failure to include both Claim numbers and adequate instruction will result in no further processing of your Claims with respect to these transfer transactions.** Please note that, even if you cure this condition of ineligibility and other condition(s) of ineligibility listed in this notice, your Claim must calculate to a Recognized Claim under the Plan of Allocation in order to be eligible to receive a recovery from the Net Settlement Fund.

TRANSACTION/SHAREHOLDING IS NOT FOR AN ELIGIBLE SECURITY

The transaction(s)/holding(s) listed on the enclosed spreadsheet is (are) not for an eligible security. GTAT Securities are the only securities eligible under the Settlement.

HOW TO RESOLVE: The only way that this condition of ineligibility can be cured is if you submitted incorrect information and/or documentation with your Claim Form and the transaction(s)/holding(s) listed above were supposed to be for GTAT Securities. In order to cure this condition of ineligibility, you must submit acceptable documentation demonstrating that the identified transaction(s)/holding(s) was (were) for GTAT Securities. Acceptable supporting documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

OUTSIDE CLASS PERIOD

The purchase transaction(s) listed on the enclosed spreadsheet is (are) ineligible because the purchase was not made during the Class Period.

HOW TO RESOLVE: The only way that this condition of ineligibility can be cured is if you submitted incorrect information and/or documentation with the Claim Form and the purchase transaction(s) listed above was (were), in fact, for a purchase(s) of GTAT Securities during the Class Period. In order to cure this condition of ineligibility, you must submit acceptable documentation demonstrating that the purchase transaction(s) was (were) made during the Class Period. Acceptable supporting documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

DUPLICATE TRANSACTION(S)

The transaction(s) referenced on the enclosed spreadsheet are duplicative of another transaction in the Claim.

HOW TO RESOLVE: The only way to cure this condition of ineligibility is by submitting acceptable documentation demonstrating that the transaction(s) listed on the enclosed are not duplicative. Acceptable supporting documentation includes securities brokers' confirmation slips, month-end and year-end account statements, or similar documentation. Self-generated documents are not acceptable.

THE OPTION TRANSACTION(S) YOU PROVIDED DO NOT CONFORM TO THE REQUIREMENTS LISTED ON THE PROOF OF CLAIM FORM.

The options transaction(s) in your claim do not conform to the requirements listed on the proof of claim form for one or more of the following reasons:

1. The opening position is required in order to calculate claims under the Court approved Plan of Allocation. You must provide the opening position for the contracts. If you do not provide this information, the transaction(s) will remain deficient and will be included for balancing purposes only.
2. The ultimate disposition of the option is required in order to calculate claims under the Court approved Plan of Allocation. You must provide the ultimate disposition for the contracts along with relevant details if they were sold to close, repurchased, exercised, assigned, or expired. If you do not provide this information, the transaction(s) will remain deficient and will be included for balancing purposes only.
3. You did not provide the relevant details of the transaction(s) such as if they were exercised, assigned, or expired. If you do not provide this information, the transaction(s) will remain deficient and will be included for balancing purposes only.

EXHIBIT C

Submission #	Claim #	Claim Identifier	Rejection Reason	Claim Status	Transaction #	Security Code	Beginning Holdings + Purchases	Sales + Unsold Holdings	Out of Balance Amount	CUSIP	Transaction Type	Trade Date	Quantity	Price	Net Amount	Currency Code
			Duplicate Claim	Wholly Rejected												

EXHIBIT D

**GTAT SECURITIES LITIGATION
DISPUTED CLAIMS CHART**

The documentation related to each Disputed Claim is attached hereto as Exhibits D-1 to D-3. All documents included in those exhibits have been redacted to remove personal information such as the Claimant's street address, email address, telephone number, account number, Taxpayer ID, Social Security or Social Insurance Numbers, and all financial and transaction information not related to the Claimant's transactions in GTAT Securities, unless the financial or transaction information serves as the basis for the dispute or as a basis for the Claim's rejection.

SECTION I: Claims Determined Not to Have a Recognized Claim

**A. All GTAT Common Stock Sold Prior to the Close of Trading on September 9, 2014
(Appendix A to the Notice ¶27(i))**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination																																										
1	Deborah Hillard (1000954)	<u>Reason for Rejection:</u> No Recognized Claim. <i>Claimant sold all eligible shares of GTAT Common Stock prior to the first corrective disclosure date, which occurred on September 9, 2014.</i> <u>Transactional History:</u> <table><tr><th><u>Date of Transaction</u></th><th><u>Security</u></th><th><u>Transaction Type</u></th><th><u>Number of Shares</u></th><th><u>Price per Share</u></th><th><u>Total</u> (excluding commission)</th><th><u>Appendix A to the Notice Reference</u></th></tr><tr><td>2/18/14</td><td>Common Stock</td><td>Purchase</td><td>6</td><td>\$12.13</td><td>\$72.78</td><td>¶27(i)¹</td></tr><tr><td>3/5/14</td><td>Common Stock</td><td>Purchase</td><td>4</td><td>\$16.99</td><td>\$67.96</td><td>¶27(i)</td></tr><tr><td>3/13/14</td><td>Common Stock</td><td>Sale</td><td>18</td><td>\$16.11</td><td>\$289.98</td><td>¶27(i)</td></tr><tr><td colspan="4"></td><td colspan="2">Exchange Market Gain (Loss)</td><td>\$20.36</td></tr><tr><td colspan="4"></td><td colspan="2">Recognized Claim</td><td>\$0.00</td></tr></table> <u>Detailed Explanation:</u> Because all shares purchased during the Class Period were “[s]old before September 9, 2014 or on September 9, 2014 before 1:12 pm. EST, the Exchange Act Recognized Loss Amount will be \$0.00.” Appendix A to the Notice ¶27(i). In response to the Deficiency Notice, Claimant requested Court review. The documentation Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Note that the Claim is out of balance as it is 8 shares short. However, even if the Claimant provided proof of an additional purchase of GTAT Common Stock, the Claim would still be rejected for “No Loss” because all shares were sold prior to September 9, 2014. Epiq contacted the Claimant via email and phone to further explain the reason for ineligibility and the Claimant affirmatively wishes to maintain her request for Court review.	<u>Date of Transaction</u>	<u>Security</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Appendix A to the Notice Reference</u>	2/18/14	Common Stock	Purchase	6	\$12.13	\$72.78	¶27(i) ¹	3/5/14	Common Stock	Purchase	4	\$16.99	\$67.96	¶27(i)	3/13/14	Common Stock	Sale	18	\$16.11	\$289.98	¶27(i)					Exchange Market Gain (Loss)		\$20.36					Recognized Claim		\$0.00
<u>Date of Transaction</u>	<u>Security</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Appendix A to the Notice Reference</u>																																						
2/18/14	Common Stock	Purchase	6	\$12.13	\$72.78	¶27(i) ¹																																						
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3/13/14	Common Stock	Sale	18	\$16.11	\$289.98	¶27(i)																																						
				Exchange Market Gain (Loss)		\$20.36																																						
				Recognized Claim		\$0.00																																						

¹ ¶27(i): For each share of GTAT Common Stock purchased or otherwise acquired during the Class Period (*i.e.*, from November 5, 2013 through and including 9:40 a.m. EST on October 6, 2014), and: (i) Sold before September 9, 2014 or on September 9, 2014 before 1:12 p.m. EST, the Exchange Act Recognized Loss Amount will be \$0.00.

**B. Claim Resulted in an Exchange Act Market Gain
(Appendix A to the Notice ¶47)**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination																																																															
2	Michael Arnold Schwenn (1099496)	<u>Reason for Rejection:</u> No Recognized Claim. <i>Claimant had overall Exchange Act Market Gain.</i> <u>Transactional History:</u> <table><tr><th><u>Date of Transaction</u></th><th><u>Security</u></th><th><u>Transaction Type</u></th><th><u>Number of Shares</u></th><th><u>Price per Share</u></th><th><u>Total</u> (excluding commission)</th><th><u>Appendix A to the Notice Reference</u></th></tr><tr><td>2/3/14</td><td>Common Stock</td><td>Purchase</td><td>1,100</td><td>\$10.20</td><td>\$11,220.00</td><td>¶27(i)²</td></tr><tr><td>7/1/14</td><td>Common Stock</td><td>Sale</td><td>1,100</td><td>\$19.20</td><td>\$21,120.00</td><td>¶27(i)</td></tr><tr><td>7/16/14</td><td>Common Stock</td><td>Purchase</td><td>650</td><td>\$15.30</td><td>\$9,945.00</td><td>¶27(ii)(ii)³</td></tr><tr><td>9/16/14</td><td>Common Stock</td><td>Sale</td><td>650</td><td>\$11.82</td><td>\$7,683.00</td><td>¶27(ii)(ii)</td></tr><tr><td>9/22/14</td><td>Common Stock</td><td>Purchase</td><td>675</td><td>\$11.25</td><td>\$7,593.75</td><td>¶27(iii)(i)⁴</td></tr><tr><td>10/7/14</td><td>Common Stock</td><td>Sale</td><td>675</td><td>\$1.30</td><td>\$877.50</td><td>¶27(iii)(i)</td></tr><tr><td colspan="3"></td><td colspan="2">Exchange Market Gain (Loss)</td><td>\$584.25</td><td></td></tr><tr><td colspan="3"></td><td colspan="2">Recognized Claim</td><td>\$0.00</td><td></td></tr></table> <u>Detailed Explanation:</u> Because all shares of GTAT Common Stock were sold at a total amount of \$29,680.50, which is a profit of \$921.75, the Claim has an overall Exchange Act Market Gain. Pursuant to the Plan of Allocation, “If a Claimant had an Exchange Act Market Gain with respect to his, her, or its overall transactions in GTAT Securities during the Class Period, the value of the Claimant’s Exchange Act Recognized Claim will be zero...” (see Appendix A to the Notice ¶47). In response to the Deficiency Notice, Claimant requested Court review. No additional documentation was provided. Epiq spoke to the Claimant and sent emails to further explain the reason for rejection and the Claimant affirmatively wishes to maintain his request for Court review.	<u>Date of Transaction</u>	<u>Security</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Appendix A to the Notice Reference</u>	2/3/14	Common Stock	Purchase	1,100	\$10.20	\$11,220.00	¶27(i) ²	7/1/14	Common Stock	Sale	1,100	\$19.20	\$21,120.00	¶27(i)	7/16/14	Common Stock	Purchase	650	\$15.30	\$9,945.00	¶27(ii)(ii) ³	9/16/14	Common Stock	Sale	650	\$11.82	\$7,683.00	¶27(ii)(ii)	9/22/14	Common Stock	Purchase	675	\$11.25	\$7,593.75	¶27(iii)(i) ⁴	10/7/14	Common Stock	Sale	675	\$1.30	\$877.50	¶27(iii)(i)				Exchange Market Gain (Loss)		\$584.25					Recognized Claim		\$0.00	
<u>Date of Transaction</u>	<u>Security</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Appendix A to the Notice Reference</u>																																																											
2/3/14	Common Stock	Purchase	1,100	\$10.20	\$11,220.00	¶27(i) ²																																																											
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			Recognized Claim		\$0.00																																																												

² ¶27(i): For each share of GTAT Common Stock purchased or otherwise acquired during the Class Period (*i.e.*, from November 5, 2013 through and including 9:40 a.m. EST on October 6, 2014), and: (i) Sold before September 9, 2014 or on September 9, 2014 before 1:12 p.m. EST, the Exchange Act Recognized Loss Amount will be \$0.00.

³ ¶27(ii)(ii): For each share of GTAT Common Stock purchased or otherwise acquired during the Class Period (*i.e.*, from November 5, 2013 through and including 9:40 a.m. EST on October 6, 2014), and: (ii) Sold from September 9, 2014 at or after 1:12 p.m. EST through and including the close of trading on October 3, 2014 or on October 6, 2014 before or at 9:40 a.m. EST, the Exchange Act Recognized Loss Amount will be **the lesser of**: (ii) the purchase/acquisition price *minus* the sale price.

⁴ ¶27(iii)(i): For each share of GTAT Common Stock purchased or otherwise acquired during the Class Period (*i.e.*, from November 5, 2013 through and including 9:40 a.m. EST on October 6, 2014), and: (iii) Sold from October 6, 2014 after 9:40 a.m. EST through and including the close of trading on January 2, 2014, the Exchange Act Recognized Loss Amount will be **the least of**: (i) the amount of artificial inflation per share on the date of purchase/acquisition as stated in Table A.

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Case 1:14-cv-00443-JL Document 248-7 Filed 11/26/19 Page 4 of 5 Claim Determination																																																																																																																																																															
3	Susan A. Evans (1047043)	<u>Reason for Rejection:</u> No Recognized Claim. <i>Claimant had overall Exchange Act Market Gain.</i> <u>Transactional History:</u> <table><tr><th><u>Date of Transaction</u></th><th><u>Security Type</u></th><th><u>Transaction Type</u></th><th><u>Number of Contracts</u></th><th><u>Price per Contract</u></th><th><u>Total</u>⁵ (excluding commission)</th><th><u>Appendix A to the Notice Reference</u></th></tr><tr><td>2/25/14</td><td>March 2014 Call \$14.00</td><td>Purchase</td><td>50</td><td>\$0.9400</td><td>\$4,700.00</td><td>¶34(i)⁶</td></tr><tr><td>3/21/14</td><td>March 2014 Call \$14.00</td><td>Sale</td><td>50</td><td>\$5.1000</td><td>\$25,500.00</td><td>¶34(i)</td></tr><tr><td>1/27/14</td><td>March 2014 Call \$11.00</td><td>Purchase</td><td>100</td><td>\$0.6000</td><td>\$6,000.00</td><td>¶34(i)</td></tr><tr><td>2/10/14</td><td>March 2014 Call \$11.00</td><td>Sale</td><td>50</td><td>\$1.5000</td><td>\$7,500.00</td><td>¶34(i)</td></tr><tr><td>3/21/14</td><td>March 2014 Call \$11.00</td><td>Sale</td><td>50</td><td>\$8.1200</td><td>\$40,600.00</td><td>¶34(i)</td></tr><tr><td>2/24/14</td><td>March 2014 Call \$12.50</td><td>Purchase</td><td>50</td><td>\$1.3946</td><td>\$6,973.00</td><td>¶34(i)</td></tr><tr><td>3/21/14</td><td>March 2014 Call \$12.50</td><td>Sale</td><td>50</td><td>\$6.6000</td><td>\$33,000.00</td><td>¶34(i)</td></tr><tr><td>3/13/14</td><td>April 2014 Call \$17.00 <i>Expired Worthless</i></td><td>Purchase</td><td>40</td><td>\$1.3700</td><td>\$5,480.00</td><td>¶34(i)</td></tr><tr><td>5/5/14</td><td>September 2014 Call \$14.00</td><td>Purchase</td><td>30</td><td>\$4.2676</td><td>\$12,802.80</td><td>¶34(i)</td></tr><tr><td>5/27/14</td><td>September 2014 Call \$14.00</td><td>Purchase</td><td>70</td><td>\$3.3285</td><td>\$23,299.50</td><td>¶34(i)</td></tr><tr><td>8/28/14</td><td>September 2014 Call \$14.00</td><td>Sale</td><td>100</td><td>\$3.8960</td><td>\$38,960.00</td><td>¶34(i)</td></tr><tr><td>6/19/14</td><td>September 2014 Call 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2014 Call \$12.50	Sale	50	\$6.6000	\$33,000.00	¶34(i)	3/13/14	April 2014 Call \$17.00 <i>Expired Worthless</i>	Purchase	40	\$1.3700	\$5,480.00	¶34(i)	5/5/14	September 2014 Call \$14.00	Purchase	30	\$4.2676	\$12,802.80	¶34(i)	5/27/14	September 2014 Call \$14.00	Purchase	70	\$3.3285	\$23,299.50	¶34(i)	8/28/14	September 2014 Call \$14.00	Sale	100	\$3.8960	\$38,960.00	¶34(i)	6/19/14	September 2014 Call \$15.00	Purchase	50	\$4.4580	\$22,290.00	¶34(i)	8/28/14	September 2014 Call \$15.00	Sale	50	\$3.0680	\$15,340.00	¶34(i)	7/30/14	December 2014 Call \$13.00	Purchase	40	\$3.2000	\$12,800.00	¶34(i)	8/28/14	December 2014 Call \$13.00	Sale	40	\$5.3000	\$21,200.00	¶34(i)	9/5/14	December 20, 2014 Call \$14.00	Purchase	80	\$3.9635	\$31,708.00	¶34(iii)(i) ⁷		December 20, 2014 Call \$14.00	Unsold	80			¶34(iii)(i)	9/9/14	December 20, 2014 Call \$14.00	Purchase	75	\$4.6000	\$34,500.00	¶34(iii)(i)		December 20, 2014 Call \$14.00	Unsold	75			¶34(iii)(i)	9/9/14	December 20, 2014 Call 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⁵ Each contract entitles the option buyer/owner to 100 shares of the underlying security when one contract is exercised or assigned. Accordingly, the total amount is calculated by multiplying the number of contracts by the number of underlying securities per contract (100) by the price per contract (e.g., 50 (contracts) X 100 (underlying securities) X \$0.9400 (price per contract) = \$4,700.00 (total)).

⁶ ¶34(i): For each GTAT Call Option purchased or otherwise acquired during the Class Period (*i.e.*, from November 5, 2013 through and including 9:40 a.m. EST on October 6, 2014), and: (i) closed (through sale, exercise, or expiration) before September 9, 2014 or on September 9, 2014 before 1:12 p.m. EST, the Exchange Act Recognized Loss Amount will be \$0.00.

⁷ ¶34(iii)(i): For each GTAT Call Option purchased or otherwise acquired during the Class Period (*i.e.*, from November 5, 2013 through and including 9:40 a.m. EST on October 6, 2014), and: (iii) open after 9:40 a.m. EST on October 6, 2014, the Exchange Act Recognized Loss Amount will be ***the lesser of:*** (i) the amount of artificial inflation per share on the date of purchase/acquisition as stated in Table D.

Exchange Market Gain (Loss)	\$12,871.70
Recognized Claim	\$0.00

Detailed Explanation:

Because all contracts of GTAT Call Options stock were sold at an overall Exchange Act Market Gain, the Recognized Claim is zero. Pursuant to the Plan of Allocation, “If a Claimant had an Exchange Act Market Gain with respect to his, her, or its overall transactions in GTAT Securities during the Class Period, the value of the Claimant’s Exchange Act Recognized Claim will be zero...” (see Appendix to the Notice ¶47).

In response to the Deficiency Notice, Claimant requested Court review. The documentation Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission.

Epiq contacted Claimant by email four times to further explain the reason for the rejection. The Claimant did not return Epiq’s written correspondence.

EXHIBIT D-1
(1000954)

Must be
Postmarked
No Later Than
July 12, 2018

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

GTS



1-866-562-8790
info@GTATSecuritiesLitigation.com
www.GTATSecuritiesLitigation.com



GTS0203453718



DEBORAH HILLIARD

Claim Number: 01000954

Control Number: 6204662658

PROOF OF CLAIM AND RELEASE FORM

TO BE ELIGIBLE TO RECEIVE A SHARE OF THE NET SETTLEMENT FUND(S) IN CONNECTION WITH THE PROPOSED SETTLEMENTS, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE FORM ("CLAIM FORM") AND MAIL IT BY PREPAID, FIRST-CLASS MAIL TO THE ABOVE ADDRESS, **POSTMARKED NO LATER THAN JULY 12, 2018.**

FAILURE TO SUBMIT YOUR CLAIM FORM BY THE DATE SPECIFIED WILL SUBJECT YOUR CLAIM TO REJECTION AND MAY PRECLUDE YOU FROM BEING ELIGIBLE TO RECOVER ANY MONEY IN CONNECTION WITH THE PROPOSED SETTLEMENTS.

DO NOT MAIL OR DELIVER YOUR CLAIM FORM TO THE COURT, THE PARTIES TO THIS ACTION, OR THEIR COUNSEL. SUBMIT YOUR CLAIM FORM ONLY TO THE CLAIMS ADMINISTRATOR AT THE ADDRESS SET FORTH ABOVE.

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PART IV - SCHEDULE OF TRANSACTIONS IN GTAT 3.00% CONVERTIBLE SENIOR NOTES DUE 2020	8
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PART VII - RELEASE OF CLAIMS AND SIGNATURE	14



PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Claimant Names(s) (as the name(s) should appear on check, if eligible for payment; if the securities are jointly owned, the names of all beneficial owners must be provided):

DEBORAH HILLIARD

Name of Person the Claims Administrator Should Contact Regarding this Claim Form (Must Be Provided):

DEBORAH HILLIARD

Mailing Address - Line 1: Street Address/P.O. Box:

[Redacted]

[Redacted]

City:

[Redacted]

State/Province:

Zip Code:

Country (if other than U.S.):

[Redacted]

Last 4 digits of Claimant Social Security/Taxpayer Identification Number:¹

[Redacted]

Daytime Telephone Number:

[Redacted]

Evening Telephone Number:

[Redacted]

Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.):

[Redacted]

Important. - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0

To view Garden City Group, LLC's Privacy Notice, please visit <http://www.choosegcg.com/privacy-notice/>

¹ The last four digits of the taxpayer identification number (TIN), consisting of a valid Social Security Number (SSN) for individuals or Employer Identification Number (EIN) for business entities, trusts, estates, etc., and telephone number of the beneficial owner(s) may be used in verifying this claim.

**PART II - GENERAL INSTRUCTIONS (CONTINUED)**

17. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the **mandatory** electronic filing requirements and file layout, you may visit the settlement website at www.GTATSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at eclaim@choossegcg.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** Only one claim should be submitted for each separate legal entity (see paragraph 10 above) and the **complete** name of the beneficial owner of the securities must be entered where called for (see paragraph 9 above). No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the Claims Administrator's electronic filing department at eclaim@choossegcg.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL, WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CALL THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-866-562-8790.

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- Page 4 of 36

Complete this Part III if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. common stock ("GTAT Common Stock") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please be sure to include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraphs 6 and 7, above. Do not include information regarding securities other than GTAT Common Stock in this section.

- IF NONE,
CHECK HERE**

1



**PART IV - SCHEDULE OF TRANSACTIONS IN
GTAT 3.00% CONVERTIBLE SENIOR NOTES DUE 2020**

Complete this Part IV if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. 3.00% Convertible Senior Notes Due 2020 ("GTAT Senior Notes") during the period from the initial offering of the GTAT Senior Notes, which occurred on or about December 5, 2013, through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions; paragraphs 6 and 8, above. Do not include information regarding securities other than GTAT Senior Notes in this section.

- 1. PURCHASES/ACQUISITIONS FROM INITIAL PUBLIC OFFERING THROUGH MAY 18, 2015³** – Separately list each and every purchase/acquisition (including free receipts) of GTAT Senior Notes from the initial public offering of the GTAT Senior Notes, which occurred on or about December 5, 2013, through and including May 18, 2015. (Must be documented.)

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Face Value of Notes Purchased/Acquired	Purchase/Acquisition Price Per \$1,000 Face Value	Total Purchase/Acquisition Price (excluding taxes, commissions, and fees)
/ /			
/ /			
/ /			
/ /			
/ /			
/ /			
/ /			
/ /			
/ /			
/ /			
/ /			

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☐

³ Please note: Information requested with respect to your purchases/acquisitions of GTAT Senior Notes from after 9:40 a.m. Eastern Standard Time on October 6, 2014 through and including the close of trading on May 18, 2015 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible transactions and will not be used for purposes of calculating Recognized Loss Amounts pursuant to the Plan of Allocation.

H/A

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☐ IF NONE, CHECK HERE

[illegible][illegible]

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

PART V - SCHEDULE OF TRANSACTIONS IN GTAT CALL OPTIONS (CONTINUED)

Complete this Part V if and only if you purchased or acquired publicly traded call options on GTAT Common Stock ("GTAT Call Options") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please include proper documentation with your Claim Form as described in detail in Part II - General Instructions, paragraphs 6 and 8, above. Do not include information regarding securities other than GTAT Call Options in this section.

3. SALES FROM NOVEMBER 5, 2013 THROUGH 9:40 A.M. EASTERN STANDARD TIME ON OCTOBER 6, 2014 - Separately list each and every sale/disposition (including free deliveries) of GTAT Call Options from after the opening of trading on November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. (Must be documented.)

IF NONE,
CHECK HERE ☐

Date of Sale (List Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts Sold	Sale Price Per Call Option Contract	Total Sale Price (excluding taxes, commissions, and fees)
11/05/13	10.00	11/05/13	1	10.00	10.00
11/05/13	10.00	11/05/13	1	10.00	10.00
11/05/13	10.00	11/05/13	1	10.00	10.00
11/05/13	10.00	11/05/13	1	10.00	10.00

4. HOLDINGS AS OF 9:40 A.M. EASTERN STANDARD TIME ON OCTOBER 6, 2014 - Separately list all positions in GTAT Call Option contracts in which you had an open interest as of 9:40 a.m. Eastern Standard Time on October 6, 2014. (Must be documented.)

IF NONE,
CHECK HERE ☐

Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts in Which You Had an Open Interest
10.00	11/05/13	1
10.00	11/05/13	1
10.00	11/05/13	1
10.00	11/05/13	1

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☐

Complete this Part VI if and only if you sold (wrote) publicly traded put options on GTAT Common Stock ("GTAT Put Options") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraphs 6 and 8, above. Do not include information regarding securities other than GTAT Put Options in this section.

**IF NONE,
CHECK HERE**

Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/Year)	Number of Put Option Contracts in Which You Had an Open Interest
	/	
	/	
	/	
	/	

2. SALES (WRITING) FROM NOVEMBER 5, 2013 THROUGH 9:40 A.M. EASTERN STANDARD TIME ON OCTOBER 6, 2014 – Separately list each and every sale (writing) (including free deliveries) of GTAT Put Option contracts from after the opening of trading on November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. (Must be documented.)

[illegible]

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

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**IF NONE,
CHECK HERE**

ase/Acquisition Price
taxes, commissions,
and fees)

IF NONE, CHECK HERE

100



PART VII - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 15 OF THIS CLAIM FORM.**

Release of Claims by Individual Defendant Settlement Class Members:

I (we) hereby acknowledge that, pursuant to the terms set forth in the Individual Defendant Stipulation, upon the Effective Date of the Individual Defendant Settlement, I (we), on behalf of myself (ourselves) and my (our) heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the Judgment entered with respect to the Individual Defendant Settlement, shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, discharged and dismissed each and every Individual Defendant Released Plaintiffs' Claim (as defined in the Individual Defendant Stipulation and the Notice) against the Individual Defendants and the other Individual Defendant Releases, and shall forever be barred and enjoined from prosecuting any or all of the Individual Defendant Released Plaintiffs' Claims against any of the Individual Defendant Releases.

Release of Claims by Underwriter Defendant Settlement Class Members:

I (we) hereby acknowledge that, pursuant to the terms set forth in the Underwriter Defendant Stipulation, upon the Effective Date of the Underwriter Defendant Settlement, I (we), on behalf of myself (ourselves) and my (our) heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the Judgment entered with respect to the Underwriter Defendant Settlement shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, discharged and dismissed each and every Underwriter Defendant Released Plaintiffs' Claim (as defined in the Underwriter Defendant Stipulation and the Notice) against the Settling Underwriter Defendants and the other Settling Underwriter Defendants' Releases, and shall forever be barred and enjoined from prosecuting any or all of the Underwriter Defendant Released Plaintiffs' Claims against any of the Settling Underwriter Defendants' Releases.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Notice and this Claim Form, including the releases provided for in the respective Settlements and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) member(s) of one or more of the Settlement Classes, as defined in the Notice, and is (are) not excluded by definition from such Settlement Class(es) as set forth in the Notice;
3. that the claimant has **not** submitted request(s) for exclusion from the Settlement Class(es) in which he, she or it would otherwise be a member;
4. that I (we) owned the GTAT Securities identified in the Claim Form and have not assigned the claims with respect to those securities to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases or sales of GTAT Securities and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, agree(s) to the determination by the Court of the validity or amount of this Claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and



PART VII - RELEASE OF CLAIMS AND SIGNATURE (CONTINUED)

10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (a) the claimant(s) is (are) exempt from backup withholding or (b) the claimant(s) has (have) not been notified by the IRS that he/she/it is subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified the claimant(s) that he/she/it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he/she/it is subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Deborah Hilliard
Signature of claimant

May 7, 2018
Date

DEBORAH HILLIARD
Print claimant name here

Signature of joint claimant, if any

Date

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc.
(Must provide evidence of authority to act on behalf of claimant – see paragraph 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST:

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only **copies** of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll-free at 1-866-562-8790.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the address below, by email at info@GTATSecuritiesLitigation.com, or by toll-free phone at 1-866-562-8790, or you may visit www.GTATSecuritiesLitigation.com. **DO NOT** call GTAT or any of the Defendants or their counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY FIRST-CLASS MAIL, POSTMARKED NO LATER THAN JULY 12, 2018, ADDRESSED AS FOLLOWS:

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before July 12, 2018 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.



Transaction History

Account Debbie	Date Range (Custom) 2/01/2014 to 3/31/2014	Type All Transactions	Symbol GTAT	VIEW	Account Activity Download

Transactions Results						
Date	Action	Description	Symbol	Quantity	Price	Amount
03/13/2014	SELL	ORDER POSTING	GTAT	-18.0000	\$16.11	\$283.03
03/05/2014	BUY	ORDER POSTING	GTAT	4.0000	\$16.99	-\$74.91
02/18/2014	BUY	ORDER POSTING	GTAT	6.0000	\$12.13	-\$79.73

Order Details

Account		Number of Shares	6
Created	2/18/2014 9:12:15 AM ET	Order Type	Market
Action	Buy	Cost	\$72.78
Symbol	GTAT	Commission	\$6.95
	GT ADVANCED TECHNOLOGIES INC	Total Order Cost	\$79.73
CUSIP	36191U106		

Banking products and services are provided by Capital One, N.A., member FDIC.

Securities products are offered by Capital One Investing, LLC, a registered broker-dealer and Member FINRA/SIPC. Advisory services are provided by Capital One Advisors, LLC, an SEC registered investment advisor. Insurance products are offered through Capital One Agency LLC. All are subsidiaries of Capital One Financial Corporation.

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Securities and services are: Not FDIC Insured • Not bank guaranteed • May lose value • Not a deposit
• Not Insured by any Federal Government Agency



Transaction History

Account Debbie	Date Range (Custom) 2/01/2014 to 3/31/2014	Type All Transactions	Symbol GTAT	VIEW	Account Activity Download

Transactions Results

Date	Action	Description	Symbol	Quantity	Price	Amount
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03/05/2014	BUY	ORDER POSTING	GTAT	4.0000	\$16.99	-\$74.91

Order Details

Account		Number of Shares	4
Created	3/05/2014 3:36:15 PM ET	Order Type	Market
Action	Buy	Cost	\$67.96
Symbol	GTAT	Commission	\$6.95
	GT ADVANCED TECHNOLOGIES INC	Total Order Cost	\$74.91
CUSIP	36191U106		

02/18/2014	BUY	ORDER POSTING	GTAT	6.0000	\$12.13	-\$79.73

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Transaction History

Account Debbie	Date Range (Custom) 2/01/2014 to 3/31/2014	Type All Transactions	Symbol GTAT	VIEW	Account Activity Download

Transactions Results

Date	Action	Description	Symbol	Quantity	Price	Amount
03/13/2014	SELL	ORDER POSTING	GTAT	-18.0000	\$16.11	\$283.03

Order Details

Account		Number of Shares	18
Created	3/13/2014 2:37:56 PM ET	Order Type	Market
Action	Sell	Proceeds	\$289.98
Symbol	GTAT	Commission	\$6.95
	GT ADVANCED TECHNOLOGIES INC	Total Order Proceeds	\$283.03
CUSIP	36191U106		

03/05/2014	BUY	ORDER POSTING	GTAT	4.0000	\$16.99	-\$74.91
02/18/2014	BUY	ORDER POSTING	GTAT	6.0000	\$12.13	-\$79.73

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Deborah Hilliard



Expected Delivery Day: 05/09/2018
USPS TRACKING NUMBER



1006



43017

U.S. POSTAGE
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MAY 07, 18
AMOUNT
\$7.30

GTA Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063



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Label 107R, July 2013

[DIVIDER PAGE]

GTAT Securities Litigation
 c/o GCG
 P.O. Box 10463
 Dublin, OH 43017-4063
 (866)-562-8790
 www.GTATSecuritiesLitigation.com
 GTS0215167729



DEBORAH HILLIARD



Mailing Date: April 11, 2019
 Response Due Date: May 1, 2019
 Claim No: 1000954

Eligible Securities:
 GTAT Common Stock
 GTAT 3.00% Convertible Senior Notes Due 2020
 GTAT Call Options
 GTAT Put Options

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Settlement in this action (which is included in the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that you received), the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your Claim will be considered to the extent cured.

PLEASE NOTE: Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

PLEASE NOTE: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure ineligible condition(s) identified, this Claim will be rejected in its entirety.

If you disagree with the determination to reject this Claim, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. If your response cures sufficient ineligibility condition(s) identified and the Claim calculates to a Recognized Claim under the Court-approved Plan of Allocation, the Claim will be included in the list of eligible Claims presented to the Court for approval.

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Notice (which contains the Plan of Allocation), you may do so on the Settlement website at www.GTATSecuritiesLitigation.com.

Very truly yours,

GCG
The Claims Administrator

INELIGIBILITY CONDITION: No Recognized Claim.

Under the terms of the Court-approved Plan of Allocation set forth in the Notice (which is available for review on the Settlement website, www.GTATSecuritiesLitigation.com), the transactions included in the Claim Form for the Claim referenced above do not calculate to a Recognized Claim, and, therefore, the Claim is not eligible to receive a recovery from the Net Settlement Fund.

HOW TO RESOLVE: Unless you had purchases or otherwise eligible acquisitions of GTAT Securities during the Class Period that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of GTAT Securities that were not reflected in your original submission, you must submit all of the information and supporting documentation for those purchases/acquisitions as called for in the Claim Form. All transactions and holding positions in your Claim Form must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless you had additional Class Period purchases or other eligible acquisitions of GTAT Securities that are properly documented and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

INELIGIBILITY CONDITION: Supporting documentation is illegible or is otherwise inadequate.

The supporting documentation provided for the Claim referenced above is illegible or does not contain all the required information.

HOW TO RESOLVE: You can resolve this condition of ineligibility by submitting acceptable documentation to support the Claim. All transactions and holding positions must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

Note: Please do not merely resubmit the same documentation as was included with your original submission, as it was illegible or otherwise inadequate. You will need to provide clean, legible acceptable documentation that includes all the required information for the transactions and holding positions reflected in the Claim Form in order to cure this deficiency in the Claim.

PLEASE NOTE: Curing this deficiency in the entirety or in part is an absolute requirement in order for the Claim to be eligible. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable documentation is submitted. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, unless this deficiency is also cured at least in part and, to the extent that it is cured the Claim calculates to a Recognized Claim under the Plan of Allocation.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the rejection of this Claim," (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

Deborah Hilliard



GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

Claim No# 1000954

To Whom It May Concern:

I Deborah Hilliard called your representative when I received this Notice of Rejection of Claim. Enclosed is a printed page of my Capital One Investing account with my name, address and account No# proving that this is my account. I also did a printout of GTAT stock that I purchased which has my account nick name was is (Debbie). Capital One Investing will mail me a statement of the purchase of the GTAT Stock. I should receive it in 7 to 10 business days. I was advised to mail whatever supporting documents that I have back in with this Letter of Rejection. If my claim is still rejected then I would like to request a Court Review for this claim.

Thank you

A handwritten signature in cursive script that reads "Deborah Hilliard".

Deborah Hilliard



****PLEASE PRINT AND RETAIN FOR YOUR RECORDS****

Note: This is your only notice. You will not receive a hardcopy statement by U.S. Mail.

Capital One Investing, LLC - Year End Statement of Account

Deborah Hilliard

Capital One Investing, LLC

Statement Period: 1/1/2016 to 12/31/2016

ACCOUNT VALUE SUMMARY

<u>ACCOUNT</u>	<u>YEAR END</u>	<u>BEGINNING OF YEAR</u>	<u>CHANGE IN VALUE</u>
Equities (Stocks and ETFs)	\$10.86	\$0.00	\$10.86
Cash Balance - FDIC Insured ¹	\$0.60	\$8.01	\$0.59
Cash Balance - Money Market Fund	\$0.00	\$0.00	\$0.00
Total	\$11.46		

N/A

EARNINGS SUMMARY

EARNINGS TYPE

AMOUNT

Earned Interest	\$0.00
Dividends	\$0.00
Other	\$0.00

N/A

SECURITIES HELD IN ACCOUNT



Transaction History

Account Debbie	Date Range (Custom) 2/01/2014 to 3/31/2014	Type All Transactions	Symbol GTAT	VIEW	Account Activity Download

Transactions Results

Date	Action	Description	Symbol	Quantity	Price	Amount
03/13/2014	SELL	ORDER POSTING	GTAT	-18.0000	\$16.11	\$283.03
03/05/2014	BUY	ORDER POSTING	GTAT	4.0000	\$16.99	-\$74.91

Order Details

Account		Number of Shares	4
Created	3/05/2014 3:36:15 PM ET	Order Type	Market
Action	Buy	Cost	\$67.96
Symbol	GTAT	Commission	\$6.95
	GT ADVANCED TECHNOLOGIES INC	Total Order Cost	\$74.91
CUSIP	36191U106		

02/18/2014	BUY	ORDER POSTING	GTAT	6.0000	\$12.13	-\$79.73

Banking products and services are provided by Capital One, N.A., member FDIC.

Securities products are offered by Capital One Investing, LLC, a registered broker-dealer and Member FINRA/SIPC. Advisory services are provided by Capital One Advisors, LLC, an SEC registered investment advisor. Insurance products are offered through Capital One Agency LLC.

All are subsidiaries of Capital One Financial Corporation. Brokerage services for COA are provided by Apex Clearing Corporation and/or Cantor Fitzgerald. Both are registered broker dealers and Members FINRA/SIPC.

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Securities and services are: **Not FDIC insured** · **Not bank guaranteed** · **Subject to Investment Risks** · **Not a deposit**
Not Insured by any Federal Government Agency



Transaction History

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	GT ADVANCED TECHNOLOGIES INC	Total Order Cost	\$79.73
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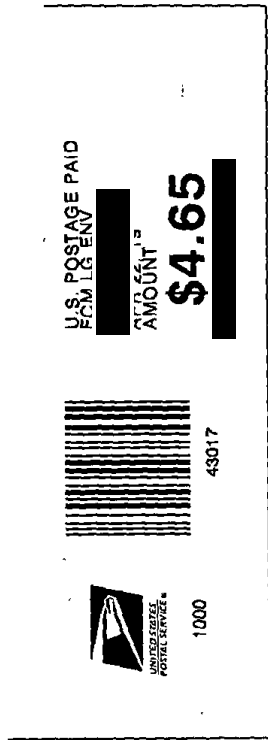
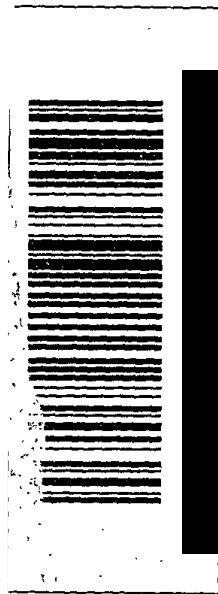
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Deborah Hilliard



G T A T Securities Litigation

C/o GCG

PO Box 10463

Dublin, OH 43017-4063

[DIVIDER PAGE]

(866)-562-8790

www.GTATSecuritiesLitigation.com

GTS0215167729



DEBORAH HILLIARD



Mailing Date: April 11, 2019

Response Due Date: May 1, 2019

Claim No: 1000954

Eligible Securities:

GTAT Common Stock

GTAT 3.00% Convertible Senior Notes Due 2020

GTAT Call Options

GTAT Put Options

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Settlement in this action (which is included in the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that you received), the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your Claim will be considered to the extent cured.

PLEASE NOTE: Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

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If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure ineligible condition(s) identified, this Claim will be rejected in its entirety.

If you disagree with the determination to reject this Claim, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. If your response cures sufficient ineligibility condition(s) identified and the Claim calculates to a Recognized Claim under the Court-approved Plan of Allocation, the Claim will be included in the list of eligible Claims presented to the Court for approval.

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Notice (which contains the Plan of Allocation), you may do so on the Settlement website at www.GTATSecuritiesLitigation.com.

Very truly yours,

GCG
The Claims Administrator

INELIGIBILITY CONDITION: No Recognized Claim.

Under the terms of the Court-approved Plan of Allocation set forth in the Notice (which is available for review on the Settlement website, www.GTATSecuritiesLitigation.com), the transactions included in the Claim Form for the Claim referenced above do not calculate to a Recognized Claim, and, therefore, the Claim is not eligible to receive a recovery from the Net Settlement Fund.

HOW TO RESOLVE: Unless you had purchases or otherwise eligible acquisitions of GTAT Securities during the Class Period that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of GTAT Securities that were not reflected in your original submission, you must submit all of the information and supporting documentation for those purchases/acquisitions as called for in the Claim Form. All transactions and holding positions in your Claim Form must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless you had additional Class Period purchases or other eligible acquisitions of GTAT Securities that are properly documented and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

INELIGIBILITY CONDITION: Supporting documentation is illegible or is otherwise inadequate.

The supporting documentation provided for the Claim referenced above is illegible or does not contain all the required information.

HOW TO RESOLVE: You can resolve this condition of ineligibility by submitting acceptable documentation to support the Claim. All transactions and holding positions must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

Note: Please do not merely resubmit the same documentation as was included with your original submission, as it was illegible or otherwise inadequate. You will need to provide clean, legible acceptable documentation that includes all the required information for the transactions and holding positions reflected in the Claim Form in order to cure this deficiency in the Claim.

PLEASE NOTE: Curing this deficiency in the entirety or in part is an absolute requirement in order for the Claim to be eligible. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable documentation is submitted. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, unless this deficiency is also cured at least in part and, to the extent that it is cured the Claim calculates to a Recognized Claim under the Plan of Allocation.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the rejection of this Claim," (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

Deborah Hilliard



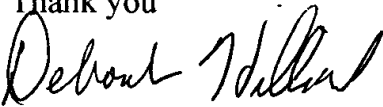
GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

Claim No# 1000954

To Whom It May Concern:

I Deborah Hilliard called your representative when I received this Notice of Rejection of Claim. Enclosed is a printed page of my Capital One Investing account with my name, address and account No# proving that this is my account. I also did a printout of GTAT stock that I purchased which has my account nick name was is (Debbie). Capital One Investing will mail me a statement of the purchase of the GTAT Stock. I should receive it in 7 to 10 business days. I was advised to mail whatever supporting documents that I have back in with this Letter of Rejection. If my claim is still rejected then I would like to request a Court Review for this claim.

Thank you



Deborah Hilliard

****PLEASE PRINT AND RETAIN FOR YOUR RECORDS****

Note: This is your only notice. You will not receive a hardcopy statement by U.S. Mail.

Capital One ShareBuilder, Inc. - Year End Statement of Account

Deborah Hilliard

Capital One ShareBuilder, Inc.

Statement Period: 1/1/2014 to 12/31/2014

ACCOUNT VALUE SUMMARY

<u>ACCOUNT</u>	<u>YEAR END</u>	<u>BEGINNING OF YEAR</u>	<u>CHANGE IN VALUE</u>
Equities (Stocks and ETFs)			
Cash Balance - FDIC Insured ¹			
Cash Balance - Money Market Fund			
Total			

EARNINGS SUMMARY

<u>EARNINGS TYPE</u>	<u>AMOUNT</u>
Earned Interest	
Dividends	
Other	

SECURITIES HELD IN ACCOUNT**EQUITY TRANSACTION ACTIVITY**

<u>DATE</u>	<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>PRICE</u> ²	<u>COMMISSION/ TRAN CHARGE</u>	<u>FEE</u> ³	<u>AMOUNT</u>
GTATQ - GT ADVANCED TECHNOLOGIES INC						
2/18/2014	BUY	6.0000	\$12.13	\$6.95	-	(\$79.73)
3/5/2014	BUY	4.0000	\$16.99	\$6.95	-	(\$74.91)
3/13/2014	SELL	(18.0000)	\$16.11	\$6.95	-	\$283.03

DEPOSITS AND WITHDRAWALS

<u>DATE</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>

Statement Disclosure

Customer free credit balances are not segregated and are automatically transferred to a consolidated sweep account subject to the limitations of Rule 15c3-3 under the Securities and Exchange Act of 1934. A customer may change his or her cash sweep preference between the FDIC insured bank account and the money market mutual fund at any time under the terms of the Sweep Program. The customer's balance in the FDIC insured bank account or shares in the money market mutual fund may be liquidated by making a withdrawal request, with the funds being returned in the method chosen by the customer.

Investment products offered by Capital One ShareBuilder, Inc. are not insured by the FDIC/NCUA, are not deposits of or guaranteed by a bank or credit union, and are subject to investment risks and may lose value.

Error Reporting

Please review this Account Statement carefully. If you find any inaccuracies, discrepancies or omissions of any transactions on this statement, or if you do not understand any of the information on this statement, please contact us immediately. You are responsible for promptly reporting, in writing, to Capital One ShareBuilder, Inc. any inaccuracy, discrepancy or omission. It is recommended that any verbal communications you may have had with a ShareBuilder associate should be re-confirmed in writing in order to protect your rights under the Securities Investor Protection Act. You can send inquiries or report errors regarding your account statement by either emailing customerservice@sharebuilder.com or calling ShareBuilder Customer Service at 1-800-747-2537.

FDIC Insured Cash Balance ¹

The FDIC Insured Cash Balance is held at Capital One 360, a division of Capital One, N.A., member FDIC. The FDIC Insured Cash Balance will be eligible for FDIC Insurance up to \$250,000 (including interest and principal) per depositor for all aggregated deposits held at Capital One, N.A.

Cash held in the FDIC Insured Cash Balance is not covered by SIPC

Margin Accounts

Please note, any Margin Loan Interest incurred will NOT be reported to the IRS. Margin Loan Interest is provided on your Monthly and Yearly account statements with the purpose of assisting in tax return preparation.

Securities products are offered by Capital One ShareBuilder, Inc., a registered broker-dealer and Member FINRA/SIPC. Capital One ShareBuilder, Inc. is a subsidiary of Capital One Financial Corporation.

2 - For mutual funds, price represents the Net Asset Value (NAV).

3 - For transactions that involve mutual funds it represents redemption fees. For additional FEE details, review the Trade Confirmation and/or Transaction History for the trade.

IRA & ESA Accounts

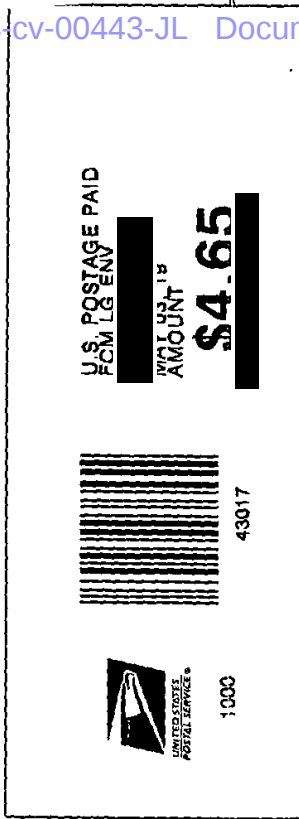
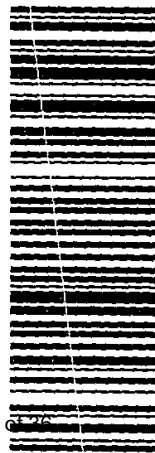
If you do not make any 2014 contributions in 2015, this statement will serve as notice of your year-end fair market value. Otherwise, you will receive a Form 5498-ESA in April 2015 or a Form 5498 from Capital One ShareBuilder, Inc. in May of 2015.

Deborah Hilliard



PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS. FOLD AT DOTTED LINE

CERTIFIED MAIL®



G T A T Securities Litigation

c/o GCG

PO Box 10463

Dublin OH 43017-4063

From: info@gtatsecuritieslitigation.com
Sent: Thursday, June 27, 2019 4:59 PM
To: [REDACTED]
Subject: GTAT Securities Litigation Claim 1000954

Dear Ms. Hilliard,

We are in receipt of your response and documentation submitted in response to your Notice of Claim Rejection and request for Court Review for the above mentioned claim; however, your claim did not result in a Recognized Loss pursuant to the Plan of Allocation, as you actually incurred a market gain of **\$20.36**. Please feel free to contact us if you have any additional questions. **Otherwise please confirm that we may remove your request for Court Review after the determination of your claim.**

Kind regards,

The Claims Administrator
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com

EXHIBIT D-2
(1099496)

Must be
Postmarked
No Later Than
July 12, 2018

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

GTS



1-866-562-8790
info@GTATSecuritiesLitigation.com
www.GTATSecuritiesLitigation.com

GT80209353840



MICHAEL ARNOLD SCHWEMM



Claim Number: 01099496
Control Number: 8028933080

PROOF OF CLAIM AND RELEASE FORM

TO BE ELIGIBLE TO RECEIVE A SHARE OF THE NET SETTLEMENT FUND(S) IN CONNECTION WITH THE PROPOSED SETTLEMENTS, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE FORM ("CLAIM FORM") AND MAIL IT BY PREPAID, FIRST-CLASS MAIL TO THE ABOVE ADDRESS, **POSTMARKED NO LATER THAN JULY 12, 2018**.

FAILURE TO SUBMIT YOUR CLAIM FORM BY THE DATE SPECIFIED WILL SUBJECT YOUR CLAIM TO REJECTION AND MAY PRECLUDE YOU FROM BEING ELIGIBLE TO RECOVER ANY MONEY IN CONNECTION WITH THE PROPOSED SETTLEMENTS.

DO NOT MAIL OR DELIVER YOUR CLAIM FORM TO THE COURT, THE PARTIES TO THIS ACTION, OR THEIR COUNSEL. SUBMIT YOUR CLAIM FORM ONLY TO THE CLAIMS ADMINISTRATOR AT THE ADDRESS SET FORTH ABOVE.

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PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Claimant Names(s) (as the name(s) should appear on check, if eligible for payment, if the securities are jointly owned, the names of all beneficial owners must be provided):

MICHAEL ARNOLD SCHWENN

Regarding this Claim Form (Must Be Provided):

Mailing Address – Line 1: Street Address/P.O. Box:

Mailing Address – Line 2 (If Applicable): Apartment/Suite/Floor Number:

City:

State/Province:

Country (if other than U.S.):

Last 4 digits of Claimant Social Security/taxpayer identification Number:¹

Daytime Telephone Number:

Evening Telephone Number:

Email address (Email address is not required, but if you provide it, providing you with information relevant to this claim):

Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0

To view Garden City Group, LLC's Privacy Notice, please visit <http://www.choosegcity.com/privacy-notice/>

¹ The last four digits of the taxpayer identification number (TIN), consisting of a valid Social Security Number (SSN) for individuals or Employer Identification Number (EIN) for business entities, trusts, estates, etc., and telephone number of the beneficial owner(s) may be used in verifying this claim.

**PART II - GENERAL INSTRUCTIONS (CONTINUED)**

17. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the **mandatory** electronic filing requirements and file layout, you may visit the settlement website at www.GTATSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at eclaim@choosegcg.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** Only one claim should be submitted for each separate legal entity (see paragraph 10 above) and the **complete** name of the beneficial owner of the securities must be entered where called for (see paragraph 9 above). No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the Claims Administrator's electronic filing department at eclaim@choosegcg.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL, WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CALL THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-866-562-8790.



PART III - SCHEDULE OF TRANSACTIONS IN GTAT COMMON STOCK

Complete this Part III if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. common stock ("GTAT Common Stock") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please be sure to include proper documentation with your Claim Form as described in detail in Part II - General Instructions, paragraphs 6 and 7, above. Do not include information regarding securities other than GTAT Common Stock in this section.

1. **HOLDINGS AS OF NOVEMBER 5, 2013** - State the total number of shares of GTAT Common Stock held as of the opening of trading on November 5, 2013. (Must be documented.) If none, write "zero" or "0."

ZERO

2. **PURCHASES/ACQUISITIONS FROM NOVEMBER 5, 2013 THROUGH JANUARY 2, 2015²** - Separately list each and every purchase/acquisition (including free receipts) of GTAT Common Stock from after the opening of trading on November 5, 2013 through and including January 2, 2015. (Must be documented.)

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Purchase/Acquisition Price (excluding taxes, commissions, and fees)
02/03/14	1100	10.20	11220.00
07/16/14	650	15.30	9945.00
09/22/14	675	11.25	7593.75

MICHAEL ARNOLD SCHWENN

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☒

² Please note: Information requested with respect to your purchases/acquisitions of GTAT Common Stock from after 9:40 a.m. Eastern Standard Time on October 6, 2014 through and including January 2, 2015 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible transactions and will not be used for purposes of calculating Recognized Loss Amounts pursuant to the Plan of Allocation.

7

**PART III - SCHEDULE OF TRANSACTIONS IN GTAT COMMON STOCK (CONTINUED)**

Complete this Part III if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. common stock ("GTAT Common Stock") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please be sure to include proper documentation with your Claim Form as described in detail in Part II - General Instructions, paragraphs 6 and 7, above. Do not include information regarding securities other than GTAT Common Stock in this section.

3. **SALES FROM NOVEMBER 5, 2013 THROUGH JANUARY 2, 2015** - Separately list each and every sale/disposition (including free deliveries) of GTAT Common Stock from after the opening of trading on November 5, 2013 through and including the close of trading on January 2, 2015. (Must be documented.)

IF NONE,
CHECK HERE ☐

Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
07/01/14	1100	19.20	21120.00
09/16/14	650	11.82	7683.00
10/07/14	675	1.30	877.50

4. **HOLDINGS AS OF OCTOBER 9, 2014** - State the total number of shares of GTAT Common Stock held as of the close of trading on October 9, 2014. (Must be documented.) If none, write "zero" or "0."

ZERO ☐

5. **HOLDINGS AS OF JANUARY 2, 2015** - State the total number of shares of GTAT Common Stock held as of the close of trading on January 2, 2015. (Must be documented.) If none, write "zero" or "0."

ZERO ☐

MICHAEL ARNOLD SCHWENN

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☐



PART VII - RELEASE OF CLAIMS AND SIGNATURE (CONTINUED)

10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (a) the claimant(s) is (are) exempt from backup withholding or (b) the claimant(s) has (have) not been notified by the IRS that he/she/it is subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified the claimant(s) that he/she/it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he/she/it is subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Signature of claimant Michael Arnold Schwenn Date July 5 2018

Print claimant name here MICHAEL ARNOLD SCHWENN

Signature of joint claimant, if any _____ Date _____

Print joint claimant name here _____

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant _____ Date _____

Print name of person signing on behalf of claimant here _____

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc.
(Must provide evidence of authority to act on behalf of claimant – see paragraph 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST:

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only **copies** of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll-free at 1-866-562-8790.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the address below, by email at info@GTATSecuritiesLitigation.com, or by toll-free phone at 1-866-562-8790, or you may visit www.GTATSecuritiesLitigation.com. **DO NOT** call GTAT or any of the Defendants or their counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY FIRST-CLASS MAIL, POSTMARKED NO LATER THAN JULY 12, 2018, ADDRESSED AS FOLLOWS:

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before July 12, 2018 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.



Account History -

Search results for 1/1/2014 to 12/25/2014

Mon Jun 25 2018 12:46:20 pm ET

Date	Description	Net Change	Net Cash Balance
02/03/2014 09:56:01	Bought 1100 GTAT @ 10.2	-11,229.99	--
Transaction ID: 11135791608 Underlying: Put/Call: Session: Regular Market Hours Date Entered: 02/03/2014 Commission: 9.99 Order Number: 10329992953 Strike: Expiration: Routing: Time Entered: 09:56:27 Reg Fee:			
Date	Description	Net Change	Net Cash Balance
07/01/2014 09:37:21	Sold 1100 GTAT @ 19.2	21,109.54	--
Transaction ID: 11807969672 Underlying: Put/Call: Session: Regular Market Hours Date Entered: 07/01/2014 Commission: 9.99 Order Number: 10734910828 Strike: Expiration: Routing: Time Entered: 09:35:34 Reg Fee: 0.47			
Date	Description	Net Change	Net Cash Balance
07/16/2014 10:29:52	Bought 650 GTAT @ 15.3	-9,954.99	--
Transaction ID: 11859221651 Underlying: Put/Call: Session: Regular Market Hours Date Entered: 07/16/2014 Commission: 9.99 Order Number: 10768835096 Strike: Expiration: Routing: Time Entered: 08:18:04 Reg Fee:			
Date	Description	Net Change	Net Cash Balance
09/16/2014 15:35:33	Sold 650 GTAT @ 11.82	7,672.84	--
Transaction ID: 12117604039 Underlying: Put/Call: Session: Regular Market Hours Date Entered: 09/16/2014 Commission: 9.99 Order Number: 10920140940 Strike: Expiration: Routing: Time Entered: 15:35:33 Reg Fee: 0.17			
Date	Description	Net Change	Net Cash Balance
09/22/2014 15:35:07	Bought 675 GTAT @ 11.25	-7,603.74	--
Transaction ID: 12138540180 Underlying: Put/Call: Session: Regular Market Hours Date Entered: 09/22/2014 Commission: 9.99 Order Number: 10935110519 Strike: Expiration: Routing: Time Entered: 15:17:31 Reg Fee:			
Date	Description	Net Change	Net Cash Balance
10/07/2014 11:05:45	Sold 675 GTAT @ 1.3	867.49	--
Transaction ID: 12225495194 Underlying: Put/Call: Session: Regular Market Hours Date Entered: 10/07/2014 Commission: 9.99 Order Number: 10976463090 Strike: Expiration: Routing: Time Entered: 11:05:13 Reg Fee: 0.02			



Fri Jul 6 2018 1:53:54 pm ET

[Page help](#)

My Profile

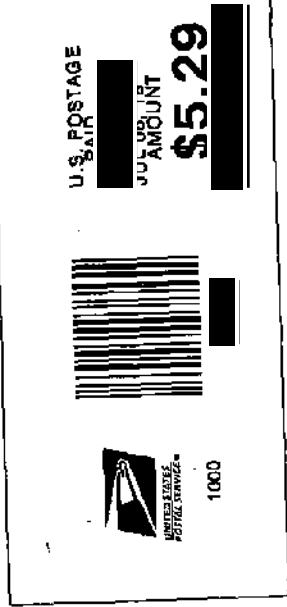
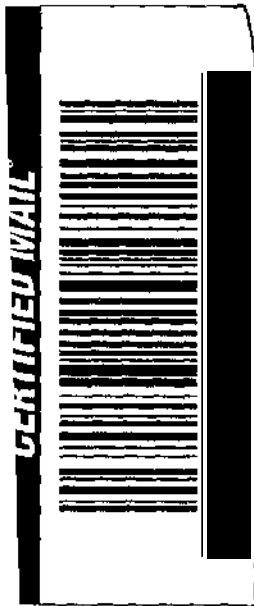
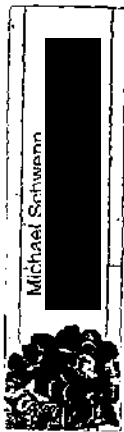
General	Personal Information	Communication Preferences	Investor Profile	Subscriptions	Link Accounts
Account information					
Account name		MICHAEL SCHWENN		Edit	
Account type		Individual		Edit	
Account number		[REDACTED]		Edit	
Account PIN		--		Edit	
Note: To update your name or Taxpayer Identification number, please contact a Client Services representative at [REDACTED]					
Account owner MICHAEL ARNOLD SCHWENN					
Personal information Edit					
Physical street address		[REDACTED]		Edit	
Daytime phone number		[REDACTED]		Edit	
Evening phone number		[REDACTED]		Edit	
Primary email address		[REDACTED]		Edit	
Marital status		[REDACTED]		Edit	
Number of dependents		[REDACTED]		Edit	
Employment Information Edit					
Employment status		[REDACTED]		Edit	
Occupation		[REDACTED]		Edit	
Business/employer name		[REDACTED]		Edit	
Business/employer address		[REDACTED]		Edit	
Personal affiliations Edit					
1. Are you, or is your spouse, or is any member of your immediate family (including parents, in-laws, siblings, or dependents) licensed by, employed by, or associated with a broker-dealer firm, a financial services regulator, a securities exchange, or a member of a securities exchange? No					
2. Are you, or is your spouse, or is any member of your immediate family (including parents, in-laws, siblings, or dependents), or are any of your personal or business associates a senior political figure? No					
3. Are you, or is your spouse, or is any member of your immediate family (including parents, in-laws, siblings, or dependents) a member of the board of directors, a 10% shareholder, or a policy-making officer of a publicly traded company? No					

This is not an offer or solicitation in any jurisdiction where we are not authorized to do business or where such offer or solicitation would be contrary to the local laws and regulations of that jurisdiction, including, but not limited to persons residing in: Australia, Canada, Hong Kong, Japan, Saudi Arabia, Singapore, UK, and the countries of the European Union.

Unauthorized access and use is prohibited. Usage is monitored. TD Ameritrade, Inc., member **FINRA/SIPC**. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and The Toronto-Dominion Bank. © 2018 TD Ameritrade IP Company, Inc. All rights reserved.

As your agreement for the receipt and use of market data provides, the securities markets (1) reserve all rights to the market data that they make available; (2) do not guarantee that data; and (3) shall not be liable for any loss due either to their negligence or to any cause beyond their reasonable control.

YOUR RECEIPT AND USE OF THIS SERVICE IS SUBJECT TO THE TERMS AND CONDITIONS OF YOUR [ELECTRONIC AGREEMENT](#) WITH TD Ameritrade.



GTAT Securities Litigation

% GCG

P.O. # 10463

Dublin Ohio 43017-4063

[DIVIDER PAGE]



GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063
(866)-562-8790
www.GTATSecuritiesLitigation.com
GTS0216727586



MICHAEL ARNOLD SCHWENN



Mailing Date: May 24, 2019

Response Due Date: June 13, 2019

Claim No: 1099496

Eligible Securities:

GTAT Common Stock

GTAT 3.00% Convertible Senior Notes Due 2020

GTAT Call Options

GTAT Put Options

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Settlement in this action (which is included in the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that you received), the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please **do not merely re-submit** the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your Claim will be considered to the extent cured.

PLEASE NOTE: Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

PLEASE NOTE: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure ineligible condition(s) identified, this Claim will be rejected in its entirety.

If you disagree with the determination to reject this Claim, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. If your response cures sufficient ineligibility condition(s) identified and the Claim calculates to a Recognized Claim under the Court-approved Plan of Allocation, the Claim will be included in the list of eligible Claims presented to the Court for approval.



Claim No: 1099496

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Notice (which contains the Plan of Allocation), you may do so on the Settlement website at www.GTATSecuritiesLitigation.com.

Very truly yours,

GCG

The Claims Administrator

INELIGIBILITY CONDITION: No Recognized Claim.

Under the terms of the Court-approved Plan of Allocation set forth in the Notice (which is available for review on the Settlement website, www.GTATSecuritiesLitigation.com), the transactions included in the Claim Form for the Claim referenced above do not calculate to a Recognized Claim, and, therefore, the Claim is not eligible to receive a recovery from the Net Settlement Fund.

HOW TO RESOLVE: Unless you had purchases or otherwise eligible acquisitions of GTAT Securities during the Class Period that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of GTAT Securities that were not reflected in your original submission, you must submit all of the information and supporting documentation for those purchases/acquisitions as called for in the Claim Form. All transactions and holding positions in your Claim Form must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless you had additional Class Period purchases or other eligible acquisitions of GTAT Securities that are properly documented and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the rejection of this Claim," (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

From:

To:

Subject: GTAT Securities Litigation

Date: Mon, Jun 10, 2019 3:09 pm

Attn: GTAT Securities Litigation

Re Claim # 1099496

From

Michael A. Schwenn

Dear Sirs..

I am asking for a review of my claim . I followed all the directions from the original claim. It was mailed in before the deadline in July 2018....How is this possible my claim was rejected?

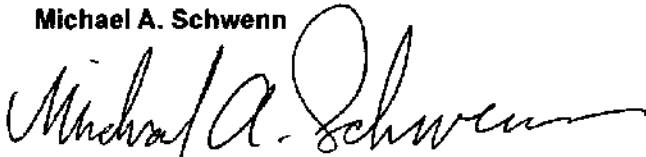
What did I do wrong? How did I not qualify for a claim? What happened? How is this possible..

Please I am asking you to review my claim.. Your response to me in a letter dated May 24, 2019 said I have " No Recognized claim"

I am requesting that the court review my rejection

Thank You....

Michael A. Schwenn





1000



U.S. POSTAGE PAID
FORM 1575B

NOV 19, 19
AMOUNT

\$4.05

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS. FOLD AT DOTTED LINE

CERTIFIED MAIL



Michael Schwann



Page 15

GTAT Securities Litigation

c/o GCG

P.O. # 10463

Dublin Ohio 43017-4063

[DIVIDER PAGE]

From: info@gtatsecuritieslitigation.com
Sent: Friday, June 28, 2019 12:33 PM
To: [REDACTED]
Subject: GTAT Securities Litigation- claim 1099496

Mr. Schwenn,

We are in receipt of the documentation submitted in response to your Notice of Rejection for claim 1099496, as well as your request for court review; however, the submitted documentation did not cure the deficiencies in the claim as your claim resulted in No Recognized Loss pursuant to the Plan of Allocation due to incurring a gain of \$921.75 under the Exchange Act.

Pursuant to the Plan of Allocation, "If a Claimant had an Exchange Act Market Gain with respect to his, her, or its overall transactions in GTAT Securities during the Class Period, the value of the Claimant's Exchange Act Recognized Claim will be zero."

Please feel free to contact us if you have any additional questions. **Otherwise please confirm that we may remove your request for Court Review after the determination of your claim.**

Kind Regards,

The Claims Administrator (CH)
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com

From: info@gtatsecuritieslitigation.com
Sent: Monday, July 1, 2019 1:41 PM
To: [REDACTED]
Subject: GTAT Securities Litigation- claim 1099496

Mr. Schwenn,

We are in receipt of the documentation submitted in response to your Notice of Rejection for claim 1099496, as well as your request for court review; however, the submitted documentation did not cure the deficiencies in the claim as your claim resulted in No Recognized Loss pursuant to the Plan of Allocation due to incurring a market gain of \$921.75 under the Exchange Act.

Pursuant to the Plan of Allocation, "If a Claimant had an Exchange Act Market Gain with respect to his, her, or its overall transactions in GTAT Securities during the Class Period, the value of the Claimant's Exchange Act Recognized Claim will be zero."

Please feel free to contact us if you have any additional questions. **Otherwise please confirm that we may remove your request for Court Review after the determination of your claim.**

Kind Regards,

The Claims Administrator (CH)
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com

From: info@gtatsecuritieslitigation.com
Sent: Wednesday, July 10, 2019 3:48 PM
To: [REDACTED]
Subject: GTAT Securities Litigation Claim 1099496

Dear Claimant,

We are in receipt of your request for the Court to review the rejection of the above-referenced claim in the GTAT Securities Litigation; however, the submitted documentation did not cure the deficiencies in the above claim as your claim resulted in No Recognized Loss pursuant to the Plan of Allocation as you actually incurred a market gain of \$921.75.

Based on the aforementioned information, may we consider your request for court review resolved? **Please respond to this email notifying us if we may resolve this matter or whether you would still like your claim to be submitted to the courts for further review.**

If you have any further questions, please contact us at 1-866-562-8790 or email us at the address provided below.

Regards,

Kind Regards,

The Claims Administrator (CH)
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com

From: info@gtatsecuritieslitigation.com
Sent: Thursday, July 11, 2019 11:59 AM
To: [REDACTED]
Subject: RE: GTAT Securities Litigation Claim 1099496

Michael,

Thank you for your email. To clarify, you do have two separate claims in this matter. Claim 1099496 is for your individual account. Claim 1124743 is for your SEP IRA account and is in good standing with a loss.

Please feel free to contact us if you have any additional questions.

Kind regards,

The Claims Administrator (AMC)
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com

From: [REDACTED]
Sent:
To: info@gtatsecuritieslitigation.com
Subject: Re: GTAT Securities Litigation Claim 1099496

Hello

OK, yes I would like to have my claim submitted to the courts for further review. You have calculated my claim as a gain of \$921.75 I sold approximately 34,000 shares of GTAT stock on the day GTAT filed for bankruptcy . I think this was Oct. 4 2014 Selling all those shares at about.96 cents a share.. how can there be a gain? I did supply all documented BUYS and SELLS from my T.D. Ameritrade and Charles Schwab accounts . I know that during the year of 2014 there many buys and sells. But when all shares were sold after the bankruptcy news.. I lost a great deal of money... What can I do.? what should I have done ? I lost my retirement nest egg.

It has been nearly 5 years since the Oct 2014 disaster. I get up in the morning and tell myself Mike,,, YOU can survive.. on a very strict budget..I do it.. it's no fun.. But I'm not opting out of life.. I'm here on planet earth for my family ..

Thank You

Michael A. Schwenn

-----Original Message-----

From: info@gtatsecuritieslitigation.com <info@gtatsecuritieslitigation.com>
To: [REDACTED]
Sent: Wed, Jul 10, 2019 1:47 pm
Subject: GTAT Securities Litigation Claim 1099496

Dear Claimant,

We are in receipt of your request for the Court to review the rejection of the above-referenced claim in the GTAT Securities Litigation; however, the submitted documentation did not cure the deficiencies in the above claim as your claim resulted in No Recognized Loss pursuant to the Plan of Allocation as you actually incurred a market gain of \$921.75.

Based on the aforementioned information, may we consider your request for court review resolved? **Please respond to this email notifying us if we may resolve this matter or whether you would still like your claim to be submitted to the courts for further review.**

If you have any further questions, please contact us at 1-866-562-8790 or email us at the address provided below.

Regards,

Kind Regards,

The Claims Administrator (CH)
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com

EXHIBIT D-3
(1047043)

Must be
Postmarked
No Later Than
July 12, 2018

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

GTS



1-866-562-8790
info@GTATSecuritiesLitigation.com
www.GTATSecuritiesLitigation.com



PROOF OF CLAIM AND RELEASE FORM

TO BE ELIGIBLE TO RECEIVE A SHARE OF THE NET SETTLEMENT FUND(S) IN CONNECTION WITH THE PROPOSED SETTLEMENTS, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE FORM ("CLAIM FORM") AND MAIL IT BY PREPAID, FIRST-CLASS MAIL TO THE ABOVE ADDRESS, **POSTMARKED NO LATER THAN JULY 12, 2018.**

FAILURE TO SUBMIT YOUR CLAIM FORM BY THE DATE SPECIFIED WILL SUBJECT YOUR CLAIM TO REJECTION AND MAY PRECLUDE YOU FROM BEING ELIGIBLE TO RECOVER ANY MONEY IN CONNECTION WITH THE PROPOSED SETTLEMENTS.

DO NOT MAIL OR DELIVER YOUR CLAIM FORM TO THE COURT, THE PARTIES TO THIS ACTION, OR THEIR COUNSEL. SUBMIT YOUR CLAIM FORM ONLY TO THE CLAIMS ADMINISTRATOR AT THE ADDRESS SET FORTH ABOVE.

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PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Claimant Name(s) (as the name(s) should appear on check, if eligible for payment; if the securities are jointly owned, the names of all beneficial owners must be provided):

SUSAN A EVANS

Name of Person the Claims Administrator Should Contact Regarding this Claim Form (Must Be Provided):

RICHARD EVANS

Mailing Address - Line 1: Street Address/P.O. Box:

Mailing Address - Line 2 (if applicable): Apartment/Box/Room Number:

City:

State/Province:

Zip Code:

Country (if other than U.S.):

Last 4 digits of Claimant Social Security/Taxpayer Identification Number:¹

Daytime Telephone Number:

Evening Telephone Number:

Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim.)

Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0

To view Garden City Group, LLC's Privacy Notice, please visit <http://www.choosegcity.com/privacy-notice/>

¹ The last four digits of the taxpayer identification number (TIN), consisting of a valid Social Security Number (SSN) for individuals or Employer Identification Number (EIN) for business entities, trusts, estates, etc., and telephone number of the beneficial owner(s) may be used in verifying this claim.

**PART II - GENERAL INSTRUCTIONS**

1. It is important that you completely read and understand the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that accompanies this Claim Form, including the proposed Plan of Allocation of the Net Settlement Funds attached to the Notice (the "Plan of Allocation"). The Notice describes the proposed Settlements, how Settlement Class Members are affected by the Settlements that apply to them, and the manner in which the Net Settlement Funds will be distributed if the Settlements and Plan of Allocation are approved by the Court. The Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Notice, including the terms of the releases described therein and provided for herein.

2. **YOU ARE ONLY ELIGIBLE TO PARTICIPATE IN THE SETTLEMENT(S) APPLICABLE TO THE SETTLEMENT CLASS(ES) IN WHICH YOU ARE A MEMBER** (see the definition of the Individual Defendant Settlement Class and the definition of the Underwriter Defendant Settlement Class in paragraph 25 of the Notice, which set forth who is included in and who is excluded from the respective Settlement Classes). Thus, to be eligible for a payment from the proceeds of the Individual Defendant Settlement, you must be a member of the Individual Defendant Settlement Class, and to be eligible for a payment from the proceeds of the Underwriter Defendant Settlement, you must be a member of the Underwriter Defendant Settlement Class.

3. By submitting this Claim Form, you will be making a request to share in the proceeds of the Settlements described in the Notice that are applicable to you. **IF YOU ARE NOT A MEMBER OF AT LEAST ONE OF THE SETTLEMENT CLASSES, DO NOT SUBMIT A CLAIM FORM.** You may not, directly or indirectly, participate in the Individual Defendant Settlement if you are not a member of the Individual Defendant Settlement Class or if you, or someone acting on your behalf, submitted a request for exclusion from that class, and you may not, directly or indirectly, participate in the Underwriter Defendant Settlement if you are not a member of the Underwriter Defendant Settlement Class or if you, or someone acting on your behalf, submitted a request for exclusion from that class.

4. **Submission of this Claim Form does not guarantee that you will share in the proceeds of the Settlement(s) that apply to you. The distribution of the Net Settlement Funds will be governed by the Plan of Allocation, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

5. Use the Schedules of Transactions in Parts III to VI of this Claim Form to supply all required details of your transaction(s) (including free transfers and deliveries) in and holdings of the applicable GTAT Securities. On these schedules, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of the applicable GTAT Securities, whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time periods may result in the rejection of your claim.**

6. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of the applicable GTAT Securities set forth in the Schedules of Transactions in Part III to VI of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in GTAT Securities. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

7. **Please Note: Documentation Requirement for Shares of GTAT Common Stock purchased pursuant or traceable to the Common Stock Secondary Offering:** If you purchased GTAT Common Stock pursuant or traceable to the Common Stock Secondary Offering, which occurred on or about December 5, 2013, you must submit trade confirmations indicating that the shares were purchased directly from an underwriter as part of the Common Stock Secondary Offering at the \$8.65 issue price.

8. **Please Note: Additional Documentation Requirement Regarding Purchases/Acquisitions and Sales of GTAT Senior Notes, GTAT Call Options, and GTAT Put Options on September 9, 2014 and October 6, 2014:** If you purchased/acquired GTAT Senior Notes and/or GTAT Call Options, or sold (wrote) GTAT Put Options, on September 9, 2014 or October 6, 2014, you will also be required to submit supporting documentation that shows the time of day, Eastern Standard Time, when the transaction occurred.

PART II - GENERAL INSTRUCTIONS (CONTINUED)

9. All joint beneficial owners each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form. The complete name(s) of the beneficial owner(s) must be entered. If you purchased or otherwise acquired GTAT Common Stock, GTAT Senior Notes or GTAT Call Options, or sold (wrote) GTAT Put Options, during the Class Period and held the securities in your name, you are the beneficial owner as well as the record owner. If you purchased or otherwise acquired GTAT Common Stock, GTAT Senior Notes or GTAT Call Options, or sold (wrote) GTAT Put Options, during the Class Period and the securities were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial owner of these securities, but the third party is the record owner. The beneficial owner, not the record owner, must sign this Claim Form.

10. **One Claim should be submitted for each separate legal entity.** Separate Claim Forms should be submitted for each separate legal entity (e.g., a claim from joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name). Conversely, a single Claim Form should be submitted on behalf of one legal entity including all transactions made by that entity on one Claim Form, no matter how many separate accounts that entity has (e.g., a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim Form).

11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, Social Security Number (or taxpayer identification number), address and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the GTAT Securities; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

12. By submitting a signed Claim Form, you will be swearing that you:

- (a) own(ed) the GTAT Securities you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner thereof.

13. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

14. If the Court approves the Settlement(s), payments to eligible Authorized Claimants pursuant to the Plan of Allocation (or such other plan of allocation as the Court approves) will be made after any appeals are resolved, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

15. **Please note:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her or its *pro rata* share of the Net Settlement Fund(s) pertaining to the Settlement Class(es) in which he, she or it is a member. If the total prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

16. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Notice, you may contact the Claims Administrator, GCG, at the above address, by email at info@GTATSecuritiesLitigation.com, or by toll-free phone at 1-866-562-8790, or you can visit the Settlement website, www.GTATSecuritiesLitigation.com, where copies of the Claim Form and Notice are available for downloading.

**PART II - GENERAL INSTRUCTIONS (CONTINUED)**

17. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the **mandatory** electronic filing requirements and file layout, you may visit the settlement website at www.GTATSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at eclaim@choossegcg.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** Only one claim should be submitted for each separate legal entity (see paragraph 10 above) and the **complete** name of the beneficial owner of the securities must be entered where called for (see paragraph 9 above). No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the Claims Administrator's electronic filing department at eclaim@choossegcg.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL, WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CALL THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-866-562-8790.

Claim 2



Complete this Part III if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. common stock ("GTAT Common Stock") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please be sure to include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraphs 6 and 7, above. Do not include information regarding securities other than GTAT Common Stock in this section.

					0

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Purchase/Acquisition Price (excluding taxes, commissions, and fees)

[illegible]

□

² **Please note:** Information requested with respect to your purchases/acquisitions of GTAT Common Stock from after 9:40 a.m. Eastern Standard Time on October 6, 2014 through and including January 2, 2015 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible transactions and will not be used for purposes of calculating Recognized Loss Amounts pursuant to the Plan of Allocation.



PART III - SCHEDULE OF TRANSACTIONS IN GTAT COMMON STOCK (CONTINUED)

Complete this Part III if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. common stock ("GTAT Common Stock") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please be sure to include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraphs 6 and 7, above. Do not include information regarding securities other than GTAT Common Stock in this section.

3. **SALES FROM NOVEMBER 5, 2013 THROUGH JANUARY 2, 2015** – Separately list each and every sale/disposition (including free deliveries) of GTAT Common Stock from after the opening of trading on November 5, 2013 through and including the close of trading on January 2, 2015. (Must be documented.)

IF NONE,
CHECK HERE



Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
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4. **HOLDINGS AS OF OCTOBER 9, 2014** – State the total number of shares of GTAT Common Stock held as of the close of trading on October 9, 2014. (Must be documented.) If none, write "zero" or "0."

5. **HOLDINGS AS OF JANUARY 2, 2015** – State the total number of shares of GTAT Common Stock held as of the close of trading on January 2, 2015. (Must be documented.) If none, write "zero" or "0."

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.



1. **PURCHASES/ACQUISITIONS FROM INITIAL PUBLIC OFFERING THROUGH MAY 18, 2015³** – Separately list each and every purchase/acquisition (including free receipts) of GTAT Senior Notes from the initial public offering of the GTAT Senior Notes, which occurred on or about December 5, 2013, through and including May 18, 2015. (Must be documented.)

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

Page 8 of 59



**PART IV - SCHEDULE OF TRANSACTIONS IN
GTAT 3.00% CONVERTIBLE SENIOR NOTES DUE 2020 (CONTINUED)**

Complete this Part IV if and only if you purchased or acquired publicly traded GT Advanced Technologies Inc. 3.00% Convertible Senior Notes Due 2020 ("GTAT Senior Notes") during the period from the initial offering of the GTAT Senior Notes, which occurred on or about December 5, 2013, through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please include proper documentation with your Claim Form as described in detail in Part II - General Instructions, paragraphs 6 and 8, above. Do not include information regarding securities other than GTAT Senior Notes in this section.

- 2. SALES FROM INITIAL PUBLIC OFFERING THROUGH MAY 18, 2015** - Separately list each and every sale/disposition (including free deliveries) of GTAT Senior Notes from the initial public offering of the GTAT Senior Notes, which occurred on or about December 5, 2013, through and including the close of trading on May 18, 2015. (Must be documented.)

IF NONE,
CHECK HERE ☒

Date of Sale (List Chronologically) (Month/Day/Year)	Face Value of Notes Sold	Sale Price Per \$1,000 Face Value	Total Sale Price (excluding taxes, commissions, and fees)
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- 3. HOLDINGS AS OF OCTOBER 9, 2014** - State the total face value of GTAT Senior Notes held as of the close of trading on October 9, 2014. (Must be documented.) If none, write "zero" or "0."

- 4. HOLDINGS AS OF MAY 18, 2015** - State the total face value of GTAT Senior Notes held as of the close of trading on May 18, 2015. (Must be documented.) If none, write "zero" or "0."

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☐

10

**IF NONE,
CHECK HERE**

- of Call Option Contracts in Which You Had an Open Interest**

[illegible]

- SEE ATTACHED SCHEDULE

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts Purchased/ Acquired	Purchase/ Acquisition Price Per Call Option Contract	Total Purchase/ Acquisition Price (excluding taxes, commissions, and fees)	Insert an "E" if Exercised Insert an "X" if Expired	Exercise Date (Month/Day/Year)

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

SEE ATTACHED SCHEDULES



PART V - SCHEDULE OF TRANSACTIONS IN GTAT CALL OPTIONS (CONTINUED)

Complete this Part V if and only if you purchased or acquired publicly traded call options on GTAT Common Stock ("GTAT Call Options") during the period from November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. Please include proper documentation with your Claim Form as described in detail in Part II - General Instructions, paragraphs 6 and 8, above. Do not include information regarding securities other than GTAT Call Options in this section.

3. SALES FROM NOVEMBER 5, 2013 THROUGH 9:40 A.M. EASTERN STANDARD TIME ON OCTOBER 6, 2014 - Separately list each and every sale/disposition (including free deliveries) of GTAT Call Options from after the opening of trading on November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. (Must be documented.)

IF NONE,
CHECK HERE ☐

Date of Sale (List Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts Sold	Sale Price Per Call Option Contract	Total Sale Price (excluding taxes, commissions, and fees)
11/05/13	14.00	12/20/14	14	80	
11/05/13	14.00	12/20/14	14	75	
11/05/13	14.00	12/20/14	14	20	
11/05/13	14.00	12/20/14	14		

4. HOLDINGS AS OF 9:40 A.M. EASTERN STANDARD TIME ON OCTOBER 6, 2014 - Separately list all positions in GTAT Call Option contracts in which you had an open interest as of 9:40 a.m. Eastern Standard Time on October 6, 2014. (Must be documented.)

IF NONE,
CHECK HERE ☐

Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts in Which You Had an Open Interest
14.00	12/20/14	80
14.00	12/20/14	75
14.00	12/20/14	20

ITEM 3 ONLY (NOT ITEM 4)

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX. ☒

Complete this Part VI if and only if you sold (wrote) publicly traded put options on GTAT Common Stock ("GTAT Put Options") during the period from November 5, 2013 through and including Standard Time on October 6, 2014. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraphs 6 and 8, above. Do not include information regarding securities other than GTAT Put Options in this section.

1. **HOLDINGS AS OF NOVEMBER 5, 2013** – Separately list all positions in GTAT Put Option contracts in which you had an open interest as of the opening of trading on November 5, 2013. (Must be documented.)

**IF NONE,
CHECK HERE**

Number of Put Option-Contracts in Which You Had an Open Interest

Expiration Date of Put Option
Contract (Month/Day/Year)

Strike Price of Put Option Contract

[illegible]

2. SALES (WRITING) FROM NOVEMBER 5, 2013 THROUGH 9:40 A.M. EASTERN STANDARD TIME ON OCTOBER 6, 2014 – Separately list each and every sale (writing) (including free deliveries) of GTAT Put Option contracts from after the opening of trading on November 5, 2013 through and including 9:40 a.m. Eastern Standard Time on October 6, 2014. (Must be documented.)

Date of Sale (Writing)
(List Chronologically)
(Month/Day/Year)

Strike Price of
Put Option Contract

Expiration Date of Put Option Contract (Month/Day/Year)

Number of Put
Option Contracts
Sold (Written)

**Sale Price Per
Put Option Contract**

**Total Sale Price
(excluding taxes,
commissions, and fees)**

Insert an "E"
if Exercised
Insert an "X"
if Expired

Exercise Date
[Month/Day/Year]

[illegible]

IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

PART VII - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 15 OF THIS CLAIM FORM.**

Release of Claims by Individual Defendant Settlement Class Members:

I (we) hereby acknowledge that, pursuant to the terms set forth in the Individual Defendant Stipulation, upon the Effective Date of the Individual Defendant Settlement, I (we), on behalf of myself (ourselves) and my (our) heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the Judgment entered with respect to the Individual Defendant Settlement, shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, discharged and dismissed each and every Individual Defendant Released Plaintiffs' Claim (as defined in the Individual Defendant Stipulation and the Notice) against the Individual Defendants and the other Individual Defendant Releases, and shall forever be barred and enjoined from prosecuting any or all of the Individual Defendant Released Plaintiffs' Claims against any of the Individual Defendant Releases.

Release of Claims by Underwriter Defendant Settlement Class Members:

I (we) hereby acknowledge that, pursuant to the terms set forth in the Underwriter Defendant Stipulation, upon the Effective Date of the Underwriter Defendant Settlement, I (we), on behalf of myself (ourselves) and my (our) heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the Judgment entered with respect to the Underwriter Defendant Settlement shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, discharged and dismissed each and every Underwriter Defendant Released Plaintiffs' Claim (as defined in the Underwriter Defendant Stipulation and the Notice) against the Settling Underwriter Defendants and the other Settling Underwriter Defendants' Releases, and shall forever be barred and enjoined from prosecuting any or all of the Underwriter Defendant Released Plaintiffs' Claims against any of the Settling Underwriter Defendants' Releases.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

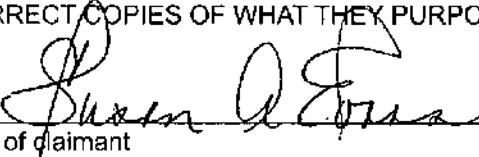
1. that I (we) have read and understand the contents of the Notice and this Claim Form, including the releases provided for in the respective Settlements and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) member(s) of one or more of the Settlement Classes, as defined in the Notice, and is (are) not excluded by definition from such Settlement Class(es) as set forth in the Notice;
3. that the claimant has **not** submitted request(s) for exclusion from the Settlement Class(es) in which he, she or it would otherwise be a member;
4. that I (we) owned the GTAT Securities identified in the Claim Form and have not assigned the claims with respect to those securities to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases or sales of GTAT Securities and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, agree(s) to the determination by the Court of the validity or amount of this Claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and

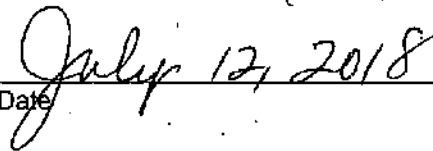
15

PART VII - RELEASE OF CLAIMS AND SIGNATURE (CONTINUED)

10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (a) the claimant(s) is (are) exempt from backup withholding or (b) the claimant(s) has (have) not been notified by the IRS that he/she/it is subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified the claimant(s) that he/she/it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he/she/it is subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.


Signature of claimant


Date

SUSAN A EVANS
Print claimant name here

Signature of joint claimant, if any

Date

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of claimant

Date

Print name of person signing on behalf of claimant here

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc.
(Must provide evidence of authority to act on behalf of claimant - see paragraph 11 on page 4 of this Claim Form.)

REMINDER CHECKLIST:

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only **copies** of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll-free at 1-866-562-8790.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the address below, by email at info@GTATSecuritiesLitigation.com, or by toll-free phone at 1-866-562-8790, or you may visit www.GTATSecuritiesLitigation.com. **DO NOT** call GTAT or any of the Defendants or their counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY FIRST-CLASS MAIL, **POSTMARKED NO LATER THAN JULY 12, 2018**, ADDRESSED AS FOLLOWS:

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before July 12, 2018 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

Part V - Schedule of Transactions in GTAT Call Options**Table 2**

Susan A. Evans [REDACTED]

Vanguard Rollover IRA Account - Purchases

<u>Date of Purchase/ Acquisition</u>	<u>Strike Price of Call Option Contract</u>	<u>Expiration Date of Call Option Contract</u>	<u>Number of Call Option Contracts Purchased/ Acquired</u>	<u>Purchase Price Per Call Option Contract</u>	<u>Total Purchase/ Acquisition Price (Excl. taxes commissions, & fees)</u>	<u>Insert an "E" if Exercised Insert an "X" if Expired</u>	<u>Exercise Date</u>
01/27/14	11	3/22/2014	100	0.6000	6,000.00		
02/24/14	12.5	3/22/2014	50	1.3946	6,973.00		
02/25/14	14	3/22/2014	50	0.9400	4,700.00		
03/13/14	17	4/19/2014	40	1.3700	5,480.00	X	
05/05/14	14	9/20/2014	30	4.2676	12,802.80		
05/27/14	14	9/20/2014	70	3.3285	23,299.50		
06/19/14	15	9/20/2014	50	4.4580	22,290.00		
07/30/14	13	12/20/2014	40	3.2000	12,800.00		
09/05/14	14	12/20/2014	80	3.9635	31,708.00	X	
09/09/14	14	12/20/2014	75	4.6000	34,500.00	X	
09/09/14	14	12/20/2014	20	4.6000	9,200.00	X	

Part V - Schedule of Transactions in GTAT Call Options**Table 3**

Susan A. Evans -- [REDACTED]

Vanguard Rollover IRA Account - Sales

<u>Date of Sale</u>	<u>Strike Price of Call Option Contract</u>	<u>Expiration Date of Call Option Contract</u>	<u>Number of Call Option Contracts Sold</u>	<u>Sale Price Per Call Option Contract</u>	<u>Total Sale Price (Excl. taxes, commissions, & fees)</u>
2/10/2014	11	3/22/2014	50	1.5000	7,500.00
3/21/2014	11	3/22/2014	50	8.1200	40,600.00
3/21/2014	12.5	3/22/2014	50	5.1000	25,500.00
3/21/2014	14	3/22/2014	50	6.6000	33,000.00
8/28/2014	14	9/20/2014	100	3.8960	38,960.00
8/28/2014	15	9/20/2014	50	3.0680	15,340.00
8/28/2014	13	12/20/2014	40	5.3000	21,200.00

DOWNGRATED

**Vanguard®****Rollover IRA account**

Susan A. Evans [REDACTED]

Vanguard Flagship Services®

Flagship Services [REDACTED]

Balances and holdings for Vanguard Brokerage Services account: [REDACTED] *continued***Options**

Symbol	Name	Total cost	Quantity	Price on 01/31/2014	Balance on 12/31/2013	Balance on 01/31/2014
GTAT	CALL GT ADVANCED TECHS	\$6,120.00	100.0000	\$0.7000		\$7,000.00
140322 C	\$11 EXP 03/22/14					
	\$11.00					
Total Est. annual income			Est. yield		\$0.00	\$7,000.00

Account activity for Vanguard funds**Prime Money Mkt Fund** [REDACTED]

Contributions	Distributions	Dividends
[REDACTED]	[REDACTED]	[REDACTED]

7-day SEC yield as of 01/31/2014* [REDACTED]

*Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.



Vanguard®

Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services [REDACTED]

Account activity for Vanguard Brokerage Services account [REDACTED]

This section shows trades that have settled by January 31, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund [REDACTED]

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
January	[REDACTED]					
Year-to-date	[REDACTED]					

Completed transactions

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
01/28	01/27	GTAT	CALL GT ADVANCED TECHS	Buy to open	Cash	100.0000	\$0.6000	\$120.00	-\$6,120.00
		140327 C	\$11 EXP 03/27/14						
			\$11.00						

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services: [REDACTED]

Balances and holdings for Vanguard Brokerage Services account -- [REDACTED] continued

Options

Symbol	Name	Total cost	Quantity	Price on 02/28/2014	Balance on 01/31/2014	Balance on 02/28/2014
GTAT 140322 C \$11.00	CALL GT ADVANCED TECHS \$11 EXP 03/22/14	\$3,060.00	50.0000	\$3.3000	\$7,000.00	\$16,500.00
GTAT 140322 C \$14.00	CALL GT ADVANCED TECHS \$14 EXP 03/22/14	4,770.00	50.0000	1.0500	-	5,250.00
GTAT 140322 C \$12.50	CALL GT ADVANCED TECHS \$12.50 EXP 03/22/14	7,043.00	50.0000	2.0000	-	10,000.00
Total Est. annual income: [REDACTED] Est. yield: [REDACTED]					\$7,000.00	\$31,750.00

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

Contributions	Distributions	Dividends

7-day SEC yield as of 02/28/2014* [REDACTED]

* Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.

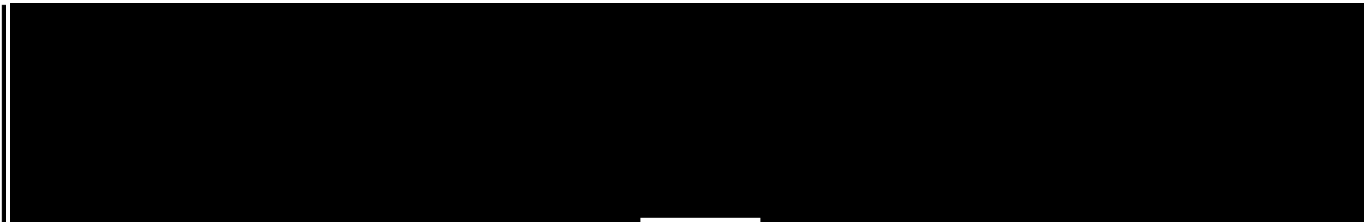


Rollover IRA account

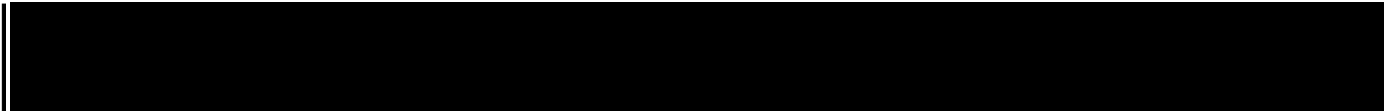
Susan A. Evans

Vanguard Flagship Services®

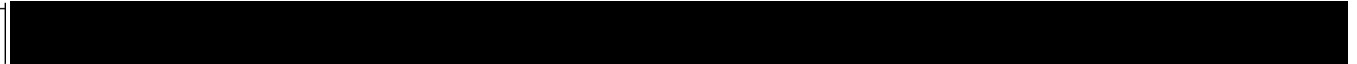
Flagship Services: [REDACTED]

Account activity for Vanguard funds continued**Account activity for Vanguard Brokerage Services account -** [REDACTED]

This section shows trades that have settled by February 28, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund 09948307743.

**Completed transactions**

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
02/11	02/10	GTAT	CALI GT ADVANCED TECHS	Sell to close	Cash	-50.0000	\$1.5000	\$70.13	\$7,429.87
		140322 C	\$11 EXP 03/22/14						
		\$11.00							



February 28, 2014, monthly transaction statement

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Vanguard

Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services | [REDACTED]

Account activity for Vanguard Brokerage Services account | [REDACTED]

Completed transactions continued

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
02/25	02/24	GTAT 140322 C \$12.50	CALL GT ADVANCED TECHS \$12.50 EXP 03/22/14	Buy to open	Cash	50.0000	1.3946	70.00	-7,043.00
[REDACTED]									
02/26	02/25	GTAT 140322 C \$14.00	CALL GT ADVANCED TECHS \$14.00 EXP 03/22/14	Buy to open	Cash	50.0000	0.9400	70.00	-4,770.00
[REDACTED]									

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

Watch for revised tax forms and additional written statements

You may get revised 2013 Tax Information Statements if you've received them in the past, or if you received income from a real estate investment trust (REIT), mutual fund, or unit investment trust (UIT). You'll receive an Additional Written Statement by March 15, 2014, if you held a collateralized debt obligation (CDO), real estate mortgage investment conduit (REMIC), or certain widely held fixed investment trusts (WHFITs) with original issue discount (OID) in your brokerage account in 2013. You'll receive a separate Additional Written Statement if you held any widely held mortgage trusts (WHMTs) in 2013.

February 28, 2014, monthly transaction statement

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Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services [REDACTED]

Balances and holdings for Vanguard Brokerage Services [REDACTED]

Stocks and options

Symbol	Name	Total cost	Quantity	Price on 03/31/2014	Balance on 12/31/2013	Balance on 03/31/2014
GTAT	CALL GT ADVANCED TECHS	5,540.00	40.0000	1.0000	-	4,000.00
140419 C	\$17 EXP 04/19/14					
\$17.00						

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

[REDACTED]

For more information, visit vanguard.com.



Rollover IRA account

Vanguard Flagship Services®

Susan A. Evans

Flagship Services: [REDACTED]

Account activity for Vanguard funds continued

Prime Money Mkt Fund [REDACTED]

Account activity for Vanguard Brokerage Services account [REDACTED]

This section shows trades that have settled by March 31, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund [REDACTED].

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
March	[REDACTED]					
Year-to-date	[REDACTED]					

Completed transactions

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
03/14	03/13	GTAT 140419 C \$17.00	CALL GT ADVANCED TECHS \$17 EXP 04/19/14	Buy to open	Cash	40.0000	\$1.3700	\$60.00	-\$5,540.00

March 31, 2014, quarter-to-date statement

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Vanguard

Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services

Account activity for Vanguard Brokerage Services account

Completed transactions continued

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
03/24	03/21	GTAT 140322 C \$11.00	CALL GT ADVANCED TECHS \$11 EXP 03/22/14	Sell to close	Cash	-50.0000	8.1200	70.90	40,529.10
03/24	03/21	GTAT 140322 C \$14.00	CALL GT ADVANCED TECHS \$14 EXP 03/22/14	Sell to close	Cash	-50.0000	5.1060	70.56	25,429.44
03/24	03/21	GTAT 140322 C \$12.50	CALL GT ADVANCED TECHS \$12.50 EXP 03/22/14	Sell to close	Cash	-50.0000	6.6060	70.73	32,829.27

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

March 31, 2014, quarter-to-date statement

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Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services: [REDACTED]

Account activity for Vanguard Brokerage Services account [REDACTED]

This section shows trades that have settled by April 30, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund [REDACTED]

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
April	[REDACTED]					
Year-to-date	[REDACTED]					

04/21	04/19	GTAT	CALL GT ADVANCED TECHS	Expired	Cash	-40.0000	-	-	0.00
		140419 C	\$17 EXP 04/19/14						
		\$17.00							

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

April 30, 2014, monthly transaction statement

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Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services: [REDACTED]

Balances and holdings for Vanguard Brokerage Services account [REDACTED]

Stocks and options

Symbol	Name	Total cost	Quantity	Price on 05/31/2014	Balance on 04/30/2014	Balance on 05/31/2014
GTAT	CALL GT ADVANCED TECHS	\$36,243.00	100.0000	\$4.1000	-	\$41,000.00
140920 C	\$14 EXP 09/20/14					
	\$14.00					

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

Contributions	Distributions	Dividends

7-day SEC yield as of 05/30/2014*

*Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services [REDACTED]

Account activity for Vanguard funds continued

Prime Money Mkt Fund [REDACTED]

This section shows trades that have settled by May 30, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund [REDACTED]

05/06	05/05	GTAT	CALL GT ADVANCED TECHS	Buy to open	Cash	30.0000	\$4,2676	\$50.00	-12,053.00
		140920 C	\$14 EXP 09/20/14						
		\$14.00							



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services! [REDACTED]

Account activity for Vanguard Brokerage Services account [REDACTED]

nued

Completed transactions continued

[REDACTED]									

05/28	05/27	GTAT	CALL GT ADVANCED TECHS	Buy to open	Cash	70.0000	3.3285	90.00	-23,360.00
		140920 C	\$14 EXP 09/20/14						
		\$14.00							

[REDACTED]									

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

May 31, 2014, monthly transaction statement

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Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services [REDACTED]

Balances and holdings for Vanguard Brokerage Services account [REDACTED]

Stocks and options

				Price on	Balance on	Balance on
GTAT	CALL GT ADVANCED TECHS	35,243.00	100,000	5.1600	-	51,600.00
140920 C	\$14 EXP 09/20/14					
\$14.00						
GTAT	CALL GT ADVANCED TECHS	22,360.00	50,000	4.6000	-	23,000.00
140920 C	\$15 EXP 09/20/14					
\$15.00						
INVN	CALL INVENSENSE INC	4,040.00	20,000	3.9000	-	7,800.00
140719 C	\$18 EXP 07/19/14					
\$18.00						

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

Contributions	Distributions	Dividends

7-day SEC yield as of 06/30/2014* [REDACTED]

*Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.

June 30, 2014, quarter-to-date statement

Page 4 of 10



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services [REDACTED]

Account activity for Vanguard Brokerage Services account — [REDACTED]

This section shows trades that have settled by June 30, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund 09948307743.

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
June	[REDACTED]					
Year-to-date	[REDACTED]					

[REDACTED]							

06/20	06/19	GTAT	CALL GT ADVANCED TECHS	Buy to open	Cash	50.0000	4.4580	70.00	-77,360.00
		140920 C	\$15 EXP 09/20/14						
		\$15.00							

[REDACTED]							

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the income summary section of your brokerage statement may be overstated.

June 30, 2014, quarter-to-date statement

Page 6 of 10



Rollover IRA account

Vanguard Flagship Services®

Susan A. Evans

Flagship Services: [REDACTED]

Balances and holdings for Vanguard Brokerage Services account [REDACTED]

Stocks and options

				Price on	Balance on	Balance on
GTAT	CALL GT ADVANCED TECHS	36,243.00	100.0000	1.4500	51,000.00	14,500.00
140920 C	\$14 EXP 05/20/14					
\$14.00						
GTAT	CALL GT ADVANCED TECHS	22,360.00	50.0000	1.1000	23,000.00	5,500.00
140920 C	\$15 EXP 09/20/14					
\$15.00						
GTAT	CALL GT ADVANCED TECHS	12,860.00	40.0000	2.7500	-	11,300.00
141220 C	\$13 EXP 12/20/14					
\$13.00						

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

Contributions	Distributions	Dividends

7-day SEC yield as of 07/31/2014* [REDACTED]

*Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.

July 31, 2014, monthly transaction statement

Page 4 of 8



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services: [REDACTED]

Account activity for Vanguard Brokerage Services account - [REDACTED]

Completed transactions continued

[REDACTED]									

07/31	07/30	GTAT	CALL GT ADVANCED TECHS	Buy to open	Cash	40.0000	3 2000	60.00	-12,860.00
		141220 C	\$13 EXP 12/20/14						
		\$13.00							

[REDACTED]									

July 31, 2014, monthly transaction statement

Page 6 of 8



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services: [REDACTED]

Balances and holdings for Vanguard Brokerage Services account [REDACTED]

[REDACTED]

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

Contributions	Distributions	Dividends
[REDACTED]	[REDACTED]	[REDACTED]

7-day SEC yield as of 08/29/2014*

*Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.

NO GSTAT. ALL PRIOR EARNINGS SOLD BY
END OF MONTH AUGUST 31.

August 31, 2014, monthly transaction statement

Page 4 of 8



Rollover IRA account

Susan A. Evans

Vanguard Flagship Services®

Flagship Services: [REDACTED]

Account activity for Vanguard Brokerage Services account – [REDACTED]

This section shows trades that have settled by August 29, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund 09948307743.

Completed transactions

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
08/29	08/28	GTAT 140820 C \$14.00	CALL GT ADVANCED TECHS \$14 EXP 09/20/14	Sell to close	Cash	-100.0000	\$3.8960	\$120.66	\$38,839.14
08/29	08/28	GTAT 140820 C \$15.00	CALL GT ADVANCED TECHS \$15 EXP 09/20/14	Sell to close	Cash	-50.0000	3.0680	70.34	15,269.66
08/29	08/28	GTAT 141220 C \$13.00	CALL GT ADVANCED TECHS \$13 EXP 12/20/14	Sell to close	Cash	-40.0000	5.3000	60.47	21,139.53

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

August 31, 2014, monthly transaction statement

Page 5 of 8



Rollover IRA account

Client Services: [REDACTED]

Susan A. Evans

Balances and holdings for Vanguard Brokerage Services account: [REDACTED]

Stocks and options

GTAT	CALL GT ADVANCED TECHS	75,643.00	175.0000	0.6000	-	10,500.00
141220 C	\$14 EXP 12/20/14					
\$14.00						

Account activity for Vanguard funds

Contributions	Distributions	Dividends
[REDACTED]	[REDACTED]	[REDACTED]

7-day SEC yield as of 09/30/2014*

*Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.

September 30, 2014, quarter-to-date statement

Page 4 of 10



Rollover IRA account

Client Services

Susan A. Evans

Account activity for Vanguard Brokerage Services account

This section shows trades that have settled by September 30, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
September						
Year-to-date						

09/08	09/05	GTAT 141220 C \$14.00	CAL: GT ADVANCED TECHS \$14 EXP 12/20/14	Buy to open	Cash	80.0000	3.9635	100.00	-31,808.00

09/10	09/09	GTAT 141220 C \$14.00	CAL: GT ADVANCED TECHS \$14 EXP 12/20/14	Buy to open	Cash	75.0000	4.6000	95.00	-34,595.00

September 30, 2014, quarter-to-date statement

Page 6 of 10



Rollover IRA account

Client Services: [REDACTED]

Susan A. Evans

Account activity for Vanguard Brokerage Services account - [REDACTED]

Completed transactions continued

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
09/10	09/09	GTAT	CALL GT ADVANCED TECHS	Buy to open	Cash	20.0000	4.6000	40.00	-9,240.00
		141220 C	\$14 EXP 12/20/14						
		\$14.00							

September 30, 2014, quarter-to-date statement

Page 7 of 10



Rollover IRA account

Client Services: [REDACTED]

Susan A. Evans

Balances and holdings for Vanguard Brokerage Services account [REDACTED]**Stocks and options**

Symbol	Name	Total cost	Quantity	Price on 10/31/2014	Balance on 09/30/2014	Balance on 10/31/2014
STATQ	CALL GT ADVANCED TECHS	\$75,643.00	175.0000	\$0.0500	\$10,500.00	\$875.00
141220 C	\$14 EXP 12/20/14					
	\$14.00					

Account activity for Vanguard funds

Prime Money Mkt Fund [REDACTED]

Contributions	Distributions	Dividends
[REDACTED]	[REDACTED]	[REDACTED]

7-day SEC yield as of 10/31/2014* [REDACTED]

* Average annualized income dividend over the past 7 days. For updated information, visit vanguard.com.



Rollover IRA account

Client Services: [REDACTED]

Susan A. Evans

Account activity for Vanguard funds continued

Prime Money Mkt Fund [REDACTED]

Date	Transaction	Amount	Share price	Shares transacted
10/31	Income dividend	[REDACTED]	[REDACTED]	[REDACTED]
Ending balance on 10/31/2014		[REDACTED]	[REDACTED]	[REDACTED]

Account activity for Vanguard Brokerage Services account — [REDACTED]

This section shows trades that have settled by October 31, 2014. All transactions sweep in and out of your Vanguard Prime Money Market Fund [REDACTED]

Income summary

[REDACTED]				



Rollover IRA account

Client Services | [REDACTED]

Susan A. Evans

Account activity for Vanguard Brokerage Services account [REDACTED]

Completed transactions continued

[REDACTED]

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

Unsettled activity (These are unsettled transactions that have yet to be swept to or from the settlement fund.)

[REDACTED]

FORM 1099-DIV (2014) - 990 (2014)

October 31, 2014, monthly transaction statement

Page 6 of 8



Rollover IRA account

Client Services: [REDACTED]

Susan A. Evans

Balances and holdings for Vanguard Brokerage Services account: [REDACTED]

Stocks and options

Symbol	Name	Total cost	Quantity	Price on 11/30/2014	Balance on 10/31/2014	Balance on 11/30/2014
[REDACTED]						
GTATQ	CALL ST ADVANCED TECHS	75,643.00	175.0000	0.0500	875.00	875.00
141220 C	\$14 EXP 12/20/14					
\$14.00						

November 30, 2014, monthly transaction statement

Page 4 of 10



Rollover IRA account

Client Services

Susan A. Evans

Account activity for Vanguard Brokerage Services account

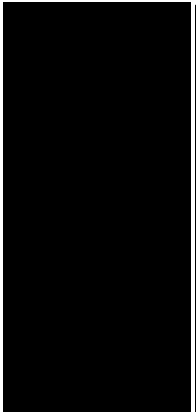
Completed transactions continued

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
12/27	12/20	STATO	CALL GT ADVANCED TECHS	Expired	Cash	-175.0000	-	-	0.00
		141220 C	\$14 EXP 12/20/14						
		\$14.00							

December 31, 2014, year-to-date statement

Page 9 of 12

Susan Grans



GTH SECURITIES LIMITED
c/o GCG
P.O. Box 10463
DUBLIN, OH 43017-4063

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P.O. Box 10463

Dublin, OH 43017-4063

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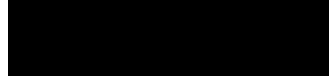


[DIVIDER PAGE]

GTAT Securities Litigation
c/o GCG
P.O. Box 10463
Dublin, OH 43017-4063
(866)-562-8790
www.GTATSecuritiesLitigation.com
GTS0216707022



SUSAN A EVANS
ROLLOVER IRA ACCOUNT- VANGUARD
C/O RICHARD EVANS



Mailing Date: May 24, 2019
Response Due Date: June 13, 2019
Claim No: 1047063

Eligible Securities:
GTAT Common Stock
GTAT 3.00% Convertible Senior Notes Due 2020
GTAT Call Options
GTAT Put Options

NOTICE OF REJECTION OF YOUR ENTIRE CLAIM

THIS IS THE ONLY NOTICE YOU WILL RECEIVE WITH RESPECT TO THIS CLAIM

Dear Claimant(s):

We have received and processed the Proof of Claim and Release Form ("Claim Form") that you submitted with respect to the above-referenced Claim in the *GTAT Securities Litigation*. We have determined, based on our review of the Claim Form and the documentation provided, if any (the "Claim"), that, pursuant to the terms of the Court-approved Plan of Allocation for the proceeds of the Settlement in this action (which is included in the Notice of (I) Pendency of Class Action and Certification of Settlement Classes; (II) Proposed Settlements with Individual Defendants and Underwriter Defendants; (III) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses; and (IV) Settlement Fairness Hearing (the "Notice") that you received), the Claim is ineligible for a recovery for the reason(s) listed on the reverse side of this notice.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH THE DETERMINATION THAT YOUR CLAIM IS INELIGIBLE.

To resolve the identified condition(s) of ineligibility, please follow the directions on the reverse side of this notice. If a noted deficiency relates to documentation submitted, please **do not merely re-submit** the same documentation as was included with your original submission of your Claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your Claim will be considered to the extent cured.

PLEASE NOTE: Regardless of how many deficiencies are cured, in order for the Claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION. To the extent the Claim is not deficient, and if that portion of the Claim calculates to a Recognized Claim under the Plan of Allocation, it will be included in the list of eligible Claims presented to the Court for approval.

PLEASE NOTE: YOU MUST RETURN A COPY OF THIS NOTICE WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND SUPPORTING DOCUMENTATION THAT YOU SUBMIT.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure ineligible condition(s) identified, this Claim will be rejected in its entirety.

If you disagree with the determination to reject this Claim, you may request Court review of the determination. Please see the reverse side of this notice for instructions on how to request Court review of the Claim.

Responses to this notice that are mailed must be postmarked no later than the Response Due Date noted above. If your response cures sufficient ineligibility condition(s) identified and the Claim calculates to a Recognized Claim under the Court-approved Plan of Allocation, the Claim will be included in the list of eligible Claims presented to the Court for approval.



Claim No: 1047043

If you have any questions regarding this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please call us toll-free at (866) 562-8790 or email us at info@gtatsecuritieslitigation.com. If you would like to view or download the Notice (which contains the Plan of Allocation), you may do so on the Settlement website at www.GTATSecuritiesLitigation.com.

Very truly yours,

CCG

The Claims Administrator

INELIGIBILITY CONDITION: No Recognized Claim.

Under the terms of the Court-approved Plan of Allocation set forth in the Notice (which is available for review on the Settlement website, www.GTATSecuritiesLitigation.com), the transactions included in the Claim Form for the Claim referenced above do not calculate to a Recognized Claim, and, therefore, the Claim is not eligible to receive a recovery from the Net Settlement Fund.

HOW TO RESOLVE: Unless you had purchases or otherwise eligible acquisitions of GTAT Securities during the Class Period that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of GTAT Securities that were not reflected in your original submission, you must submit all of the information and supporting documentation for those purchases/acquisitions as called for in the Claim Form. All transactions and holding positions in your Claim Form must be properly documented. Specifically, the supporting documentation for each transaction must reflect the purchase (acquisition)/sale date, the number of shares, face value of notes or option contracts purchased (acquired)/sold and the price paid/received. Acceptable documentation includes securities brokers' confirmation slips, month and year-end account statements or similar documentation (self-generated documents are not acceptable).

PLEASE NOTE: Curing this deficiency is an absolute requirement in order for the Claim to be eligible. If there are other deficiencies in the Claim and they are cured, the Claim still will not be eligible, even for "partial acceptance," unless you had additional Class Period purchases or other eligible acquisitions of GTAT Securities that are properly documented and the Claim then calculates to a Recognized Claim under the Plan of Allocation.

INSTRUCTIONS FOR REQUESTING COURT REVIEW

If you disagree with the determination to reject this Claim, you may request Court review of the determination. To request Court review, you must send a letter to the Claims Administrator postmarked no later than the Response Due Date set forth at the top of this notice and it must: (1) specifically state that you "request that the Court review the rejection of this Claim," (2) state your argument(s) for why your Claim should be accepted, (3) attach any supporting documents you may have to support your argument(s), and (4) be signed. Please also include a copy of this notice when requesting Court review of the rejection of your Claim. If the dispute concerning your Claim cannot be resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). Please note: Court review should only be sought if you disagree with our determination about this Claim.

June 11, 2019

Claims Administrator
GTAT Securities Litigation
c/o GCG
P.O.Box 10463
Dublin, OH 43017-4063

Re: Claim No. 1047043
Susan A Evans
Rollover IRA Account - Vanguard

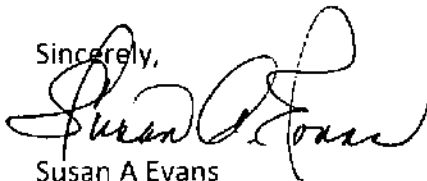
Dear Sir or Madam,

I respectfully request that the Court review the rejection of this claim.

My claim should have been accepted, because I supplied the appropriate documentation with the claim, including monthly statements from Vanguard for my account for the periods in question. If these documents were lost or misplaced by the Claims Administrator, I would be happy to supply them again. It is possible these documents were mixed in with my other claims (different account with Vanguard and/or Fidelity account) by the Administrator. The documents clearly demonstrated when various GTAT securities were purchased, and to the extent they were sold, or were held in the account at the end of the appropriate period. I also attached schedules showing all GTAT-related transactions as per the requirements of Part V of the Claim Form (because there was not enough room on the forms).

Because the reason for the rejection of the claim is "No recognized claim", it is difficult for me to argue against the rejection, because it is unclear what was deficient in my prior submission. However, it would be a travesty for someone who lost a lot of money because of the actions of the defendants in this case to be further disadvantaged by a capricious and arbitrary determination by the Claims Administrator.

Sincerely,



Susan A Evans

P.S. CERTAIN TRANSACTIONS APPEAR ELIGIBLE -- SEE NEXT PAGE

COPY OF
Prior Claim Table
Claim # 1047043

Part V - Schedule of Transactions in GTAT Call Options

Table 2

Susan A. Evans [REDACTED]
Vanguard Rollover IRA Account - Purchases

<u>Date of Purchase/ Acquisition</u>	<u>Strike Price of Call Option Contract</u>	<u>Expiration Date of Call Option Contract</u>	<u>Number of Call Option Contracts Purchased/ Acquired</u>	<u>Purchase Price Per Call Option Contract</u>	<u>Total Purchase/ Acquisition Price (Excl. taxes commissions, & fees)</u>	<u>Insert an "E" if Exercised Insert an "X" if Expired</u>
01/27/14	11	3/22/2014	100	0.6000	6,000.00	
02/24/14	12.5	3/22/2014	50	1.3946	6,973.00	
02/25/14	14	3/22/2014	50	0.9400	4,700.00	
03/13/14	17	4/19/2014	40	1.3700	5,480.00	X
05/05/14	14	9/20/2014	30	4.2676	12,802.80	
05/27/14	14	9/20/2014	70	3.3285	23,299.50	
06/19/14	15	9/20/2014	50	4.4580	22,290.00	
07/30/14	13	12/20/2014	40	3.2000	12,800.00	
09/05/14	14	12/20/2014	80	3.9635	31,708.00	X
09/09/14	14	12/20/2014	75	4.6000	34,500.00	X
09/09/14	14	12/20/2014	20	4.6000	9,200.00	X

← THESE APPEAR TO
BE ELIGIBLE
VANGUARD STATEMENTS
WERE SUPPLIED.

Copy of
Prior Claim Table
Case # 1047043

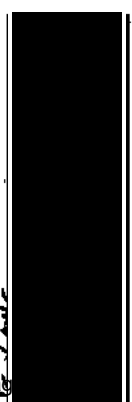
Part V - Schedule of Transactions in GTAT Call Options**Table 3**

Susan A. Evans - [REDACTED]

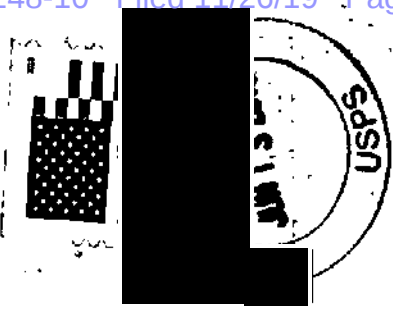
Vanguard Rollover IRA Account - Sales

<u>Date of Sale</u>	<u>Strike Price of Call Option Contract</u>	<u>Expiration Date of Call Option Contract</u>	<u>Number of Call Option Contracts Sold</u>	<u>Sale Price Per Call Option Contract</u>	<u>Total Sale Price (Excl. taxes, commissions, & fees)</u>
2/10/2014	11	3/22/2014	50	1.5000	7,500.00
3/21/2014	11	3/22/2014	50	8.1200	40,600.00
3/21/2014	12.5	3/22/2014	50	5.1000	25,500.00
3/21/2014	14	3/22/2014	50	6.6000	33,000.00
8/28/2014	14	9/20/2014	100	3.8950	38,960.00
8/28/2014	15	9/20/2014	50	3.0680	15,340.00
8/28/2014	13	12/20/2014	40	5.3000	21,200.00

Page 54 of 60



CLAIMS ADMINISTRATOR
GTAT SECURITIES LITIGATION
c/o GCG
P.O. Box 10463
DUBIN, OH 43017-4063



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c/o GCE*

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DUBLIN, OH 43017-4063

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[DIVIDER PAGE]

From: info@gtatsecuritieslitigation.com
Sent: Wednesday, June 26, 2019 3:37 PM
To: [REDACTED]
Subject: GTAT Securities Litigation Claim# 1047043

Dear Claimant:

We are in receipt of your request for the Court to review the rejection of the above-referenced claim in the GTAT Securities Litigation.

Please note that, after review, the claim does not result in a "Recognized Loss" as defined by the court approved Plan of Allocation. Your "Recognized Loss" is not intended to equal your actual "Market Loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Loss".

Under the terms of the Court-approved Plan of Allocation, even if a claim is approved by the Court, only those Authorized Claimants whose prorated share of the Net Settlement Fund is \$10.00 or more will receive a payment from the Net Settlement Fund. See Notice of (I) Proposed Settlement and Plan of Allocation; (II) Settlement Fairness Hearing; and (iii) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses (the "Notice"). The Notice was previously disseminated. It can be viewed at www.gtatsecuritieslitigation.com. Proration calculations cannot be performed until after the Court rules on the acceptance and rejection of claims.

Please feel free to contact us if you have any additional questions. **Otherwise please confirm that we may remove your request for Court Review after the determination of your claim.**

Regards,

The Claims Administrator
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com
(JAD)

From: info@gtatsecuritieslitigation.com
Sent: Thursday, June 27, 2019 3:09 PM
Subject: GTAT Securities Litigation Claim# 1047043

Dear Claimant:

We are in receipt of your request for the Court to review the rejection of the above-referenced claim in the GTAT Securities Litigation.

Please note that, after review, the claim does not result in a "Recognized Loss" as defined by the court approved Plan of Allocation. Your "Recognized Loss" is not intended to equal your actual "Market Loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Loss".

Under the terms of the Court-approved Plan of Allocation, even if a claim is approved by the Court, only those Authorized Claimants whose prorated share of the Net Settlement Fund is \$10.00 or more will receive a payment from the Net Settlement Fund. See Notice of (I) Proposed Settlement and Plan of Allocation; (II) Settlement Fairness Hearing; and (iii) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses (the "Notice"). The Notice was previously disseminated. It can be viewed at www.gtatsecuritieslitigation.com. Proration calculations cannot be performed until after the Court rules on the acceptance and rejection of claims.

Please feel free to contact us if you have any additional questions. **Otherwise please confirm that we may remove your request for Court Review after the determination of your claim.**

Regards,

The Claims Administrator
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com
(JAD)

From: info@gtatsecuritieslitigation.com
Sent: Monday, July 1, 2019 12:39 PM
To: [REDACTED]
Subject: GTAT Securities Litigation

Dear Claimant:

We are in receipt of your request for the Court to review the rejection of the above-referenced claim in the GTAT Securities Litigation.

Please note that, after review, the claim does not result in a "Recognized Loss" as defined by the court approved Plan of Allocation. Your "Recognized Loss" is not intended to equal your actual "Market Loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Loss".

Under the terms of the Court-approved Plan of Allocation, even if a claim is approved by the Court, only those Authorized Claimants whose prorated share of the Net Settlement Fund is \$10.00 or more will receive a payment from the Net Settlement Fund. See Notice of (I) Proposed Settlement and Plan of Allocation; (II) Settlement Fairness Hearing; and (iii) Motion for an Award of Attorneys' Fees and Reimbursement of Litigation Expenses (the "Notice"). The Notice was previously disseminated. It can be viewed at www.gtatsecuritieslitigation.com. Proration calculations cannot be performed until after the Court rules on the acceptance and rejection of claims.

Please feel free to contact us if you have any additional questions. **Otherwise please confirm that we may remove your request for Court Review after the determination of your claim.**

Regards,

The Claims Administrator
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com
(JAD)

From: info@gtatsecuritieslitigation.com
Sent: Wednesday, July 10, 2019 1:48 PM
To: [REDACTED]
Subject: GTAT Securities Litigation-claim 1047043

Dear Claimant:

We are in receipt of your request for the Court to review the rejection of the above-referenced claim in the GTAT Securities Litigation; however, the submitted documentation did not cure the deficiencies in the above claim as your claim resulted in No Recognized Loss pursuant to the Plan of Allocation as you actually gain in the Exchange Market Act of \$12,346.70. Pursuant to the Plan of Allocation, "If a Claimant had an Exchange Act Market Gain with respect to his, her, or its overall transactions in GTAT Securities during the Class Period, the value of the Claimant's Exchange Act Recognized Claim will be zero, and the Claimant will in any event be bound by the Settlements."

Based on the aforementioned information, may we consider your request for court review resolved? **Please respond to this email notifying us if we may resolve this matter or whether you would still like your claim to be submitted to the courts for further review.**

If you have any further questions, please contact us at 1-866-562-8790 or email us at the address provided below.

Regards,

The Claims Administrator
GTAT Securities Litigation
www.gtatsecuritieslitigation.com
info@gtatsecuritieslitigation.com
(CSH)

EXHIBIT E

Exhibit E
Timely Eligible Claims

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1	\$0.00	\$193,910.00	1123201	\$0.00	\$1,389.00
2	\$0.00	\$600,414.50	1123202	\$0.00	\$45,750.00
175	\$0.00	\$31,082.48	1123203	\$0.00	\$14,858.00
209	\$0.00	\$8,065.74	1123204	\$0.00	\$5,556.00
212	\$0.00	\$6,597.75	1123206	\$0.00	\$1,270.45
213	\$0.00	\$19,446.00	1123207	\$0.00	\$1,270.45
215	\$0.00	\$968.00	1123210	\$0.00	\$5,158.05
218	\$0.00	\$14,028.90	1123265	\$0.00	\$1,631.00
219	\$0.00	\$1,010.00	1123275	\$0.00	\$8,611.80
221	\$0.00	\$11,616.00	1123276	\$0.00	\$157,710.00
225	\$0.00	\$53,889.40	1123293	\$0.00	\$1,040.47
229	\$0.00	\$4,325.28	1123307	\$0.00	\$3,121.94
236	\$0.00	\$3,042.49	1123322	\$0.00	\$11,838.00
237	\$0.00	\$5,243.77	1123335	\$0.00	\$67.76
238	\$0.00	\$10,806.42	1123336	\$0.00	\$896.00
240	\$0.00	\$46,531.50	1123345	\$0.00	\$421.00
241	\$0.00	\$31,824.60	1123350	\$0.00	\$4,167.00
242	\$0.00	\$300,071.85	1123365	\$0.00	\$222.64
243	\$0.00	\$56,992.49	1123409	\$0.00	\$1,041.75
246	\$0.00	\$1,441.04	1123417	\$0.00	\$416.70
247	\$0.00	\$53,799.84	1123461	\$0.00	\$3,138.90
248	\$0.00	\$407,939.38	1123493	\$0.00	\$4,210.00
251	\$0.00	\$27,780.00	1123504	\$0.00	\$23,570.00
252	\$0.00	\$4,699.95	1123505	\$0.00	\$13,890.00
253	\$0.00	\$2,472.42	1123530	\$0.00	\$29,040.00
254	\$0.00	\$2,430.75	1123569	\$0.00	\$9,670.32
255	\$0.00	\$9,723.00	1123600	\$0.00	\$148,580.00
256	\$0.00	\$41,670.00	1123605	\$0.00	\$1,473.50
257	\$0.00	\$1,936.00	1123629	\$0.00	\$1,389.00
258	\$0.00	\$50,162.05	1123638	\$0.00	\$17,477.28
259	\$0.00	\$13,890.00	1123655	\$0.00	\$9,680.00
260	\$0.00	\$27,780.00	1123686	\$0.00	\$17,695.00
261	\$0.00	\$55,177.49	1123715	\$0.00	\$61,030.00
265	\$0.00	\$15,737.37	1123738	\$0.00	\$19,360.00
266	\$0.00	\$1,527.90	1123739	\$0.00	\$94,956.00
267	\$0.00	\$6,945.00	1123749	\$0.00	\$8,334.00
269	\$0.00	\$1,384.77	1123800	\$0.00	\$53,833.00
271	\$0.00	\$1,389.00	1123822	\$0.00	\$2,647.00
274	\$0.00	\$1,041.75	1123826	\$0.00	\$4,210.00
275	\$0.00	\$2,778.00	1123892	\$0.00	\$2,430.75
281	\$0.00	\$1,389.00	1123918	\$0.00	\$2,420.00
284	\$0.00	\$5,127.52	1123959	\$0.00	\$10,732.50
285	\$0.00	\$5,167.00	1124095	\$0.00	\$1,389.00
287	\$0.00	\$1,936.00	1124147	\$0.00	\$870.00
288	\$0.00	\$2,050.23	1124176	\$0.00	\$1,810.00
289	\$0.00	\$2,180.73	1124178	\$0.00	\$4,097.55
291	\$0.00	\$16,668.00	1124233	\$0.00	\$3,872.00
292	\$0.00	\$6,250.50	1124268	\$0.00	\$27,780.00
293	\$0.00	\$28,880.30	1124272	\$0.00	\$55,560.00
294	\$0.00	\$4,583.70	1124278	\$0.00	\$5,556.00
297	\$0.00	\$4,167.00	1124279	\$0.00	\$97.59
298	\$0.00	\$143,990.00	1124280	\$0.00	\$87.12
301	\$0.00	\$13,890.00	1124282	\$0.00	\$12,280.00
304	\$0.00	\$9,226.00	1124283	\$0.00	\$1,662.50
305	\$0.00	\$4,436.80	1124284	\$0.00	\$6,945.00
307	\$0.00	\$4,861.50	1124286	\$0.00	\$3,065.81
309	\$0.00	\$5,208.75	1124287	\$0.00	\$421.00
311	\$0.00	\$4,338.75	1124288	\$0.00	\$27,780.00
312	\$0.00	\$6,098.95	1124289	\$0.00	\$1,389.00
313	\$0.00	\$9,680.00	1124290	\$0.00	\$3,350.00
315	\$0.00	\$6,315.00	1124293	\$0.00	\$9,723.00
316	\$0.00	\$10,010.00	1124294	\$0.00	\$30,558.00
317	\$0.00	\$1,389.00	1124295	\$0.00	\$14,306.70
318	\$0.00	\$1,916.82	1124296	\$0.00	\$13,890.00
319	\$0.00	\$23,570.00	1124297	\$0.00	\$11,785.00
320	\$0.00	\$7,744.00	1124300	\$0.00	\$6,945.00
321	\$0.00	\$21,591.54	1124302	\$0.00	\$39,565.00
323	\$0.00	\$3,010.24	1124303	\$0.00	\$2,778.00
324	\$0.00	\$11,806.50	1124304	\$0.00	\$138.90
326	\$0.00	\$110,629.95	1124306	\$0.00	\$5,320.00
327	\$0.00	\$5,475.08	1124307	\$0.00	\$52,997.60
328	\$0.00	\$6,945.00	1124310	\$0.00	\$2,778.00
329	\$0.00	\$7,414.60	1124314	\$0.00	\$527.82
330	\$0.00	\$16,668.00	1124315	\$0.00	\$323.17
331	\$0.00	\$5,556.00	1124316	\$0.00	\$13,890.00
332	\$0.00	\$18,995.20	1124318	\$0.00	\$166.68

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
333	\$0.00	\$3,451.00	1124319	\$0.00	\$2,152.95
340	\$0.00	\$1,548.80	1124320	\$0.00	\$27,780.00
341	\$0.00	\$67,800.00	1124321	\$0.00	\$4,840.00
342	\$0.00	\$5,278.20	1124322	\$0.00	\$19,360.00
346	\$0.00	\$2,659.00	1124323	\$12,246.12	\$11,388.89
347	\$0.00	\$152,790.00	1124325	\$0.00	\$2,793.93
348	\$0.00	\$14,005.50	1124329	\$0.00	\$2,778.00
350	\$0.00	\$3,325.00	1124331	\$0.00	\$5,990.00
354	\$0.00	\$625.05	1124333	\$0.00	\$7,559.11
355	\$0.00	\$277.80	1124334	\$0.00	\$1,151.26
356	\$0.00	\$6,292.00	1124336	\$0.00	\$1,389.00
359	\$0.00	\$1,385.90	1124337	\$0.00	\$2,243.33
362	\$0.00	\$5,556.00	1124338	\$0.00	\$15,892.75
363	\$0.00	\$11,740.23	1124341	\$0.00	\$1,372.90
364	\$0.00	\$476,285.70	1124342	\$0.00	\$1,684.00
365	\$0.00	\$5,323.50	1124344	\$0.00	\$13,469.00
368	\$0.00	\$20,095.04	1124345	\$0.00	\$12,521.75
371	\$0.00	\$5,682.16	1124351	\$0.00	\$5,556.00
372	\$0.00	\$36,433.47	1124354	\$240.00	\$223.20
373	\$0.00	\$13,890.00	1124357	\$0.00	\$347.25
376	\$0.00	\$9,028.50	1124359	\$0.00	\$4,042.10
383	\$0.00	\$5,694.90	1124361	\$0.00	\$55,560.00
387	\$0.00	\$42,086.43	1124363	\$0.00	\$7,913.00
388	\$0.00	\$13,890.00	1124365	\$0.00	\$2,540.00
389	\$0.00	\$77,440.00	1124368	\$0.00	\$1,389.00
391	\$0.00	\$12,840.00	1124369	\$0.00	\$6,945.00
392	\$0.00	\$107,284.85	1124372	\$0.00	\$9,461.25
1000001	\$0.00	\$7,859.62	1124374	\$0.00	\$2,368.00
1000041	\$0.00	\$2,778.00	1124375	\$0.00	\$17,880.00
1000043	\$0.00	\$4,114.63	1124376	\$0.00	\$28,815.00
1000048	\$0.00	\$6,764.00	1124379	\$0.00	\$4,419.91
1000056	\$0.00	\$4,656.00	1124380	\$0.00	\$694.50
1000057	\$0.00	\$3,104.00	1124381	\$0.00	\$2,778.00
1000058	\$0.00	\$3,104.00	1124383	\$0.00	\$4,319.79
1000059	\$0.00	\$3,104.00	1124384	\$0.00	\$842.00
1000081	\$0.00	\$2,003.00	1124386	\$0.00	\$117,850.00
1000169	\$0.00	\$6,945.00	1124387	\$0.00	\$8,712.00
1000182	\$0.00	\$304.70	1124392	\$0.00	\$327.46
1000236	\$0.00	\$402.81	1124393	\$0.00	\$27,780.00
1000291	\$0.00	\$944.00	1124396	\$0.00	\$13,890.00
1000297	\$0.00	\$103,960.00	1124400	\$0.00	\$1,591.00
1000343	\$0.00	\$26,349.00	1124402	\$0.00	\$968.00
1000406	\$0.00	\$19,142.01	1124405	\$0.00	\$5,371.00
1000488	\$0.00	\$3,060.48	1124407	\$0.00	\$10,417.50
1000604	\$0.00	\$555.60	1124408	\$0.00	\$33,080.00
1000647	\$0.00	\$1,389.00	1124409	\$0.00	\$18,610.00
1000723	\$0.00	\$1,508.61	1124410	\$0.00	\$2,904.00
1000756	\$0.00	\$818.16	1124412	\$0.00	\$4,840.00
1000797	\$0.00	\$3,472.50	1124413	\$0.00	\$25,480.00
1000860	\$0.00	\$80.39	1124414	\$0.00	\$9,680.00
1000899	\$0.00	\$2,083.50	1124415	\$0.00	\$69,450.00
1000943	\$0.00	\$44,253.40	1124416	\$0.00	\$13,890.00
1000977	\$0.00	\$968.00	1124417	\$0.00	\$13,890.00
1000978	\$0.00	\$29,040.00	1124419	\$0.00	\$2,971.76
1001018	\$0.00	\$1,625.13	1124422	\$0.00	\$2,420.70
1001037	\$0.00	\$2,640.39	1124424	\$0.00	\$13,890.00
1001197	\$0.00	\$11,616.00	1124429	\$0.00	\$4,419.50
1001211	\$0.00	\$11,681.49	1124430	\$0.00	\$910.00
1001240	\$0.00	\$8,334.00	1124431	\$0.00	\$4,167.00
1001250	\$0.00	\$2,974.00	1124432	\$0.00	\$5,556.00
1001271	\$0.00	\$2,083.50	1124449	\$0.00	\$6,776.00
1001342	\$0.00	\$9,236.85	1124451	\$0.00	\$8,154.00
1001357	\$0.00	\$2,698.65	1124457	\$0.00	\$13,890.00
1001448	\$0.00	\$93,088.54	1124458	\$0.00	\$560.00
1001487	\$0.00	\$1,544.40	1124459	\$0.00	\$13,890.00
1001565	\$0.00	\$781.62	1124462	\$0.00	\$1,389.00
1001579	\$0.00	\$5,724.36	1124463	\$0.00	\$5,308.50
1001591	\$0.00	\$1,436.85	1124466	\$0.00	\$2,554.47
1001655	\$0.00	\$2,778.00	1124469	\$0.00	\$1,389.00
1001666	\$0.00	\$631.50	1124471	\$0.00	\$16,668.00
1001707	\$0.00	\$229.67	1124472	\$0.00	\$98.64
1001800	\$0.00	\$2,083.50	1124474	\$0.00	\$1,771.44
1001887	\$0.00	\$680.61	1124481	\$0.00	\$6,597.75
1001956	\$0.00	\$4,172.96	1124483	\$0.00	\$144,456.00
1001967	\$0.00	\$13,890.00	1124488	\$0.00	\$9,680.00
1002028	\$0.00	\$45,142.50	1124489	\$0.00	\$19,360.00
1002036	\$0.00	\$1,994.08	1124490	\$0.00	\$96,800.00
1002121	\$0.00	\$231,033.00	1124491	\$0.00	\$208.35
1002124	\$0.00	\$111.12	1124497	\$0.00	\$1,052.50

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1002196	\$0.00	\$11,122.25	1124532	\$0.00	\$295.63
1002213	\$0.00	\$263.91	1124533	\$0.00	\$631.50
1002253	\$0.00	\$865.50	1124535	\$0.00	\$9,591.00
1002273	\$0.00	\$1,041.75	1124538	\$0.00	\$3,730.00
1002388	\$0.00	\$541.71	1124540	\$0.00	\$6,945.00
1002406	\$0.00	\$77.44	1124541	\$0.00	\$6,893.00
1002450	\$0.00	\$3,097.60	1124544	\$0.00	\$13,890.00
1002522	\$0.00	\$5,773.75	1124546	\$6,795.00	\$6,319.35
1002625	\$0.00	\$1,438.50	1124550	\$0.00	\$8,332.00
1002762	\$0.00	\$3,988.00	1124553	\$0.00	\$3,738.97
1002768	\$0.00	\$2,778.00	1124555	\$0.00	\$3,787.00
1002828	\$0.00	\$24,953.74	1124556	\$0.00	\$1,389.00
1002948	\$0.00	\$972.30	1124558	\$0.00	\$1,238.00
1002980	\$0.00	\$1,708.47	1124561	\$0.00	\$7,056.12
1002995	\$0.00	\$4,094.64	1124562	\$0.00	\$4,137.00
1003009	\$0.00	\$565.50	1124567	\$0.00	\$4,167.00
1003011	\$0.00	\$694.63	1124568	\$0.00	\$180.57
1003040	\$0.00	\$968.00	1124572	\$1,850.90	\$1,850.90
1003131	\$0.00	\$726.00	1124573	\$0.00	\$4,210.00
1003194	\$0.00	\$400.26	1124576	\$0.00	\$13,890.00
1003240	\$0.00	\$2,778.00	1124577	\$0.00	\$960.00
1003280	\$0.00	\$12,740.00	1124578	\$0.00	\$4,924.38
1003296	\$0.00	\$4,604.20	1124579	\$0.00	\$2,778.00
1003476	\$0.00	\$2,620.00	1124580	\$0.00	\$28,221.00
1003486	\$0.00	\$968.00	1124591	\$0.00	\$5,659.99
1003527	\$0.00	\$7,639.50	1124592	\$0.00	\$23,632.80
1003694	\$0.00	\$2,640.25	1124596	\$0.00	\$3,819.75
1003697	\$0.00	\$3,305.82	1124597	\$0.00	\$3,938.98
1003709	\$0.00	\$2,944.68	1124598	\$0.00	\$16,280.00
1003772	\$0.00	\$1,122.88	1124599	\$0.00	\$3,938.70
1003787	\$0.00	\$1,847.37	1124600	\$0.00	\$6,933.27
1003809	\$0.00	\$3,569.73	1124604	\$0.00	\$5,744.95
1003818	\$0.00	\$4,694.82	1124611	\$0.00	\$2,778.00
1003844	\$0.00	\$1,500.12	1124616	\$0.00	\$285.06
1003850	\$0.00	\$9,516.47	1124619	\$3,946.35	\$3,946.35
1003890	\$0.00	\$9,296.00	1124620	\$0.00	\$112.13
1003896	\$0.00	\$581.75	1124623	\$0.00	\$72,400.00
1003908	\$0.00	\$3,982.75	1124624	\$0.00	\$59,770.00
1003936	\$0.00	\$1,163.50	1124625	\$0.00	\$1,389.00
1003971	\$0.00	\$1,847.37	1124630	\$0.00	\$6,146.80
1004018	\$0.00	\$1,537.41	1124632	\$0.00	\$24,971.44
1004101	\$0.00	\$777.84	1124633	\$0.00	\$5,670.00
1004132	\$0.00	\$6,125.49	1124634	\$0.00	\$2,841.00
1004196	\$0.00	\$4,792.05	1124635	\$0.00	\$7,177.53
1004234	\$0.00	\$39,308.34	1124641	\$0.00	\$4,627.50
1004400	\$0.00	\$3,183.75	1124645	\$0.00	\$4,819.80
1004439	\$0.00	\$3,951.13	1124647	\$0.00	\$8,195.00
1004445	\$0.00	\$138.90	1124649	\$0.00	\$36,489.03
1004482	\$0.00	\$421.00	1124650	\$1,020.00	\$948.60
1004490	\$0.00	\$1,389.00	1124651	\$248.00	\$230.64
1004532	\$0.00	\$1,319.55	1124652	\$0.00	\$4,210.00
1004638	\$0.00	\$11,042.55	1124653	\$0.00	\$35,816.00
1004748	\$0.00	\$4,259.20	1124654	\$0.00	\$242.09
1005006	\$0.00	\$9,196.00	1124655	\$0.00	\$4,167.00
1005041	\$0.00	\$2,083.50	1124659	\$0.00	\$44,673.20
1005078	\$0.00	\$7,463.28	1124661	\$0.00	\$50.50
1005092	\$0.00	\$1,698.00	1124662	\$0.00	\$14,083.00
1005126	\$0.00	\$1,389.00	1124664	\$0.00	\$13,889.84
1005244	\$0.00	\$1,831.00	1124665	\$0.00	\$1,936.00
1005316	\$0.00	\$305.58	1124666	\$0.00	\$22,224.00
1005354	\$0.00	\$13,095.67	1124667	\$0.00	\$104,175.00
1005370	\$0.00	\$777.84	1124669	\$0.00	\$94.53
1005407	\$0.00	\$1,350.99	1124671	\$0.00	\$9,028.50
1005416	\$0.00	\$1,078.40	1124672	\$0.00	\$3,819.75
1005429	\$0.00	\$28,405.05	1124673	\$0.00	\$6,172.48
1005445	\$0.00	\$763.95	1124675	\$0.00	\$22,224.00
1005485	\$0.00	\$4,032.00	1124677	\$0.00	\$19,360.00
1005490	\$0.00	\$1,639.02	1124679	\$0.00	\$5,556.00
1005492	\$0.00	\$2,778.00	1124682	\$0.00	\$10,417.50
1005556	\$0.00	\$3,185.28	1124683	\$0.00	\$1,389.00
1005562	\$0.00	\$2,083.50	1124684	\$0.00	\$12,501.00
1005585	\$0.00	\$27,024.00	1124685	\$0.00	\$1,925.00
1005605	\$0.00	\$2,877.00	1124686	\$0.00	\$2,778.00
1005644	\$0.00	\$11,616.00	1124690	\$0.00	\$13,890.00
1005664	\$0.00	\$49,573.44	1124693	\$0.00	\$4,564.50
1005672	\$0.00	\$1,389.00	1124694	\$0.00	\$4,363.30
1005707	\$0.00	\$833.40	1124698	\$0.00	\$2,083.50
1005715	\$0.00	\$3,309.60	1124699	\$0.00	\$9,007.00
1005729	\$0.00	\$6,103.00	1124701	\$0.00	\$17,362.50

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1005730	\$0.00	\$3,746.00	1124703	\$0.00	\$5,527.00
1005755	\$0.00	\$486.15	1124704	\$0.00	\$13,890.00
1005817	\$0.00	\$6,458.00	1124705	\$0.00	\$20,750.00
1005893	\$0.00	\$2,778.00	1124706	\$0.00	\$18,008.77
1005903	\$0.00	\$2,778.00	1124707	\$0.00	\$6,945.00
1005928	\$0.00	\$4,714.00	1124709	\$0.00	\$416.70
1005929	\$0.00	\$3,746.00	1124710	\$0.00	\$2,916.90
1005960	\$0.00	\$10,578.78	1124713	\$0.00	\$4,439.86
1005990	\$0.00	\$41.47	1124714	\$0.00	\$3,157.50
1006036	\$0.00	\$52,811.40	1124715	\$0.00	\$2,724.00
1006080	\$0.00	\$173,655.83	1124719	\$0.00	\$9,849.00
1006082	\$0.00	\$1,002.03	1124720	\$0.00	\$27,780.00
1006083	\$0.00	\$102,441.05	1124721	\$0.00	\$120.18
1006104	\$0.00	\$1,389.00	1124722	\$0.00	\$2,052.16
1006115	\$0.00	\$1,936.00	1124743	\$0.00	\$317,922.50
1006117	\$0.00	\$11,455.00	1124751	\$0.00	\$12,100.00
1006122	\$0.00	\$111.80	1124815	\$0.00	\$539.00
1006147	\$0.00	\$8,839.40	1124939	\$0.00	\$105,300.00
1006148	\$0.00	\$8,808.60	1124966	\$0.00	\$11,770.37
1006149	\$0.00	\$4,359.45	1124971	\$0.00	\$97,230.00
1006150	\$0.00	\$8,720.00	1124976	\$0.00	\$18,940.00
1006151	\$0.00	\$4,329.30	1125004	\$0.00	\$5,497.16
1006278	\$0.00	\$966.00	1125075	\$0.00	\$13,048.00
1006299	\$0.00	\$4,840.00	1125082	\$0.00	\$305.58
1006420	\$0.00	\$347.25	1125160	\$0.00	\$2,875.23
1006452	\$0.00	\$3,472.50	1125192	\$0.00	\$1,527.90
1006481	\$0.00	\$2,667.59	1125206	\$0.00	\$20,835.00
1006576	\$0.00	\$16,416.44	1125236	\$0.00	\$4,444.80
1006626	\$0.00	\$5.81	1125239	\$0.00	\$1,666.80
1006627	\$0.00	\$426.44	1125290	\$0.00	\$3,262.43
1006637	\$0.00	\$4,073.00	1125303	\$0.00	\$4,167.00
1006642	\$0.00	\$6,945.00	1125310	\$0.00	\$578.55
1006643	\$0.00	\$625.05	1125369	\$0.00	\$622.70
1006701	\$0.00	\$428.64	1125420	\$0.00	\$2,608.00
1006704	\$0.00	\$224.00	1125445	\$0.00	\$833.40
1006720	\$0.00	\$232.60	1125455	\$0.00	\$29,040.00
1006723	\$0.00	\$555.60	1125535	\$0.00	\$9,230.00
1006733	\$0.00	\$13,890.00	1125567	\$0.00	\$2,778.00
1006734	\$0.00	\$13,890.00	1125630	\$0.00	\$8,056.20
1006746	\$0.00	\$3,291.93	1125639	\$0.00	\$4,125.33
1006753	\$0.00	\$1,452.00	1125688	\$0.00	\$2,778.00
1006801	\$0.00	\$13,200.00	1125693	\$0.00	\$21,666.43
1006840	\$0.00	\$3,291.20	1125707	\$0.00	\$2,361.30
1006891	\$0.00	\$8,712.00	1125742	\$0.00	\$14,367.90
1006982	\$0.00	\$1,742.40	1125778	\$0.00	\$2,778.00
1006997	\$0.00	\$37,503.00	1125817	\$0.00	\$1,838.84
1007004	\$0.00	\$4,000.32	1125838	\$0.00	\$6,945.00
1007047	\$0.00	\$1,694.00	1125843	\$0.00	\$34,349.00
1007051	\$0.00	\$1,202.52	1125851	\$0.00	\$4,840.00
1007070	\$0.00	\$5,104.23	1125911	\$0.00	\$13,862.22
1007104	\$0.00	\$331,072.23	1125914	\$0.00	\$1,875.15
1007131	\$0.00	\$398,104.39	1125985	\$0.00	\$234,090.00
1007142	\$0.00	\$2,778.00	1126030	\$0.00	\$230.52
1007156	\$0.00	\$271.04	1126047	\$0.00	\$14,515.05
1007195	\$0.00	\$637.65	1126081	\$0.00	\$137.90
1007230	\$0.00	\$96.80	1126140	\$0.00	\$5,791.70
1007231	\$0.00	\$187.30	1126149	\$0.00	\$406.56
1007238	\$0.00	\$30,825.00	1126169	\$0.00	\$3,804.02
1007270	\$0.00	\$1,389.00	1126170	\$0.00	\$198,483.20
1007338	\$0.00	\$2,660.00	1126226	\$0.00	\$1,740.00
1007376	\$0.00	\$132,732.12	1126396	\$0.00	\$2,430.75
1007449	\$0.00	\$2,626.74	1126435	\$0.00	\$4,167.00
1007515	\$0.00	\$972.30	1126547	\$0.00	\$27,780.00
1007527	\$0.00	\$1,422.77	1126560	\$0.00	\$1,389.00
1007551	\$0.00	\$1,882.35	1126576	\$0.00	\$9,599.90
1007562	\$0.00	\$59,770.00	1126613	\$0.00	\$1,902.93
1007582	\$0.00	\$4,525.00	1126701	\$0.00	\$3,472.50
1007587	\$0.00	\$29,863.50	1126746	\$0.00	\$2,050.00
1007619	\$0.00	\$29,169.00	1126765	\$0.00	\$6,945.00
1007633	\$0.00	\$6,945.00	1126785	\$0.00	\$21,626.89
1007655	\$0.00	\$9,680.00	1126791	\$0.00	\$1,380.58
1007701	\$0.00	\$6,103.00	1126797	\$0.00	\$1,805.18
1007759	\$0.00	\$4,088.44	1126807	\$0.00	\$69.45
1007893	\$0.00	\$44,073.80	1126812	\$0.00	\$5,808.00
1007898	\$0.00	\$5,286.19	1126829	\$0.00	\$694.50
1007968	\$0.00	\$1,196.54	1126857	\$0.00	\$6,888.00
1008028	\$0.00	\$25,471.81	1126903	\$0.00	\$68,582.80
1008083	\$0.00	\$13,140.60	1126916	\$0.00	\$694.50
1008112	\$0.00	\$15,086.97	1126934	\$0.00	\$4,840.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1008133	\$0.00	\$8,225.91	1126941	\$0.00	\$14,084.46
1008209	\$0.00	\$156,379.16	1126946	\$0.00	\$159.90
1008243	\$0.00	\$866.93	1126984	\$0.00	\$15,695.70
1008317	\$0.00	\$1,936.00	1126998	\$0.00	\$73,956.14
1008338	\$0.00	\$2,778.00	1127138	\$0.00	\$119,315.10
1008471	\$0.00	\$1,684.00	1127140	\$0.00	\$1,996.50
1008570	\$0.00	\$1,212.60	1127168	\$0.00	\$694.50
1008588	\$0.00	\$3,358.65	1127254	\$0.00	\$6,604.13
1008590	\$0.00	\$1,683.55	1127337	\$0.00	\$1,805.70
1008596	\$0.00	\$1,355.20	1127340	\$0.00	\$32,912.00
1008600	\$0.00	\$1,626.24	1127347	\$0.00	\$9,723.00
1008636	\$0.00	\$4,356.00	1127355	\$0.00	\$3,698.05
1008655	\$0.00	\$1,359.90	1127393	\$0.00	\$1,261.84
1008671	\$0.00	\$5,260.10	1127399	\$0.00	\$9,117.50
1008695	\$0.00	\$123,000.00	1127403	\$0.00	\$5,556.00
1008714	\$0.00	\$180,980.00	1127428	\$0.00	\$9,680.00
1008776	\$0.00	\$1,202.98	1127458	\$0.00	\$639.44
1008793	\$0.00	\$555.60	1127467	\$0.00	\$3,909.80
1008805	\$0.00	\$4,167.00	1127469	\$0.00	\$27,780.00
1008831	\$0.00	\$27,780.00	1127486	\$0.00	\$1,936.00
1008859	\$0.00	\$6,437.95	1127494	\$0.00	\$753.00
1008870	\$0.00	\$2,778.00	1127521	\$0.00	\$416.70
1008883	\$0.00	\$8,837.04	1127532	\$0.00	\$9,028.50
1008953	\$0.00	\$15,548.87	1127574	\$0.00	\$27,113.28
1008972	\$0.00	\$57,603.05	1127591	\$0.00	\$410,434.95
1008979	\$0.00	\$27,780.00	1127592	\$0.00	\$9,365.00
1008984	\$0.00	\$28,063.00	1127618	\$0.00	\$17,230.40
1009098	\$0.00	\$19,816.00	1127734	\$0.00	\$19,446.00
1009101	\$0.00	\$1,306.50	1127752	\$0.00	\$2,942.79
1009174	\$0.00	\$1,267.00	1127830	\$0.00	\$24,307.50
1009211	\$0.00	\$735.68	1127854	\$0.00	\$3,899.02
1009216	\$0.00	\$3,775.20	1127900	\$0.00	\$48,615.00
1009218	\$0.00	\$7,414.88	1127939	\$0.00	\$20,530.00
1009238	\$0.00	\$884.00	1128010	\$0.00	\$1,833.48
1009301	\$0.00	\$363.35	1128015	\$0.00	\$823.50
1009316	\$0.00	\$12,201.16	1128034	\$0.00	\$56,949.00
1009317	\$0.00	\$21,898.80	1128050	\$0.00	\$284,100.00
1009388	\$0.00	\$2,222.40	1128065	\$0.00	\$73,617.00
1009433	\$0.00	\$23,570.00	1128186	\$0.00	\$5,100.00
1009434	\$0.00	\$23,570.00	1128221	\$0.00	\$2,069.50
1009485	\$0.00	\$13.89	1128301	\$0.00	\$416.70
1009531	\$0.00	\$2,778.00	1128330	\$0.00	\$21,540.00
1009562	\$0.00	\$1,389.00	1128385	\$0.00	\$1,474.14
1009601	\$0.00	\$7,260.00	1128388	\$0.00	\$34,088.00
1009606	\$0.00	\$311.75	1128390	\$0.00	\$61,030.00
1009609	\$0.00	\$28,711.49	1128398	\$0.00	\$1,319.55
1009610	\$0.00	\$98.40	1128473	\$0.00	\$1,250.10
1009612	\$0.00	\$2,577.63	1128551	\$0.00	\$1,684.00
1009613	\$0.00	\$11,190.49	1128567	\$0.00	\$2,083.50
1009692	\$0.00	\$25,703.85	1128584	\$0.00	\$67,466.60
1009713	\$0.00	\$972.30	1128589	\$0.00	\$94.00
1009718	\$0.00	\$1,389.00	1128610	\$0.00	\$69.45
1009726	\$0.00	\$6,945.00	1128611	\$0.00	\$111.12
1009852	\$0.00	\$1,583.46	1128636	\$0.00	\$4,887.70
1009887	\$0.00	\$22,588.40	1128637	\$0.00	\$1,905.24
1009902	\$0.00	\$205,503.27	1128717	\$0.00	\$2,083.50
1009964	\$0.00	\$18,535.00	1128732	\$0.00	\$589.50
1009966	\$0.00	\$26,391.00	1128760	\$0.00	\$4,362.72
1010076	\$0.00	\$421.00	1128787	\$0.00	\$15,826.00
1010100	\$0.00	\$193.60	1128794	\$0.00	\$26,330.40
1010135	\$0.00	\$6,597.75	1128862	\$0.00	\$908.64
1010153	\$0.00	\$2,283.98	1128908	\$0.00	\$416.70
1010160	\$0.00	\$13,890.00	1128938	\$0.00	\$4,675.44
1010173	\$0.00	\$4,840.00	1128994	\$0.00	\$6,945.00
1010178	\$0.00	\$1,936.00	1129009	\$0.00	\$5,208.75
1010191	\$0.00	\$2,301.60	1129071	\$0.00	\$79,355.00
1010193	\$0.00	\$968.00	1129086	\$0.00	\$5,808.00
1010223	\$0.00	\$12,235.01	1129096	\$0.00	\$229,185.00
1010284	\$0.00	\$13,230.00	1129098	\$0.00	\$152.79
1010403	\$0.00	\$763.95	1129122	\$0.00	\$3,659.63
1010410	\$0.00	\$1,389.00	1129136	\$0.00	\$25,380.00
1010440	\$0.00	\$6,954.60	1129141	\$0.00	\$82,593.77
1010568	\$0.00	\$2,353.62	1129253	\$0.00	\$2,614.70
1010671	\$0.00	\$305.58	1129277	\$0.00	\$698.90
1010705	\$0.00	\$2,570.92	1129378	\$0.00	\$1,389.00
1010731	\$0.00	\$50,797.54	1129433	\$0.00	\$2,458.53
1010754	\$0.00	\$6,864.00	1129493	\$0.00	\$15,279.00
1010763	\$0.00	\$3,637.73	1129514	\$0.00	\$10,070.25
1010787	\$0.00	\$130.73	1129519	\$0.00	\$968.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1010885	\$0.00	\$580.80	1129546	\$0.00	\$1,389.00
1010888	\$0.00	\$803.44	1129558	\$0.00	\$1,494.00
1010966	\$0.00	\$900.24	1129589	\$0.00	\$2,481.60
1010987	\$0.00	\$589.51	1129660	\$0.00	\$3,392.00
1011026	\$0.00	\$18,057.00	1129668	\$0.00	\$13,890.00
1011053	\$0.00	\$4,210.00	1129685	\$0.00	\$5,021.52
1011057	\$0.00	\$833.40	1129704	\$0.00	\$101,794.66
1011121	\$0.00	\$168.40	1129762	\$0.00	\$2,168.32
1011125	\$0.00	\$1,821.80	1129802	\$0.00	\$5,615.96
1011126	\$0.00	\$378.52	1129897	\$0.00	\$3,696.00
1011139	\$0.00	\$968.00	1129967	\$0.00	\$1,776.86
1011161	\$0.00	\$48.40	1129979	\$0.00	\$10,285.32
1011204	\$0.00	\$46.90	1130019	\$0.00	\$6,945.00
1011212	\$0.00	\$32,602.24	1130037	\$0.00	\$38,720.00
1011215	\$0.00	\$2,659.82	1130097	\$0.00	\$4,218.27
1011259	\$0.00	\$1,736.25	1130138	\$0.00	\$45,706.40
1011264	\$0.00	\$1,389.00	1130181	\$0.00	\$9,680.00
1011268	\$0.00	\$138.90	1130196	\$0.00	\$4,167.00
1011298	\$0.00	\$1,389.00	1130239	\$0.00	\$12,005.50
1011322	\$0.00	\$2,305.92	1130246	\$0.00	\$4,167.00
1011341	\$0.00	\$3,314.66	1130266	\$0.00	\$277.80
1011365	\$0.00	\$14,683.15	1130350	\$0.00	\$9,680.00
1011369	\$0.00	\$7,381.20	1130356	\$0.00	\$29,264.47
1011380	\$0.00	\$40,154.26	1130472	\$0.00	\$7,107.80
1011454	\$0.00	\$6,389.40	1130561	\$0.00	\$13,292.56
1011459	\$0.00	\$6,450.00	1130573	\$0.00	\$26,625.40
1011464	\$0.00	\$6,435.72	1130583	\$0.00	\$2,129.60
1011469	\$0.00	\$13,164.54	1130598	\$0.00	\$842.00
1011588	\$0.00	\$6,561.50	1130637	\$0.00	\$1,389.00
1011642	\$0.00	\$13,890.00	1130642	\$0.00	\$2,838.96
1011679	\$0.00	\$380.00	1130659	\$0.00	\$4,167.00
1011749	\$0.00	\$7,492.00	1130662	\$0.00	\$6,910.00
1011826	\$0.00	\$968.00	1130686	\$0.00	\$3,503.44
1011838	\$0.00	\$8,496.30	1130698	\$0.00	\$12,289.50
1011843	\$0.00	\$11,112.00	1130732	\$0.00	\$45,837.00
1011848	\$0.00	\$4,167.00	1130772	\$0.00	\$2,305.74
1011887	\$0.00	\$192,449.65	1130778	\$0.00	\$1,389.00
1011989	\$0.00	\$13,790.00	1130784	\$0.00	\$5,556.00
1012031	\$0.00	\$108.84	1130816	\$0.00	\$3,872.00
1012110	\$0.00	\$2,778.00	1130825	\$0.00	\$1,389.00
1012120	\$0.00	\$14,520.00	1130910	\$0.00	\$138.00
1012231	\$0.00	\$29,742.80	1130922	\$0.00	\$6,270.50
1012268	\$0.00	\$24,343.15	1130949	\$0.00	\$13,088.40
1012291	\$0.00	\$11,616.00	1131018	\$0.00	\$273,159.50
1012315	\$0.00	\$1,389.00	1131036	\$0.00	\$49,735.54
1012343	\$0.00	\$3,170.75	1131045	\$0.00	\$34,725.00
1012350	\$0.00	\$1,389.00	1131066	\$0.00	\$20,176.00
1012405	\$0.00	\$2,750.00	1131115	\$0.00	\$100,430.00
1012429	\$0.00	\$246,524.43	1131143	\$0.00	\$1,389.00
1012430	\$0.00	\$1,344.15	1131166	\$0.00	\$10,247.00
1012445	\$0.00	\$4,962.37	1131168	\$0.00	\$1,389.00
1012447	\$0.00	\$11,616.00	1131206	\$0.00	\$934.00
1012489	\$0.00	\$687.00	1131226	\$0.00	\$842.00
1012622	\$0.00	\$3,819.75	1131249	\$0.00	\$63,750.00
1012631	\$0.00	\$31,999.75	1131284	\$0.00	\$555.60
1012693	\$0.00	\$4,544.10	1131333	\$0.00	\$136,340.00
1012728	\$0.00	\$4,138.74	1131338	\$0.00	\$396.88
1012952	\$0.00	\$9,723.00	1131373	\$0.00	\$4,840.00
1012956	\$0.00	\$2,778.00	1131380	\$0.00	\$208.35
1012959	\$0.00	\$6,264.39	1131388	\$0.00	\$6,358.00
1013068	\$0.00	\$20,835.00	1131393	\$0.00	\$82,150.00
1013123	\$0.00	\$1,389.00	1131418	\$0.00	\$4,210.00
1013143	\$0.00	\$1,389.00	1131439	\$0.00	\$348.48
1013159	\$0.00	\$20,762.70	1131484	\$0.00	\$1,389.00
1013192	\$0.00	\$5,498.70	1131616	\$0.00	\$6,530.24
1013251	\$0.00	\$689.50	1131652	\$0.00	\$2,083.50
1013270	\$0.00	\$5,517.28	1131684	\$0.00	\$4,540.20
1013358	\$0.00	\$9,680.00	1131752	\$0.00	\$25,809.98
1013560	\$0.00	\$2,351.92	1131769	\$0.00	\$19,776.24
1013572	\$0.00	\$1,315.52	1131815	\$0.00	\$4,065.11
1013612	\$0.00	\$396.08	1131865	\$0.00	\$38,892.00
1013627	\$0.00	\$25,095.00	1131949	\$0.00	\$4,861.50
1013635	\$0.00	\$18,610.00	1132031	\$0.00	\$3,407.50
1013637	\$0.00	\$1,389.00	1132141	\$0.00	\$23,570.00
1013671	\$0.00	\$347.25	1132150	\$0.00	\$4,969.20
1013717	\$0.00	\$75.87	1132258	\$0.00	\$16,918.02
1013785	\$0.00	\$247,502.59	1132315	\$0.00	\$1,389.00
1013789	\$0.00	\$1,235.25	1132417	\$0.00	\$3,746.00
1013902	\$0.00	\$31,343.14	1132425	\$0.00	\$27,780.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1013931	\$0.00	\$10,602.63	1132444	\$0.00	\$9,680.00
1013934	\$0.00	\$10,581.31	1132449	\$0.00	\$8,111.76
1013975	\$0.00	\$1,327.50	1132457	\$0.00	\$1,389.00
1013990	\$0.00	\$10,187.20	1132466	\$0.00	\$18,594.00
1014009	\$0.00	\$2,778.00	1132538	\$0.00	\$33,336.00
1014031	\$0.00	\$3,653.07	1132598	\$0.00	\$1,389.00
1014063	\$0.00	\$1,389.00	1132697	\$0.00	\$12,630.00
1014118	\$0.00	\$59,595.76	1132738	\$0.00	\$9,680.00
1014121	\$0.00	\$580.80	1132763	\$0.00	\$1,178.50
1014133	\$0.00	\$145,250.00	1132788	\$0.00	\$43,962.19
1014134	\$0.00	\$27,780.00	1132795	\$0.00	\$6,945.00
1014164	\$0.00	\$10,160.95	1132802	\$0.00	\$4,167.00
1014165	\$0.00	\$4,039.50	1132828	\$0.00	\$4,475.72
1014267	\$0.00	\$444.48	1132879	\$0.00	\$10,903.65
1014303	\$0.00	\$11,185.05	1132911	\$0.00	\$157,724.91
1014334	\$0.00	\$17,376.70	1132944	\$0.00	\$1,320.00
1014339	\$0.00	\$2,837.74	1132951	\$0.00	\$1,389.00
1014340	\$0.00	\$6,945.00	1132961	\$0.00	\$1,314.94
1014440	\$0.00	\$36,147.71	1132991	\$0.00	\$16,294.98
1014478	\$0.00	\$3,135.40	1132999	\$0.00	\$555.60
1014637	\$0.00	\$9,723.00	1133002	\$0.00	\$1,389.00
1014650	\$0.00	\$110,129.00	1133065	\$0.00	\$1,131.00
1014653	\$0.00	\$4,958.00	1133074	\$0.00	\$5,556.00
1014685	\$0.00	\$1,041.75	1133118	\$0.00	\$6,292.00
1014705	\$0.00	\$2,778.00	1133152	\$0.00	\$9,007.00
1014707	\$0.00	\$1,810.00	1133167	\$0.00	\$46,750.00
1014766	\$0.00	\$34.17	1133240	\$0.00	\$27,780.00
1014785	\$0.00	\$2,236.29	1133258	\$0.00	\$13,890.00
1014863	\$0.00	\$2,904.00	1133299	\$0.00	\$6,319.95
1014864	\$0.00	\$2,778.00	1133350	\$0.00	\$694.50
1014914	\$0.00	\$9,315.98	1133376	\$0.00	\$2,281.85
1014932	\$0.00	\$464.00	1133386	\$0.00	\$730.00
1014996	\$0.00	\$13,764.99	1133392	\$0.00	\$7,451.57
1015053	\$0.00	\$9,785.36	1133398	\$0.00	\$1,389.00
1015058	\$0.00	\$1,389.00	1133428	\$0.00	\$5,208.75
1015125	\$0.00	\$6,993.77	1133429	\$0.00	\$4,426.12
1015243	\$0.00	\$3,903.09	1133451	\$0.00	\$37,240.00
1015285	\$0.00	\$24,534.00	1133452	\$0.00	\$15,384.32
1015380	\$0.00	\$13,890.00	1133455	\$0.00	\$856.00
1015504	\$0.00	\$694.50	1133473	\$0.00	\$1,389.00
1015543	\$0.00	\$2,232.30	1133557	\$0.00	\$8,724.24
1015554	\$0.00	\$11,625.00	1133583	\$0.00	\$54.73
1015574	\$0.00	\$4,974.27	1133640	\$0.00	\$3,000.80
1015582	\$0.00	\$1,070.00	1133650	\$0.00	\$19,394.18
1015632	\$0.00	\$24,200.00	1133675	\$0.00	\$388.92
1015635	\$0.00	\$13,890.00	1133727	\$0.00	\$180.57
1015689	\$0.00	\$20,835.00	1133744	\$0.00	\$8,334.00
1015690	\$0.00	\$5,305.41	1133803	\$0.00	\$2,420.00
1015785	\$0.00	\$9,680.00	1133822	\$0.00	\$968.00
1015800	\$0.00	\$4,167.00	1133825	\$0.00	\$3,889.20
1015920	\$0.00	\$19,548.74	1133826	\$0.00	\$416.70
1015922	\$0.00	\$202.56	1133848	\$0.00	\$726.00
1015952	\$0.00	\$1,736.25	1133860	\$0.00	\$218,464.74
1016058	\$0.00	\$9,467.57	1133872	\$0.00	\$4,547.76
1016099	\$0.00	\$3,344.07	1133899	\$0.00	\$652.99
1016137	\$0.00	\$23,480.00	1133925	\$0.00	\$833.40
1016152	\$0.00	\$421.00	1133977	\$0.00	\$2,944.68
1016181	\$0.00	\$349.34	1133984	\$0.00	\$4,167.00
1016247	\$0.00	\$5,278.44	1134002	\$0.00	\$15,802.78
1016258	\$0.00	\$6,704.00	1134009	\$0.00	\$15,877.00
1016269	\$0.00	\$6,685.00	1134090	\$0.00	\$1,389.00
1016306	\$0.00	\$1,340.00	1134094	\$0.00	\$1,303.40
1016344	\$0.00	\$4,067.08	1134180	\$0.00	\$4,840.00
1016358	\$0.00	\$4,208.67	1134271	\$0.00	\$27,472.00
1016360	\$0.00	\$1,064.47	1134278	\$0.00	\$4,167.00
1016475	\$0.00	\$1,389.00	1134314	\$0.00	\$19,450.00
1016491	\$0.00	\$27,234.40	1134315	\$0.00	\$97,230.00
1016517	\$0.00	\$64,257.97	1134328	\$0.00	\$1,389.00
1016545	\$0.00	\$1,468.49	1134470	\$0.00	\$34,451.12
1016575	\$0.00	\$27,780.00	1134519	\$0.00	\$124,975.00
1016629	\$0.00	\$1,936.00	1134525	\$0.00	\$3,493.59
1016670	\$0.00	\$694.50	1134542	\$0.00	\$1,429.88
1016700	\$0.00	\$1,389.00	1134572	\$0.00	\$5,245.00
1016741	\$0.00	\$5,069.00	1134658	\$0.00	\$359.60
1016878	\$0.00	\$1,389.00	1134663	\$0.00	\$2,083.50
1016906	\$0.00	\$1,273.90	1134682	\$0.00	\$26,240.00
1016913	\$0.00	\$3,472.50	1134703	\$0.00	\$4,722.60
1016923	\$0.00	\$21,143.98	1134721	\$0.00	\$32,032.20
1016926	\$0.00	\$1,389.00	1134734	\$0.00	\$54,345.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1016935	\$0.00	\$2,083.50	1134791	\$0.00	\$732.10
1016969	\$0.00	\$2,357.00	1134799	\$0.00	\$1,304.80
1017013	\$0.00	\$516.82	1134833	\$0.00	\$1,666.80
1017037	\$0.00	\$1,389.00	1134863	\$0.00	\$2,778.00
1017043	\$0.00	\$968.00	1134893	\$0.00	\$694.50
1017065	\$0.00	\$2,664.93	1134945	\$0.00	\$219.60
1017104	\$0.00	\$1,389.00	1134980	\$0.00	\$7,744.00
1017121	\$0.00	\$4,840.00	1135008	\$0.00	\$6,050.00
1017153	\$0.00	\$8,320.11	1135096	\$0.00	\$2,083.50
1017159	\$0.00	\$4,907.85	1135167	\$0.00	\$55,560.00
1017246	\$0.00	\$1,123.92	1135194	\$0.00	\$73,617.00
1017284	\$0.00	\$4,167.00	1135204	\$0.00	\$95,306.22
1017344	\$0.00	\$3,486.39	1135246	\$0.00	\$12,256.95
1017356	\$0.00	\$1,178.50	1135258	\$0.00	\$2,039.58
1017474	\$0.00	\$4,861.50	1135313	\$0.00	\$347.25
1017538	\$0.00	\$17,512.66	1135378	\$0.00	\$3,917.04
1017540	\$0.00	\$1,295.00	1135419	\$0.00	\$1,595.57
1017604	\$0.00	\$117.59	1135422	\$0.00	\$1,179.00
1017672	\$0.00	\$20,269.00	1135448	\$0.00	\$16,320.75
1017780	\$0.00	\$110,111.40	1135544	\$0.00	\$5,556.00
1017856	\$0.00	\$4,653.15	1135556	\$0.00	\$569.49
1017897	\$0.00	\$2,751.98	1135584	\$0.00	\$42,868.62
1017899	\$0.00	\$29,885.00	1135591	\$0.00	\$6,660.00
1017929	\$0.00	\$789.00	1135692	\$0.00	\$7,744.00
1017930	\$0.00	\$5,003.83	1135734	\$0.00	\$13,890.00
1017931	\$0.00	\$3,816.70	1135751	\$0.00	\$218.75
1017942	\$0.00	\$5,596.64	1135766	\$0.00	\$9,723.00
1017996	\$0.00	\$34,293.26	1135768	\$0.00	\$18,730.00
1017997	\$0.00	\$2,416.86	1135808	\$0.00	\$4,824.50
1018001	\$0.00	\$269.78	1135825	\$0.00	\$1,263.00
1018019	\$0.00	\$619.01	1135867	\$0.00	\$2,402.97
1018027	\$0.00	\$23,867.14	1135901	\$0.00	\$2,758.00
1018185	\$0.00	\$14,237.85	1135946	\$0.00	\$3,556.00
1018220	\$0.00	\$9,306.30	1136024	\$0.00	\$347.25
1018229	\$0.00	\$972.30	1136095	\$0.00	\$1,389.00
1018236	\$0.00	\$347.25	1136102	\$0.00	\$5,142.28
1018242	\$0.00	\$9,545.00	1136157	\$0.00	\$9,755.36
1018249	\$0.00	\$1,389.00	1136195	\$0.00	\$442.68
1018277	\$0.00	\$968.00	1136205	\$0.00	\$2,726.46
1018280	\$0.00	\$7,122.24	1136370	\$0.00	\$13,890.00
1018292	\$0.00	\$3,472.50	1136399	\$0.00	\$40,281.00
1018301	\$0.00	\$13,890.00	1136408	\$0.00	\$3,872.00
1018314	\$0.00	\$12,148.40	1136439	\$0.00	\$15,649.37
1018325	\$0.00	\$4,167.00	1136463	\$0.00	\$174.00
1018418	\$0.00	\$37,503.00	1136498	\$0.00	\$3,333.60
1018424	\$0.00	\$27,780.00	1136541	\$0.00	\$13,890.00
1018505	\$0.00	\$8,004.00	1136548	\$0.00	\$122,440.00
1018510	\$0.00	\$23,980.00	1136565	\$0.00	\$102,070.00
1018565	\$0.00	\$1,527.90	1136573	\$0.00	\$6,945.00
1018650	\$0.00	\$4,454.66	1136578	\$0.00	\$2,095.94
1018660	\$0.00	\$9,819.66	1136593	\$0.00	\$58,080.00
1018694	\$0.00	\$1,693.94	1136601	\$0.00	\$6,945.00
1018709	\$0.00	\$6,776.00	1136618	\$0.00	\$8,334.00
1018759	\$0.00	\$7,281.75	1136688	\$0.00	\$1,944.60
1018846	\$0.00	\$7,570.05	1136693	\$0.00	\$1,000.08
1018902	\$0.00	\$6,277.55	1136698	\$0.00	\$4,861.50
1018908	\$0.00	\$5,507.92	1136702	\$0.00	\$5,429.40
1018909	\$0.00	\$3,738.00	1136712	\$0.00	\$13,200.00
1018950	\$0.00	\$680.61	1136736	\$0.00	\$2,083.50
1018951	\$0.00	\$110.52	1136759	\$0.00	\$20,157.60
1018962	\$0.00	\$18,042.60	1136778	\$0.00	\$9,680.00
1018964	\$0.00	\$135,491.02	1136808	\$0.00	\$11,765.75
1018966	\$0.00	\$189,890.24	1136851	\$0.00	\$20,737.77
1018967	\$0.00	\$90,045.28	1136878	\$0.00	\$8,334.00
1018971	\$0.00	\$6,524.49	1136925	\$0.00	\$2,778.00
1019124	\$0.00	\$1,344.00	1136933	\$0.00	\$968.00
1019249	\$0.00	\$11,616.00	1136944	\$0.00	\$2,778.00
1019300	\$0.00	\$968.00	1136955	\$0.00	\$131.70
1019478	\$0.00	\$14,649.31	1136956	\$0.00	\$884.00
1019505	\$0.00	\$11,139.78	1137077	\$0.00	\$9,250.00
1019525	\$0.00	\$19,446.00	1137083	\$0.00	\$4,840.00
1019556	\$0.00	\$1,980.50	1137085	\$0.00	\$29,040.00
1019571	\$0.00	\$13,640.00	1137089	\$0.00	\$3,819.75
1019650	\$0.00	\$929.28	1137105	\$0.00	\$5,619.00
1019664	\$0.00	\$38,894.10	1137140	\$0.00	\$8,039.00
1019695	\$0.00	\$222.24	1137146	\$0.00	\$3,349.18
1019697	\$0.00	\$305.58	1137147	\$0.00	\$4,840.00
1019698	\$0.00	\$4,319.79	1137150	\$0.00	\$13,890.00
1019713	\$0.00	\$1,389.00	1137162	\$0.00	\$11,785.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1019720	\$0.00	\$694.50	1137165	\$0.00	\$287.98
1019737	\$0.00	\$1,389.00	1137228	\$0.00	\$11,841.00
1019775	\$0.00	\$3,408.00	1137263	\$0.00	\$8,153.43
1019776	\$0.00	\$277.80	1137285	\$0.00	\$4,167.00
1019800	\$0.00	\$25,740.68	1137353	\$0.00	\$242.16
1019816	\$0.00	\$6,542.19	1137376	\$0.00	\$31,175.02
1019827	\$0.00	\$52,562.33	1137379	\$0.00	\$4,321.13
1019896	\$0.00	\$2,430.75	1137455	\$0.00	\$12,600.00
1019917	\$0.00	\$1,736.25	1137475	\$0.00	\$546,911.70
1019971	\$0.00	\$3,575.58	1137493	\$0.00	\$1,389.00
1020058	\$0.00	\$694.50	1137515	\$0.00	\$8,840.00
1020106	\$0.00	\$30,335.00	1137569	\$0.00	\$861.18
1020155	\$0.00	\$3,746.00	1137622	\$0.00	\$1,944.60
1020188	\$0.00	\$11,339.00	1137691	\$0.00	\$1,652.10
1020254	\$0.00	\$6,472.74	1137727	\$0.00	\$31,944.00
1020258	\$0.00	\$2,231.00	1137754	\$0.00	\$69,311.51
1020291	\$0.00	\$1,389.00	1137814	\$0.00	\$5,439.00
1020407	\$0.00	\$4,167.00	1137852	\$0.00	\$16,639.35
1020426	\$0.00	\$2,513.61	1137886	\$0.00	\$2,261.87
1020427	\$0.00	\$763.95	1137889	\$0.00	\$4,210.00
1020439	\$0.00	\$69.45	1138060	\$0.00	\$79,422.20
1020457	\$0.00	\$7,595.08	1138069	\$0.00	\$4,167.00
1020495	\$0.00	\$9,680.00	1138070	\$0.00	\$809.15
1020499	\$0.00	\$4,167.00	1138119	\$0.00	\$36,898.00
1020508	\$0.00	\$2,222.40	1138256	\$0.00	\$13,209.39
1020583	\$0.00	\$2,778.00	1138334	\$0.00	\$5,556.00
1020611	\$0.00	\$946.00	1138379	\$0.00	\$69,450.00
1020626	\$0.00	\$42,955.85	1138486	\$0.00	\$13,890.00
1020658	\$0.00	\$527.82	1138602	\$0.00	\$14,520.00
1020659	\$0.00	\$46,870.00	1138618	\$0.00	\$1,506.90
1020765	\$0.00	\$1,936.00	1138669	\$0.00	\$940.00
1020772	\$0.00	\$6,524.00	1138681	\$0.00	\$4,094.64
1020784	\$0.00	\$2,904.00	1138843	\$0.00	\$3,727.00
1020793	\$0.00	\$541.80	1138856	\$0.00	\$5,710.00
1020823	\$0.00	\$968.00	1138910	\$0.00	\$49,735.00
1020949	\$0.00	\$1,389.00	1138947	\$0.00	\$416,700.00
1021012	\$0.00	\$1,936.00	1138984	\$0.00	\$1,389.00
1021047	\$0.00	\$8,224.00	1138987	\$0.00	\$10,522.00
1021066	\$0.00	\$1,676.00	1139016	\$0.00	\$343,623.80
1021072	\$0.00	\$6,776.00	1139118	\$0.00	\$11,785.00
1021104	\$0.00	\$8,417.90	1139130	\$0.00	\$8,264.55
1021145	\$0.00	\$263.91	1139156	\$0.00	\$13,890.00
1021150	\$0.00	\$2,856.00	1139158	\$0.00	\$3,472.50
1021151	\$0.00	\$1,721.88	1139177	\$0.00	\$181,264.50
1021152	\$0.00	\$968.00	1139181	\$0.00	\$27,780.00
1021157	\$0.00	\$968.00	1139222	\$0.00	\$386,720.00
1021158	\$0.00	\$8,550.08	1139279	\$0.00	\$34,380.00
1021173	\$0.00	\$1,389.00	1139281	\$0.00	\$11,112.00
1021174	\$0.00	\$1,389.00	1139283	\$0.00	\$2,778.00
1021344	\$0.00	\$3,872.00	1139284	\$0.00	\$2,904.00
1021370	\$0.00	\$18,057.00	1139311	\$0.00	\$32,996.75
1021374	\$0.00	\$26,075.80	1139337	\$0.00	\$13,890.00
1021388	\$0.00	\$16,877.62	1139358	\$0.00	\$19,360.00
1021409	\$0.00	\$940.32	1139414	\$0.00	\$25.11
1021422	\$0.00	\$7,408.55	1139415	\$0.00	\$6,945.00
1021425	\$0.00	\$13,901.38	1139416	\$0.00	\$842.00
1021448	\$0.00	\$1,736.25	1139417	\$0.00	\$1,236.21
1021517	\$0.00	\$29,040.00	1139421	\$0.00	\$4,555.00
1021521	\$0.00	\$5,147.33	1139422	\$0.00	\$6,111.60
1021572	\$0.00	\$42,697.21	1139423	\$84.00	\$78.12
1021624	\$0.00	\$40,359.82	1139424	\$0.00	\$1,936.00
1021740	\$0.00	\$37,861.00	1139425	\$0.00	\$469.90
1021804	\$0.00	\$205,644.50	1139426	\$0.00	\$4,210.00
1022077	\$0.00	\$8,384.08	1139427	\$0.00	\$140.83
1022170	\$0.00	\$3,472.50	1139428	\$0.00	\$9,723.00
1022189	\$0.00	\$1,666.80	1139433	\$0.00	\$6,903.33
1022239	\$0.00	\$93,555.93	1139435	\$0.00	\$20,835.00
1022254	\$0.00	\$11,426.00	1139437	\$0.00	\$484.00
1022397	\$0.00	\$4,840.00	1139439	\$0.00	\$12,256.20
1022398	\$0.00	\$6,787.50	1139441	\$0.00	\$2,113.50
1022479	\$0.00	\$17,809.50	1139444	\$0.00	\$3,472.50
1022492	\$0.00	\$144,959.90	1139445	\$0.00	\$3,472.50
1022496	\$0.00	\$421.00	1139446	\$0.00	\$347.25
1022518	\$0.00	\$2,379.90	1139447	\$0.00	\$4,653.15
1022666	\$0.00	\$17,612.52	1139448	\$0.00	\$694.50
1022723	\$0.00	\$8,228.00	1139452	\$0.00	\$4,026.88
1022785	\$0.00	\$64,587.73	1139454	\$0.00	\$445.28
1022791	\$0.00	\$402.81	1139456	\$0.00	\$6,456.00
1022801	\$0.00	\$2,904.00	1139457	\$0.00	\$208.35

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1022854	\$0.00	\$3,706.86	1139459	\$0.00	\$403.75
1022867	\$0.00	\$282.07	1139463	\$0.00	\$9,723.00
1022895	\$0.00	\$968.00	1139465	\$0.00	\$1,389.00
1023039	\$0.00	\$23,155.00	1139467	\$0.00	\$842.00
1023046	\$0.00	\$5,808.00	1139468	\$0.00	\$2,466.40
1023051	\$0.00	\$1,136.40	1139469	\$0.00	\$2,360.09
1023112	\$0.00	\$1,389.00	1139470	\$0.00	\$10,291.50
1023164	\$0.00	\$9,680.00	1139472	\$0.00	\$1,194.54
1023169	\$0.00	\$6,197.85	1139473	\$0.00	\$6,650.00
1023201	\$0.00	\$11,194.49	1139475	\$0.00	\$2,083.50
1023206	\$0.00	\$14,152.39	1139477	\$261.00	\$242.73
1023330	\$0.00	\$263.91	1139479	\$0.00	\$2,778.00
1023338	\$0.00	\$833.40	1139480	\$0.00	\$12,753.00
1023354	\$0.00	\$174.00	1139481	\$0.00	\$13,842.00
1023355	\$0.00	\$9,463.00	1139483	\$0.00	\$3,472.50
1023356	\$0.00	\$182.88	1139484	\$0.00	\$1,074.48
1023363	\$0.00	\$13,890.00	1139485	\$0.00	\$6,500.00
1023376	\$0.00	\$8,798.80	1139486	\$0.00	\$33,420.00
1023415	\$0.00	\$22,546.88	1139490	\$0.00	\$13,781.07
1023425	\$0.00	\$819.51	1139492	\$0.00	\$694.50
1023523	\$0.00	\$24,855.00	1139494	\$0.00	\$2,761.00
1023596	\$0.00	\$19,360.00	1139496	\$0.00	\$3,505.00
1023597	\$0.00	\$20,142.00	1139497	\$0.00	\$2,309.80
1023624	\$0.00	\$1,389.00	1139506	\$0.00	\$1,258,400.00
1023656	\$0.00	\$8,097.00	1139507	\$0.00	\$333.36
1023657	\$0.00	\$4,167.00	1139509	\$165.00	\$153.45
1023698	\$0.00	\$68,260.00	1139510	\$0.00	\$8,334.00
1023701	\$0.00	\$321,243.85	1139511	\$0.00	\$7,820.07
1023713	\$0.00	\$2,214.10	1139512	\$0.00	\$909.50
1023718	\$0.00	\$3,678.40	1139513	\$0.00	\$1,936.00
1023742	\$0.00	\$11,163.90	1139514	\$0.00	\$13,890.00
1023762	\$0.00	\$7,936.20	1139519	\$0.00	\$2,778.00
1023777	\$0.00	\$43,336.80	1139520	\$0.00	\$9,723.00
1023791	\$0.00	\$3,194.70	1139522	\$0.00	\$24,200.00
1023794	\$0.00	\$190,906.70	1139523	\$0.00	\$362,191.75
1023801	\$0.00	\$27,085.50	1139524	\$0.00	\$131,955.00
1023802	\$0.00	\$4,522.80	1139525	\$0.00	\$431,046.28
1023804	\$0.00	\$123,862.78	1139526	\$0.00	\$95,832.00
1023821	\$0.00	\$16,529.10	1139533	\$0.00	\$77.83
1023886	\$0.00	\$9,680.00	1139537	\$0.00	\$4,307.60
1023909	\$0.00	\$3,819.75	1139538	\$0.00	\$27.58
1024004	\$0.00	\$69.45	1139539	\$0.00	\$17,255.38
1024015	\$0.00	\$67,760.00	1139541	\$0.00	\$7,071.00
1024031	\$0.00	\$45,508.40	1139542	\$0.00	\$1,732.62
1024035	\$0.00	\$23,105.00	1139545	\$0.00	\$8,889.60
1024044	\$0.00	\$100,008.00	1139546	\$0.00	\$4,861.50
1024047	\$0.00	\$1,041.75	1139548	\$0.00	\$8,334.00
1024096	\$0.00	\$33,898.61	1139549	\$0.00	\$6,945.00
1024099	\$0.00	\$30.00	1139550	\$0.00	\$1,025.10
1024118	\$0.00	\$2,083.50	1139551	\$0.00	\$3,472.50
1024136	\$0.00	\$541.71	1139553	\$0.00	\$2,420.00
1024146	\$0.00	\$474.12	1139566	\$0.00	\$20,202.00
1024160	\$0.00	\$463.50	1139567	\$0.00	\$20,202.00
1024172	\$0.00	\$87,120.00	1139568	\$0.00	\$13,036.00
1024175	\$0.00	\$4,375.35	1139570	\$0.00	\$4,840.00
1024177	\$0.00	\$81.81	1139572	\$0.00	\$222.96
1024238	\$0.00	\$13,890.00	1139573	\$0.00	\$2,738.00
1024273	\$0.00	\$9,680.00	1139575	\$0.00	\$6,945.00
1024274	\$0.00	\$5,808.00	1139579	\$0.00	\$1,930.60
1024293	\$0.00	\$17,995.08	1139580	\$1,231.25	\$1,231.25
1024338	\$0.00	\$1,736.25	1139581	\$3,044.00	\$3,044.00
1024359	\$0.00	\$26,391.00	1139582	\$0.00	\$1,371.20
1024363	\$0.00	\$289,908.00	1139583	\$0.00	\$968.00
1024440	\$0.00	\$25,002.00	1139585	\$0.00	\$2,778.00
1024441	\$0.00	\$1,389.00	1139586	\$0.00	\$13,890.00
1024450	\$0.00	\$1,936.00	1139588	\$0.00	\$421.50
1024458	\$0.00	\$6,945.00	1139590	\$0.00	\$9,680.00
1024459	\$0.00	\$6,945.00	1139591	\$0.00	\$968.00
1024460	\$0.00	\$6,945.00	1139593	\$0.00	\$4,268.88
1024461	\$0.00	\$13,890.00	1139594	\$0.00	\$5,880.00
1024512	\$0.00	\$5,420.80	1139595	\$0.00	\$324,331.50
1024523	\$0.00	\$51,222.00	1139596	\$0.00	\$4,452.80
1024525	\$0.00	\$59,400.00	1139597	\$0.00	\$3,472.50
1024594	\$0.00	\$18,057.00	1139598	\$0.00	\$5,556.00
1024743	\$0.00	\$1,936.00	1139599	\$0.00	\$69.45
1024744	\$0.00	\$4,840.00	1139601	\$0.00	\$3,124.87
1024747	\$0.00	\$6,945.00	1139607	\$0.00	\$2,778.00
1024763	\$0.00	\$290.40	1139608	\$0.00	\$6,945.00
1024825	\$0.00	\$20,835.00	1139609	\$0.00	\$34,495.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1024840	\$0.00	\$8,482.87	1139610	\$0.00	\$2,083.50
1024863	\$0.00	\$2,202.73	1139612	\$0.00	\$9,928.75
1024894	\$0.00	\$4,720.00	1139614	\$0.00	\$2,778.00
1024897	\$0.00	\$3,444.50	1139615	\$0.00	\$1,389.00
1024935	\$0.00	\$5,847.69	1139616	\$0.00	\$1,389.00
1024949	\$0.00	\$6,945.00	1139617	\$0.00	\$1,389.00
1024972	\$0.00	\$123.98	1139618	\$0.00	\$25,929.00
1024981	\$0.00	\$5,139.30	1139619	\$0.00	\$1,262.25
1024999	\$0.00	\$1,291.77	1139621	\$0.00	\$97,046.85
1025033	\$0.00	\$138.90	1139632	\$0.00	\$2,778.00
1025085	\$0.00	\$178,880.00	1139633	\$0.00	\$277.80
1025104	\$0.00	\$152,409.14	1139634	\$0.00	\$6,243.44
1025140	\$0.00	\$6,486.63	1139636	\$0.00	\$2,083.50
1025158	\$0.00	\$1,029.83	1139637	\$0.00	\$277.80
1025216	\$0.00	\$1,264.29	1139649	\$0.00	\$8,608.50
1025217	\$0.00	\$41,670.00	1139651	\$0.00	\$118.38
1025227	\$0.00	\$13,890.00	1139653	\$0.00	\$2,420.00
1025250	\$0.00	\$33,250.00	1139654	\$0.00	\$1,389.00
1025257	\$0.00	\$5,905.50	1139655	\$0.00	\$1,263.00
1025260	\$0.00	\$4,840.00	1139656	\$0.00	\$9,680.00
1025261	\$0.00	\$8,334.00	1139659	\$0.00	\$842.00
1025262	\$0.00	\$8,334.00	1139662	\$0.00	\$4,167.00
1025324	\$0.00	\$1,558.24	1139663	\$0.00	\$23,232.00
1025330	\$0.00	\$13,605.20	1139670	\$0.00	\$9,065.00
1025335	\$0.00	\$2,253.00	1139673	\$0.00	\$4,840.00
1025336	\$0.00	\$8,438.00	1139674	\$0.00	\$4,840.00
1025338	\$0.00	\$2,515.52	1139675	\$0.00	\$10,333.00
1025340	\$0.00	\$442.05	1139676	\$0.00	\$81,312.00
1025352	\$0.00	\$6,889.95	1139677	\$0.00	\$2,222.40
1025353	\$0.00	\$96,800.00	1139678	\$0.00	\$260.00
1025354	\$0.00	\$4,167.00	1139679	\$0.00	\$49,144.00
1025379	\$0.00	\$6,945.00	1139681	\$0.00	\$1,389.00
1025383	\$0.00	\$6,945.00	1139684	\$0.00	\$6,945.00
1025384	\$0.00	\$9,365.00	1139685	\$0.00	\$18,359.93
1025397	\$0.00	\$13,890.00	1139686	\$0.00	\$13,890.00
1025414	\$0.00	\$620.82	1139688	\$0.00	\$9,037.41
1025431	\$0.00	\$2,778.00	1139690	\$0.00	\$631.50
1025515	\$0.00	\$3,157.50	1139691	\$0.00	\$102,459.20
1025598	\$0.00	\$8,334.00	1139692	\$0.00	\$9,375.75
1025694	\$0.00	\$5,556.00	1139695	\$0.00	\$106.48
1025702	\$0.00	\$9,303.92	1139696	\$0.00	\$14,434.00
1025742	\$0.00	\$125.99	1139698	\$0.00	\$69.42
1025759	\$0.00	\$4,139.22	1139700	\$0.00	\$1,931,970.00
1025760	\$0.00	\$4,139.22	1139702	\$0.00	\$5,042.07
1025761	\$0.00	\$1,944.60	1139703	\$0.00	\$25,730.00
1025763	\$0.00	\$2,105.00	1139705	\$0.00	\$6,945.00
1025766	\$0.00	\$3,593.56	1139709	\$0.00	\$188,035.40
1025787	\$0.00	\$5,135.00	1139712	\$0.00	\$4,840.00
1025792	\$0.00	\$8,881.00	1139713	\$0.00	\$1,599.50
1025837	\$0.00	\$8,334.00	1139714	\$0.00	\$140.00
1025839	\$0.00	\$5,464.00	1139715	\$0.00	\$3,770.00
1025877	\$0.00	\$2,904.00	1139717	\$0.00	\$4,208.67
1025903	\$0.00	\$8,495.60	1139718	\$0.00	\$2,778.00
1025904	\$0.00	\$18,275.00	1139719	\$0.00	\$16,668.00
1025908	\$0.00	\$1,389.00	1139721	\$0.00	\$10,813.25
1025911	\$0.00	\$1,629.60	1139722	\$0.00	\$5,556.00
1025927	\$0.00	\$210.50	1139723	\$0.00	\$4,167.00
1025928	\$0.00	\$7,543.98	1139724	\$0.00	\$1,344.00
1025970	\$0.00	\$2,904.00	1139735	\$0.00	\$4,212.28
1025975	\$0.00	\$3,325.00	1139736	\$0.00	\$4,014.88
1025984	\$0.00	\$8,334.00	1139738	\$0.00	\$8,639.05
1025992	\$357.45	\$332.43	1139739	\$0.00	\$1,142.24
1026032	\$0.00	\$13,890.00	1139740	\$0.00	\$5,556.00
1026035	\$0.00	\$4,167.00	1139743	\$0.00	\$55,560.00
1026049	\$0.00	\$16,668.00	1139744	\$0.00	\$12,326.20
1026075	\$0.00	\$27,780.00	1139745	\$0.00	\$2,105.00
1026138	\$0.00	\$9,680.00	1139746	\$0.00	\$27,035.00
1026178	\$0.00	\$23,720.00	1139747	\$0.00	\$3,472.50
1026188	\$0.00	\$20,835.00	1139748	\$0.00	\$135.52
1026212	\$0.00	\$71,089.90	1139749	\$0.00	\$18,648.25
1026215	\$0.00	\$16,529.10	1139750	\$0.00	\$92.71
1026216	\$0.00	\$15,279.00	1139751	\$0.00	\$14,383.00
1026219	\$0.00	\$87,120.00	1139752	\$0.00	\$15,736.00
1026241	\$0.00	\$34,725.00	1139754	\$0.00	\$13,790.00
1026251	\$0.00	\$3,872.00	1139757	\$0.00	\$8,334.00
1026261	\$0.00	\$2,366.05	1139758	\$0.00	\$27,247.80
1026262	\$0.00	\$16,668.00	1139760	\$0.00	\$2,764.00
1026264	\$0.00	\$694.50	1139761	\$0.00	\$159.98
1026267	\$0.00	\$172,524.00	1139762	\$0.00	\$28,410.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1026277	\$0.00	\$214,650.00	1139767	\$0.00	\$24,320.00
1026284	\$0.00	\$9,205.00	1139769	\$0.00	\$6,673.00
1026295	\$0.00	\$2,904.00	1139771	\$0.00	\$6,945.00
1026300	\$0.00	\$13,890.00	1139773	\$0.00	\$6,945.00
1026312	\$0.00	\$18,353.94	1139775	\$0.00	\$3,930.87
1026313	\$0.00	\$44,872.06	1139776	\$0.00	\$9,680.00
1026314	\$0.00	\$6,945.00	1139777	\$0.00	\$1,611.24
1026317	\$0.00	\$694.50	1139778	\$0.00	\$95.50
1026324	\$0.00	\$16,004.00	1139779	\$0.00	\$19,360.00
1026333	\$0.00	\$296.00	1139780	\$0.00	\$25.75
1026336	\$0.00	\$14,520.00	1139781	\$0.00	\$1,583.46
1026344	\$0.00	\$2,632.25	1139782	\$0.00	\$22,460.00
1026346	\$0.00	\$24,200.00	1139783	\$0.00	\$166,250.00
1026348	\$0.00	\$69,450.00	1139784	\$0.00	\$1,645.60
1026351	\$0.00	\$484.00	1139790	\$0.00	\$1,337.39
1026353	\$0.00	\$138,650.00	1139792	\$0.00	\$8,334.00
1026360	\$0.00	\$3,472.50	1139796	\$0.00	\$11,811.00
1026363	\$0.00	\$6,945.00	1139797	\$0.00	\$805.50
1026370	\$0.00	\$4,210.00	1139798	\$0.00	\$755.05
1026372	\$0.00	\$55,560.00	1139799	\$0.00	\$11,284.00
1026383	\$0.00	\$51,350.00	1139800	\$0.00	\$1,814.15
1026384	\$0.00	\$13,890.00	1139801	\$0.00	\$6,250.50
1026394	\$0.00	\$6,945.00	1139807	\$0.00	\$80,288.70
1026420	\$0.00	\$3,534.00	1139808	\$0.00	\$8,781.00
1026421	\$0.00	\$3,872.00	1139810	\$0.00	\$63,090.00
1026423	\$0.00	\$51,009.95	1139812	\$0.00	\$11,110.00
1026424	\$0.00	\$2,778.00	1139813	\$0.00	\$21,840.00
1026426	\$0.00	\$6,945.00	1139814	\$0.00	\$4,583.70
1026527	\$0.00	\$88,810.00	1139815	\$0.00	\$1,263.00
1026817	\$0.00	\$5,556.00	1139816	\$0.00	\$138.90
1026825	\$0.00	\$12,707.40	1139819	\$0.00	\$574,351.50
1026838	\$0.00	\$2,778.00	1139820	\$0.00	\$1,098.60
1026848	\$0.00	\$2,315.00	1139821	\$0.00	\$323,250.00
1026856	\$0.00	\$905.00	1139823	\$0.00	\$2,778.00
1026861	\$0.00	\$43,013.70	1139826	\$0.00	\$58,338.00
1026864	\$0.00	\$5,472.33	1139827	\$0.00	\$30,103.20
1026865	\$0.00	\$5,472.17	1139830	\$0.00	\$764.00
1026893	\$0.00	\$1,352.53	1139833	\$0.00	\$3,968.80
1026900	\$0.00	\$4,167.00	1139836	\$0.00	\$2,957.67
1026902	\$0.00	\$4,742.50	1139842	\$0.00	\$1,424.25
1026911	\$0.00	\$9,178.00	1139843	\$0.00	\$13,890.00
1026916	\$0.00	\$7,071.00	1139844	\$0.00	\$13,890.00
1026928	\$0.00	\$277,800.00	1139845	\$0.00	\$30,863.58
1026929	\$0.00	\$8,603.78	1139847	\$0.00	\$2,729.14
1026942	\$0.00	\$1,210.00	1139875	\$0.00	\$4,375.35
1026949	\$0.00	\$2,778.00	1139878	\$0.00	\$7,495.20
1027042	\$0.00	\$4,041.99	1139910	\$0.00	\$258.08
1027100	\$0.00	\$7,139.46	1139942	\$0.00	\$26,879.55
1027105	\$0.00	\$8,247.72	1140124	\$0.00	\$64.59
1027106	\$0.00	\$5,708.79	1140269	\$0.00	\$1,529.44
1027169	\$0.00	\$3,958.65	1140486	\$0.00	\$6,945.00
1027170	\$0.00	\$4,430.91	1140489	\$0.00	\$17,641.17
1027172	\$0.00	\$69,450.00	1140490	\$0.00	\$57,513.70
1027175	\$0.00	\$3,833.64	1140552	\$0.00	\$7,744.00
1027198	\$0.00	\$1,389.00	1140587	\$0.00	\$3,194.70
1027222	\$0.00	\$9,084.06	1140706	\$0.00	\$20,662.70
1027223	\$0.00	\$3,472.50	1140815	\$0.00	\$14,723.40
1027268	\$0.00	\$2,139.06	1140817	\$0.00	\$22,601.91
1027319	\$0.00	\$2,778.00	1140851	\$0.00	\$739.86
1027325	\$0.00	\$1,389.00	1141128	\$0.00	\$80,641.01
1027333	\$0.00	\$3,384.03	1141163	\$0.00	\$3,708.90
1027348	\$0.00	\$1,764.03	1141375	\$0.00	\$5,556.00
1027355	\$0.00	\$5,556.00	1141593	\$0.00	\$2,778.00
1027362	\$0.00	\$20,140.50	1141788	\$0.00	\$44,407.77
1027380	\$0.00	\$1,597.35	1141789	\$0.00	\$3,907.04
1027386	\$0.00	\$6,945.00	1141949	\$0.00	\$331.60
1027387	\$0.00	\$2,555.76	1142003	\$0.00	\$2,635.90
1027392	\$0.00	\$1,837.42	1142147	\$0.00	\$842.00
1027399	\$0.00	\$10,274.00	1142173	\$0.00	\$795.75
1027400	\$0.00	\$6,945.00	1142228	\$0.00	\$2,032.80
1027401	\$0.00	\$6,945.00	1142378	\$0.00	\$1,142.67
1027402	\$0.00	\$1,583.46	1142390	\$0.00	\$968.00
1027403	\$0.00	\$6,945.00	1142441	\$0.00	\$662.00
1027420	\$0.00	\$2,960.33	1142486	\$0.00	\$347.25
1027431	\$0.00	\$16,417.98	1142523	\$0.00	\$735.00
1027432	\$0.00	\$113,898.00	1142572	\$0.00	\$1,356.50
1027438	\$0.00	\$98,535.66	1142573	\$0.00	\$5,808.00
1027444	\$0.00	\$30,419.10	1142587	\$0.00	\$13,560.00
1027445	\$0.00	\$59,185.29	1142846	\$0.00	\$9,040.80

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1027451	\$0.00	\$6,945.00	1142870	\$0.00	\$6,638.48
1027465	\$0.00	\$1,597.35	1142873	\$0.00	\$613.86
1027469	\$0.00	\$2,778.00	1142874	\$0.00	\$7,001.04
1027480	\$0.00	\$3,934.05	1142898	\$0.00	\$2,030.73
1027484	\$0.00	\$2,986.35	1143050	\$0.00	\$2,201.00
1027487	\$0.00	\$1,389.00	1143153	\$0.00	\$3,444.72
1027499	\$0.00	\$1,389.00	1143262	\$0.00	\$9,680.00
1027502	\$0.00	\$6,945.00	1143363	\$0.00	\$24,663.30
1027503	\$0.00	\$5,556.00	1143667	\$0.00	\$17,306.30
1027597	\$0.00	\$48,419.40	1143671	\$0.00	\$7,931.39
1027602	\$0.00	\$500.99	1143789	\$0.00	\$3,117.70
1027611	\$0.00	\$1,889.04	1143861	\$0.00	\$1,936.00
1027636	\$0.00	\$17,561.70	1143880	\$0.00	\$1,452.00
1027654	\$0.00	\$2,083.50	1143887	\$0.00	\$30,039.55
1027720	\$0.00	\$1,916.82	1143920	\$0.00	\$24,621.13
1027721	\$0.00	\$403.42	1144029	\$0.00	\$3,834.00
1027722	\$0.00	\$403.42	1144162	\$0.00	\$61,313.20
1027759	\$0.00	\$19,168.20	1144180	\$0.00	\$1,432.76
1027774	\$0.00	\$5,404.00	1144197	\$0.00	\$268.80
1027775	\$0.00	\$2,644.99	1144410	\$0.00	\$7,750.62
1027776	\$0.00	\$5,556.00	1144417	\$0.00	\$1,665.51
1027784	\$0.00	\$8,420.00	1144430	\$0.00	\$17,741.74
1027810	\$0.00	\$3,264.15	1144470	\$0.00	\$277.80
1027839	\$0.00	\$576.77	1144512	\$0.00	\$4,529.99
1027841	\$0.00	\$333.36	1144608	\$0.00	\$55,960.00
1027846	\$0.00	\$2,778.00	1144620	\$0.00	\$11,290.00
1027861	\$0.00	\$1,889.04	1144803	\$0.00	\$1,330.60
1027865	\$0.00	\$9,028.50	1144811	\$0.00	\$1,777.92
1027881	\$0.00	\$3,872.00	1144900	\$0.00	\$939.00
1027883	\$0.00	\$7,744.00	1144906	\$0.00	\$6,945.00
1027888	\$0.00	\$3,597.51	1145005	\$0.00	\$3,043.54
1027907	\$0.00	\$4,889.28	1145062	\$0.00	\$6,563.04
1027927	\$0.00	\$4,482.17	1145063	\$0.00	\$86,442.40
1027932	\$0.00	\$6,921.00	1145206	\$0.00	\$6,100.00
1027935	\$0.00	\$6,945.00	1145287	\$0.00	\$9,680.00
1027941	\$0.00	\$27,106.15	1145345	\$0.00	\$25,221.98
1027958	\$0.00	\$5,245.63	1145403	\$0.00	\$2,778.00
1027993	\$0.00	\$13,890.00	1145456	\$0.00	\$9,803.18
1027995	\$0.00	\$19,529.34	1145457	\$0.00	\$11,805.09
1028024	\$0.00	\$1,389.00	1145539	\$0.00	\$13,386.00
1028068	\$0.00	\$484.00	1145596	\$0.00	\$481.95
1028104	\$0.00	\$1,458.45	1145597	\$0.00	\$1,138.75
1028105	\$0.00	\$2,778.00	1145630	\$0.00	\$1,936.00
1028114	\$0.00	\$28,266.15	1145645	\$0.00	\$18,198.40
1028127	\$0.00	\$474.58	1145957	\$0.00	\$6,236.61
1028132	\$0.00	\$8,537.76	1145964	\$0.00	\$1,389.00
1028135	\$0.00	\$1,389.00	1145978	\$0.00	\$145.20
1028139	\$0.00	\$694.50	1146130	\$0.00	\$2,904.00
1028140	\$0.00	\$3,746.00	1146153	\$0.00	\$968.00
1028187	\$0.00	\$5,069.85	1146280	\$0.00	\$597.27
1028191	\$0.00	\$4,861.50	1146322	\$0.00	\$968.00
1028211	\$0.00	\$4,167.00	1146797	\$0.00	\$214.90
1028212	\$0.00	\$4,167.00	1146866	\$0.00	\$35,726.80
1028226	\$0.00	\$1,500.12	1146929	\$0.00	\$907.46
1028227	\$0.00	\$1,666.80	1147262	\$0.00	\$421.00
1028239	\$0.00	\$2,724.00	1147373	\$0.00	\$486.15
1028240	\$0.00	\$2,083.50	1147374	\$0.00	\$18,488.00
1028247	\$0.00	\$4,861.50	1147375	\$0.00	\$18,392.00
1028265	\$0.00	\$6,945.00	1147393	\$0.00	\$9,491.97
1028266	\$0.00	\$2,778.00	1147447	\$0.00	\$1,936.00
1028269	\$0.00	\$4,514.25	1147448	\$0.00	\$84,285.74
1028271	\$0.00	\$1,527.90	1147451	\$0.00	\$13,369.90
1028273	\$0.00	\$6,945.00	1147452	\$0.00	\$11,806.50
1028296	\$0.00	\$2,587.20	1147453	\$0.00	\$794.73
1028317	\$0.00	\$2,278.73	1147455	\$0.00	\$401.11
1028318	\$0.00	\$2,278.80	1147458	\$0.00	\$2,129.60
1028321	\$0.00	\$2,125.17	1147459	\$0.00	\$2,119.92
1028327	\$0.00	\$694.50	1147460	\$0.00	\$372.27
1028339	\$0.00	\$2,506.40	1147461	\$0.00	\$12,584.00
1028392	\$0.00	\$4,167.00	1147462	\$0.00	\$24,307.50
1028406	\$0.00	\$48,255.50	1147463	\$0.00	\$5,522.00
1028456	\$0.00	\$13,890.00	1147464	\$0.00	\$1,936.00
1028492	\$0.00	\$1,379.00	1147465	\$0.00	\$57,576.64
1028565	\$0.00	\$25,002.00	1147466	\$0.00	\$1,178.50
1028582	\$0.00	\$968.00	1147467	\$0.00	\$6,945.00
1028595	\$0.00	\$5,417.10	1147468	\$0.00	\$6,945.00
1028628	\$0.00	\$21,785.80	1147469	\$0.00	\$8,834.04
1028665	\$0.00	\$8,712.00	1147470	\$0.00	\$16,015.45
1028667	\$0.00	\$1,379.00	1147471	\$0.00	\$18,057.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1028722	\$0.00	\$1,389.00	1147472	\$0.00	\$11,112.00
1028738	\$0.00	\$13,890.00	1147473	\$0.00	\$5,556.00
1028841	\$0.00	\$30,284.50	1147475	\$0.00	\$11,112.00
1028854	\$0.00	\$540,910.00	1147476	\$0.00	\$11,112.00
1028857	\$0.00	\$15,279.00	1147477	\$0.00	\$3,125.25
1028858	\$0.00	\$7,577.02	1147478	\$0.00	\$6,945.00
1028885	\$0.00	\$5,894.00	1147479	\$0.00	\$8,334.00
1028907	\$0.00	\$100,008.00	1147483	\$0.00	\$7,685.47
1028981	\$0.00	\$34,725.00	1147484	\$0.00	\$5,167.18
1029021	\$0.00	\$41.67	1147491	\$0.00	\$965.00
1029061	\$0.00	\$1,389.00	1147492	\$0.00	\$62,425.00
1029070	\$0.00	\$13,195.50	1147493	\$0.00	\$986.19
1029116	\$0.00	\$49,775.00	1147494	\$0.00	\$57,314.55
1029119	\$0.00	\$14,121.32	1147496	\$0.00	\$6,945.00
1029210	\$0.00	\$27,780.00	1147497	\$0.00	\$19,140.00
1029442	\$0.00	\$1,182.25	1147498	\$0.00	\$229,222.40
1029684	\$0.00	\$52,696.46	1147500	\$0.00	\$11,112.00
1029716	\$0.00	\$1,389.00	1147501	\$0.00	\$1,026.08
1029731	\$0.00	\$6,945.00	1147505	\$0.00	\$694.50
1029747	\$0.00	\$27,780.00	1147506	\$0.00	\$947.25
1029764	\$0.00	\$12,501.00	1147508	\$0.00	\$32,620.00
1029789	\$0.00	\$5,454.50	1147510	\$0.00	\$1,754.50
1029857	\$0.00	\$6,776.00	1147511	\$0.00	\$14,025.84
1029858	\$0.00	\$677.60	1147512	\$0.00	\$83.82
1030099	\$0.00	\$13,890.00	1147516	\$0.00	\$1,389.00
1030138	\$0.00	\$26,812.00	1147517	\$0.00	\$2,083.50
1030172	\$0.00	\$6,945.00	1147521	\$0.00	\$6,945.00
1030216	\$0.00	\$145,200.00	1147522	\$0.00	\$10,120.95
1030286	\$0.00	\$12,153.75	1147523	\$0.00	\$2,105.00
1030308	\$0.00	\$13,860.00	1147524	\$0.00	\$21,437.45
1030314	\$0.00	\$29,040.00	1147525	\$0.00	\$13,890.00
1030322	\$0.00	\$9,557.76	1147528	\$0.00	\$4,236.45
1030323	\$0.00	\$9,552.96	1147531	\$0.00	\$28,575.00
1030324	\$0.00	\$47,634.07	1147533	\$0.00	\$25,360.00
1030401	\$0.00	\$27,780.00	1147534	\$0.00	\$12,138.10
1030418	\$0.00	\$48,615.00	1147535	\$0.00	\$429.03
1030461	\$0.00	\$1,736.25	1147536	\$0.00	\$1,375.11
1030490	\$0.00	\$13,890.00	1147538	\$0.00	\$2,735.96
1030495	\$0.00	\$11,112.00	1147545	\$0.00	\$37,503.00
1030535	\$0.00	\$20,835.00	1147547	\$0.00	\$5,139.30
1030550	\$0.00	\$1,243.37	1147548	\$0.00	\$15,279.00
1030597	\$0.00	\$6,945.00	1147549	\$0.00	\$6,457.89
1030626	\$0.00	\$12,153.75	1147550	\$0.00	\$75,988.80
1030627	\$0.00	\$12,153.75	1147551	\$0.00	\$11,112.00
1030628	\$0.00	\$12,153.75	1147552	\$0.00	\$51,229.67
1030743	\$0.00	\$417,400.00	1147553	\$0.00	\$50.52
1030879	\$0.00	\$11,112.00	1147555	\$0.00	\$8,295.76
1030903	\$0.00	\$10,339.50	1147556	\$4,030.59	\$4,030.59
1030909	\$0.00	\$863.00	1147558	\$0.00	\$3,872.00
1030927	\$0.00	\$4,167.00	1147564	\$0.00	\$3,819.75
1030928	\$0.00	\$54,950.00	1147566	\$0.00	\$972.30
1030929	\$0.00	\$563,575.00	1147567	\$0.00	\$95.90
1030938	\$0.00	\$595,800.00	1147568	\$0.00	\$95.90
1031000	\$0.00	\$19,360.00	1147571	\$0.00	\$5,646.32
1031037	\$0.00	\$8,550.00	1147572	\$0.00	\$1,263.00
1031121	\$0.00	\$10,417.50	1147573	\$0.00	\$20,666.00
1031136	\$0.00	\$13,150.40	1147578	\$0.00	\$23,570.00
1031138	\$0.00	\$33,387.98	1147579	\$0.00	\$70,710.00
1031148	\$0.00	\$12,882.00	1147580	\$0.00	\$9,723.00
1031149	\$0.00	\$14,792.50	1147582	\$0.00	\$11,153.50
1031153	\$0.00	\$29,040.00	1147583	\$0.00	\$17,424.00
1031154	\$0.00	\$1,449.80	1147584	\$0.00	\$3,472.50
1031176	\$0.00	\$4,167.00	1147588	\$0.00	\$772.04
1031198	\$0.00	\$24,260.00	1147589	\$0.00	\$9,680.00
1031213	\$0.00	\$13,890.00	1147591	\$0.00	\$2,778.00
1031219	\$0.00	\$18,315.00	1147592	\$0.00	\$2,367.15
1031220	\$0.00	\$13,890.00	1147593	\$0.00	\$772.04
1031240	\$0.00	\$11,112.00	1147596	\$0.00	\$13,890.00
1031269	\$0.00	\$611,525.00	1147599	\$0.00	\$7,462.38
1031286	\$0.00	\$6,650.00	1147602	\$0.00	\$8,709.03
1031294	\$0.00	\$12,550.00	1147605	\$0.00	\$2,105.00
1031300	\$0.00	\$13,890.00	1147607	\$0.00	\$2,778.00
1031471	\$0.00	\$4,166.00	1147608	\$0.00	\$5,556.00
1031474	\$0.00	\$421.00	1147610	\$0.00	\$12,795.25
1031689	\$0.00	\$63,348.19	1147614	\$0.00	\$23,880.00
1031691	\$0.00	\$14,836.50	1147615	\$0.00	\$902.85
1031700	\$0.00	\$12,584.00	1147616	\$0.00	\$486.15
1031701	\$0.00	\$46,839.80	1147617	\$0.00	\$2,083.50
1031702	\$0.00	\$12,625.35	1147618	\$0.00	\$972.30

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1031771	\$0.00	\$39,831.30	1147619	\$0.00	\$2,718.00
1031775	\$0.00	\$16,668.00	1147621	\$0.00	\$19,360.00
1031782	\$0.00	\$71.34	1147625	\$0.00	\$3,125.25
1032406	\$0.00	\$850.99	1147627	\$0.00	\$444.62
1032407	\$0.00	\$30,935.00	1147628	\$0.00	\$13,890.00
1032440	\$0.00	\$2,134.05	1147629	\$0.00	\$12,501.00
1032447	\$0.00	\$11,809.95	1147632	\$0.00	\$2,904.00
1032468	\$0.00	\$6,945.00	1147633	\$0.00	\$1,089.90
1032470	\$0.00	\$13,890.00	1147635	\$0.00	\$3,872.00
1032472	\$0.00	\$4,631.00	1147636	\$0.00	\$3,413.14
1032531	\$0.00	\$13,890.00	1147637	\$0.00	\$9,680.00
1032533	\$0.00	\$55,560.00	1147638	\$0.00	\$1,728.59
1032551	\$0.00	\$6,893.00	1147639	\$0.00	\$27,780.00
1032568	\$0.00	\$2,105.00	1147640	\$0.00	\$6,945.00
1032600	\$0.00	\$3,125.25	1147654	\$0.00	\$406.56
1032613	\$0.00	\$11,978.10	1147655	\$0.00	\$6,569.50
1032616	\$0.00	\$1,818.99	1147657	\$0.00	\$8,334.00
1032649	\$0.00	\$4,840.00	1147658	\$0.00	\$13,890.00
1032653	\$0.00	\$2,778.00	1147663	\$0.00	\$1,736.25
1032663	\$0.00	\$58,984.50	1147664	\$0.00	\$30,002.00
1032683	\$0.00	\$3,472.50	1147665	\$0.00	\$187,493.07
1032695	\$0.00	\$1,263.80	1147666	\$0.00	\$8,236.77
1032696	\$0.00	\$1,262.80	1147668	\$0.00	\$1,936.00
1032708	\$0.00	\$69,450.00	1147669	\$0.00	\$96.80
1032717	\$0.00	\$2,778.00	1147670	\$0.00	\$343.04
1032718	\$0.00	\$4,167.00	1147671	\$0.00	\$127.89
1032719	\$0.00	\$10,417.50	1147672	\$0.00	\$402.81
1032720	\$0.00	\$5,208.75	1147673	\$0.00	\$9,975.00
1032753	\$0.00	\$6,945.00	1147677	\$0.00	\$41,670.00
1032782	\$0.00	\$14,383.40	1147678	\$0.00	\$18,730.00
1032783	\$0.00	\$5,813.13	1147680	\$0.00	\$9,439.23
1032785	\$0.00	\$1,305.86	1147681	\$0.00	\$694.50
1032799	\$0.00	\$15,340.35	1147686	\$0.00	\$23,750.45
1032808	\$0.00	\$1,389.00	1147687	\$0.00	\$20,973.90
1032809	\$0.00	\$1,389.00	1147688	\$0.00	\$3,180.00
1032817	\$0.00	\$7,608.00	1147689	\$0.00	\$10,000.80
1032844	\$0.00	\$1,389.00	1147690	\$0.00	\$10,139.70
1032913	\$0.00	\$32,390.44	1147691	\$0.00	\$23,690.73
1032938	\$0.00	\$61,489.00	1147692	\$0.00	\$23,414.78
1033203	\$0.00	\$6,945.00	1147693	\$0.00	\$4,840.00
1033214	\$0.00	\$1,936.00	1147695	\$0.00	\$7,192.00
1033216	\$0.00	\$37,460.00	1147696	\$0.00	\$223.36
1033226	\$0.00	\$13,638.50	1147697	\$0.00	\$26,043.75
1033239	\$0.00	\$6,945.00	1147698	\$0.00	\$14,701.78
1033317	\$0.00	\$2,273.40	1147699	\$0.00	\$8,182.70
1033356	\$0.00	\$1,028.72	1147700	\$0.00	\$9,375.75
1033446	\$0.00	\$36,783.95	1147701	\$0.00	\$23,265.75
1033472	\$0.00	\$6,806.10	1147702	\$0.00	\$4,444.80
1033495	\$0.00	\$1,389.00	1147703	\$0.00	\$11,209.23
1033519	\$0.00	\$2,778.00	1147704	\$0.00	\$19,446.00
1033535	\$0.00	\$1,389.00	1147705	\$0.00	\$1,764.03
1033556	\$0.00	\$11,112.00	1147706	\$0.00	\$14,024.12
1033658	\$0.00	\$7,343.45	1147707	\$0.00	\$5,556.00
1033669	\$0.00	\$3,894.95	1147708	\$0.00	\$14,520.00
1033671	\$0.00	\$63,832.60	1147709	\$0.00	\$47,013.70
1033707	\$0.00	\$48,005.00	1147711	\$0.00	\$18,750.75
1033726	\$0.00	\$79,990.00	1147712	\$0.00	\$6,278.60
1033731	\$0.00	\$4,210.00	1147713	\$0.00	\$4,167.00
1033886	\$0.00	\$3,639.00	1147714	\$0.00	\$30,030.70
1033987	\$0.00	\$25,168.00	1147716	\$0.00	\$3,041.91
1034020	\$0.00	\$29,040.00	1147717	\$0.00	\$3,789.00
1034053	\$0.00	\$4,167.00	1147719	\$0.00	\$5,711.44
1034067	\$0.00	\$1,736.25	1147720	\$0.00	\$1,328.89
1034094	\$0.00	\$19,360.00	1147721	\$0.00	\$2,034.88
1034107	\$0.00	\$13,890.00	1147722	\$0.00	\$2,562.83
1034113	\$0.00	\$13,109.00	1147724	\$0.00	\$17,834.18
1034172	\$0.00	\$13,890.00	1147725	\$0.00	\$6,103.00
1034209	\$0.00	\$12,699.90	1147726	\$0.00	\$2,778.00
1034270	\$0.00	\$41,670.00	1147727	\$0.00	\$2,357.00
1034286	\$0.00	\$926.20	1147728	\$0.00	\$65.10
1034326	\$0.00	\$6,945.00	1147731	\$0.00	\$919.60
1034378	\$0.00	\$200.65	1147735	\$0.00	\$12,416.00
1034379	\$0.00	\$41,945.00	1147736	\$1,840.00	\$1,892.55
1034382	\$0.00	\$72,400.00	1147737	\$0.00	\$1,684.00
1034383	\$0.00	\$1,389.00	1147738	\$0.00	\$7,691.94
1034414	\$0.00	\$13,890.00	1147740	\$0.00	\$5,808.00
1034538	\$0.00	\$4,888.06	1147747	\$0.00	\$3,296.20
1034542	\$0.00	\$13,890.00	1147749	\$0.00	\$17,671.00
1034563	\$0.00	\$1,401.95	1147750	\$0.00	\$8,780.80

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1034582	\$0.00	\$122,515.12	1147751	\$0.00	\$22,918.50
1034584	\$0.00	\$12,501.00	1147752	\$0.00	\$23,613.00
1034629	\$0.00	\$13,890.00	1147753	\$0.00	\$21,001.95
1034714	\$0.00	\$1,180.65	1147754	\$0.00	\$13,237.00
1034717	\$0.00	\$593.40	1147755	\$0.00	\$59,816.74
1034718	\$0.00	\$694.50	1147756	\$0.00	\$19,992.40
1034769	\$3,613.58	\$3,613.58	1147757	\$0.00	\$91,359.15
1034813	\$3,701.69	\$3,701.69	1147758	\$0.00	\$22,669.90
1034856	\$60,121.90	\$56,843.37	1147759	\$0.00	\$11,197.65
1034857	\$16,161.97	\$16,161.97	1147760	\$0.00	\$17,980.00
1034858	\$75,152.38	\$71,054.21	1147761	\$0.00	\$8,889.60
1034875	\$0.00	\$24,251.94	1147762	\$0.00	\$18,126.45
1034886	\$0.00	\$14,520.00	1147766	\$0.00	\$8,334.00
1034905	\$0.00	\$2,778.00	1147768	\$0.00	\$29,988.51
1034909	\$0.00	\$13,890.00	1147770	\$0.00	\$2,083.50
1034921	\$0.00	\$13,890.00	1147771	\$0.00	\$6,945.00
1034923	\$0.00	\$4,119.91	1147772	\$0.00	\$6,000.48
1034936	\$0.00	\$13,890.00	1147773	\$0.00	\$7,778.40
1034937	\$0.00	\$7,760.00	1147774	\$0.00	\$8,375.67
1034939	\$0.00	\$9,680.00	1147775	\$0.00	\$12,084.30
1034941	\$0.00	\$1,389.00	1147776	\$0.00	\$10,278.60
1034997	\$0.00	\$1,451.20	1147777	\$0.00	\$10,278.60
1035018	\$0.00	\$694.50	1147781	\$0.00	\$3,889.20
1035096	\$0.00	\$1,041.75	1147782	\$0.00	\$13,890.00
1035187	\$0.00	\$2,778.00	1147783	\$0.00	\$13,890.00
1035223	\$0.00	\$1,389.00	1147784	\$0.00	\$41.00
1035232	\$0.00	\$165,663.00	1147785	\$0.00	\$1,685.00
1035235	\$0.00	\$74,125.80	1147786	\$0.00	\$1,332.72
1035243	\$0.00	\$71,265.50	1147788	\$0.00	\$3,872.00
1035254	\$0.00	\$3,472.50	1147791	\$0.00	\$13,660.00
1035256	\$0.00	\$3,872.00	1147792	\$0.00	\$13,660.00
1035311	\$0.00	\$18,057.00	1147793	\$0.00	\$484.00
1035315	\$0.00	\$58,720.00	1147794	\$0.00	\$9,064.40
1035320	\$0.00	\$310,236.00	1147795	\$0.00	\$2,430.75
1035321	\$0.00	\$33,841.76	1147799	\$0.00	\$15,752.73
1035322	\$0.00	\$27,780.00	1147800	\$0.00	\$12,884.91
1035327	\$0.00	\$68,061.00	1147801	\$0.00	\$3,472.50
1035330	\$0.00	\$5,208.75	1147802	\$0.00	\$27,780.00
1035331	\$0.00	\$13,890.00	1147803	\$0.00	\$8,420.00
1035333	\$0.00	\$13,890.00	1147804	\$0.00	\$27,780.00
1035334	\$0.00	\$67,858.20	1147805	\$0.00	\$18,057.00
1035335	\$0.00	\$13,890.00	1147806	\$0.00	\$27,462.75
1035336	\$0.00	\$44,697.50	1147807	\$0.00	\$60,387.70
1035337	\$0.00	\$13,890.00	1147809	\$0.00	\$27,780.00
1035338	\$0.00	\$13,790.00	1147810	\$0.00	\$8,375.67
1035347	\$0.00	\$3,563.00	1147816	\$0.00	\$4,210.00
1035348	\$0.00	\$77,784.00	1147817	\$0.00	\$4,167.00
1035354	\$0.00	\$902.85	1147820	\$0.00	\$11,616.00
1035355	\$0.00	\$11,533.00	1147821	\$0.00	\$9,680.00
1035427	\$0.00	\$5,972.70	1147822	\$0.00	\$148,207.32
1035428	\$0.00	\$10,486.95	1147823	\$0.00	\$5,556.00
1035444	\$0.00	\$9,482.16	1147824	\$0.00	\$1,389.00
1035445	\$0.00	\$6,945.00	1147825	\$0.00	\$1,389.00
1035490	\$0.00	\$2,778.00	1147826	\$0.00	\$6,945.00
1035505	\$0.00	\$6,822.00	1147828	\$0.00	\$2,104.80
1035531	\$0.00	\$8,472.90	1147835	\$0.00	\$1,764.03
1035540	\$0.00	\$2,778.00	1147836	\$0.00	\$1,967.80
1035556	\$0.00	\$7,163.20	1147840	\$0.00	\$1,374.78
1035557	\$0.00	\$977.68	1147859	\$0.00	\$55,560.00
1035558	\$0.00	\$1,848.88	1147861	\$0.00	\$7,484.00
1035579	\$0.00	\$902.85	1147863	\$0.00	\$2,718.98
1035581	\$0.00	\$777.84	1147865	\$0.00	\$4,778.35
1035610	\$0.00	\$4,840.00	1147869	\$0.00	\$3,829.00
1035688	\$0.00	\$7,744.00	1147874	\$0.00	\$522.00
1035690	\$0.00	\$9,680.00	1147875	\$0.00	\$4,167.00
1035700	\$0.00	\$215.80	1147877	\$0.00	\$13,890.00
1035710	\$0.00	\$3,719.75	1147878	\$0.00	\$117,220.00
1035714	\$0.00	\$2,904.00	1147879	\$0.00	\$117,220.00
1035719	\$0.00	\$2,778.00	1147880	\$0.00	\$139.55
1035746	\$0.00	\$117,850.00	1147881	\$0.00	\$18,435.00
1035747	\$0.00	\$208,350.00	1147882	\$0.00	\$3,325.00
1035753	\$0.00	\$8,334.00	1147883	\$0.00	\$8,334.00
1035755	\$0.00	\$5,307.10	1147884	\$0.00	\$12,501.00
1035757	\$0.00	\$36,869.90	1147885	\$0.00	\$7,261.51
1035762	\$0.00	\$24,200.00	1147886	\$0.00	\$27,780.00
1035766	\$0.00	\$3,872.00	1147887	\$0.00	\$4,861.50
1035769	\$0.00	\$67,760.00	1147888	\$0.00	\$6,945.00
1035776	\$0.00	\$2,876.30	1147889	\$0.00	\$8,334.00
1035777	\$0.00	\$5,694.90	1147890	\$0.00	\$151,534.30

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1035782	\$0.00	\$13,890.00	1147893	\$0.00	\$1,319.55
1035783	\$0.00	\$13,890.00	1147895	\$0.00	\$52.20
1035788	\$0.00	\$3,872.00	1147897	\$0.00	\$58.08
1035806	\$0.00	\$34,210.20	1147899	\$0.00	\$6,945.00
1035831	\$0.00	\$919.03	1147902	\$0.00	\$4,114.00
1035848	\$0.00	\$1,389.00	1147903	\$0.00	\$4,200.00
1035861	\$0.00	\$10,473.06	1147904	\$0.00	\$176.67
1035893	\$0.00	\$13,890.00	1147905	\$0.00	\$38,435.40
1035911	\$0.00	\$5,556.00	1147909	\$0.00	\$3,313.27
1035912	\$0.00	\$2,778.00	1147910	\$0.00	\$4,840.00
1035923	\$0.00	\$16,805.95	1147915	\$0.00	\$7,744.00
1035938	\$0.00	\$12,207.06	1147918	\$0.00	\$21,651.10
1035946	\$0.00	\$7,657.50	1147920	\$0.00	\$2,051.50
1035959	\$0.00	\$968.00	1147921	\$0.00	\$1,263.00
1035961	\$0.00	\$828.60	1147922	\$0.00	\$1,916.25
1035986	\$0.00	\$47,778.00	1147923	\$0.00	\$694.50
1035987	\$0.00	\$5,278.20	1147925	\$0.00	\$5,556.00
1035999	\$0.00	\$6,945.00	1147926	\$0.00	\$47,140.00
1036001	\$0.00	\$5,556.00	1147927	\$0.00	\$27,780.00
1036036	\$0.00	\$2,904.00	1147928	\$0.00	\$13,890.00
1036037	\$0.00	\$6,793.40	1147929	\$0.00	\$272.29
1036044	\$0.00	\$5,370.40	1147931	\$0.00	\$388.92
1036247	\$0.00	\$6,463.47	1147932	\$0.00	\$2,291.85
1036276	\$0.00	\$2,778.00	1147933	\$0.00	\$23,432.99
1036277	\$0.00	\$1,389.00	1147935	\$0.00	\$28,479.00
1036306	\$0.00	\$138.90	1147936	\$0.00	\$112.40
1036328	\$0.00	\$3,891.36	1147937	\$0.00	\$33,340.00
1036362	\$0.00	\$3,281.52	1147939	\$0.00	\$13,093.99
1036370	\$0.00	\$39,247.60	1147940	\$0.00	\$1,805.70
1036372	\$0.00	\$6,775.80	1147941	\$0.00	\$6,600.00
1036373	\$0.00	\$6,787.80	1147943	\$0.00	\$1,389.00
1036374	\$0.00	\$4,167.00	1147948	\$0.00	\$66,672.00
1036404	\$0.00	\$9,680.00	1147950	\$0.00	\$65.30
1036490	\$0.00	\$13,890.00	1147952	\$0.00	\$864.39
1036491	\$0.00	\$21,522.00	1147953	\$0.00	\$11,112.00
1036493	\$0.00	\$694.50	1147954	\$0.00	\$833.40
1036498	\$0.00	\$6,945.00	1147956	\$0.00	\$7,071.00
1036509	\$0.00	\$6,609.00	1147958	\$0.00	\$4,210.00
1036512	\$0.00	\$6,613.60	1147960	\$0.00	\$16,668.00
1036514	\$0.00	\$27,539.76	1147961	\$0.00	\$694.50
1036518	\$0.00	\$13,790.60	1147963	\$0.00	\$2,500.20
1036522	\$0.00	\$7,681.75	1147967	\$0.00	\$138.90
1036524	\$0.00	\$6,639.60	1147968	\$0.00	\$10,417.50
1036525	\$0.00	\$6,638.00	1147970	\$0.00	\$4,861.50
1036538	\$0.00	\$4,167.00	1147971	\$0.00	\$1,574.54
1036540	\$0.00	\$1,805.70	1147972	\$0.00	\$10,498.00
1036568	\$0.00	\$13,890.00	1147973	\$0.00	\$242.00
1036807	\$0.00	\$13,565.00	1147974	\$0.00	\$1,902.93
1036838	\$0.00	\$324,160.44	1147975	\$0.00	\$21,735.50
1036894	\$0.00	\$3,768.00	1147976	\$0.00	\$9,028.50
1036927	\$0.00	\$3,403.05	1147977	\$0.00	\$33,336.00
1036966	\$0.00	\$5,346.90	1147978	\$0.00	\$8,334.00
1036983	\$0.00	\$11,667.60	1147979	\$0.00	\$9,879.64
1036988	\$0.00	\$856.36	1147980	\$0.00	\$10,702.50
1037127	\$0.00	\$3,708.69	1147981	\$0.00	\$13,890.00
1037139	\$0.00	\$14,520.00	1147983	\$0.00	\$2,972.46
1037386	\$0.00	\$2,083.50	1147984	\$0.00	\$2,858.00
1037389	\$0.00	\$57,091.00	1147986	\$0.00	\$27,780.00
1037395	\$0.00	\$814.74	1147988	\$0.00	\$4,959.30
1037396	\$0.00	\$611.10	1147989	\$0.00	\$2,778.00
1037397	\$0.00	\$570.15	1147990	\$0.00	\$1,946.70
1037398	\$0.00	\$570.15	1148004	\$0.00	\$163.80
1037434	\$0.00	\$2,646.00	1148007	\$0.00	\$25,410.00
1037456	\$0.00	\$23,570.00	1148008	\$0.00	\$1,389.00
1037470	\$0.00	\$1,328.31	1148011	\$0.00	\$28,867.05
1037471	\$0.00	\$2,778.00	1148013	\$0.00	\$14,943.75
1037527	\$0.00	\$2,778.00	1148014	\$0.00	\$24,868.16
1037554	\$0.00	\$10,378.00	1148015	\$0.00	\$6,292.00
1037620	\$0.00	\$4,167.00	1148017	\$0.00	\$1,389.00
1037625	\$0.00	\$2,904.00	1148020	\$0.00	\$1,380.88
1037627	\$0.00	\$45,883.27	1148021	\$0.00	\$2,978,370.90
1037634	\$0.00	\$1,936.00	1148022	\$0.00	\$5,195.00
1037635	\$0.00	\$7,570.05	1148023	\$0.00	\$871.20
1037637	\$0.00	\$41,670.00	1148024	\$0.00	\$1,157.75
1037656	\$0.00	\$2,778.00	1148025	\$0.00	\$27,780.00
1037674	\$0.00	\$12,630.00	1148026	\$0.00	\$4,861.50
1037675	\$0.00	\$41,670.00	1148027	\$0.00	\$1,306.80
1037705	\$0.00	\$12,639.90	1148028	\$0.00	\$5,275.60
1037728	\$0.00	\$19,360.00	1148029	\$0.00	\$8,476.58

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1037729	\$0.00	\$4,440.50	1148031	\$0.00	\$11,785.00
1037731	\$0.00	\$6,776.00	1148032	\$0.00	\$3,872.00
1037736	\$0.00	\$39,690.00	1148034	\$0.00	\$1,210.00
1037762	\$0.00	\$5,019.92	1148035	\$0.00	\$19,360.00
1037813	\$0.00	\$19,612.68	1148045	\$0.00	\$5,108.25
1038018	\$0.00	\$82,367.70	1148048	\$0.00	\$5,556.00
1038026	\$0.00	\$34,725.00	1148049	\$0.00	\$5,556.00
1038034	\$0.00	\$214,150.00	1148050	\$0.00	\$4,167.00
1038035	\$0.00	\$5,042.07	1148052	\$0.00	\$1,807.80
1038071	\$0.00	\$6,945.00	1148053	\$0.00	\$894.70
1038114	\$0.00	\$12,501.00	1148054	\$0.00	\$11,671.56
1038138	\$0.00	\$6,860.00	1148065	\$0.00	\$1,263.00
1038148	\$0.00	\$8,146.25	1148066	\$0.00	\$1,263.00
1038153	\$0.00	\$37,024.80	1148067	\$0.00	\$1,263.00
1038216	\$0.00	\$631.50	1148068	\$0.00	\$76,472.00
1038272	\$0.00	\$1,832.22	1148069	\$0.00	\$842.00
1038338	\$0.00	\$968.98	1148070	\$0.00	\$1,597.20
1038354	\$0.00	\$9,680.00	1148071	\$0.00	\$4,000.00
1038367	\$0.00	\$34,725.00	1148072	\$0.00	\$10,721.88
1038376	\$0.00	\$6,945.00	1148073	\$0.00	\$2,613.53
1038377	\$0.00	\$17,324.00	1148074	\$0.00	\$1,138.98
1038411	\$0.00	\$16,003.58	1148075	\$2,906.60	\$2,906.60
1038413	\$0.00	\$10,460.94	1148084	\$0.00	\$19,360.00
1038414	\$0.00	\$7,173.52	1148085	\$0.00	\$41,337.14
1038418	\$0.00	\$15,723.26	1148086	\$0.00	\$35,063.41
1038419	\$0.00	\$10,662.96	1148087	\$0.00	\$4,210.00
1038423	\$0.00	\$26,867.61	1148092	\$0.00	\$17,779.20
1038439	\$0.00	\$11,236.24	1148095	\$0.00	\$56,992.49
1038440	\$0.00	\$9,108.74	1148096	\$0.00	\$2,687.78
1038441	\$0.00	\$9,287.10	1148098	\$0.00	\$41,483.00
1038448	\$0.00	\$14,204.54	1148099	\$0.00	\$1,770.69
1038449	\$0.00	\$1,758.37	1148100	\$0.00	\$1,063.62
1038454	\$0.00	\$11,975.13	1148101	\$0.00	\$883.49
1038460	\$0.00	\$73,466.42	1148102	\$0.00	\$329.12
1038468	\$0.00	\$2,891.87	1148103	\$0.00	\$6,945.00
1038476	\$0.00	\$3,735.87	1148104	\$0.00	\$4,167.00
1038477	\$0.00	\$10,723.50	1148105	\$0.00	\$5,510.00
1038479	\$0.00	\$6,306.30	1148108	\$0.00	\$2,778.00
1038492	\$0.00	\$78,524.16	1148109	\$0.00	\$13,890.00
1038493	\$0.00	\$27,713.84	1148113	\$0.00	\$234.00
1038504	\$0.00	\$1,736.25	1148114	\$0.00	\$9,179.84
1038506	\$0.00	\$5,402.40	1148116	\$0.00	\$6,945.00
1038514	\$0.00	\$1,809.34	1148118	\$0.00	\$2,474.72
1038518	\$0.00	\$2,306.27	1148119	\$400.00	\$372.00
1038520	\$0.00	\$9,146.36	1148120	\$0.00	\$25,223.97
1038521	\$0.00	\$11,556.72	1148123	\$0.00	\$9,219.90
1038538	\$0.00	\$1,923.66	1148124	\$0.00	\$3,472.50
1038539	\$0.00	\$3,834.59	1148125	\$0.00	\$1,389.00
1038540	\$0.00	\$6,426.12	1148127	\$0.00	\$19,460.00
1038542	\$0.00	\$1,898.19	1148128	\$0.00	\$6,613.60
1038544	\$0.00	\$11,417.58	1148129	\$0.00	\$58,338.00
1038546	\$0.00	\$7,592.74	1148130	\$0.00	\$27,780.00
1038547	\$0.00	\$8,382.59	1148131	\$0.00	\$1,263.00
1038562	\$0.00	\$19,317.30	1148132	\$0.00	\$1,263.00
1038564	\$0.00	\$13,106.40	1148133	\$0.00	\$1,684.00
1038567	\$0.00	\$13,890.00	1148134	\$0.00	\$6,509.18
1038569	\$0.00	\$1,843.27	1148137	\$160.00	\$148.80
1038570	\$0.00	\$1,576.31	1148138	\$0.00	\$62,734.95
1038571	\$0.00	\$6,890.01	1148140	\$0.00	\$2,778.00
1038572	\$0.00	\$24,116.50	1148141	\$0.00	\$6,945.00
1038579	\$0.00	\$3,919.92	1148142	\$0.00	\$35,773.00
1038580	\$0.00	\$13,773.78	1148143	\$0.00	\$11,883.50
1038587	\$0.00	\$25,776.46	1148144	\$0.00	\$69,450.00
1038589	\$0.00	\$7,127.39	1148145	\$0.00	\$54,724.95
1038590	\$0.00	\$4,824.61	1148146	\$0.00	\$2,917.52
1038600	\$0.00	\$5,175.80	1148147	\$0.00	\$4,865.14
1038602	\$0.00	\$11,414.59	1148148	\$0.00	\$14,581.75
1038606	\$0.00	\$9,592.84	1148152	\$0.00	\$4,210.00
1038609	\$0.00	\$7,822.05	1148161	\$0.00	\$494,588.54
1038645	\$0.00	\$20,835.00	1148164	\$0.00	\$20,279.40
1038647	\$0.00	\$6,945.00	1148165	\$0.00	\$96,800.00
1038653	\$0.00	\$9,680.00	1148166	\$0.00	\$383,580.00
1038659	\$0.00	\$47,820.00	1148167	\$0.00	\$260,776.10
1038689	\$0.00	\$4,167.00	1148168	\$0.00	\$485,033.38
1038716	\$0.00	\$555.60	1148170	\$0.00	\$102,608.00
1038749	\$0.00	\$6,945.00	1148171	\$0.00	\$96,294.15
1038751	\$0.00	\$8,334.00	1148174	\$0.00	\$11,207.85
1038761	\$0.00	\$1,389.00	1148175	\$0.00	\$8,334.00
1038818	\$0.00	\$1,197.00	1148176	\$0.00	\$9,167.40

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1038819	\$0.00	\$803.00	1148177	\$0.00	\$35,018.20
1038844	\$0.00	\$29,040.00	1148178	\$0.00	\$5,663.25
1038870	\$0.00	\$9,069.65	1148180	\$0.00	\$15,236.00
1038899	\$0.00	\$20,482.80	1148182	\$0.00	\$31,321.95
1038956	\$0.00	\$1,392.00	1148183	\$0.00	\$28,220.85
1038966	\$0.00	\$4,210.00	1148184	\$0.00	\$25,295.50
1038967	\$0.00	\$13,890.00	1148185	\$0.00	\$60,405.00
1038976	\$0.00	\$6,111.60	1148186	\$0.00	\$74,995.00
1039024	\$0.00	\$13,890.00	1148187	\$0.00	\$34,824.00
1039049	\$0.00	\$2,887.26	1148188	\$0.00	\$1,862.96
1039052	\$0.00	\$7,076.00	1148189	\$0.00	\$10,309.86
1039143	\$0.00	\$3,158.50	1148190	\$0.00	\$1,427.55
1039176	\$0.00	\$18,730.00	1148191	\$0.00	\$48,480.70
1039181	\$0.00	\$27,780.00	1148192	\$0.00	\$13,890.00
1039183	\$0.00	\$10,417.50	1148194	\$0.00	\$18,097.00
1039198	\$0.00	\$21,050.00	1148197	\$0.00	\$11,112.00
1039206	\$0.00	\$3,736.40	1148198	\$0.00	\$700.77
1039214	\$0.00	\$15,695.00	1148199	\$0.00	\$1,389.00
1039246	\$0.00	\$2,590.00	1148200	\$0.00	\$6,490.00
1039304	\$0.00	\$26,620.70	1148201	\$0.00	\$3,041.91
1039310	\$0.00	\$20,191.70	1148202	\$0.00	\$15,952.00
1039320	\$0.00	\$9,723.00	1148203	\$0.00	\$105,564.00
1039325	\$0.00	\$266.00	1148204	\$0.00	\$10,100.97
1039326	\$0.00	\$7,890.14	1148205	\$0.00	\$3,833.64
1039329	\$0.00	\$4,167.00	1148206	\$0.00	\$3,833.64
1039473	\$0.00	\$2,778.00	1148207	\$0.00	\$3,847.53
1039496	\$0.00	\$29,040.00	1148208	\$0.00	\$27,780.00
1039500	\$0.00	\$19,360.00	1148209	\$0.00	\$13,890.00
1039509	\$0.00	\$1,641.20	1148211	\$0.00	\$6,945.00
1039531	\$0.00	\$4,103.00	1148212	\$0.00	\$28,602.72
1039589	\$0.00	\$19,360.00	1148213	\$0.00	\$51,665.00
1039590	\$0.00	\$7,744.00	1148214	\$0.00	\$18,820.95
1039592	\$0.00	\$10,815.28	1148215	\$0.00	\$50,313.77
1039597	\$0.00	\$269,540.00	1148216	\$0.00	\$8,326.00
1039648	\$0.00	\$5,789.00	1148217	\$0.00	\$178.50
1039665	\$0.00	\$829.20	1148218	\$0.00	\$732.90
1039671	\$0.00	\$215,420.00	1148222	\$0.00	\$2,477.60
1039673	\$0.00	\$12,501.00	1148224	\$0.00	\$5,042.07
1039675	\$0.00	\$12,008.96	1148225	\$0.00	\$22,114.00
1039677	\$0.00	\$48,925.00	1148226	\$0.00	\$1,339.20
1039680	\$0.00	\$8,201.25	1148228	\$0.00	\$5,472.98
1039690	\$0.00	\$4,840.00	1148229	\$0.00	\$2,041.83
1039692	\$0.00	\$6,776.00	1148231	\$105.00	\$97.65
1039749	\$0.00	\$159.98	1148236	\$0.00	\$24,418.00
1039869	\$0.00	\$10,486.95	1148237	\$0.00	\$87,120.00
1039871	\$0.00	\$3,484.80	1148238	\$0.00	\$6,945.00
1039888	\$0.00	\$1,383.00	1148239	\$0.00	\$10,625.85
1039899	\$0.00	\$4,560.44	1148240	\$0.00	\$20,276.97
1039920	\$0.00	\$1,389.00	1148241	\$0.00	\$34,169.07
1039925	\$0.00	\$4,167.00	1148243	\$0.00	\$87.12
1039939	\$0.00	\$8,334.00	1148244	\$0.00	\$140.46
1039941	\$0.00	\$20,835.00	1148245	\$0.00	\$691.37
1039961	\$0.00	\$1,263.00	1148246	\$0.00	\$6,945.00
1039973	\$0.00	\$4,840.00	1148249	\$0.00	\$26,692.80
1039979	\$0.00	\$694.50	1148250	\$0.00	\$42,447.30
1039982	\$0.00	\$13,890.00	1148251	\$0.00	\$9,322.40
1040011	\$0.00	\$4,167.00	1148252	\$0.00	\$3,087.00
1040013	\$0.00	\$2,904.00	1148256	\$0.00	\$4,028.10
1040025	\$0.00	\$963,000.00	1148258	\$0.00	\$421.00
1040026	\$0.00	\$9,723.00	1148259	\$0.00	\$37,460.00
1040031	\$0.00	\$3,958.65	1148262	\$0.00	\$6,945.00
1040035	\$0.00	\$13,890.00	1148264	\$0.00	\$13,890.00
1040046	\$0.00	\$8,334.00	1148265	\$0.00	\$55,025.00
1040058	\$0.00	\$1,389.00	1148266	\$0.00	\$5,556.00
1040059	\$0.00	\$8,334.00	1148267	\$0.00	\$60,647.01
1040070	\$0.00	\$6,103.00	1148268	\$0.00	\$10,062.00
1040080	\$0.00	\$10,417.50	1148270	\$0.00	\$2,568.00
1040085	\$0.00	\$4,210.00	1148271	\$0.00	\$4,840.00
1040095	\$0.00	\$4,264.95	1148272	\$0.00	\$24,226.00
1040102	\$0.00	\$6,945.00	1148273	\$0.00	\$25,068.22
1040104	\$0.00	\$69,450.00	1148276	\$0.00	\$2,256.00
1040111	\$0.00	\$1,389.00	1148278	\$0.00	\$45,315.00
1040120	\$0.00	\$50,803.75	1148280	\$0.00	\$1,111.20
1040149	\$0.00	\$3,472.50	1148282	\$0.00	\$1,389.00
1040159	\$0.00	\$2,083.50	1148283	\$0.00	\$6,528.30
1040161	\$0.00	\$29,362.90	1148285	\$0.00	\$4,167.00
1040162	\$0.00	\$2,474.85	1148288	\$0.00	\$8,274.00
1040172	\$0.00	\$5,991.90	1148289	\$0.00	\$1,359.50
1040180	\$0.00	\$4,094.92	1148290	\$0.00	\$17,223.60

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1040197	\$0.00	\$13,890.00	1148291	\$0.00	\$1,408.20
1040198	\$0.00	\$31,533.00	1148292	\$0.00	\$39,184.48
1040213	\$0.00	\$20,140.50	1148293	\$0.00	\$29,040.00
1040226	\$0.00	\$1,389.00	1148295	\$0.00	\$2,442.18
1040244	\$0.00	\$159.00	1148296	\$0.00	\$1,389.00
1040250	\$0.00	\$16,715.65	1148297	\$0.00	\$7,222.80
1040261	\$0.00	\$1,389.00	1148299	\$0.00	\$16,318.90
1040272	\$0.00	\$55,289.91	1148300	\$0.00	\$5,556.00
1040285	\$0.00	\$972.30	1148304	\$0.00	\$2,847.94
1040288	\$0.00	\$786.00	1148305	\$0.00	\$3,157.50
1040320	\$0.00	\$18,747.70	1148306	\$0.00	\$842.00
1040331	\$0.00	\$21,634.00	1148307	\$0.00	\$1,263.00
1040348	\$0.00	\$1,389.00	1148308	\$0.00	\$17,326.00
1040355	\$0.00	\$41,670.00	1148310	\$0.00	\$428.00
1040357	\$0.00	\$14,823.64	1148311	\$0.00	\$427.50
1040367	\$0.00	\$2,778.00	1148312	\$0.00	\$22,668.48
1040369	\$0.00	\$13,890.00	1148314	\$0.00	\$65,407.14
1040374	\$0.00	\$7,986.75	1148315	\$0.00	\$7,236.69
1040380	\$0.00	\$19,360.00	1148318	\$0.00	\$3,784.88
1040389	\$0.00	\$1,389.00	1148319	\$0.00	\$12,702.26
1040390	\$0.00	\$17,015.25	1148320	\$0.00	\$1,887.60
1040405	\$0.00	\$1,936.00	1148347	\$0.00	\$85,184.00
1040407	\$0.00	\$5,556.00	1148350	\$0.00	\$12,347.50
1040410	\$0.00	\$68,394.36	1148351	\$0.00	\$23,712.22
1040413	\$0.00	\$2,904.00	1148352	\$0.00	\$13,830.00
1040416	\$0.00	\$3,819.75	1148353	\$0.00	\$23,159.44
1040434	\$0.00	\$34,529.60	1148354	\$0.00	\$22,159.10
1040438	\$0.00	\$928.95	1148355	\$0.00	\$6,556.08
1040440	\$0.00	\$9,680.00	1148356	\$0.00	\$822.80
1040445	\$0.00	\$1,666.80	1148357	\$0.00	\$90,285.00
1040447	\$0.00	\$19,360.00	1148358	\$0.00	\$11,478.97
1040452	\$0.00	\$1,564.00	1148359	\$0.00	\$1,805.93
1040462	\$0.00	\$10,252.50	1148361	\$0.00	\$91,402.03
1040494	\$0.00	\$7,632.28	1148362	\$0.00	\$48,615.00
1040506	\$0.00	\$6,945.00	1148363	\$0.00	\$66,502.00
1040513	\$0.00	\$2,180.73	1148364	\$0.00	\$16,301.60
1040518	\$0.00	\$4,210.00	1148365	\$0.00	\$22,224.00
1040530	\$0.00	\$1,372.50	1148367	\$0.00	\$4,210.00
1040538	\$0.00	\$13,767.00	1148368	\$0.00	\$23,570.00
1040544	\$0.00	\$144.61	1148369	\$0.00	\$12,362.10
1040551	\$0.00	\$5,135.00	1148370	\$0.00	\$41,188.05
1040562	\$0.00	\$52,044.90	1148371	\$0.00	\$4,167.00
1040576	\$0.00	\$13,890.00	1148372	\$0.00	\$24,454.00
1040591	\$0.00	\$631.50	1148373	\$0.00	\$11,616.00
1040607	\$0.00	\$11,917.62	1148376	\$0.00	\$9,112.06
1040611	\$0.00	\$3,311.20	1148378	\$0.00	\$83,340.00
1040616	\$0.00	\$136.65	1148379	\$0.00	\$1,614.30
1040625	\$0.00	\$13,890.00	1148380	\$0.00	\$13,422.08
1040633	\$0.00	\$3,000.80	1148381	\$0.00	\$179,004.80
1040687	\$0.00	\$41,658.60	1148382	\$0.00	\$4,167.00
1040690	\$0.00	\$694.50	1148384	\$0.00	\$11,112.00
1040721	\$0.00	\$2,756.63	1148385	\$0.00	\$111,120.00
1040738	\$0.00	\$1,319.55	1148386	\$0.00	\$9,723.00
1040745	\$0.00	\$2,617.28	1148387	\$0.00	\$9,723.00
1040776	\$0.00	\$7,215.13	1148388	\$0.00	\$4,167.00
1040791	\$0.00	\$1,234.49	1148389	\$0.00	\$69,450.00
1040796	\$0.00	\$29,040.00	1148390	\$0.00	\$3,368.00
1040814	\$0.00	\$6,945.00	1148391	\$0.00	\$2,105.00
1040826	\$0.00	\$3,872.00	1148392	\$0.00	\$2,778.00
1040839	\$0.00	\$763.95	1148393	\$0.00	\$4,167.00
1040847	\$0.00	\$4,670.00	1148399	\$0.00	\$6,945.00
1040855	\$0.00	\$11,112.00	1148400	\$0.00	\$9,723.00
1040874	\$0.00	\$2,778.00	1148401	\$0.00	\$6,945.00
1040887	\$0.00	\$8,945.16	1148402	\$0.00	\$6,945.00
1040901	\$0.00	\$4,161.60	1148403	\$0.00	\$12,428.40
1040932	\$0.00	\$2,083.50	1148404	\$0.00	\$9,723.00
1040942	\$0.00	\$2,904.00	1148405	\$0.00	\$2,778.00
1040949	\$0.00	\$298.93	1148406	\$0.00	\$11,112.00
1040950	\$0.00	\$1,238.80	1148407	\$0.00	\$6,945.00
1040961	\$0.00	\$5,406.00	1148408	\$0.00	\$9,723.00
1040969	\$0.00	\$4,560.47	1148409	\$0.00	\$9,723.00
1040975	\$0.00	\$2,340.50	1148410	\$0.00	\$4,167.00
1040978	\$0.00	\$2,778.00	1148411	\$0.00	\$2,778.00
1041012	\$0.00	\$11,104.00	1148412	\$0.00	\$6,945.00
1041122	\$0.00	\$11,413.46	1148418	\$0.00	\$6,311.67
1041130	\$0.00	\$3,333.40	1148419	\$0.00	\$694.50
1041138	\$0.00	\$36,672.00	1148420	\$0.00	\$2,778.00
1041191	\$0.00	\$6,945.00	1148422	\$0.00	\$1,389.00
1041207	\$0.00	\$9,150.00	1148423	\$0.00	\$5,580.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1041234	\$0.00	\$13,649.90	1148424	\$0.00	\$27,780.00
1041347	\$0.00	\$88,896.00	1148425	\$0.00	\$22,963.60
1041403	\$0.00	\$7,250.00	1148426	\$0.00	\$14,194.10
1041405	\$0.00	\$33,400.00	1148427	\$0.00	\$16,615.48
1041451	\$0.00	\$1,324.00	1148428	\$0.00	\$2,916.90
1041529	\$0.00	\$2,251.00	1148430	\$0.00	\$319,470.00
1041544	\$0.00	\$3,179.40	1148431	\$0.00	\$7,228.50
1041557	\$0.00	\$336,237.00	1148434	\$0.00	\$51,570.00
1041599	\$0.00	\$20,835.00	1148441	\$0.00	\$2,778.00
1041625	\$0.00	\$4,782.87	1148442	\$0.00	\$2,375.40
1041679	\$0.00	\$1,624.00	1148443	\$0.00	\$4,714.00
1041729	\$0.00	\$13,890.00	1148444	\$0.00	\$277.80
1041764	\$0.00	\$4,175.00	1148445	\$0.00	\$48,400.00
1041856	\$0.00	\$616.00	1148448	\$0.00	\$422.50
1041991	\$0.00	\$33,830.00	1148450	\$0.00	\$152,790.00
1042006	\$0.00	\$42,890.00	1148451	\$0.00	\$2,111.28
1042020	\$0.00	\$97,230.00	1148452	\$0.00	\$2,083.50
1042044	\$0.00	\$21,795.00	1148453	\$0.00	\$72.51
1042050	\$0.00	\$116,160.00	1148455	\$0.00	\$421.00
1042059	\$0.00	\$83,760.00	1148459	\$0.00	\$207.52
1042112	\$0.00	\$13,208.60	1148460	\$0.00	\$416.70
1042167	\$0.00	\$8,816.35	1148461	\$0.00	\$26,759.57
1042239	\$0.00	\$22,006.40	1148462	\$0.00	\$9,680.00
1042240	\$0.00	\$2,602.00	1148463	\$0.00	\$3,957.40
1042243	\$0.00	\$1,063.40	1148464	\$0.00	\$27,780.00
1042353	\$0.00	\$201,681.95	1148465	\$0.00	\$3,872.00
1042426	\$0.00	\$570.00	1148466	\$0.00	\$63,447.00
1042591	\$0.00	\$44,717.61	1148467	\$0.00	\$2,904.00
1042593	\$0.00	\$2,625.00	1148468	\$0.00	\$4,840.00
1042767	\$0.00	\$590.00	1148469	\$0.00	\$1,102.50
1042789	\$0.00	\$86,850.00	1148471	\$0.00	\$1,389.00
1042867	\$0.00	\$89,242.21	1148474	\$0.00	\$6,945.00
1043011	\$0.00	\$17,692.10	1148475	\$0.00	\$6,945.00
1043039	\$0.00	\$11,134.00	1148477	\$0.00	\$4,210.00
1043155	\$0.00	\$12,209.00	1148478	\$0.00	\$40,446.00
1043183	\$0.00	\$17,743.50	1148479	\$0.00	\$13,890.00
1043256	\$0.00	\$1,819.59	1148481	\$0.00	\$89.04
1043279	\$0.00	\$9,723.00	1148484	\$0.00	\$8,268.59
1043282	\$0.00	\$30,569.70	1148485	\$0.00	\$3,999.00
1043283	\$0.00	\$11,071.42	1148488	\$0.00	\$6,387.96
1043284	\$0.00	\$6,709.95	1148490	\$0.00	\$2,913.68
1043297	\$0.00	\$4,167.00	1148493	\$0.00	\$2,083.50
1043417	\$0.00	\$947.25	1148494	\$0.00	\$5,556.00
1043420	\$0.00	\$12,501.00	1148495	\$0.00	\$48,615.00
1043428	\$0.00	\$9,510.35	1148496	\$0.00	\$13,890.00
1043448	\$0.00	\$13,890.00	1148497	\$0.00	\$2,522.00
1043468	\$0.00	\$9,723.00	1148500	\$0.00	\$4,167.00
1043469	\$0.00	\$11,112.00	1148502	\$0.00	\$3,044.02
1043475	\$0.00	\$6,945.00	1148503	\$0.00	\$47,770.00
1043479	\$0.00	\$2,757.00	1148504	\$0.00	\$2,778.00
1043506	\$0.00	\$13,890.00	1148505	\$0.00	\$694.50
1043521	\$0.00	\$1,936.00	1148506	\$0.00	\$47,770.00
1043586	\$0.00	\$4,594.24	1148508	\$0.00	\$1,452.00
1043596	\$0.00	\$13,890.00	1148509	\$0.00	\$41,039.78
1043597	\$0.00	\$2,778.00	1148510	\$0.00	\$27,306.77
1043636	\$0.00	\$5,258.00	1148511	\$0.00	\$12,701.80
1043644	\$0.00	\$828.00	1148513	\$0.00	\$6,776.00
1043645	\$0.00	\$854.50	1148515	\$0.00	\$4,167.00
1043646	\$0.00	\$4,167.00	1148516	\$0.00	\$4,840.00
1043742	\$0.00	\$4,861.50	1148517	\$0.00	\$1,389.00
1043778	\$0.00	\$2,357.00	1148518	\$0.00	\$33,038.94
1043843	\$0.00	\$3,519.82	1148519	\$0.00	\$4,161.00
1043932	\$0.00	\$32,002.90	1148520	\$0.00	\$4,210.00
1043937	\$0.00	\$6,945.00	1148521	\$0.00	\$1,640.00
1043978	\$0.00	\$13,890.00	1148522	\$0.00	\$3,665.00
1044017	\$0.00	\$19,313.25	1148523	\$0.00	\$4,011.00
1044018	\$0.00	\$12,501.00	1148524	\$0.00	\$1,936.00
1044021	\$0.00	\$573.00	1148525	\$0.00	\$150,372.50
1044099	\$0.00	\$37,040.00	1148526	\$0.00	\$27,780.00
1044113	\$0.00	\$28,095.00	1148527	\$0.00	\$11,498.60
1044117	\$0.00	\$112,516.60	1148528	\$0.00	\$5,506.00
1044175	\$0.00	\$547.30	1148529	\$0.00	\$91.65
1044188	\$0.00	\$20,056.00	1148530	\$0.00	\$2,083.50
1044197	\$0.00	\$2,105.00	1148533	\$0.00	\$337.37
1044211	\$0.00	\$12,501.00	1148534	\$0.00	\$3,344.00
1044324	\$0.00	\$2,817.90	1148540	\$0.00	\$15,809.00
1044327	\$0.00	\$968.00	1148541	\$13,984.00	\$13,641.24
1044337	\$0.00	\$20,835.00	1148542	\$0.00	\$13,890.00
1044345	\$0.00	\$414.00	1148543	\$0.00	\$1,631.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1044346	\$0.00	\$466.00	1148546	\$0.00	\$2,778.00
1044364	\$0.00	\$8,334.00	1148549	\$0.00	\$1,389.00
1044396	\$0.00	\$4,167.00	1148550	\$0.00	\$28,713.50
1044407	\$0.00	\$6,945.00	1148553	\$0.00	\$35.75
1044411	\$0.00	\$74,073.55	1148554	\$0.00	\$13,890.00
1044417	\$0.00	\$853.50	1148556	\$0.00	\$58.08
1044429	\$0.00	\$69,450.00	1148559	\$0.00	\$14,142.00
1044434	\$0.00	\$330.00	1148562	\$0.00	\$694.50
1044460	\$0.00	\$941.50	1148565	\$0.00	\$2,746.95
1044478	\$0.00	\$12,917.70	1148569	\$0.00	\$122.10
1044561	\$0.00	\$1,745.00	1148571	\$0.00	\$421.00
1044581	\$0.00	\$219,920.30	1148572	\$0.00	\$10,010.00
1044735	\$0.00	\$2,778.00	1148573	\$0.00	\$6,945.00
1044831	\$0.00	\$3,759.50	1148575	\$0.00	\$78,400.00
1044842	\$0.00	\$4,512.00	1148578	\$0.00	\$13,542.75
1044866	\$0.00	\$23,570.00	1148581	\$0.00	\$518.97
1044912	\$0.00	\$7,351.63	1148582	\$0.00	\$222.64
1044929	\$0.00	\$26,043.75	1148584	\$0.00	\$345.46
1045042	\$0.00	\$4,514.25	1148587	\$0.00	\$1,368.25
1045148	\$0.00	\$1,452.00	1148588	\$0.00	\$8,620.40
1045347	\$0.00	\$8,178.00	1148589	\$0.00	\$694.50
1045348	\$0.00	\$5,338.72	1148592	\$0.00	\$41,670.00
1045390	\$0.00	\$3,454.99	1148594	\$0.00	\$27,780.00
1045402	\$0.00	\$3,630.00	1148595	\$0.00	\$81,588.25
1045450	\$0.00	\$1,389.00	1148597	\$0.00	\$416.70
1045452	\$0.00	\$47,140.00	1148600	\$0.00	\$2,105.00
1045516	\$0.00	\$15,012.36	1148604	\$0.00	\$2,674.00
1045520	\$0.00	\$7,744.00	1148605	\$0.00	\$41,670.00
1045526	\$0.00	\$12,584.00	1148607	\$0.00	\$10,873.85
1045527	\$0.00	\$7,443.92	1148608	\$0.00	\$694.50
1045536	\$0.00	\$9,584.95	1148609	\$0.00	\$9,758.31
1045562	\$0.00	\$1,389.00	1148612	\$0.00	\$4,889.93
1045574	\$0.00	\$981.40	1148614	\$0.00	\$4,769.10
1045586	\$0.00	\$8,000.64	1148615	\$900.00	\$837.00
1045649	\$0.00	\$6,860.42	1148616	\$0.00	\$4,714.00
1045659	\$0.00	\$14,524.11	1148617	\$0.00	\$4,714.00
1045675	\$0.00	\$14,984.84	1148618	\$0.00	\$9,723.00
1045682	\$0.00	\$2,778.00	1148620	\$0.00	\$499.86
1045699	\$0.00	\$625.05	1148621	\$0.00	\$27,917.85
1045724	\$0.00	\$4,167.00	1148622	\$0.00	\$9,236.85
1045728	\$0.00	\$129,619.26	1148623	\$0.00	\$10,209.15
1045743	\$0.00	\$932.62	1148624	\$0.00	\$8,144.25
1045755	\$0.00	\$9,294.75	1148625	\$0.00	\$17,576.13
1045758	\$0.00	\$15,970.00	1148626	\$0.00	\$10,834.20
1045764	\$0.00	\$13,358.40	1148627	\$0.00	\$10,438.10
1045770	\$0.00	\$4,840.00	1148628	\$0.00	\$9,305.09
1045777	\$0.00	\$4,513.00	1148629	\$0.00	\$11,945.40
1045799	\$0.00	\$65,412.00	1148630	\$0.00	\$13,890.00
1045814	\$0.00	\$1,389.00	1148631	\$0.00	\$16,575.00
1045885	\$0.00	\$2,315.50	1148632	\$0.00	\$2,032.80
1045936	\$0.00	\$174.00	1148634	\$0.00	\$13,230.00
1045969	\$0.00	\$96.80	1148635	\$0.00	\$85,919.82
1045979	\$0.00	\$530.25	1148637	\$0.00	\$1,811.87
1045999	\$0.00	\$4,840.00	1148638	\$0.00	\$12,022.50
1046007	\$0.00	\$13,890.00	1148643	\$0.00	\$4,049.12
1046014	\$0.00	\$18,245.75	1148645	\$0.00	\$9,028.50
1046053	\$0.00	\$486.15	1148646	\$0.00	\$1,073.82
1046096	\$0.00	\$13,890.00	1148648	\$0.00	\$15,021.34
1046130	\$0.00	\$13,053.00	1148650	\$0.00	\$484.00
1046149	\$0.00	\$1,351.11	1148651	\$0.00	\$1,389.00
1046178	\$0.00	\$10,042.90	1148652	\$0.00	\$9,144.00
1046194	\$0.00	\$1,389.00	1148653	\$0.00	\$2,648.66
1046210	\$0.00	\$1,936.00	1148655	\$0.00	\$97,762.20
1046211	\$0.00	\$694.50	1148656	\$0.00	\$9,653.55
1046213	\$0.00	\$2,332.99	1148657	\$0.00	\$10,185.14
1046218	\$0.00	\$4,840.00	1148658	\$0.00	\$9,514.65
1046323	\$0.00	\$2,023.50	1148659	\$0.00	\$7,708.95
1046331	\$0.00	\$1,389.00	1148660	\$0.00	\$5,231.25
1046354	\$0.00	\$20,835.00	1148661	\$0.00	\$2,300.29
1046363	\$0.00	\$12,680.80	1148662	\$0.00	\$18,932.07
1046421	\$0.00	\$208.35	1148663	\$0.00	\$4,167.00
1046438	\$0.00	\$9,544.68	1148664	\$0.00	\$14,455.57
1046455	\$0.00	\$13,890.00	1148665	\$0.00	\$13,712.55
1046462	\$0.00	\$1,527.90	1148666	\$0.00	\$17,992.95
1046508	\$0.00	\$3,760.00	1148667	\$0.00	\$23,780.20
1046549	\$0.00	\$8,659.09	1148668	\$0.00	\$2,789.10
1046573	\$0.00	\$55,560.00	1148669	\$0.00	\$1,098.41
1046614	\$0.00	\$9,563.00	1148670	\$0.00	\$2,304.41
1046628	\$0.00	\$401,345.54	1148671	\$0.00	\$1,092.27

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1046646	\$0.00	\$27,780.00	1148674	\$0.00	\$249.10
1046647	\$0.00	\$2,083.50	1148676	\$0.00	\$2,778.00
1046659	\$0.00	\$2,778.00	1148677	\$0.00	\$1,736.25
1046663	\$0.00	\$31,556.75	1148679	\$0.00	\$20,835.00
1046669	\$0.00	\$4,840.00	1148680	\$0.00	\$19,360.00
1046679	\$0.00	\$259,678.88	1148682	\$0.00	\$2,430.75
1046686	\$0.00	\$4,154.00	1148683	\$0.00	\$4,356.00
1046689	\$0.00	\$13,890.00	1148684	\$0.00	\$421.00
1046717	\$0.00	\$2,778.00	1148686	\$0.00	\$10,096.00
1046742	\$0.00	\$1,480.04	1148692	\$0.00	\$1,639.02
1046765	\$0.00	\$65,125.50	1148693	\$0.00	\$18,006.00
1046779	\$0.00	\$822.80	1148694	\$0.00	\$67,413.64
1046855	\$0.00	\$4,889.28	1148695	\$0.00	\$10,556.40
1046856	\$0.00	\$19,360.00	1148696	\$0.00	\$147,707.08
1046867	\$0.00	\$11,700.50	1148698	\$0.00	\$6,945.00
1046873	\$0.00	\$111,120.00	1148700	\$0.00	\$15,279.00
1046904	\$0.00	\$23,570.00	1148701	\$0.00	\$5,815.05
1046917	\$0.00	\$47,140.00	1148703	\$0.00	\$2,357.00
1046928	\$0.00	\$7,860.02	1148704	\$1,050.00	\$976.50
1046933	\$0.00	\$59,259.20	1148705	\$0.00	\$5,324.00
1046972	\$0.00	\$2,778.00	1148706	\$0.00	\$10,946.00
1047049	\$0.00	\$4,190.00	1148707	\$0.00	\$1,936.00
1047076	\$0.00	\$4,759.60	1148709	\$0.00	\$1,131.00
1047077	\$0.00	\$3,480.00	1148711	\$0.00	\$35,819.00
1047096	\$0.00	\$2,682.00	1148712	\$0.00	\$25,243.00
1047123	\$0.00	\$9,680.00	1148713	\$0.00	\$14,423.67
1047134	\$0.00	\$34,725.00	1148714	\$0.00	\$95,702.10
1047143	\$0.00	\$6,945.00	1148715	\$0.00	\$26,268.80
1047189	\$0.00	\$4,710.00	1148719	\$0.00	\$35,679.07
1047228	\$0.00	\$13,890.00	1148721	\$0.00	\$21,234.50
1047279	\$0.00	\$41,357.00	1148722	\$0.00	\$58,080.00
1047358	\$0.00	\$17,762.00	1148724	\$0.00	\$21,558.00
1047388	\$0.00	\$2,083.50	1148725	\$0.00	\$1,812.00
1047430	\$0.00	\$3,319.50	1148727	\$0.00	\$10,607.00
1047474	\$0.00	\$65,977.50	1148728	\$0.00	\$13,571.00
1047496	\$0.00	\$19,360.00	1148729	\$0.00	\$3,862.00
1047568	\$0.00	\$11,112.00	1148730	\$0.00	\$28,468.00
1047590	\$0.00	\$3,173.55	1148731	\$0.00	\$16,122.89
1047629	\$0.00	\$33,496.50	1148732	\$0.00	\$5,496.40
1047660	\$0.00	\$77,440.00	1148733	\$0.00	\$2,778.00
1047673	\$0.00	\$28,694.00	1148734	\$0.00	\$1,666.80
1047711	\$0.00	\$13,890.00	1148735	\$0.00	\$13,379.90
1047721	\$0.00	\$694.50	1148736	\$0.00	\$1,389.00
1047722	\$0.00	\$694.50	1148737	\$736.00	\$732.84
1047735	\$0.00	\$6,945.00	1148739	\$0.00	\$677.60
1047738	\$0.00	\$4,167.00	1148741	\$0.00	\$75,964.19
1047771	\$0.00	\$8,806.00	1148742	\$0.00	\$45,316.54
1047777	\$0.00	\$6,945.00	1148743	\$0.00	\$63,960.20
1047812	\$0.00	\$1,389.00	1148744	\$0.00	\$37,223.49
1047831	\$0.00	\$4,167.00	1148745	\$0.00	\$60,195.29
1047859	\$0.00	\$2,105.00	1148746	\$0.00	\$6,438.40
1047882	\$0.00	\$8,334.00	1148747	\$0.00	\$22,707.00
1047907	\$0.00	\$5,551.40	1148754	\$0.00	\$13,890.00
1047981	\$0.00	\$42.10	1148755	\$0.00	\$3,589.70
1047995	\$0.00	\$6,250.50	1148756	\$0.00	\$2,778.00
1048035	\$0.00	\$1,061.06	1148758	\$0.00	\$1,336.36
1048061	\$0.00	\$1,389.00	1148761	\$0.00	\$2,333.92
1048063	\$0.00	\$55,560.00	1148762	\$0.00	\$2,778.00
1048095	\$0.00	\$23,133.99	1148764	\$0.00	\$25,002.00
1048172	\$0.00	\$96,800.00	1148765	\$0.00	\$90,285.00
1048207	\$0.00	\$12,019.50	1148766	\$0.00	\$53,349.50
1048232	\$0.00	\$856.67	1148767	\$0.00	\$37,889.60
1048292	\$0.00	\$13,638.00	1148768	\$0.00	\$116,464.77
1048356	\$0.00	\$6,945.00	1148769	\$0.00	\$6,250.50
1048364	\$0.00	\$27,120.00	1148770	\$0.00	\$26,823.81
1048493	\$0.00	\$4,167.00	1148771	\$0.00	\$9,723.00
1048519	\$0.00	\$4,167.00	1148772	\$0.00	\$319,470.00
1048524	\$0.00	\$20,892.88	1148773	\$0.00	\$24,307.50
1048548	\$0.00	\$193,326.60	1148775	\$0.00	\$18,057.00
1048578	\$0.00	\$7,744.00	1148777	\$0.00	\$11,995.00
1048615	\$0.00	\$652.83	1148798	\$0.00	\$3,331.05
1048616	\$0.00	\$5,403.21	1148799	\$0.00	\$1,473.87
1048620	\$0.00	\$1,027.86	1148800	\$0.00	\$11,364.00
1048646	\$0.00	\$13,890.00	1148802	\$0.00	\$19,360.00
1048660	\$0.00	\$2,904.00	1148804	\$0.00	\$7,218.31
1048663	\$0.00	\$2,778.00	1148805	\$0.00	\$32,366.00
1048667	\$0.00	\$3,903.09	1148806	\$0.00	\$31,497.28
1048689	\$0.00	\$2,345.00	1148807	\$0.00	\$24,791.88
1048692	\$0.00	\$11,955.00	1148808	\$0.00	\$14,993.84

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1048693	\$0.00	\$557.48	1148809	\$0.00	\$8,988.10
1048732	\$0.00	\$1,867.78	1148811	\$0.00	\$8,334.00
1048756	\$0.00	\$8,228.00	1148815	\$0.00	\$9,514.65
1048800	\$0.00	\$15,446.50	1148816	\$0.00	\$11,532.40
1048811	\$0.00	\$3,333.60	1148817	\$0.00	\$18,792.85
1048812	\$0.00	\$3,361.38	1148818	\$0.00	\$20,390.52
1048816	\$0.00	\$23,570.00	1148819	\$0.00	\$10,139.70
1048817	\$0.00	\$13,890.00	1148820	\$0.00	\$5,972.70
1048839	\$0.00	\$1,373.75	1148821	\$0.00	\$5,556.00
1048849	\$0.00	\$10,986.99	1148822	\$0.00	\$6,908.11
1048866	\$0.00	\$66,500.00	1148823	\$0.00	\$8,334.00
1048886	\$0.00	\$1,936.00	1148825	\$0.00	\$4,403.13
1048912	\$0.00	\$4,059.50	1148828	\$0.00	\$13,334.40
1048987	\$0.00	\$1,333.44	1148829	\$0.00	\$133,635.00
1048995	\$0.00	\$5,519.39	1148831	\$0.00	\$6,042.15
1049002	\$0.00	\$27,440.00	1148832	\$0.00	\$5,010.00
1049019	\$0.00	\$3,654.39	1148833	\$0.00	\$6,655.00
1049036	\$0.00	\$22,153.10	1148834	\$0.00	\$7,739.55
1049108	\$0.00	\$6,417.00	1148835	\$0.00	\$5,153.19
1049119	\$0.00	\$2,778.00	1148836	\$0.00	\$6,945.00
1049136	\$0.00	\$937.00	1148839	\$0.00	\$240.80
1049186	\$0.00	\$28,976.30	1148840	\$0.00	\$65.10
1049193	\$0.00	\$4,167.00	1148841	\$0.00	\$27,780.00
1049248	\$0.00	\$83,374.40	1148843	\$0.00	\$37,939.45
1049328	\$0.00	\$694.50	1148844	\$0.00	\$1,389.00
1049412	\$0.00	\$2,360.00	1148846	\$0.00	\$4,479.82
1049416	\$0.00	\$69,450.00	1148848	\$0.00	\$25,002.00
1049419	\$0.00	\$6,945.00	1148852	\$0.00	\$3,444.72
1049523	\$0.00	\$15,723.48	1148854	\$0.00	\$3,030.00
1049561	\$0.00	\$25,028.95	1148855	\$0.00	\$19,360.00
1049568	\$0.00	\$93,857.28	1148856	\$0.00	\$6,281.20
1049640	\$0.00	\$968.00	1148858	\$0.00	\$11,861.07
1049670	\$0.00	\$569.49	1148859	\$0.00	\$5,391.00
1049721	\$0.00	\$947.87	1148860	\$0.00	\$3,611.25
1049815	\$0.00	\$230,000.00	1148861	\$0.00	\$7,744.00
1049817	\$0.00	\$120,858.00	1148862	\$0.00	\$7,472.95
1049853	\$0.00	\$4,210.00	1148866	\$0.00	\$4,844.50
1049890	\$0.00	\$9,116.00	1148868	\$0.00	\$6,945.00
1049891	\$0.00	\$7,909.83	1148871	\$0.00	\$9,723.00
1049892	\$0.00	\$4,167.00	1148873	\$0.00	\$13,890.00
1049895	\$0.00	\$6,945.00	1148875	\$0.00	\$1,389.00
1049911	\$0.00	\$136,488.22	1148876	\$0.00	\$1,389.00
1049913	\$0.00	\$1,389.00	1148877	\$0.00	\$4,268,522.47
1049993	\$0.00	\$1,418.00	1148878	\$0.00	\$28,908.50
1050001	\$0.00	\$6,549.10	1148879	\$0.00	\$2,214.98
1050055	\$0.00	\$421.00	1148881	\$0.00	\$1,389.00
1050074	\$0.00	\$115,829.50	1148883	\$0.00	\$1,389.00
1050077	\$0.00	\$61,449.36	1148885	\$0.00	\$1,389.00
1050090	\$0.00	\$13,208.70	1148886	\$0.00	\$76,395.00
1050107	\$0.00	\$1,742.40	1149291	\$0.00	\$48.40
1050134	\$0.00	\$9,958.35	1149293	\$0.00	\$13,958,228.70
1050136	\$0.00	\$2,468.40	1149294	\$0.00	\$10,165.84
1050175	\$0.00	\$1,694.00	1149297	\$0.00	\$694.50
1050188	\$0.00	\$1,894.50	1149298	\$0.00	\$9,050.00
1050206	\$0.00	\$14,964.05	1149299	\$0.00	\$13,890.00
1050228	\$0.00	\$13,789.09	1149300	\$0.00	\$2,778.00
1050237	\$0.00	\$2,848.65	1149303	\$0.00	\$6,945.00
1050250	\$0.00	\$4,365.40	1149304	\$0.00	\$8,334.00
1050260	\$0.00	\$154,880.00	1149305	\$0.00	\$2,778.00
1050266	\$0.00	\$17,647.90	1149308	\$0.00	\$4,375.35
1050284	\$0.00	\$10,610.35	1149309	\$0.00	\$5,694.90
1050306	\$0.00	\$1,857.96	1149310	\$0.00	\$6,736.65
1050338	\$0.00	\$4,840.00	1149312	\$0.00	\$39,926.50
1050412	\$0.00	\$5,330.60	1149313	\$0.00	\$12,475.79
1050437	\$0.00	\$1,936.00	1149314	\$0.00	\$6,000.00
1050613	\$0.00	\$8,814.00	1149315	\$0.00	\$2,778.00
1050696	\$0.00	\$842.00	1149316	\$0.00	\$20,835.00
1050706	\$0.00	\$4,840.00	1149317	\$0.00	\$6,945.00
1050718	\$0.00	\$5,508.00	1149319	\$0.00	\$17,890.32
1050720	\$0.00	\$17,570.85	1149323	\$0.00	\$32,884.60
1050755	\$0.00	\$726.00	1149324	\$0.00	\$17,926.05
1050838	\$0.00	\$53,455.00	1149325	\$0.00	\$183,986.56
1050863	\$0.00	\$9,245.70	1149326	\$0.00	\$633,703.28
1050869	\$0.00	\$96.69	1149327	\$0.00	\$37,460.00
1051018	\$0.00	\$12,144.00	1149332	\$0.00	\$5,556.00
1051039	\$0.00	\$7,361.70	1149333	\$0.00	\$12,903.81
1051051	\$0.00	\$5,556.00	1149334	\$0.00	\$490,678.14
1051066	\$0.00	\$7,539.64	1149337	\$0.00	\$65,572.82
1051092	\$0.00	\$276.00	1149339	\$0.00	\$9,680.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1051107	\$0.00	\$347.25	1149344	\$0.00	\$82,486.00
1051123	\$0.00	\$6,389.40	1149347	\$0.00	\$138,117.75
1051126	\$0.00	\$1,389.00	1149348	\$0.00	\$507,365.00
1051148	\$0.00	\$936.50	1149349	\$0.00	\$41,670.00
1051164	\$0.00	\$16,806.90	1149350	\$0.00	\$6,667.20
1051184	\$0.00	\$6,748.00	1149351	\$0.00	\$6,945.00
1051208	\$0.00	\$45,214.23	1149354	\$0.00	\$27,780.00
1051233	\$0.00	\$208.35	1149355	\$0.00	\$703,852.75
1051277	\$0.00	\$4,167.00	1149356	\$0.00	\$933.10
1051278	\$0.00	\$6,945.00	1149358	\$0.00	\$8,695.14
1051288	\$0.00	\$119,454.00	1149359	\$0.00	\$4,167.00
1051300	\$0.00	\$3,427.95	1149360	\$0.00	\$8,039.00
1051313	\$0.00	\$5,488.19	1149361	\$0.00	\$8,026.00
1051320	\$0.00	\$2,361.30	1149362	\$0.00	\$256,246.30
1051339	\$0.00	\$13.89	1149365	\$0.00	\$27,780.00
1051342	\$0.00	\$3,806.98	1149366	\$0.00	\$13,890.00
1051361	\$0.00	\$4,167.00	1149367	\$0.00	\$48,252.00
1051382	\$0.00	\$5,361.54	1149368	\$0.00	\$1,237,093.00
1051384	\$0.00	\$2,778.00	1149369	\$0.00	\$14,445.60
1051453	\$0.00	\$1,404.36	1149370	\$0.00	\$3,055.80
1051502	\$0.00	\$460.00	1149371	\$0.00	\$26,716.00
1051505	\$0.00	\$2,581.67	1149372	\$0.00	\$9,723.00
1051541	\$0.00	\$48,615.00	1149373	\$0.00	\$3,819.75
1051542	\$0.00	\$57,418.00	1149374	\$0.00	\$34,725.00
1051581	\$0.00	\$1,197.40	1149379	\$0.00	\$708.39
1051583	\$0.00	\$9,322.00	1149381	\$0.00	\$633.22
1051618	\$0.00	\$7,500.60	1149385	\$0.00	\$1,389.00
1051657	\$0.00	\$1,267.84	1149386	\$0.00	\$694.50
1051665	\$0.00	\$6,103.00	1149387	\$0.00	\$441,945.00
1051688	\$0.00	\$2,083.50	1149388	\$0.00	\$4,319.79
1051713	\$0.00	\$29,040.00	1149389	\$0.00	\$10,417.50
1051715	\$0.00	\$11,701.50	1149390	\$0.00	\$8,681.25
1051771	\$0.00	\$19,360.00	1149391	\$0.00	\$14,515.05
1051785	\$0.00	\$13,890.00	1149392	\$0.00	\$10,000.80
1051814	\$0.00	\$6,292.17	1149393	\$0.00	\$6,528.30
1051818	\$0.00	\$4,167.00	1149394	\$0.00	\$1,805.70
1051824	\$0.00	\$1,736.25	1149395	\$0.00	\$8,334.00
1051832	\$0.00	\$4,110.00	1149396	\$0.00	\$232,320.00
1051848	\$0.00	\$3,472.50	1149397	\$0.00	\$875.07
1051855	\$0.00	\$2,333.75	1149400	\$0.00	\$8,170.00
1051876	\$0.00	\$1,018.82	1149402	\$0.00	\$1,936.00
1051908	\$0.00	\$2,421.00	1149403	\$0.00	\$18,049.50
1051910	\$0.00	\$1,389.00	1149404	\$0.00	\$5,160.00
1051949	\$0.00	\$1,458.45	1149405	\$0.00	\$1,428.34
1051962	\$0.00	\$26,902.68	1149406	\$0.00	\$549.05
1051994	\$0.00	\$2,430.75	1149409	\$0.00	\$1,752.76
1052005	\$0.00	\$348.00	1149410	\$0.00	\$8,403.45
1052102	\$0.00	\$55,560.00	1149411	\$0.00	\$6,736.65
1052134	\$0.00	\$83,340.00	1149412	\$0.00	\$2,861.34
1052145	\$0.00	\$486.15	1149413	\$0.00	\$19,470.89
1052157	\$0.00	\$11,891.00	1149414	\$0.00	\$18,543.15
1052159	\$0.00	\$6,458.85	1149415	\$0.00	\$6,431.07
1052167	\$0.00	\$10,831.70	1149416	\$0.00	\$10,888.32
1052184	\$0.00	\$11,188.59	1149417	\$0.00	\$14,167.80
1052191	\$0.00	\$951,863.00	1149418	\$0.00	\$35,531.31
1052196	\$0.00	\$19,446.00	1149419	\$0.00	\$4,146.46
1052203	\$0.00	\$5,894.15	1149420	\$0.00	\$17,811.12
1052211	\$0.00	\$93.00	1149421	\$0.00	\$5,305.98
1052215	\$0.00	\$94,529.32	1149424	\$0.00	\$1,472.00
1052288	\$0.00	\$138.90	1149428	\$0.00	\$11,470.04
1052290	\$0.00	\$7,847.85	1149429	\$0.00	\$18,218.16
1052337	\$0.00	\$13,890.00	1149430	\$0.00	\$7,003.95
1052350	\$0.00	\$18,730.00	1149431	\$0.00	\$7,083.90
1052369	\$0.00	\$56,835.00	1149434	\$0.00	\$27,780.00
1052372	\$0.00	\$53,097.41	1149436	\$0.00	\$130,200.00
1052400	\$0.00	\$180.72	1149438	\$0.00	\$40,736.55
1052410	\$0.00	\$1,359.75	1149439	\$0.00	\$4,925.70
1052429	\$0.00	\$3,055.80	1149440	\$0.00	\$2,947.00
1052441	\$0.00	\$206,279.95	1149441	\$0.00	\$3,218.00
1052451	\$0.00	\$32,012.39	1149442	\$0.00	\$21,634.00
1052489	\$0.00	\$291.69	1149443	\$0.00	\$7,525.00
1052498	\$0.00	\$805.62	1149444	\$0.00	\$231.55
1052522	\$0.00	\$3,611.40	1149445	\$0.00	\$2,639.10
1052534	\$0.00	\$7,278.36	1149449	\$0.00	\$17,855.00
1052548	\$0.00	\$1,405.50	1149453	\$0.00	\$3,500.28
1052577	\$0.00	\$26,405.19	1149455	\$0.00	\$17,441.84
1052631	\$0.00	\$6,492.50	1149456	\$0.00	\$10,417.50
1052639	\$0.00	\$474.32	1149460	\$0.00	\$1,389.00
1052642	\$0.00	\$9,680.00	1149461	\$0.00	\$421.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1052650	\$0.00	\$18,209.79	1149465	\$0.00	\$968.00
1052775	\$0.00	\$109,323.92	1149467	\$0.00	\$597.27
1052850	\$0.00	\$770.40	1149468	\$0.00	\$2,770.35
1052877	\$0.00	\$968.00	1149469	\$0.00	\$4,167.00
1052883	\$0.00	\$6,040.00	1149470	\$0.00	\$972.30
1052891	\$0.00	\$6,880.49	1149478	\$0.00	\$131.13
1052897	\$0.00	\$555.60	1149481	\$0.00	\$1,125.09
1052910	\$0.00	\$968.00	1149482	\$0.00	\$15,681.81
1052940	\$0.00	\$28,812.00	1149483	\$0.00	\$100.76
1052964	\$0.00	\$3,472.50	1149484	\$0.00	\$2,476.00
1052974	\$0.00	\$2,778.00	1149488	\$0.00	\$10,317.00
1052978	\$0.00	\$3,472.50	1149490	\$0.00	\$4,840.00
1052996	\$0.00	\$2,194.62	1149492	\$0.00	\$20,835.00
1053010	\$0.00	\$152.79	1149493	\$0.00	\$1,936.00
1053043	\$0.00	\$6,945.00	1149496	\$0.00	\$4,983.46
1053066	\$0.00	\$1,664.96	1149499	\$0.00	\$19,360.00
1053082	\$0.00	\$4,500.36	1149501	\$0.00	\$1,111.20
1053094	\$0.00	\$14,584.50	1149504	\$0.00	\$190,716.00
1053198	\$0.00	\$841.85	1149505	\$0.00	\$8,542.35
1053248	\$0.00	\$32,351.00	1149507	\$0.00	\$5,556.00
1053300	\$0.00	\$1,963.05	1149508	\$0.00	\$13,890.00
1053308	\$0.00	\$462.70	1149509	\$0.00	\$1,306.80
1053319	\$0.00	\$26,096.00	1149510	\$264.66	\$264.66
1053321	\$0.00	\$127.20	1149513	\$0.00	\$38,508.12
1053328	\$0.00	\$97,230.00	1149514	\$0.00	\$4,167.00
1053352	\$0.00	\$1,868.90	1149515	\$0.00	\$4,167.00
1053356	\$0.00	\$5,504.74	1149516	\$0.00	\$2,904.00
1053360	\$0.00	\$73,958.29	1149517	\$0.00	\$15,531.00
1053369	\$0.00	\$280.00	1149518	\$0.00	\$22,854.30
1053371	\$0.00	\$1,169.32	1149520	\$0.00	\$3,616.00
1053398	\$0.00	\$26,960.00	1149521	\$0.00	\$2,500.20
1053426	\$0.00	\$713.00	1149522	\$0.00	\$2,758.00
1053433	\$0.00	\$4,028.10	1149525	\$0.00	\$11,667.60
1053490	\$0.00	\$4,151.94	1149527	\$0.00	\$16,853.83
1053534	\$0.00	\$75,129.93	1149529	\$0.00	\$14,167.80
1053554	\$0.00	\$27,224.04	1149530	\$0.00	\$17,577.28
1053573	\$0.00	\$56,800.86	1149531	\$0.00	\$11,569.48
1053574	\$0.00	\$37,715.90	1149533	\$0.00	\$6,945.00
1053595	\$0.00	\$12,896.44	1149534	\$0.00	\$1,389.00
1053604	\$0.00	\$2,105.00	1149536	\$0.00	\$2,625.00
1053606	\$0.00	\$6,315.00	1149537	\$0.00	\$28,765.85
1053610	\$0.00	\$2,332.00	1149538	\$0.00	\$842.00
1053633	\$0.00	\$6,671.40	1149539	\$0.00	\$1,944.60
1053640	\$0.00	\$13,890.00	1149540	\$0.00	\$2,660.00
1053653	\$0.00	\$490,534.00	1149541	\$0.00	\$10,817.00
1053661	\$0.00	\$5,808.00	1149542	\$0.00	\$13,890.00
1053694	\$0.00	\$12,753.00	1149543	\$0.00	\$1,649.00
1053700	\$0.00	\$14,520.00	1149546	\$942.45	\$876.48
1053741	\$0.00	\$2,778.00	1149551	\$0.00	\$24,247.60
1053763	\$0.00	\$24,240.00	1149552	\$0.00	\$55,176.00
1053766	\$0.00	\$11,656.02	1149554	\$0.00	\$11,112.00
1053768	\$0.00	\$6,305.00	1149555	\$0.00	\$4,167.00
1053812	\$0.00	\$9,680.00	1149556	\$0.00	\$13,890.00
1053826	\$0.00	\$2,330.00	1149557	\$0.00	\$13,890.00
1053833	\$0.00	\$34,654.85	1149558	\$0.00	\$20,835.00
1053870	\$0.00	\$12,590.60	1149559	\$0.00	\$260,198.50
1053889	\$0.00	\$4,840.00	1149560	\$0.00	\$108,342.00
1053967	\$0.00	\$936,500.00	1149561	\$0.00	\$208,350.00
1053972	\$0.00	\$11,616.00	1149562	\$0.00	\$2,778.00
1053993	\$0.00	\$37,117.50	1149564	\$0.00	\$836.95
1054067	\$0.00	\$4,167.00	1149565	\$0.00	\$1,657.00
1054174	\$0.00	\$13,890.00	1149567	\$0.00	\$1,736.25
1054194	\$0.00	\$1,017.14	1149571	\$0.00	\$1,210.00
1054228	\$0.00	\$7,071.00	1149572	\$0.00	\$781.77
1054346	\$0.00	\$458.37	1149573	\$0.00	\$781.98
1054369	\$0.00	\$2,778.00	1149574	\$0.00	\$777.80
1054370	\$0.00	\$11,924.64	1149575	\$0.00	\$1,957.23
1054378	\$0.00	\$13,890.00	1149576	\$0.00	\$6,945.00
1054382	\$0.00	\$5,556.00	1149578	\$0.00	\$62,299.54
1054384	\$0.00	\$694.50	1149580	\$0.00	\$2,219.21
1054443	\$0.00	\$555.60	1149582	\$0.00	\$33,792.98
1054462	\$0.00	\$694.50	1149585	\$0.00	\$4,210.00
1054510	\$0.00	\$1,792.00	1149586	\$0.00	\$293.99
1054513	\$0.00	\$2,331.00	1149587	\$0.00	\$6,945.00
1054528	\$0.00	\$19,360.00	1149588	\$0.00	\$1,245.10
1054537	\$0.00	\$2,666.00	1149589	\$0.00	\$1,932.89
1054538	\$0.00	\$416.70	1149590	\$0.00	\$9,080.00
1054595	\$0.00	\$6,945.00	1149591	\$0.00	\$159,136.00
1054611	\$0.00	\$13,890.00	1149592	\$0.00	\$56,227.36

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1054622	\$0.00	\$4,167.00	1149593	\$0.00	\$57,400.76
1054629	\$0.00	\$179,925.00	1149594	\$0.00	\$4,442.55
1054634	\$0.00	\$13,890.00	1149596	\$0.00	\$9,680.00
1054687	\$0.00	\$4,210.00	1149597	\$0.00	\$525.00
1054696	\$0.00	\$21,953.22	1149598	\$0.00	\$782.99
1054711	\$0.00	\$2,778.00	1149599	\$0.00	\$782.89
1054734	\$0.00	\$4,210.00	1149601	\$0.00	\$41,670.00
1054738	\$0.00	\$144,347.38	1149602	\$0.00	\$41,670.00
1054762	\$0.00	\$13,890.00	1149603	\$0.00	\$44,980.40
1054765	\$0.00	\$224,166.59	1149605	\$0.00	\$8,347.89
1054766	\$0.00	\$68,205.00	1149606	\$0.00	\$89,100.00
1054768	\$0.00	\$9,680.00	1149607	\$0.00	\$560,099.20
1054783	\$0.00	\$5,118.00	1149608	\$0.00	\$48,248.65
1054806	\$0.00	\$1,736.25	1149609	\$0.00	\$303,751.88
1054815	\$0.00	\$9,720.00	1149610	\$0.00	\$8,609.95
1054826	\$0.00	\$3,837.50	1149611	\$0.00	\$187,494.28
1054885	\$0.00	\$4,840.00	1149612	\$0.00	\$1,030,362.25
1054909	\$0.00	\$9,680.00	1149613	\$0.00	\$1,697.76
1054954	\$0.00	\$19,360.00	1149614	\$0.00	\$2,475.49
1054984	\$0.00	\$8,025.50	1149615	\$0.00	\$9,849.00
1055002	\$0.00	\$33,174.25	1149616	\$0.00	\$58,425.00
1055052	\$0.00	\$9,680.00	1149617	\$0.00	\$27,780.00
1055073	\$0.00	\$33,123.00	1149618	\$0.00	\$43,560.00
1055078	\$0.00	\$16,668.00	1149619	\$0.00	\$16,434.40
1055173	\$0.00	\$19,854.90	1149621	\$0.00	\$4,840.00
1055174	\$0.00	\$21,144.00	1149622	\$0.00	\$275.71
1055181	\$0.00	\$1,389.00	1149623	\$0.00	\$28,874.00
1055205	\$0.00	\$21,864.49	1149624	\$0.00	\$1,389.00
1055216	\$0.00	\$4,255.82	1149625	\$0.00	\$4,167.00
1055280	\$0.00	\$4,901.73	1149626	\$0.00	\$5,808.00
1055300	\$0.00	\$935.00	1149631	\$0.00	\$6,945.00
1055310	\$0.00	\$5,261.00	1149632	\$0.00	\$41,670.00
1055338	\$0.00	\$27,780.00	1149634	\$0.00	\$361.73
1055352	\$0.00	\$5,164.40	1149635	\$0.00	\$6,292.00
1055359	\$0.00	\$972.30	1149637	\$0.00	\$1,389.00
1055361	\$0.00	\$11,620.00	1149638	\$0.00	\$20,835.00
1055392	\$0.00	\$1,597.35	1149640	\$0.00	\$2,613.00
1055399	\$0.00	\$2,268.00	1149641	\$0.00	\$8,042.00
1055487	\$0.00	\$6,945.00	1149642	\$0.00	\$12,689.90
1055492	\$0.00	\$11,280.00	1149644	\$0.00	\$83,970.00
1055568	\$0.00	\$55,560.00	1149645	\$0.00	\$1,333.44
1055584	\$0.00	\$453.90	1149646	\$0.00	\$122,500.00
1055593	\$0.00	\$128,460.00	1149647	\$0.00	\$3,428.50
1055594	\$0.00	\$42,820.00	1149649	\$0.00	\$27,780.00
1055626	\$0.00	\$416,700.00	1149651	\$0.00	\$19,118.00
1055627	\$0.00	\$248,387.64	1149652	\$0.00	\$19,360.00
1055628	\$0.00	\$248,160.00	1149653	\$0.00	\$13,890.00
1055633	\$0.00	\$492.45	1149655	\$0.00	\$1,901.90
1055636	\$0.00	\$2,180.00	1149656	\$0.00	\$1,452.00
1055642	\$0.00	\$20,835.00	1149657	\$0.00	\$55,560.00
1055672	\$0.00	\$7,166.40	1149659	\$0.00	\$2,904.00
1055701	\$0.00	\$2,778.00	1149660	\$0.00	\$2,904.00
1055702	\$0.00	\$6,945.00	1149661	\$0.00	\$1,389.00
1055716	\$0.00	\$20,835.00	1149663	\$0.00	\$2,430.75
1055751	\$0.00	\$2,357.00	1149664	\$0.00	\$9,754.65
1055779	\$0.00	\$759.55	1149665	\$0.00	\$2,243.42
1055780	\$0.00	\$10,584.00	1149669	\$0.00	\$8,334.00
1055781	\$0.00	\$10,417.50	1149670	\$0.00	\$6,945.00
1055782	\$0.00	\$1,694.00	1149671	\$0.00	\$6,978.00
1055840	\$0.00	\$297,358.58	1149672	\$0.00	\$3,673.60
1055873	\$0.00	\$12,380.00	1149673	\$0.00	\$13,120.00
1055876	\$0.00	\$2,778.00	1149675	\$0.00	\$2,357.00
1055884	\$0.00	\$694.50	1149676	\$0.00	\$968.00
1055886	\$0.00	\$1,334.10	1149677	\$0.00	\$9,680.00
1055950	\$0.00	\$13,890.00	1149678	\$0.00	\$97.50
1055969	\$0.00	\$27,780.00	1149679	\$3,607.31	\$3,672.47
1055993	\$0.00	\$2,624.35	1149680	\$0.00	\$1,358.00
1056009	\$0.00	\$4,167.00	1149681	\$0.00	\$37,460.00
1056010	\$0.00	\$10,417.50	1149684	\$0.00	\$1,389.00
1056023	\$0.00	\$8,559.90	1149685	\$0.00	\$15,480.00
1056041	\$0.00	\$27,780.00	1149686	\$0.00	\$14,539.50
1056049	\$0.00	\$2,083.50	1149688	\$0.00	\$684.59
1056082	\$0.00	\$41,670.00	1149691	\$0.00	\$47,700.00
1056085	\$0.00	\$53,099.73	1149692	\$0.00	\$2,105.00
1056110	\$0.00	\$8,421.60	1149693	\$0.00	\$16,276.34
1056120	\$0.00	\$18,057.00	1149694	\$0.00	\$13,890.00
1056137	\$0.00	\$4,806.53	1149698	\$0.00	\$2,778.00
1056152	\$0.00	\$3,720.00	1149699	\$0.00	\$6,250.50
1056156	\$0.00	\$27,780.00	1149701	\$0.00	\$870.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1056162	\$0.00	\$7,639.50	1149702	\$368.00	\$342.24
1056196	\$0.00	\$605.60	1149703	\$0.00	\$41,670.00
1056204	\$0.00	\$13,890.00	1149704	\$0.00	\$5,556.00
1056211	\$0.00	\$1,389.00	1149705	\$0.00	\$11,469.50
1056212	\$0.00	\$8,334.00	1149706	\$0.00	\$10,417.50
1056240	\$0.00	\$1,389.00	1149711	\$0.00	\$8,334.00
1056243	\$0.00	\$1,389.00	1149712	\$0.00	\$13,890.00
1056245	\$0.00	\$2,249.26	1149713	\$0.00	\$8,881.00
1056247	\$0.00	\$13,890.00	1149715	\$0.00	\$17,019.80
1056248	\$0.00	\$1,389.00	1149716	\$0.00	\$805.00
1056252	\$0.00	\$13,890.00	1149717	\$0.00	\$9,028.50
1056255	\$0.00	\$16,241.00	1149718	\$0.00	\$5,179,537.00
1056284	\$0.00	\$27,780.00	1149719	\$0.00	\$177,735.45
1056290	\$0.00	\$625.05	1149720	\$0.00	\$15,417.90
1056320	\$0.00	\$968.00	1149722	\$0.00	\$10,522.00
1056403	\$0.00	\$1,958.49	1149723	\$0.00	\$900.58
1056407	\$0.00	\$1,389.00	1149728	\$0.00	\$9,906.55
1056415	\$0.00	\$10,294.85	1149731	\$0.00	\$1,389.00
1056423	\$0.00	\$2,904.00	1149733	\$0.00	\$10,648.60
1056453	\$0.00	\$1,389.00	1149735	\$0.00	\$1,389.00
1056464	\$0.00	\$8,334.00	1149737	\$0.00	\$347.25
1056469	\$0.00	\$9,680.00	1149739	\$0.00	\$1,736.25
1056488	\$0.00	\$447.60	1149740	\$0.00	\$23,922.50
1056519	\$0.00	\$2,778.00	1149741	\$0.00	\$2,778.00
1056526	\$0.00	\$2,073.68	1149742	\$0.00	\$9,471.00
1056529	\$0.00	\$68,871.50	1149743	\$0.00	\$77,381.19
1056564	\$0.00	\$27,070.50	1149744	\$0.00	\$1,894.49
1056642	\$0.00	\$522.00	1149745	\$0.00	\$3,699.97
1056654	\$0.00	\$87,248.00	1149746	\$0.00	\$6,689.00
1056739	\$0.00	\$939.59	1149747	\$0.00	\$1,389.00
1056743	\$0.00	\$20,789.14	1149748	\$0.00	\$3,355.00
1056749	\$0.00	\$27,780.00	1149749	\$0.00	\$5,903.25
1056884	\$0.00	\$13,890.00	1149750	\$0.00	\$791.73
1056921	\$0.00	\$13,890.00	1149753	\$0.00	\$5,199.50
1056933	\$0.00	\$48,400.00	1149754	\$0.00	\$2,670.82
1056935	\$0.00	\$39,542.80	1149756	\$0.00	\$814.50
1056958	\$0.00	\$421.00	1149758	\$0.00	\$6,945.00
1056961	\$0.00	\$905.00	1149759	\$0.00	\$1,509.87
1056977	\$0.00	\$1,280.94	1149761	\$0.00	\$22,918.50
1056988	\$0.00	\$3,973.06	1149762	\$0.00	\$2,930.79
1057012	\$0.00	\$2,778.00	1149764	\$0.00	\$16,806.90
1057026	\$0.00	\$2,824.86	1149765	\$0.00	\$309.76
1057036	\$0.00	\$2,778.00	1149766	\$0.00	\$13,890.00
1057037	\$0.00	\$3,819.75	1149767	\$0.00	\$55,560.00
1057053	\$0.00	\$11,667.60	1149770	\$0.00	\$10,874.00
1057065	\$0.00	\$5,238.00	1149771	\$0.00	\$152,260.00
1057067	\$0.00	\$1,389.00	1149780	\$0.00	\$1,389.00
1057073	\$0.00	\$179,507.02	1149784	\$0.00	\$41,670.00
1057078	\$0.00	\$5,508.00	1149789	\$292.04	\$271.60
1057079	\$0.00	\$5,508.00	1149790	\$0.00	\$421.00
1057110	\$0.00	\$415,980.00	1149794	\$0.00	\$2,549.47
1057148	\$0.00	\$3,037.50	1149795	\$0.00	\$12,501.00
1057156	\$0.00	\$85,700.00	1149796	\$0.00	\$3,231.60
1057179	\$0.00	\$216.25	1149798	\$0.00	\$4,539.60
1057265	\$0.00	\$49,064.98	1149802	\$0.00	\$1,879.52
1057266	\$0.00	\$39,282.40	1149803	\$0.00	\$223,837.10
1057280	\$0.00	\$322,355.00	1149804	\$0.00	\$24,012.00
1057283	\$0.00	\$27,780.00	1149805	\$0.00	\$12,942.00
1057293	\$0.00	\$26,968.00	1149807	\$0.00	\$15,844.67
1057351	\$0.00	\$840.00	1149808	\$0.00	\$555.60
1057378	\$0.00	\$48,400.00	1149809	\$0.00	\$108,250.00
1057388	\$0.00	\$1,369.00	1149810	\$0.00	\$1,389.00
1057411	\$0.00	\$6,945.00	1149814	\$0.00	\$6,855.00
1057429	\$0.00	\$3,376.75	1149818	\$0.00	\$80,080.94
1057441	\$0.00	\$1,052.20	1149819	\$0.00	\$56,676.83
1057472	\$0.00	\$5,324.00	1149820	\$0.00	\$457,013.27
1057474	\$0.00	\$8,712.00	1149821	\$0.00	\$7,695.06
1057551	\$0.00	\$2,902.50	1149822	\$0.00	\$197,316.00
1057589	\$0.00	\$4,849.96	1149824	\$0.00	\$295,700.07
1057597	\$0.00	\$9,680.00	1149825	\$0.00	\$13,133.22
1057609	\$0.00	\$20,835.00	1149826	\$0.00	\$111,120.00
1057618	\$0.00	\$38,708.96	1149827	\$0.00	\$18,100.00
1057674	\$0.00	\$8,514.57	1149828	\$0.00	\$1,338.70
1057676	\$0.00	\$109,109.12	1149830	\$0.00	\$11,400.00
1057714	\$0.00	\$1,389.00	1149831	\$0.00	\$87,224.57
1057727	\$0.00	\$1,837.00	1149832	\$0.00	\$1,933.55
1057741	\$0.00	\$4,014.21	1149837	\$0.00	\$13,890.00
1057756	\$0.00	\$2,015.76	1149838	\$0.00	\$4,607.74
1057854	\$0.00	\$2,916.90	1149839	\$0.00	\$2,778.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1057867	\$0.00	\$9,723.00	1149840	\$0.00	\$696.85
1057923	\$0.00	\$27,780.00	1149841	\$0.00	\$4,167.00
1057966	\$0.00	\$1,389.00	1149843	\$0.00	\$6,149.31
1058053	\$0.00	\$2,191.85	1149845	\$0.00	\$13,890.00
1058103	\$0.00	\$8,975.84	1149848	\$0.00	\$13,890.00
1058163	\$0.00	\$1,389.00	1149849	\$1,866,000.00	\$2,437,634.17
1058247	\$0.00	\$726.00	1149854	\$0.00	\$7,926.00
1058272	\$0.00	\$2,357.00	1149856	\$0.00	\$14.42
1058273	\$0.00	\$41,508.30	1149859	\$0.00	\$416.70
1058286	\$0.00	\$2,728.02	1149860	\$0.00	\$7,822.61
1058287	\$0.00	\$32,339.95	1149861	\$0.00	\$8,312.50
1058312	\$0.00	\$13,890.00	1149863	\$0.00	\$4,167.00
1058322	\$0.00	\$4,840.00	1149864	\$0.00	\$694.50
1058383	\$0.00	\$29,255.00	1149865	\$0.00	\$4,840.00
1058392	\$0.00	\$221,320.00	1149866	\$0.00	\$7,018.00
1058417	\$0.00	\$969.80	1149867	\$0.00	\$208,738.00
1058429	\$0.00	\$55,560.00	1149870	\$0.00	\$6,786.80
1058444	\$0.00	\$23,010.18	1149871	\$0.00	\$4,840.00
1058452	\$0.00	\$27,280.00	1149879	\$0.00	\$2,759.40
1058464	\$0.00	\$1,936.00	1149880	\$0.00	\$191,236.50
1058518	\$0.00	\$8,284.00	1149881	\$0.00	\$2,788.10
1058570	\$0.00	\$48,325.00	1149882	\$0.00	\$45,750.00
1058632	\$0.00	\$2,222.40	1149883	\$0.00	\$5,133.00
1058659	\$0.00	\$10,102.00	1149884	\$0.00	\$14,385.79
1058720	\$0.00	\$57,287.24	1149885	\$0.00	\$323,599.90
1058745	\$0.00	\$409,806.27	1149886	\$0.00	\$1,210.00
1058785	\$0.00	\$4,167.00	1149887	\$0.00	\$1,527.98
1058808	\$0.00	\$13,890.00	1149888	\$0.00	\$2,778.00
1058814	\$0.00	\$37,460.00	1149889	\$0.00	\$13,890.00
1058817	\$0.00	\$7,750.62	1149890	\$0.00	\$6,350.00
1058828	\$0.00	\$13,555.50	1149891	\$0.00	\$4,210.00
1058835	\$0.00	\$2,883.80	1149892	\$0.00	\$2,152.95
1058873	\$0.00	\$19,360.00	1149893	\$0.00	\$69,194.00
1058874	\$0.00	\$1,666.00	1149894	\$0.00	\$15,001.20
1058886	\$0.00	\$5,175.36	1149896	\$0.00	\$6,250.50
1058941	\$0.00	\$9,914.76	1149897	\$0.00	\$2,430.75
1058949	\$0.00	\$2,637.00	1149898	\$0.00	\$2,222.40
1058950	\$0.00	\$2,080.00	1149899	\$0.00	\$16,225.92
1058964	\$0.00	\$1,936.00	1149900	\$0.00	\$138.90
1059024	\$0.00	\$4,167.00	1149908	\$0.00	\$3,472.50
1059043	\$0.00	\$20,835.00	1149909	\$0.00	\$6,945.00
1059100	\$0.00	\$211,175.81	1149910	\$0.00	\$7,260.00
1059184	\$0.00	\$9,680.00	1149911	\$0.00	\$2,778.00
1059200	\$0.00	\$666.72	1149912	\$0.00	\$13,830.00
1059203	\$0.00	\$13,890.00	1149914	\$0.00	\$27,685.02
1059228	\$0.00	\$181,000.00	1149915	\$0.00	\$282.07
1059231	\$0.00	\$5,556.00	1149920	\$0.00	\$3,976.14
1059256	\$0.00	\$2,778.00	1149921	\$0.00	\$27,780.00
1059290	\$0.00	\$235,700.00	1149922	\$0.00	\$11,112.00
1059330	\$0.00	\$13,890.00	1149925	\$0.00	\$1,527.90
1059410	\$0.00	\$58,080.00	1149927	\$0.00	\$21,728,823.44
1059431	\$0.00	\$1,178.50	1149928	\$0.00	\$21,429.00
1059433	\$0.00	\$13,785.97	1149929	\$0.00	\$1,041.75
1059455	\$0.00	\$16,940.00	1149931	\$0.00	\$8,121.37
1059510	\$0.00	\$18,673.09	1149932	\$0.00	\$214.50
1059536	\$0.00	\$6,108.68	1149933	\$0.00	\$16,320.75
1059588	\$0.00	\$4,210.00	1149934	\$0.00	\$1,944.60
1059625	\$0.00	\$625.05	1149935	\$0.00	\$1,944.60
1059628	\$0.00	\$2,778.00	1149938	\$0.00	\$312,552.78
1059638	\$0.00	\$2,778.00	1149940	\$0.00	\$2,129.60
1059658	\$0.00	\$484.00	1149942	\$0.00	\$16,456.00
1059663	\$0.00	\$15,625.00	1149943	\$0.00	\$90.00
1059713	\$0.00	\$89,785.97	1149945	\$0.00	\$343.00
1059717	\$0.00	\$89,905.11	1149946	\$0.00	\$59,840.00
1059779	\$0.00	\$9,107.65	1149947	\$0.00	\$968.00
1059831	\$0.00	\$193.60	1149950	\$0.00	\$2,113.83
1059839	\$0.00	\$41,881.00	1149952	\$0.00	\$13,890.00
1059855	\$0.00	\$1,335.36	1149955	\$0.00	\$18,553.00
1059879	\$0.00	\$1,390.00	1149956	\$0.00	\$4,840.00
1059881	\$0.00	\$13,890.00	1149958	\$0.00	\$2,778.00
1059899	\$0.00	\$417.95	1149960	\$0.00	\$165,372.15
1059911	\$0.00	\$9,680.00	1149961	\$0.00	\$14,751.75
1059953	\$0.00	\$2,083.50	1149962	\$0.00	\$84,928.33
1059956	\$0.00	\$7,351.50	1149963	\$0.00	\$14,869.53
1059965	\$0.00	\$41,433.36	1149964	\$0.00	\$11,320.35
1059968	\$0.00	\$1,389.00	1149965	\$0.00	\$9,680.00
1060083	\$0.00	\$25,224.24	1149967	\$0.00	\$47,478.03
1060090	\$0.00	\$4,210.00	1149968	\$0.00	\$80.94
1060136	\$0.00	\$1,389.00	1149969	\$0.00	\$70,103.22

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1060141	\$0.00	\$902.85	1149970	\$0.00	\$831.37
1060165	\$0.00	\$22,350.00	1149972	\$0.00	\$1,389.00
1060211	\$0.00	\$37,260.00	1149973	\$0.00	\$3,868.69
1060273	\$0.00	\$1,389.00	1149974	\$0.00	\$9,306.30
1060302	\$0.00	\$54,845.00	1149975	\$0.00	\$27,154.95
1060315	\$0.00	\$6,450.60	1149976	\$0.00	\$4,167.00
1060334	\$0.00	\$15,230.90	1149977	\$0.00	\$2,357.00
1060372	\$0.00	\$18,774.40	1149980	\$0.00	\$2,778.00
1060378	\$0.00	\$26,877.15	1149981	\$0.00	\$12,501.00
1060381	\$0.00	\$11,431.47	1149982	\$0.00	\$1,250.10
1060384	\$0.00	\$1,796.59	1149983	\$0.00	\$8,334.00
1060395	\$0.00	\$266,965.80	1149984	\$0.00	\$12,144.08
1060423	\$0.00	\$1,814.91	1149985	\$0.00	\$3,819.75
1060468	\$0.00	\$906.00	1149986	\$0.00	\$51,120.00
1060485	\$0.00	\$451.00	1149987	\$0.00	\$16,668.00
1060495	\$0.00	\$1,389.00	1149988	\$0.00	\$2,778.00
1060516	\$0.00	\$13,081.00	1149991	\$0.00	\$789.00
1060533	\$0.00	\$63,586.59	1149993	\$0.00	\$77,440.00
1060537	\$0.00	\$11,112.00	1149994	\$0.00	\$49,126.68
1060558	\$0.00	\$7,654.17	1149995	\$0.00	\$6,103.00
1060570	\$0.00	\$548.10	1149996	\$0.00	\$40,066.80
1060590	\$0.00	\$27,780.00	1149999	\$0.00	\$34,198.90
1060604	\$0.00	\$1,389.00	1150000	\$0.00	\$13,508.97
1060620	\$0.00	\$459.62	1150001	\$0.00	\$2,083.50
1060631	\$0.00	\$6,111.60	1150003	\$0.00	\$20,327.40
1060637	\$0.00	\$9,680.00	1150010	\$0.00	\$20,510.00
1060653	\$0.00	\$4,861.50	1150011	\$0.00	\$852.30
1060663	\$0.00	\$61,495.23	1150012	\$0.00	\$310.01
1060681	\$0.00	\$250.50	1150013	\$0.00	\$755.94
1060687	\$0.00	\$3,230.04	1150014	\$0.00	\$5,245.00
1060693	\$0.00	\$12,501.00	1150015	\$0.00	\$6,945.00
1060728	\$0.00	\$4,320.00	1150019	\$0.00	\$4,249.52
1060760	\$0.00	\$4,167.00	1150021	\$0.00	\$80,864.95
1060785	\$0.00	\$10,694.75	1150022	\$0.00	\$7,017.00
1060873	\$0.00	\$16,448.63	1150023	\$0.00	\$3,452.98
1060874	\$0.00	\$7,639.50	1150028	\$0.00	\$13,890.00
1060899	\$0.00	\$9,489.06	1150029	\$0.00	\$20,835.00
1060903	\$0.00	\$573.60	1150031	\$0.00	\$7,744.00
1060909	\$0.00	\$12,034.75	1150032	\$0.00	\$4,089.00
1060961	\$0.00	\$615.85	1150033	\$0.00	\$480.20
1060991	\$0.00	\$1,873.00	1150037	\$0.00	\$6,020.00
1060992	\$0.00	\$1,389.00	1150040	\$0.00	\$8,782.40
1060996	\$0.00	\$11,090.50	1150043	\$0.00	\$4,324.00
1061006	\$0.00	\$11,162.97	1150044	\$0.00	\$87,120.00
1061013	\$0.00	\$7,017.77	1150046	\$0.00	\$130,203.82
1061047	\$0.00	\$968.00	1150047	\$0.00	\$3,781.35
1061057	\$0.00	\$4,167.00	1150048	\$0.00	\$26,460.00
1061066	\$0.00	\$4,167.00	1150049	\$0.00	\$13,890.00
1061075	\$0.00	\$34,030.50	1150050	\$0.00	\$34,000.00
1061089	\$0.00	\$23,305.62	1150051	\$0.00	\$62,278.92
1061106	\$0.00	\$125,010.00	1150052	\$0.00	\$25,002.00
1061125	\$0.00	\$3,872.00	1150054	\$0.00	\$3,819.75
1061126	\$0.00	\$5,808.00	1150055	\$0.00	\$541,447.67
1061151	\$0.00	\$24,939.80	1150056	\$0.00	\$2,778.00
1061156	\$0.00	\$324.00	1150058	\$0.00	\$2,778.00
1061167	\$0.00	\$629.34	1150059	\$0.00	\$20,577.63
1061173	\$0.00	\$5,372.95	1150061	\$0.00	\$15,417.50
1061185	\$0.00	\$8,165.60	1150063	\$0.00	\$972.30
1061186	\$0.00	\$5,376.00	1150066	\$0.00	\$3,666.96
1061187	\$0.00	\$2,721.43	1150072	\$0.00	\$27,780.00
1061194	\$0.00	\$1,389.00	1150073	\$0.00	\$69,393.89
1061203	\$0.00	\$2,778.00	1150074	\$0.00	\$2,778.00
1061208	\$0.00	\$282.07	1150084	\$0.00	\$5,556.00
1061243	\$0.00	\$13,890.00	1150085	\$0.00	\$16,390.20
1061249	\$0.00	\$27,085.50	1150086	\$0.00	\$6,001.60
1061287	\$0.00	\$28,682.85	1150088	\$0.00	\$83,334.14
1061310	\$0.00	\$4,714.00	1150089	\$0.00	\$2,212.83
1061318	\$0.00	\$694.50	1150090	\$0.00	\$3,869.21
1061334	\$0.00	\$38,892.00	1150091	\$0.00	\$4,765.44
1061351	\$0.00	\$25,700.00	1150092	\$0.00	\$5,447.20
1061353	\$0.00	\$10,000.00	1150093	\$0.00	\$4,162.40
1061366	\$0.00	\$4,840.00	1150094	\$0.00	\$83.34
1061411	\$0.00	\$6,181.05	1150096	\$0.00	\$41.67
1061436	\$0.00	\$7,778.28	1150098	\$0.00	\$1,338.16
1061464	\$0.00	\$889.36	1150099	\$0.00	\$6,945.00
1061494	\$0.00	\$8,724.51	1150101	\$0.00	\$9,680.00
1061510	\$0.00	\$2,283.83	1150102	\$0.00	\$2,083.50
1061519	\$0.00	\$20,835.00	1150105	\$0.00	\$4,210.00
1061523	\$0.00	\$277,800.00	1150109	\$0.00	\$9,680.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1061536	\$0.00	\$9,406.00	1150110	\$0.00	\$48,367.50
1061545	\$0.00	\$14,964.00	1150111	\$0.00	\$77,345.80
1061586	\$0.00	\$4,167.00	1150112	\$0.00	\$28,613.40
1061611	\$0.00	\$24,200.00	1150113	\$0.00	\$38,614.60
1061617	\$0.00	\$31,558.74	1150116	\$0.00	\$6,945.00
1061618	\$0.00	\$3,958.74	1150124	\$0.00	\$2,669.99
1061619	\$0.00	\$11,194.50	1150125	\$0.00	\$9,028.50
1061652	\$0.00	\$18,778.00	1150126	\$0.00	\$9,680.00
1061659	\$0.00	\$5,941.00	1150128	\$0.00	\$589,250.00
1061664	\$0.00	\$791.30	1150129	\$0.00	\$19,360.00
1061687	\$0.00	\$19,264.00	1150133	\$0.00	\$57,150.00
1061703	\$0.00	\$14,480.00	1150135	\$0.00	\$19,020.28
1061714	\$0.00	\$4,210.00	1150136	\$0.00	\$1,602.00
1061715	\$0.00	\$12,837.50	1150143	\$0.00	\$3,125.25
1061717	\$0.00	\$2,517.00	1150144	\$0.00	\$47,345.00
1061725	\$0.00	\$1,389.00	1150145	\$0.00	\$216,171.59
1061735	\$0.00	\$7,671.45	1150146	\$0.00	\$85,518.29
1061747	\$0.00	\$55,560.00	1150147	\$0.00	\$1,579.44
1061759	\$0.00	\$3,872.00	1150148	\$0.00	\$15,862.43
1061760	\$0.00	\$6,776.00	1150150	\$0.00	\$461,030.75
1061762	\$0.00	\$2,563.60	1150152	\$0.00	\$38,486.50
1061767	\$0.00	\$4,861.50	1150153	\$0.00	\$170,871.95
1061781	\$0.00	\$19,536.29	1150154	\$0.00	\$6,776.00
1061807	\$0.00	\$6,512.83	1150155	\$0.00	\$2,853.96
1061822	\$0.00	\$3,301.90	1150156	\$0.00	\$4,167.00
1061884	\$0.00	\$6,849.00	1150158	\$0.00	\$13,890.00
1061911	\$0.00	\$8,066.66	1150159	\$0.00	\$74,795.34
1061929	\$0.00	\$1,389.00	1150160	\$0.00	\$9,680.00
1061940	\$0.00	\$987.28	1150161	\$0.00	\$8,334.00
1061954	\$0.00	\$1,740.00	1150162	\$0.00	\$4,854.81
1061968	\$0.00	\$36,383.00	1150163	\$0.00	\$24,171.84
1061975	\$0.00	\$1,936.00	1150164	\$0.00	\$32,990.63
1062003	\$0.00	\$1,389.00	1150165	\$0.00	\$7,071.00
1062032	\$0.00	\$4.52	1150167	\$0.00	\$453,119.21
1062076	\$0.00	\$23,475.00	1150170	\$0.00	\$13,890.00
1062077	\$0.00	\$4,048.00	1150173	\$0.00	\$27,710.55
1062095	\$0.00	\$4,821.00	1150175	\$0.00	\$44,772.50
1062145	\$0.00	\$26,360.36	1150177	\$0.00	\$3,475.99
1062152	\$0.00	\$18,057.00	1150178	\$0.00	\$2,778.00
1062173	\$0.00	\$50,160.00	1150183	\$0.00	\$6,885.00
1062175	\$0.00	\$9,723.00	1150184	\$0.00	\$13,890.00
1062191	\$0.00	\$1,389.00	1150186	\$0.00	\$14,858.00
1062242	\$0.00	\$9,680.00	1150187	\$0.00	\$3,930.87
1062257	\$0.00	\$7,432.74	1150189	\$0.00	\$3,930.87
1062258	\$0.00	\$16,701.73	1150190	\$0.00	\$3,930.87
1062294	\$0.00	\$7,351.57	1150191	\$0.00	\$3,930.87
1062305	\$0.00	\$1,057.50	1150192	\$0.00	\$29,008.00
1062326	\$0.00	\$33,555.42	1150193	\$0.00	\$476,255.28
1062379	\$0.00	\$1,640.80	1150194	\$0.00	\$19,307.10
1062382	\$0.00	\$1,644.55	1150195	\$0.00	\$7,713.00
1062405	\$0.00	\$43,059.00	1150196	\$0.00	\$20,009.40
1062407	\$0.00	\$20,835.00	1150197	\$0.00	\$18,730.00
1062443	\$0.00	\$9,723.00	1150198	\$0.00	\$5,694.90
1062497	\$0.00	\$3,498.08	1150199	\$0.00	\$332,295.50
1062503	\$0.00	\$854.99	1150201	\$0.00	\$27,185.00
1062521	\$0.00	\$8,570.13	1150202	\$0.00	\$1,389.00
1062605	\$0.00	\$16,940.00	1150204	\$0.00	\$1,416.78
1062607	\$0.00	\$42,603.11	1150205	\$0.00	\$17,084.70
1062620	\$0.00	\$13,890.00	1150206	\$0.00	\$11,806.50
1062686	\$0.00	\$7,208.91	1150207	\$0.00	\$6,996.65
1062739	\$0.00	\$4,986.70	1150209	\$0.00	\$26,391.00
1062769	\$0.00	\$13,890.00	1150211	\$0.00	\$55,462.77
1062781	\$0.00	\$2,696.49	1150213	\$0.00	\$1,335.00
1062783	\$0.00	\$22,409.90	1150216	\$0.00	\$18,222.96
1062789	\$0.00	\$7,237.65	1150220	\$0.00	\$2,640.00
1062802	\$0.00	\$2,778.00	1150221	\$0.00	\$1,913.81
1062851	\$0.00	\$13,890.00	1150222	\$0.00	\$3,984.00
1062935	\$0.00	\$2,778.00	1150227	\$0.00	\$1,389.00
1062951	\$0.00	\$44,510.00	1150228	\$0.00	\$17,570.85
1063021	\$0.00	\$1,805.70	1150229	\$0.00	\$14,695.62
1063070	\$0.00	\$32,046.95	1150231	\$0.00	\$932.06
1063071	\$0.00	\$13,890.00	1150232	\$0.00	\$6,595.65
1063101	\$0.00	\$3,972.54	1150234	\$0.00	\$17,250.05
1063107	\$0.00	\$1,041.75	1150235	\$0.00	\$306,135.60
1063119	\$0.00	\$8,421.60	1150236	\$0.00	\$90,343.26
1063120	\$0.00	\$11,528.70	1150237	\$0.00	\$13,890.00
1063125	\$0.00	\$6,945.00	1150240	\$0.00	\$27,780.00
1063129	\$0.00	\$1,936.00	1150241	\$0.00	\$41,547.00
1063137	\$0.00	\$1,936.00	1150243	\$0.00	\$15,593.75

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1063146	\$0.00	\$36,800.00	1150246	\$0.00	\$8,220.00
1063162	\$0.00	\$13,890.00	1150247	\$0.00	\$753.99
1063194	\$0.00	\$631.50	1150251	\$0.00	\$111,120.00
1063272	\$0.00	\$34,539.50	1150252	\$0.00	\$70,146.00
1063297	\$0.00	\$1,875.45	1150253	\$0.00	\$5,556.00
1063361	\$0.00	\$1,389.00	1150254	\$0.00	\$86,076.90
1063362	\$0.00	\$23,432.43	1150255	\$0.00	\$10,138.00
1063396	\$0.00	\$17,209.71	1150256	\$0.00	\$590.00
1063462	\$0.00	\$694.50	1150258	\$0.00	\$1,041.75
1063521	\$0.00	\$27,760.00	1150259	\$0.00	\$1,736.25
1063537	\$0.00	\$2,083.50	1150260	\$0.00	\$2,083.50
1063552	\$0.00	\$4,167.00	1150265	\$0.00	\$2,526.00
1063571	\$0.00	\$20,856.50	1150266	\$0.00	\$580.00
1063577	\$0.00	\$4,167.00	1150267	\$0.00	\$13,890.00
1063588	\$0.00	\$2,746.44	1150269	\$0.00	\$19,360.00
1063614	\$0.00	\$484.00	1150271	\$0.00	\$12,084.30
1063632	\$0.00	\$14,520.00	1150272	\$0.00	\$307,900.00
1063672	\$0.00	\$4,333.68	1150274	\$0.00	\$486,014.98
1063675	\$0.00	\$2,766.00	1150279	\$0.00	\$3,240.14
1063725	\$0.00	\$833.40	1150280	\$0.00	\$8,544.50
1063737	\$0.00	\$18,057.00	1150281	\$0.00	\$5,139.30
1063758	\$0.00	\$27,780.00	1150282	\$0.00	\$13,223.19
1063782	\$0.00	\$3,472.50	1150283	\$0.00	\$5,903.25
1063818	\$0.00	\$12,700.00	1150284	\$0.00	\$13,994.50
1063824	\$0.00	\$5,460.00	1150286	\$0.00	\$7,639.50
1063865	\$0.00	\$12,418.50	1150287	\$0.00	\$7,894.96
1063924	\$0.00	\$3,746.00	1150289	\$0.00	\$145,444.40
1063947	\$0.00	\$10,695.30	1150297	\$0.00	\$889.00
1063960	\$0.00	\$3,611.40	1150298	\$0.00	\$1,684.00
1064022	\$0.00	\$15,488.00	1150300	\$0.00	\$277,560.00
1064033	\$0.00	\$2,778.00	1150302	\$0.00	\$6,274.30
1064039	\$0.00	\$5,556.00	1150306	\$0.00	\$2,303.84
1064042	\$0.00	\$196,038.23	1150307	\$0.00	\$968.00
1064045	\$0.00	\$694.50	1150308	\$0.00	\$968.00
1064064	\$0.00	\$44,755.50	1150312	\$0.00	\$27,780.00
1064088	\$0.00	\$2,778.00	1150314	\$0.00	\$1,936.00
1064095	\$0.00	\$9,028.50	1150315	\$0.00	\$8,806.90
1064099	\$0.00	\$5,556.00	1150316	\$0.00	\$13,081.95
1064109	\$0.00	\$6,103.00	1150317	\$0.00	\$2,442.00
1064116	\$0.00	\$5,324.00	1150320	\$0.00	\$32,222.54
1064122	\$0.00	\$135,028.00	1150322	\$0.00	\$16,668.00
1064147	\$0.00	\$2,246.19	1150323	\$0.00	\$4,167.00
1064400	\$0.00	\$7,113.25	1150324	\$0.00	\$1,736.25
1064419	\$0.00	\$701.35	1150325	\$0.00	\$1,500.12
1064430	\$0.00	\$9,322.23	1150326	\$0.00	\$7,537.94
1064433	\$0.00	\$918.00	1150329	\$0.00	\$9,069.40
1064439	\$0.00	\$25,083.91	1150333	\$0.00	\$3,485.28
1064495	\$0.00	\$14,703.45	1150337	\$0.00	\$1,335.00
1064506	\$0.00	\$22,360.80	1150339	\$0.00	\$981.60
1064544	\$0.00	\$1,936.00	1150340	\$0.00	\$6,945.00
1064555	\$0.00	\$6,782.62	1150345	\$0.00	\$6,623.38
1064592	\$0.00	\$27.78	1150346	\$0.00	\$188,760.00
1064593	\$0.00	\$1,363.38	1150352	\$0.00	\$2,703.30
1064603	\$0.00	\$2,916.90	1150353	\$0.00	\$8,100.00
1064627	\$0.00	\$30,885.75	1150354	\$0.00	\$99,503.36
1064648	\$0.00	\$2,778.00	1150355	\$0.00	\$13,890.00
1064674	\$0.00	\$4,167.00	1150356	\$0.00	\$93.06
1064709	\$0.00	\$4,167.00	1150357	\$0.00	\$1,430.67
1064752	\$0.00	\$19,446.00	1150358	\$0.00	\$2,841.00
1064770	\$0.00	\$2,430.75	1150366	\$0.00	\$16,348.80
1064803	\$0.00	\$305.58	1150368	\$0.00	\$50,813.00
1064917	\$0.00	\$7,765.50	1150369	\$0.00	\$4,960.00
1064936	\$0.00	\$32,240.28	1150372	\$0.00	\$1,569.20
1064972	\$0.00	\$9,723.00	1150373	\$0.00	\$8,682.98
1064978	\$0.00	\$2,904.00	1150374	\$0.00	\$6,945.00
1065005	\$0.00	\$1,736.25	1150375	\$0.00	\$3,472.50
1065012	\$0.00	\$9,365.00	1150377	\$0.00	\$1,180.65
1065053	\$0.00	\$40,917.22	1150378	\$0.00	\$6,945.00
1065072	\$0.00	\$17,362.50	1150379	\$0.00	\$6,945.00
1065079	\$0.00	\$4,230.16	1150380	\$0.00	\$41,670.00
1065116	\$0.00	\$19,360.00	1150388	\$0.00	\$23,151.20
1065137	\$0.00	\$16,487.43	1150389	\$0.00	\$2,640.00
1065175	\$0.00	\$27,780.00	1150395	\$0.00	\$6,945.00
1065187	\$0.00	\$27,780.00	1150397	\$0.00	\$2,071.52
1065206	\$0.00	\$3,944.94	1150400	\$0.00	\$172.00
1065210	\$0.00	\$10,417.50	1150403	\$0.00	\$48,615.00
1065218	\$0.00	\$18,543.15	1150404	\$0.00	\$9,410.00
1065219	\$0.00	\$5,556.00	1150405	\$0.00	\$4,293.00
1065225	\$0.00	\$82,955.50	1150408	\$0.00	\$2,790.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1065229	\$0.00	\$39,889.50	1150410	\$0.00	\$12,917.70
1065244	\$0.00	\$1,936.00	1150412	\$0.00	\$13,890.00
1065263	\$0.00	\$6,103.00	1150416	\$0.00	\$6,510.00
1065264	\$0.00	\$2,904.00	1150417	\$0.00	\$1,389.00
1065277	\$0.00	\$27,780.00	1150418	\$0.00	\$1,338.00
1065305	\$0.00	\$1,270.00	1150419	\$0.00	\$7,926.00
1065307	\$0.00	\$20,835.00	1150427	\$0.00	\$946.58
1065404	\$0.00	\$6,945.00	1150430	\$0.00	\$20,835.00
1065419	\$0.00	\$34,725.00	1150433	\$0.00	\$2,687.90
1065439	\$0.00	\$75,721.61	1150435	\$0.00	\$4,714.00
1065498	\$0.00	\$2,335.99	1150438	\$0.00	\$2,778.00
1065616	\$0.00	\$4,840.00	1150439	\$0.00	\$74,299.89
1065623	\$0.00	\$5,808.00	1150441	\$0.00	\$144.90
1065631	\$0.00	\$10,738.25	1150442	\$0.00	\$10,800.00
1065652	\$0.00	\$6,945.00	1150443	\$0.00	\$1,399.28
1065656	\$0.00	\$4,167.00	1150444	\$0.00	\$4,210.00
1065673	\$0.00	\$1,805.70	1150445	\$0.00	\$1,276.69
1065689	\$0.00	\$41,670.00	1150446	\$0.00	\$2,778.00
1065732	\$0.00	\$3,809.00	1150447	\$0.00	\$1,389.00
1065733	\$0.00	\$2,357.00	1150449	\$0.00	\$4,962.00
1065736	\$0.00	\$1,222.32	1150450	\$0.00	\$13,890.00
1065737	\$0.00	\$2,357.00	1150451	\$0.00	\$91,320.70
1065769	\$0.00	\$5,417.10	1150454	\$0.00	\$2,357.00
1065789	\$0.00	\$20,835.00	1150455	\$0.00	\$972.30
1065802	\$0.00	\$138,409.70	1150456	\$0.00	\$49,411.00
1065819	\$0.00	\$6,945.00	1150457	\$0.00	\$52,525.77
1065845	\$0.00	\$6,389.40	1150458	\$0.00	\$4,506.20
1065879	\$0.00	\$3,872.00	1150459	\$0.00	\$5,042.76
1065890	\$0.00	\$21,296.00	1150462	\$0.00	\$380.00
1065920	\$0.00	\$13,890.00	1150463	\$0.00	\$3,871.86
1065930	\$0.00	\$4,167.00	1150465	\$0.00	\$453.40
1065960	\$0.00	\$3,744.00	1150466	\$0.00	\$1,389.00
1065975	\$0.00	\$648.00	1150468	\$0.00	\$4,722.60
1065996	\$0.00	\$6,945.00	1150469	\$0.00	\$847.29
1066008	\$0.00	\$13,856.00	1150475	\$576.70	\$536.33
1066021	\$0.00	\$3,750.30	1150476	\$1,037.25	\$964.64
1066050	\$0.00	\$1,389.00	1150477	\$1,405.55	\$1,307.16
1066068	\$0.00	\$3,213.92	1150478	\$1,524.40	\$1,417.69
1066078	\$0.00	\$8,228.00	1150479	\$489.58	\$455.31
1066089	\$0.00	\$4,537.70	1150480	\$0.00	\$133,430.00
1066149	\$0.00	\$13,890.00	1150481	\$0.00	\$6,371.96
1066157	\$0.00	\$8,334.00	1150482	\$0.00	\$13,890.00
1066278	\$0.00	\$52,610.00	1150491	\$0.00	\$9,028.50
1066287	\$0.00	\$20,181.00	1150493	\$0.00	\$52,782.00
1066307	\$0.00	\$1,453.65	1150494	\$0.00	\$21,399.50
1066308	\$0.00	\$170.25	1150496	\$0.00	\$3,355.00
1066323	\$0.00	\$3,833.64	1150498	\$0.00	\$2,022.20
1066329	\$0.00	\$3,666.96	1150499	\$0.00	\$2,701.98
1066379	\$0.00	\$1,560.60	1150500	\$0.00	\$2,039.10
1066427	\$0.00	\$4,041.00	1150502	\$0.00	\$20,650.00
1066467	\$0.00	\$13,890.00	1150504	\$0.00	\$1,696.00
1066483	\$0.00	\$2,064.00	1150506	\$0.00	\$4,432.00
1066588	\$0.00	\$5,556.00	1150507	\$0.00	\$8,850.00
1066616	\$0.00	\$19,683.00	1150513	\$0.00	\$86,469.00
1066660	\$0.00	\$2,778.00	1150514	\$0.00	\$1,389.00
1066699	\$0.00	\$416.70	1150517	\$0.00	\$8,750.10
1066736	\$0.00	\$842.00	1150523	\$0.00	\$2,654.97
1066765	\$0.00	\$373,357.60	1150525	\$0.00	\$11,785.00
1066811	\$0.00	\$24,389.50	1150526	\$0.00	\$2,904.00
1066818	\$0.00	\$14,142.00	1150527	\$0.00	\$5,556.00
1066823	\$0.00	\$2,904.00	1150528	\$0.00	\$241.94
1066873	\$0.00	\$2,861.00	1150529	\$0.00	\$8,483.20
1066882	\$0.00	\$8,694.00	1150530	\$0.00	\$807.75
1066915	\$0.00	\$555.60	1150532	\$0.00	\$12,358.00
1066917	\$0.00	\$16,566.75	1150534	\$0.00	\$13,890.00
1066918	\$0.00	\$13,721.00	1150535	\$0.00	\$6,945.00
1066923	\$0.00	\$13,890.00	1150536	\$0.00	\$18,057.00
1066929	\$0.00	\$7,639.50	1150540	\$0.00	\$171,690.00
1066932	\$0.00	\$1,805.70	1150541	\$0.00	\$972.30
1066940	\$0.00	\$6,057.00	1150542	\$0.00	\$3,381.24
1066963	\$0.00	\$13,890.00	1150543	\$0.00	\$9,119.00
1066966	\$0.00	\$13,890.00	1150544	\$0.00	\$14,499.49
1066970	\$0.00	\$37,724.00	1150545	\$0.00	\$3,090.00
1066976	\$0.00	\$484.00	1150546	\$0.00	\$4,007.50
1067034	\$0.00	\$2,178.00	1150552	\$0.00	\$1,389.00
1067081	\$0.00	\$62,505.00	1150553	\$0.00	\$1,250.10
1067109	\$0.00	\$1,389.00	1150557	\$0.00	\$4,264.23
1067138	\$0.00	\$19,940.80	1150567	\$0.00	\$1,389.00
1067146	\$0.00	\$175,597.69	1150568	\$0.00	\$27,780.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1067158	\$0.00	\$23,570.00	1150569	\$0.00	\$11,321.48
1067186	\$0.00	\$3,734.00	1150570	\$0.00	\$2,158.50
1067202	\$0.00	\$223.13	1150571	\$0.00	\$7,527.00
1067219	\$0.00	\$1,936.00	1150572	\$0.00	\$8,949.40
1067244	\$0.00	\$6,945.00	1150575	\$0.00	\$2,915.46
1067251	\$0.00	\$13,890.00	1150576	\$0.00	\$27,864.74
1067340	\$0.00	\$8,334.00	1150577	\$0.00	\$5,903.25
1067365	\$0.00	\$6,315.00	1150582	\$0.00	\$100,124.53
1067370	\$0.00	\$1,060.00	1150587	\$0.00	\$11,112.00
1067380	\$0.00	\$13,890.00	1150589	\$0.00	\$66,406.39
1067395	\$0.00	\$34,421.00	1150591	\$0.00	\$737.40
1067420	\$0.00	\$27,780.00	1150595	\$0.00	\$1,919.59
1067433	\$0.00	\$3,958.65	1150618	\$0.00	\$32,400.00
1067434	\$0.00	\$2,974.98	1150619	\$0.00	\$13,689.00
1067477	\$0.00	\$1,452.00	1150620	\$0.00	\$34,749.00
1067486	\$0.00	\$9,375.75	1150621	\$0.00	\$35,802.00
1067536	\$0.00	\$33,880.00	1151454	\$0.00	\$34,749.00
1067568	\$0.00	\$1,936.00	1151469	\$0.00	\$11,762,970.63
1067607	\$0.00	\$111,320.00	1151477	\$0.00	\$13,890.00
1067618	\$0.00	\$3,368.64	1151480	\$0.00	\$3,051.50
1067639	\$0.00	\$203.28	1151481	\$0.00	\$2,105.00
1067716	\$0.00	\$3,339.90	1151508	\$0.00	\$15,487.35
1067717	\$0.00	\$3,960.00	1151511	\$0.00	\$3,204.00
1067746	\$0.00	\$4,783.45	1151513	\$0.00	\$1,357.50
1067748	\$0.00	\$15,834.60	1151514	\$0.00	\$6,776.00
1067884	\$0.00	\$9,591.00	1151517	\$0.00	\$4,714.00
1067931	\$0.00	\$131,667.00	1151524	\$0.00	\$47,432.00
1067935	\$0.00	\$849.54	1151528	\$0.00	\$15,320.67
1067941	\$0.00	\$116,417.84	1151532	\$0.00	\$8,392.56
1067983	\$0.00	\$1,785.42	1151533	\$0.00	\$8,034.40
1068037	\$0.00	\$26,862.00	1151535	\$0.00	\$8,334.00
1068111	\$0.00	\$69,379.75	1151550	\$0.00	\$18,794.88
1068120	\$0.00	\$299,710.00	1151551	\$0.00	\$17,015.66
1068150	\$0.00	\$9,028.50	1151559	\$0.00	\$7,720.00
1068171	\$0.00	\$67,760.00	1151584	\$0.00	\$127,128.52
1068173	\$0.00	\$28,447.98	1151586	\$0.00	\$18,723.72
1068231	\$0.00	\$14,562.30	1151587	\$0.00	\$11,112.00
1068263	\$0.00	\$703.05	1151589	\$0.00	\$24,307.50
1068303	\$0.00	\$1,744.53	1151590	\$0.00	\$27,780.00
1068346	\$0.00	\$2,937.00	1151591	\$0.00	\$31,178.90
1068398	\$0.00	\$18,100.00	1151592	\$0.00	\$34,977.41
1068399	\$0.00	\$2,361.30	1151593	\$0.00	\$11,848.17
1068405	\$0.00	\$9,680.00	1151596	\$0.00	\$197,143.06
1068448	\$0.00	\$106,814.94	1151597	\$0.00	\$9,680.00
1068459	\$0.00	\$4,542.03	1151599	\$0.00	\$2,083.50
1068498	\$0.00	\$123,948.55	1151605	\$0.00	\$32,787.50
1068646	\$0.00	\$125,010.00	1151606	\$0.00	\$1,389.00
1068700	\$0.00	\$8,791.55	1151619	\$0.00	\$33,336.00
1068701	\$0.00	\$4,606.38	1151620	\$0.00	\$83,340.00
1068707	\$0.00	\$9,680.00	1151623	\$0.00	\$9,723.00
1068745	\$0.00	\$13,890.00	1151624	\$0.00	\$57,558.00
1068774	\$0.00	\$26,449.88	1151625	\$0.00	\$21,813.72
1068792	\$0.00	\$6,945.00	1151628	\$0.00	\$1,936.00
1068793	\$0.00	\$3,890.95	1151629	\$0.00	\$123.37
1068815	\$0.00	\$277,800.00	1151630	\$0.00	\$6,945.00
1068826	\$0.00	\$13,642.50	1151633	\$0.00	\$19,043.19
1068830	\$0.00	\$138.90	1151634	\$0.00	\$35,802.00
1068859	\$0.00	\$421.00	1151635	\$0.00	\$5,016.00
1068888	\$0.00	\$76,395.00	1151637	\$0.00	\$2,420.00
1068986	\$0.00	\$13,890.00	1151640	\$0.00	\$35,720.00
1069010	\$0.00	\$4,840.00	1151642	\$0.00	\$103,566.58
1069020	\$0.00	\$5,556.00	1151648	\$0.00	\$10,116.96
1069111	\$0.00	\$1,968.00	1151650	\$0.00	\$9,399.28
1069182	\$0.00	\$5,662.80	1151651	\$0.00	\$25,002.00
1069188	\$0.00	\$2,514.71	1151652	\$0.00	\$41,670.00
1069192	\$0.00	\$17,305.93	1151657	\$0.00	\$7,744.00
1069218	\$0.00	\$6,528.30	1151658	\$0.00	\$7,410.00
1069219	\$0.00	\$871.20	1151663	\$0.00	\$6,945.00
1069228	\$0.00	\$9,308.25	1151664	\$0.00	\$2,218.98
1069267	\$0.00	\$29,383.50	1151665	\$0.00	\$65,977.50
1069274	\$0.00	\$5,299.96	1151666	\$0.00	\$9,000.00
1069299	\$0.00	\$20,424.80	1151667	\$0.00	\$3,264.15
1069301	\$0.00	\$22,932.39	1151668	\$0.00	\$6,945.00
1069311	\$0.00	\$4,167.00	1151669	\$0.00	\$13,890.00
1069409	\$0.00	\$83,340.00	1151670	\$0.00	\$13,890.00
1069413	\$0.00	\$1,248.99	1151672	\$0.00	\$27,780.00
1069450	\$0.00	\$35,332.00	1151673	\$0.00	\$16,487.43
1069587	\$0.00	\$13,890.00	1151674	\$7,300.00	\$1,942,789.00
1069589	\$0.00	\$23,613.00	1151675	\$0.00	\$410,100.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1069595	\$0.00	\$39,348.60	1151678	\$0.00	\$6,945.00
1069612	\$0.00	\$68,415.04	1151680	\$0.00	\$569,512.95
1069662	\$0.00	\$2,778.00	1151681	\$0.00	\$66,672.00
1069678	\$0.00	\$13,535.00	1151682	\$0.00	\$38,305.72
1069682	\$0.00	\$3,361.38	1151684	\$0.00	\$2,777.54
1069808	\$0.00	\$1,610.12	1151685	\$0.00	\$17,050.00
1069948	\$0.00	\$2,778.00	1151686	\$0.00	\$2,430.75
1069979	\$0.00	\$11,589.95	1151687	\$0.00	\$50,186.40
1070083	\$0.00	\$8,250.00	1151688	\$0.00	\$106.48
1070113	\$0.00	\$2,048.50	1151689	\$0.00	\$38,720.00
1070192	\$0.00	\$6,945.00	1151690	\$0.00	\$8,712.00
1070223	\$0.00	\$3,333.60	1151692	\$0.00	\$276.53
1070228	\$0.00	\$27,692.40	1151693	\$0.00	\$9,723.00
1070239	\$0.00	\$3,480.00	1151707	\$0.00	\$67.76
1070252	\$0.00	\$4,840.00	1151708	\$0.00	\$1,539.12
1070299	\$0.00	\$27,858.00	1151709	\$0.00	\$193.60
1070313	\$0.00	\$10,774.49	1151711	\$0.00	\$232.32
1070321	\$0.00	\$13,195.50	1151712	\$0.00	\$2,710.40
1070340	\$0.00	\$6,776.00	1151716	\$0.00	\$9,321.84
1070358	\$0.00	\$7,429.00	1151717	\$0.00	\$3,571.76
1070429	\$0.00	\$40,281.00	5000001	\$0.00	\$29,885.00
1070432	\$0.00	\$39,403.00	5000002	\$0.00	\$17,229.50
1070446	\$0.00	\$2,361.30	5000003	\$0.00	\$633,183.03
1070487	\$0.00	\$5,119.75	5000004	\$0.00	\$167,574.15
1070492	\$0.00	\$1,305.66	5000007	\$0.00	\$36,114.00
1070532	\$0.00	\$3,293.50	5000011	\$0.00	\$78,435.64
1070554	\$0.00	\$10,875.00	5000014	\$0.00	\$3,924.43
1070627	\$0.00	\$20,500.00	5000016	\$0.00	\$76,470.22
1070638	\$0.00	\$13,890.00	5000017	\$0.00	\$91,674.00
1070669	\$0.00	\$4,605.20	5000019	\$0.00	\$344,472.00
1070683	\$0.00	\$1,002.98	5000022	\$0.00	\$1,597,315.00
1070695	\$0.00	\$968.00	5000026	\$0.00	\$9,523.85
1070701	\$0.00	\$117,850.00	5000027	\$0.00	\$135,087.41
1070703	\$0.00	\$22,224.00	5000028	\$0.00	\$70,491.60
1070705	\$0.00	\$41,624.00	5000029	\$0.00	\$29,698.71
1070718	\$0.00	\$4,444.80	5000030	\$0.00	\$374,055.15
1070724	\$0.00	\$6,639.42	5000033	\$0.00	\$123.07
1070781	\$0.00	\$11,945.40	5000034	\$0.00	\$80,992.59
1070799	\$0.00	\$70,155.71	5000035	\$0.00	\$310,163.70
1070839	\$0.00	\$5,610.50	5000037	\$0.00	\$87,555.39
1070848	\$0.00	\$6,365.40	5000039	\$0.00	\$15,188.46
1070863	\$0.00	\$215.00	5000040	\$0.00	\$532.91
1070868	\$0.00	\$34,725.00	5000055	\$0.00	\$94.21
1070963	\$0.00	\$5,556.00	5000062	\$0.00	\$1,389.00
1071018	\$0.00	\$1,936.00	5000063	\$0.00	\$11,997.62
1071057	\$0.00	\$968.00	5000064	\$0.00	\$31,947.00
1071061	\$0.00	\$1,936.00	5000065	\$0.00	\$9,723.00
1071102	\$0.00	\$4,167.00	5000069	\$0.00	\$12,584.00
1071109	\$0.00	\$1,930.71	5000070	\$0.00	\$9,443.40
1071188	\$0.00	\$15,702.00	5000076	\$0.00	\$14,520.00
1071191	\$0.00	\$10,681.50	5000088	\$0.00	\$367,840.00
1071195	\$0.00	\$43,915.24	5000089	\$0.00	\$85,346.58
1071349	\$0.00	\$4,419.90	5000091	\$0.00	\$29,495.50
1071351	\$0.00	\$315.00	5000092	\$0.00	\$528,768.14
1071532	\$0.00	\$11,245.30	5000093	\$0.00	\$167,353.00
1071561	\$0.00	\$24,697.93	5000094	\$0.00	\$294,767.27
1071571	\$0.00	\$968.00	5000096	\$0.00	\$1,992,820.75
1071628	\$0.00	\$4,167.00	5000097	\$0.00	\$1,762,321.25
1071675	\$0.00	\$968.00	5000112	\$0.00	\$5,546.01
1071740	\$0.00	\$2,745.49	5000113	\$0.00	\$213,341.93
1071767	\$0.00	\$1,716.62	5000114	\$0.00	\$27,689,729.03
1071824	\$0.00	\$6,945.00	5000115	\$294,396.27	\$642,436.53
1071839	\$0.00	\$2,075.00	5000116	\$47,874.18	\$254,105.63
1071847	\$0.00	\$421.00	5000117	\$0.00	\$392,147.85
1071850	\$0.00	\$15,020.80	5000122	\$0.00	\$21,339.34
1071902	\$0.00	\$12,108.00	5000123	\$0.00	\$189,519.18
1071961	\$0.00	\$19,360.00	5000125	\$0.00	\$187,004.35
1071982	\$0.00	\$846.00	5000126	\$0.00	\$16,192,462.89
1071995	\$0.00	\$56,949.00	5000127	\$547,691.69	\$2,008,223.94
1072055	\$0.00	\$48,400.00	5000128	\$0.00	\$59,932.54
1072065	\$0.00	\$7,492.00	5000129	\$155,618.39	\$278,026.15
1072078	\$0.00	\$2,083.50	5000130	\$507,884.04	\$4,154,649.18
1072111	\$0.00	\$80,685.42	5000131	\$0.00	\$47,928.24
1072136	\$0.00	\$35,540.60	5000132	\$0.00	\$430,154.15
1072177	\$0.00	\$2,220.02	5000134	\$0.00	\$86,609.10
1072200	\$0.00	\$1,894.50	5000135	\$0.00	\$164,664.20
1072204	\$0.00	\$13,567.85	5000136	\$0.00	\$396,733.34
1072208	\$0.00	\$5,389.00	5000137	\$0.00	\$11,731.72
1072238	\$0.00	\$4,167.00	5000142	\$0.00	\$228,006.85

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1072270	\$0.00	\$13,890.00	5000211	\$0.00	\$24.87
1072271	\$0.00	\$1,389.00	5000212	\$0.00	\$555.60
1072295	\$0.00	\$41,710.18	5000220	\$0.00	\$83,934.81
1072776	\$0.00	\$9,390.00	5000228	\$0.00	\$9,680.00
1072867	\$0.00	\$2,509.98	5000231	\$0.00	\$42.10
1072871	\$0.00	\$7,470.00	5000239	\$0.00	\$1,713.40
1072894	\$0.00	\$4,167.00	5000243	\$0.00	\$166.10
1072929	\$0.00	\$6,945.00	5000244	\$0.00	\$72,701.75
1072932	\$0.00	\$134,218.15	5000245	\$0.00	\$7,059.13
1072984	\$0.00	\$6,803.02	5000250	\$0.00	\$10,279.11
1072990	\$0.00	\$4,292.01	5000255	\$0.00	\$50,006.88
1072999	\$0.00	\$1,936.00	5000256	\$0.00	\$2,639.00
1073010	\$0.00	\$7,500.60	5000261	\$0.00	\$15,955.90
1073015	\$0.00	\$22,457.60	5000263	\$0.00	\$48,375.58
1073028	\$0.00	\$232.32	5000278	\$0.00	\$3,405.00
1073036	\$0.00	\$10,552.00	5000279	\$0.00	\$154,331.79
1073102	\$0.00	\$421.00	5000280	\$0.00	\$311,383.00
1073128	\$0.00	\$1,836.00	5000285	\$0.00	\$238.65
1073139	\$0.00	\$2,020.50	5000287	\$0.00	\$15,337.66
1073202	\$0.00	\$241.10	5000288	\$0.00	\$15,491.37
1073239	\$0.00	\$726.00	5000289	\$0.00	\$11,364.32
1073256	\$0.00	\$6,448.20	5000290	\$0.00	\$18,382.32
1073400	\$0.00	\$18,662.00	5000293	\$0.00	\$5,523.67
1073418	\$0.00	\$1,081.70	5000294	\$0.00	\$222.66
1073456	\$0.00	\$61,030.00	5000295	\$0.00	\$78,781.59
1073469	\$0.00	\$2,904.00	5000300	\$0.00	\$85,154.05
1073480	\$0.00	\$6,845.48	5000301	\$0.00	\$39,012.85
1073579	\$0.00	\$21,925.50	5000302	\$0.00	\$14,048.93
1073588	\$0.00	\$4,840.00	5000305	\$0.00	\$16,001.99
1073604	\$0.00	\$15,279.00	5000306	\$0.00	\$458,592.36
1073606	\$0.00	\$4,617.55	5000307	\$0.00	\$79,706.52
1073646	\$0.00	\$277.80	5000308	\$0.00	\$1,975.50
1073658	\$0.00	\$968.00	5000309	\$0.00	\$1,010.37
1073659	\$0.00	\$4,840.00	5000311	\$0.00	\$32,613.72
1073660	\$0.00	\$27,780.00	5000314	\$0.00	\$12,321.67
1073663	\$0.00	\$9,680.00	5000315	\$0.00	\$308,127.98
1073668	\$0.00	\$41,670.00	5000316	\$0.00	\$416.70
1073685	\$0.00	\$114,375.77	5000320	\$0.00	\$421.00
1073703	\$0.00	\$56,623.80	5000321	\$0.00	\$320,491.00
1073707	\$0.00	\$7,479.10	5000322	\$0.00	\$65,240.00
1073708	\$0.00	\$16,668.00	5000325	\$0.00	\$24,015.81
1073769	\$0.00	\$72,600.00	5000326	\$0.00	\$65,324.67
1073798	\$0.00	\$4,444.80	5000332	\$0.00	\$3,699.33
1073799	\$0.00	\$56,820.00	5000337	\$0.00	\$45,142.50
1073815	\$0.00	\$3,899.95	5000338	\$0.00	\$37,155.75
1073823	\$0.00	\$13,890.00	5000339	\$0.00	\$109,383.75
1073837	\$0.00	\$23,232.00	5000340	\$0.00	\$3,379,576.62
1073851	\$0.00	\$2,736.33	5000341	\$0.00	\$2,760,602.62
1073889	\$0.00	\$25,569.50	5000342	\$0.00	\$127,672.39
1073891	\$0.00	\$2,778.00	5000348	\$0.00	\$152,520.31
1073929	\$0.00	\$29,040.00	5000351	\$47,722.59	\$2,250,089.19
1073944	\$0.00	\$265,932.75	5000353	\$159,898.32	\$3,026,161.67
1073965	\$0.00	\$27,707.00	5000355	\$0.00	\$54,258.12
1073998	\$0.00	\$1,740.00	5000356	\$0.00	\$770,895.00
1074046	\$0.00	\$27,780.00	5000365	\$0.00	\$302,490.00
1074057	\$0.00	\$6,945.00	5000372	\$0.00	\$14,014,509.66
1074064	\$0.00	\$1,389.00	5000376	\$0.00	\$9,680.00
1074134	\$0.00	\$3,465.50	5000382	\$0.00	\$1,004.42
1074165	\$0.00	\$2,426.00	5000389	\$0.00	\$23,164.24
1074195	\$0.00	\$1,389.00	5000391	\$0.00	\$43,560.00
1074224	\$0.00	\$62,343.65	5000409	\$0.00	\$3,811,171.03
1074240	\$0.00	\$83,214.95	5000410	\$0.00	\$16,109,603.16
1074255	\$0.00	\$277.80	5000412	\$0.00	\$639,574.38
1074259	\$0.00	\$57,889.95	5000413	\$0.00	\$2,391,072.63
1074260	\$0.00	\$40,827.43	5000414	\$0.00	\$14,297.23
1074261	\$0.00	\$6,555.40	5000415	\$0.00	\$23,521.41
1074262	\$0.00	\$4,089.00	5000416	\$0.00	\$241,229.40
1074271	\$0.00	\$1,619.91	5000417	\$0.00	\$24,274,981.93
1074301	\$0.00	\$2,904.00	5000418	\$0.00	\$537,204.90
1074310	\$0.00	\$354.32	5000419	\$0.00	\$1,233,758.90
1074347	\$0.00	\$11,062.00	5000421	\$0.00	\$4,333.56
1074351	\$0.00	\$36,873.06	5000424	\$0.00	\$54,990.17
1074373	\$0.00	\$14,520.00	5000425	\$0.00	\$144,553.23
1074383	\$0.00	\$2,505.50	5000432	\$0.00	\$43,188.00
1074388	\$0.00	\$400.63	5000433	\$0.00	\$83,340.00
1074415	\$0.00	\$19,360.00	5000442	\$0.00	\$30,850.45
1074420	\$0.00	\$4,225.00	5000500	\$0.00	\$69,357.00
1074459	\$0.00	\$9,007.00	5000501	\$0.00	\$6,481.10
1074492	\$0.00	\$98,490.00	5000503	\$0.00	\$46,859.27

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1074497	\$0.00	\$11,785.00	5000508	\$44.80	\$41.66
1074537	\$0.00	\$833.40	5000510	\$0.00	\$10,861.98
1074554	\$0.00	\$10,922.00	5000516	\$0.00	\$2,020.35
1074564	\$0.00	\$4,167.00	5000518	\$0.00	\$273,283.95
1074576	\$0.00	\$5,556.00	5000522	\$0.00	\$3,810.54
1074594	\$0.00	\$3,432.50	5000525	\$0.00	\$33,812.00
1074635	\$0.00	\$1,538.21	5000527	\$0.00	\$1,022,266.54
1074650	\$0.00	\$6,143.60	5000528	\$0.00	\$7,059.00
1074652	\$0.00	\$5,345.70	5000529	\$0.00	\$67,689.25
1074692	\$0.00	\$16,085.00	5000530	\$0.00	\$10,200.05
1074806	\$0.00	\$688.50	5000531	\$0.00	\$75,621.00
1074844	\$0.00	\$2,778.00	5000533	\$0.00	\$9,864.03
1074890	\$0.00	\$2,361.30	5000534	\$0.00	\$224,987.91
1074924	\$0.00	\$5,102.05	5000537	\$0.00	\$177,792.00
1074933	\$0.00	\$666.69	5000542	\$0.00	\$15,341.56
1074942	\$0.00	\$9,680.00	5000544	\$0.00	\$5,249,864.40
1075055	\$0.00	\$5,643.99	5000547	\$0.00	\$8,217,622.48
1075065	\$0.00	\$2,083.50	5000548	\$0.00	\$42,924.36
1075076	\$0.00	\$117.40	5000549	\$0.00	\$39,541.00
1075089	\$0.00	\$3,245.61	5000551	\$0.00	\$1,280,906.23
1075208	\$0.00	\$7,065.27	5000552	\$0.00	\$1,499,264.14
1075233	\$0.00	\$778.00	5000553	\$0.00	\$465,414.80
1075352	\$0.00	\$1,863.34	5000554	\$0.00	\$505,097.04
1075370	\$0.00	\$3,813.67	5000555	\$0.00	\$356,059.62
1075389	\$0.00	\$851.64	5000556	\$0.00	\$15,844,764.25
1075408	\$0.00	\$676.75	5000557	\$0.00	\$9,474,506.17
1075475	\$0.00	\$463.00	5000559	\$0.00	\$90,487.76
1075481	\$0.00	\$14,520.00	5000560	\$0.00	\$5,301,737.83
1075500	\$0.00	\$1,631.60	5000561	\$0.00	\$3,374,425.03
1075547	\$0.00	\$750.06	5000562	\$0.00	\$26,395.20
1075550	\$0.00	\$2,083.50	5000565	\$102,453.12	\$198,691.40
1075562	\$0.00	\$1,597.35	5000569	\$0.00	\$205,537.05
1075600	\$0.00	\$1,389.00	5000570	\$0.00	\$969,174.75
1075620	\$0.00	\$1,936.00	5000573	\$0.00	\$596,575.50
1075696	\$0.00	\$9,425.00	5000574	\$0.00	\$1,728,379.20
1075743	\$0.00	\$763.95	5000575	\$0.00	\$50,517.00
1075774	\$0.00	\$6,945.00	5000578	\$0.00	\$325,906.45
1075775	\$0.00	\$6,945.00	5000581	\$0.00	\$4,718,799.80
1075790	\$0.00	\$4,167.00	5000582	\$0.00	\$288,297.40
1075794	\$0.00	\$3,048.47	5000583	\$7,212.24	\$26,525.47
1075885	\$0.00	\$1,246.10	5000589	\$0.00	\$869,551.39
1075906	\$0.00	\$25,992.05	5000597	\$0.00	\$198,359.00
1075969	\$0.00	\$69,450.00	5000599	\$0.00	\$308,886.01
1076009	\$0.00	\$6,910.00	5000600	\$0.00	\$36,341.57
1076042	\$0.00	\$119,870.70	5000601	\$0.00	\$20,728.93
1076100	\$0.00	\$17,007.37	5000602	\$0.00	\$2,778.00
1076111	\$0.00	\$8,712.00	5000603	\$0.00	\$2,618.85
1076120	\$0.00	\$6,945.00	5000604	\$0.00	\$219,344.14
1076121	\$0.00	\$16,105.00	5000605	\$0.00	\$1,029,469.64
1076153	\$0.00	\$2,778.00	5000607	\$0.00	\$9,723.00
1076233	\$0.00	\$6,945.00	5000608	\$0.00	\$7,667.28
1076252	\$0.00	\$3,804.00	5000609	\$0.00	\$19,391.40
1076303	\$0.00	\$114,480.80	5000610	\$0.00	\$11,112.00
1076333	\$0.00	\$7,625.00	5000611	\$0.00	\$77,909.01
1076386	\$0.00	\$9,880.00	5000612	\$0.00	\$67,960.23
1076442	\$0.00	\$2,893.99	5000613	\$0.00	\$26,852,000.00
1076506	\$0.00	\$9,786.00	5000614	\$0.00	\$255,329.50
1076514	\$0.00	\$1,811.48	5000615	\$0.00	\$746,611.00
1076515	\$0.00	\$3,153.47	5000617	\$0.00	\$48,032.00
1076536	\$0.00	\$1,203.88	5000619	\$0.00	\$14,493.87
1076716	\$0.00	\$87.75	5000621	\$0.00	\$111,120.00
1076717	\$0.00	\$315.75	5000622	\$0.00	\$123,085.60
1076718	\$0.00	\$311.75	5000623	\$0.00	\$36,478.00
1076733	\$0.00	\$20,727.00	5000624	\$0.00	\$18,545.00
1076750	\$0.00	\$46,920.00	5000625	\$0.00	\$235,088.25
1076783	\$0.00	\$4,840.00	5000627	\$0.00	\$1,920.00
1076795	\$0.00	\$9,680.00	5000629	\$0.00	\$4,097.52
1076821	\$0.00	\$29,040.00	5000630	\$0.00	\$416,700.00
1076828	\$0.00	\$1,974.00	5000632	\$0.00	\$6,430.30
1076847	\$0.00	\$2,602.02	5000633	\$0.00	\$1,944.60
1076899	\$0.00	\$589.25	5000635	\$0.00	\$33,439,833.69
1076906	\$0.00	\$27,780.00	5000638	\$0.00	\$299,067.85
1076940	\$0.00	\$1,936.00	5000639	\$0.00	\$331,562.76
1077137	\$0.00	\$9,680.00	5000640	\$0.00	\$1,137,571.18
1077163	\$0.00	\$19,360.00	5000643	\$0.00	\$746,118.28
1077166	\$0.00	\$65,839.15	5000644	\$0.00	\$1,398,914.56
1077288	\$0.00	\$645.24	5000645	\$0.00	\$3,736,705.43
1077308	\$0.00	\$14,689.20	5000646	\$139,920.00	\$130,125.60
1077359	\$0.00	\$83,340.00	5000647	\$0.00	\$13,552.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1077376	\$0.00	\$10,417.50	5000649	\$0.00	\$8,348,871.25
1077380	\$0.00	\$18,057.00	5000650	\$0.00	\$6,969,062.23
1077381	\$0.00	\$13,890.00	5000652	\$0.00	\$912,773.77
1077382	\$0.00	\$20,835.00	5000654	\$0.00	\$95,112.00
1077385	\$0.00	\$4,840.00	5000655	\$0.00	\$1,183,261.20
1077386	\$0.00	\$2,778.00	5000656	\$0.00	\$226,982.04
1077403	\$0.00	\$4,673.94	5000657	\$0.00	\$24,089.62
1077404	\$0.00	\$5,298.16	5000658	\$0.00	\$350,494.05
1077405	\$0.00	\$4,167.00	5000660	\$2,708,600.00	\$3,233,056.48
1077439	\$0.00	\$2,321.07	5000665	\$0.00	\$56,202.08
1077583	\$0.00	\$180,570.00	5000672	\$0.00	\$2,842.87
1077590	\$0.00	\$25,002.00	5000673	\$0.00	\$3,594.78
1077595	\$0.00	\$111,120.00	5000674	\$0.00	\$992.13
1077607	\$0.00	\$68,750.50	5000675	\$0.00	\$960.38
1077642	\$0.00	\$2,904.00	5000676	\$0.00	\$687.20
1077656	\$0.00	\$107.70	5000677	\$0.00	\$15,626.25
1077667	\$0.00	\$3,149.13	5000678	\$0.00	\$2,361.30
1077717	\$0.00	\$6,292.00	5000679	\$0.00	\$5,161.73
1077788	\$0.00	\$347.25	5000680	\$0.00	\$769.26
1077864	\$0.00	\$5,556.00	5000681	\$0.00	\$5,597.70
1077865	\$0.00	\$1,389.00	5000682	\$0.00	\$481.60
1077911	\$0.00	\$9,680.00	5000683	\$0.00	\$3,591.88
1077946	\$0.00	\$9,578.30	5000684	\$0.00	\$10,000.80
1077959	\$0.00	\$104,255.50	5000685	\$0.00	\$1,725.95
1078045	\$0.00	\$7,417.26	5000686	\$0.00	\$1,226.43
1078066	\$0.00	\$9,848.01	5000687	\$0.00	\$3,561.49
1078071	\$0.00	\$16,625.00	5000688	\$0.00	\$795.17
1078086	\$0.00	\$9,849.00	5000689	\$0.00	\$2,884.77
1078278	\$0.00	\$11,616.00	5000690	\$0.00	\$2,449.96
1078290	\$0.00	\$13,890.00	5000691	\$0.00	\$2,691.96
1078297	\$0.00	\$36,270.75	5000692	\$0.00	\$6,945.00
1078304	\$0.00	\$5,915.25	5000693	\$0.00	\$2,093.23
1078305	\$0.00	\$4,480.00	5000694	\$0.00	\$930.44
1078306	\$0.00	\$7,437.08	5000695	\$0.00	\$1,265.54
1078310	\$0.00	\$2,083.50	5000696	\$0.00	\$4,894.63
1078312	\$0.00	\$3,472.50	5000697	\$0.00	\$449.92
1078316	\$0.00	\$26,625.20	5000698	\$0.00	\$2,458.66
1078343	\$0.00	\$3,776.33	5000699	\$0.00	\$694.50
1078382	\$0.00	\$79,892.40	5000700	\$0.00	\$1,561.52
1078460	\$0.00	\$27,089.80	5000701	\$0.00	\$10,577.65
1078479	\$0.00	\$4,167.00	5000702	\$0.00	\$626.52
1078770	\$0.00	\$852.80	5000703	\$0.00	\$20,052.53
1078951	\$0.00	\$35,994.90	5000704	\$0.00	\$4,577.42
1079006	\$0.00	\$39,426.50	5000705	\$0.00	\$2,169.00
1079232	\$0.00	\$11,519.20	5000706	\$0.00	\$9,628.34
1079248	\$0.00	\$13,860.45	5000707	\$0.00	\$9,150.31
1079297	\$0.00	\$13,890.00	5000708	\$0.00	\$4,407.01
1079370	\$0.00	\$1,389.00	5000711	\$0.00	\$4,167.00
1079408	\$0.00	\$61,153.80	5000713	\$0.00	\$14,316.40
1079428	\$0.00	\$3,312.00	5000715	\$0.00	\$16,940.37
1079429	\$0.00	\$5,025.00	5000716	\$0.00	\$1,091.62
1079436	\$0.00	\$1,715.00	5000717	\$0.00	\$1,681.45
1079499	\$0.00	\$3,388.00	5000718	\$0.00	\$5,985.15
1079519	\$0.00	\$5,135.00	5000719	\$0.00	\$5,727.87
1079544	\$0.00	\$10,370.00	5000720	\$0.00	\$1,478.65
1079551	\$0.00	\$20,835.00	5000721	\$0.00	\$2,646.52
1079595	\$0.00	\$111,053.42	5000722	\$0.00	\$8,214.35
1079706	\$0.00	\$9,723.00	5000723	\$0.00	\$1,354.82
1079708	\$0.00	\$41,670.00	5000724	\$0.00	\$5,266.24
1079713	\$0.00	\$2,778.00	5000725	\$0.00	\$4,167.00
1079714	\$0.00	\$6,945.00	5000726	\$0.00	\$2,503.42
1079716	\$0.00	\$41,670.00	5000727	\$0.00	\$13,863.03
1079723	\$0.00	\$2,778.00	5000728	\$0.00	\$626.52
1079726	\$0.00	\$9,723.00	5000729	\$0.00	\$763.90
1079728	\$0.00	\$9,723.00	5000730	\$0.00	\$723.61
1079730	\$0.00	\$6,945.00	5000731	\$0.00	\$2,083.50
1079731	\$0.00	\$4,167.00	5000732	\$0.00	\$208.35
1079734	\$0.00	\$4,167.00	5000733	\$0.00	\$138.90
1079735	\$0.00	\$2,778.00	5000735	\$0.00	\$2,778.00
1079747	\$0.00	\$6,945.00	5000736	\$0.00	\$5,895.17
1079759	\$0.00	\$79,339.68	5000737	\$0.00	\$4,834.25
1079763	\$0.00	\$1,944.60	5000738	\$0.00	\$5,924.49
1079925	\$0.00	\$2,778.00	5000739	\$0.00	\$1,286.94
1079997	\$0.00	\$2,083.50	5000740	\$0.00	\$80.60
1080005	\$0.00	\$11,470.80	5000741	\$0.00	\$2,854.03
1080006	\$0.00	\$15,931.83	5000743	\$0.00	\$3,525.89
1080106	\$0.00	\$7,071.00	5000746	\$0.00	\$5,899.55
1080151	\$0.00	\$13,306.62	5000747	\$0.00	\$882.51
1080198	\$0.00	\$11,751.26	5000748	\$0.00	\$13,890.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1080234	\$0.00	\$1,982.40	5000749	\$0.00	\$6,274.49
1080236	\$0.00	\$660.80	5000750	\$0.00	\$993.19
1080247	\$0.00	\$9,456.01	5000751	\$0.00	\$1,640.86
1080316	\$0.00	\$4,119.00	5000752	\$0.00	\$2,464.15
1080330	\$0.00	\$13,890.00	5000753	\$0.00	\$11,009.32
1080346	\$0.00	\$40,281.00	5000754	\$0.00	\$96,800.00
1080374	\$0.00	\$3,472.50	5000756	\$0.00	\$486.51
1080420	\$0.00	\$1,250.10	5000758	\$0.00	\$402.81
1080437	\$0.00	\$1,385.50	5000759	\$0.00	\$2,242.08
1080441	\$0.00	\$241.50	5000760	\$0.00	\$3,789.40
1080450	\$0.00	\$2,569.65	5000761	\$0.00	\$2,449.96
1080453	\$0.00	\$555.60	5000763	\$0.00	\$1,677.50
1080467	\$0.00	\$486,150.00	5000764	\$0.00	\$13,890.00
1080486	\$0.00	\$62,535.00	5000765	\$0.00	\$4,032.96
1080706	\$0.00	\$2,778.00	5000766	\$0.00	\$3,704.23
1080707	\$0.00	\$347.25	5000767	\$0.00	\$4,735.75
1080716	\$0.00	\$2,083.50	5000768	\$0.00	\$1,718.15
1080717	\$0.00	\$4,167.00	5000770	\$0.00	\$221.95
1080718	\$0.00	\$1,389.00	5000771	\$0.00	\$371.09
1080722	\$0.00	\$8,862.16	5000772	\$0.00	\$1,593.82
1080731	\$0.00	\$2,083.50	5000773	\$0.00	\$2,009.47
1080783	\$0.00	\$7,219.50	5000774	\$0.00	\$2,931.46
1080798	\$0.00	\$921.10	5000775	\$0.00	\$1,743.08
1080806	\$0.00	\$16,668.00	5000776	\$0.00	\$4,263.13
1080814	\$0.00	\$1,324.50	5000777	\$0.00	\$2,486.37
1080839	\$0.00	\$132,000.00	5000778	\$0.00	\$7,192.65
1080853	\$0.00	\$76,390.00	5000779	\$0.00	\$6,911.86
1080864	\$0.00	\$968.00	5000782	\$0.00	\$1,546.46
1080957	\$0.00	\$1,753.00	5000783	\$0.00	\$2,694.69
1081072	\$0.00	\$2,778.00	5000784	\$0.00	\$3,273.04
1081138	\$0.00	\$83,826.15	5000785	\$0.00	\$1,822.42
1081160	\$0.00	\$5,208.75	5000786	\$0.00	\$1,335.92
1081170	\$0.00	\$27,163.50	5000787	\$0.00	\$2,847.18
1081183	\$0.00	\$18,640.00	5000788	\$0.00	\$1,656.58
1081186	\$0.00	\$8,204.70	5000789	\$0.00	\$2,968.08
1081200	\$0.00	\$9,680.00	5000790	\$0.00	\$2,403.49
1081202	\$0.00	\$1,813.88	5000791	\$0.00	\$2,563.64
1081290	\$0.00	\$9,769.50	5000792	\$0.00	\$2,464.15
1081314	\$0.00	\$2,307.48	5000793	\$0.00	\$227.99
1081315	\$0.00	\$921.96	5000794	\$0.00	\$4,258.16
1081324	\$0.00	\$6,250.50	5000795	\$0.00	\$1,597.35
1081327	\$0.00	\$4,167.00	5000796	\$0.00	\$3,903.92
1081330	\$0.00	\$2,778.00	5000797	\$0.00	\$1,642.23
1081333	\$0.00	\$10,932.20	5000798	\$0.00	\$22,646.81
1081335	\$0.00	\$6,538.00	5000799	\$0.00	\$980.28
1081351	\$0.00	\$14,520.00	5000800	\$0.00	\$22,968.73
1081357	\$0.00	\$37,460.00	5000801	\$0.00	\$6,990.70
1081364	\$0.00	\$5,454.76	5000802	\$0.00	\$4,850.93
1081365	\$0.00	\$2,764.00	5000803	\$0.00	\$4,786.53
1081396	\$0.00	\$27,780.00	5000805	\$0.00	\$6,368.86
1081415	\$0.00	\$1,820.00	5000806	\$0.00	\$63.20
1081435	\$0.00	\$18,651.50	5000807	\$0.00	\$2,778.00
1081509	\$0.00	\$6,945.00	5000808	\$0.00	\$5,177.35
1081526	\$0.00	\$4,167.00	5000809	\$0.00	\$6,730.43
1081577	\$0.00	\$210.50	5000811	\$0.00	\$2,134.11
1081583	\$0.00	\$465,513.23	5000812	\$0.00	\$2,316.64
1081638	\$0.00	\$13,890.00	5000813	\$0.00	\$2,115.53
1081667	\$0.00	\$3,681.13	5000814	\$0.00	\$4,304.00
1081700	\$0.00	\$202,020.00	5000815	\$0.00	\$5,443.38
1081751	\$0.00	\$26,202.57	5000816	\$0.00	\$5,342.97
1081838	\$0.00	\$18,485.48	5000817	\$0.00	\$5,756.81
1081850	\$0.00	\$3,472.50	5000818	\$0.00	\$7,678.07
1081864	\$0.00	\$25,675.00	5000819	\$0.00	\$2,370.41
1081923	\$0.00	\$2,017.00	5000820	\$0.00	\$5,109.12
1081924	\$0.00	\$11,420.00	5000822	\$0.00	\$4,386.89
1081955	\$0.00	\$2,083.50	5000833	\$0.00	\$216,422.00
1081996	\$0.00	\$968.00	5000834	\$0.00	\$5,459.04
1082083	\$0.00	\$68,770.00	5000850	\$0.00	\$6,945.00
1082108	\$0.00	\$49,153.37	5000861	\$0.00	\$9,680.00
1082141	\$0.00	\$2,778.00	5000890	\$0.00	\$116,850.07
1082142	\$0.00	\$4,193.86	5000907	\$0.00	\$4,210.00
1082152	\$0.00	\$27,780.00	5000909	\$0.00	\$1,936.00
1082176	\$0.00	\$96,800.00	5000912	\$0.00	\$8,127.01
1082181	\$0.00	\$1,658.00	5000913	\$0.00	\$1,389.00
1082241	\$0.00	\$329.99	5000914	\$0.00	\$13,890.00
1082410	\$0.00	\$2,778.00	5000915	\$0.00	\$8,681.25
1082519	\$0.00	\$1,252.00	5000916	\$0.00	\$2,778.00
1082550	\$0.00	\$12,701.86	5000917	\$0.00	\$1,389.00
1082557	\$0.00	\$17,819.00	5000918	\$0.00	\$3,472.50

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1082732	\$0.00	\$1,936.00	5000935	\$0.00	\$11,112.00
1082808	\$0.00	\$7,685.18	5000947	\$0.00	\$185,353.49
1082866	\$0.00	\$274.57	5000966	\$0.00	\$23,592.42
1082890	\$0.00	\$640.50	5000967	\$0.00	\$6,103.00
1082897	\$0.00	\$13,890.00	5000968	\$0.00	\$2,105.00
1082945	\$0.00	\$13,890.00	5000974	\$0.00	\$6,250.50
1082949	\$0.00	\$5,301.25	5000983	\$0.00	\$118,701.00
1083011	\$0.00	\$69,450.00	5000989	\$0.00	\$55,560.00
1083025	\$0.00	\$1,669.00	5001002	\$0.00	\$8,420.00
1083093	\$0.00	\$3,938.10	5001005	\$0.00	\$13,816.55
1083142	\$0.00	\$1,389.00	5001007	\$0.00	\$15,444.35
1083331	\$0.00	\$13,890.00	5001008	\$0.00	\$3,824.10
1083369	\$0.00	\$6,945.00	5001009	\$0.00	\$39,477.44
1083399	\$0.00	\$17,531.88	5001010	\$0.00	\$43,714.27
1083436	\$0.00	\$256,827.45	5001013	\$3,986,106.00	\$3,986,106.00
1083474	\$0.00	\$1,262.67	5001047	\$176,137.80	\$176,137.80
1083522	\$0.00	\$27,780.00	5001052	\$0.00	\$855,484.15
1083616	\$0.00	\$1,205.97	5001058	\$0.00	\$40,819.20
1083683	\$0.00	\$2,778.00	5001059	\$0.00	\$24,900.32
1083706	\$0.00	\$26,324.58	5001060	\$0.00	\$21,935.80
1083718	\$0.00	\$84,498.64	5001061	\$0.00	\$17,709.75
1083848	\$0.00	\$20,835.00	5001062	\$0.00	\$972.30
1083882	\$0.00	\$421.00	5001073	\$0.00	\$36,669.58
1083887	\$0.00	\$20,835.00	5001074	\$0.00	\$22,511.72
1083911	\$0.00	\$206.28	5001075	\$0.00	\$70,764.32
1083912	\$0.00	\$188,560.00	5001080	\$0.00	\$36,808.50
1083922	\$0.00	\$129,785.10	5001081	\$36,015.30	\$36,015.30
1083953	\$0.00	\$1,389.00	5001082	\$159,391.90	\$159,391.90
1083975	\$0.00	\$9,723.00	5001086	\$0.00	\$13,890.00
1084001	\$0.00	\$2,105.00	5001088	\$0.00	\$90,285.00
1084020	\$0.00	\$24,434.99	5001089	\$0.00	\$277.80
1084096	\$0.00	\$416.70	5001122	\$0.00	\$31,080.00
1084114	\$0.00	\$4,167.00	5001135	\$0.00	\$4,460.00
1084146	\$0.00	\$13,890.00	5001152	\$0.00	\$53,520.00
1084151	\$0.00	\$13,890.00	5001258	\$0.00	\$15,898.02
1084210	\$0.00	\$13,890.00	5001284	\$0.00	\$197,728.24
1084294	\$0.00	\$11,112.00	5001419	\$0.00	\$196,104.42
1084311	\$0.00	\$4,385.04	5001429	\$40.00	\$37.20
1084325	\$0.00	\$6,945.00	5001532	\$0.00	\$121,883.98
1084347	\$0.00	\$4,404.40	5001679	\$0.00	\$232,976.97
1084407	\$0.00	\$96,800.00	5001705	\$0.00	\$30,912.00
1084550	\$0.00	\$13,890.00	5001712	\$0.00	\$52,768.00
1084645	\$0.00	\$6,945.00	5001713	\$0.00	\$19,128.00
1084677	\$0.00	\$706.64	5001757	\$0.00	\$1,045.44
1084698	\$0.00	\$7,041.93	5001768	\$0.00	\$3,372.25
1084708	\$0.00	\$2,540.00	5001961	\$0.00	\$44,120.00
1084729	\$0.00	\$128,126.02	5001992	\$0.00	\$36,919.60
1084896	\$0.00	\$16,668.00	5002225	\$0.00	\$113,249.00
1084951	\$0.00	\$20,835.00	5002228	\$0.00	\$3,620.60
1084992	\$0.00	\$13,890.00	5002232	\$0.00	\$842,000.00
1085014	\$0.00	\$290.40	5002233	\$0.00	\$315,750.00
1085099	\$0.00	\$694.50	5002237	\$0.00	\$9,483.22
1085171	\$0.00	\$3,875.64	5002255	\$0.00	\$47,574.85
1085178	\$0.00	\$151,250.00	5002256	\$0.00	\$2,362,565.13
1085180	\$0.00	\$23,460.00	5002257	\$0.00	\$397,043.41
1085199	\$0.00	\$5,556.00	5002258	\$0.00	\$137,065.59
1085235	\$11,040.00	\$11,327.40	5002260	\$0.00	\$14,702.55
1085251	\$0.00	\$31,169.60	5002266	\$0.00	\$1,227.57
1085345	\$0.00	\$669.76	5002270	\$0.00	\$39,044.64
1085364	\$0.00	\$2,778.00	5002278	\$3,255,750.00	\$3,337,072.04
1085422	\$0.00	\$4,167.00	5002282	\$0.00	\$2,105.00
1085479	\$0.00	\$1,389.00	5002286	\$0.00	\$40,697.70
1085527	\$0.00	\$2,778.00	5002287	\$0.00	\$75,380.32
1085570	\$0.00	\$968.00	5002288	\$0.00	\$124,573.93
1087179	\$0.00	\$13,890.00	5002289	\$107,175.76	\$210,756.51
1087286	\$0.00	\$145,200.00	5002290	\$0.00	\$64,368.35
1087287	\$0.00	\$33,336.00	5002295	\$0.00	\$7,194.00
1087342	\$0.00	\$6,945.00	5002296	\$0.00	\$2,513.91
1087352	\$0.00	\$34,515.00	5002297	\$0.00	\$23,213.50
1087373	\$0.00	\$13,890.00	5002300	\$0.00	\$954,590.25
1087387	\$0.00	\$2,778.00	5002309	\$0.00	\$983.27
1087400	\$0.00	\$13,890.00	5002310	\$0.00	\$54,171.00
1087415	\$0.00	\$27,780.00	5002325	\$6,508,185.00	\$10,138,130.54
1087430	\$0.00	\$1,936.00	5002336	\$3,100,000.00	\$3,759,491.58
1087434	\$0.00	\$9,723.00	5002360	\$0.00	\$522.00
1087477	\$0.00	\$2,778.00	5002382	\$0.00	\$176,403.00
1087492	\$0.00	\$2,778.00	5002385	\$0.00	\$34,248.84
1087504	\$0.00	\$2,778.00	5002402	\$0.00	\$83.34
1087524	\$0.00	\$2,778.00	5002405	\$0.00	\$266,957.07

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1087525	\$0.00	\$19,446.00	5002409	\$0.00	\$274,060.16
1087531	\$0.00	\$2,778.00	5002451	\$317,500.00	\$0.00
1087549	\$0.00	\$5,347.65	5002469	\$0.00	\$179,648.00
1087557	\$0.00	\$4,494.95	5002470	\$0.00	\$10,166,730.92
1087562	\$0.00	\$6,945.00	5002481	\$0.00	\$6,694.98
1087574	\$0.00	\$4,444.80	5002482	\$0.00	\$88,816.50
1087586	\$0.00	\$6,945.00	5002485	\$0.00	\$11,689.57
1087599	\$0.00	\$1,315.00	5002486	\$0.00	\$173,196.80
1087600	\$0.00	\$13,890.00	5002496	\$0.00	\$15,471.00
1087607	\$0.00	\$6,945.00	5002502	\$1,285,700.00	\$2,002,024.30
1087626	\$0.00	\$23,450.00	5002513	\$0.00	\$375,571.50
1087653	\$0.00	\$9,680.00	5002514	\$0.00	\$10,320.09
1087672	\$0.00	\$6,945.00	5002515	\$0.00	\$45,664.77
1087735	\$0.00	\$4,840.00	5002516	\$44.64	\$41.52
1087740	\$0.00	\$1,927.00	5002517	\$0.00	\$51,726.93
1087745	\$0.00	\$9,430.00	5002520	\$0.00	\$185,712.89
1087769	\$0.00	\$8,334.00	5002529	\$0.00	\$244,971.76
1087779	\$0.00	\$11,616.00	5002534	\$0.00	\$17,666.90
1087790	\$0.00	\$34,725.00	5002536	\$0.00	\$18,005.60
1087802	\$0.00	\$4,167.00	5002538	\$0.00	\$83,304.56
1087831	\$0.00	\$3,872.00	5002539	\$0.00	\$28,624.08
1087840	\$0.00	\$6,945.00	5002540	\$0.00	\$9,680.00
1087864	\$0.00	\$2,904.00	5002541	\$0.00	\$32,363.70
1087866	\$0.00	\$2,778.00	5002542	\$0.00	\$55,932.66
1087872	\$0.00	\$6,945.00	5002543	\$0.00	\$77,567.93
1087910	\$0.00	\$3,872.00	5002544	\$0.00	\$14,847.30
1087916	\$0.00	\$421.00	5002545	\$0.00	\$21,258.54
1087956	\$0.00	\$6,945.00	5002547	\$0.00	\$416.70
1087968	\$0.00	\$108,954.24	5002549	\$0.00	\$1,666.80
1087987	\$0.00	\$6,945.00	5002550	\$0.00	\$373.50
1088001	\$0.00	\$16,138.95	5002551	\$0.00	\$40,857.16
1088022	\$0.00	\$3,472.50	5002552	\$0.00	\$34,305.98
1088042	\$0.00	\$34,113.60	5002554	\$0.00	\$442,852.64
1088043	\$0.00	\$4,167.00	5002555	\$0.00	\$43,733.00
1088053	\$0.00	\$2,778.00	5002556	\$0.00	\$10,248.05
1088058	\$0.00	\$842.00	5002557	\$0.00	\$34,511.82
1088061	\$0.00	\$1,533.78	5002558	\$0.00	\$3,470,632.50
1088072	\$0.00	\$27,780.00	5002559	\$0.00	\$30,156.50
1088075	\$0.00	\$8,841.00	5002561	\$0.00	\$387,864.36
1088091	\$0.00	\$384.00	5002563	\$0.00	\$12,945.00
1088093	\$0.00	\$6,945.00	5002565	\$0.00	\$47,531.58
1088153	\$0.00	\$9,680.00	5002569	\$0.00	\$112,657.63
1088174	\$0.00	\$2,373.98	5002570	\$0.00	\$418,669.68
1088206	\$0.00	\$1,010.40	5002573	\$0.00	\$1,014.93
1088382	\$0.00	\$15,473.18	5002574	\$0.00	\$1,085.60
1088428	\$0.00	\$12,834.00	5002575	\$0.00	\$7,983.80
1088437	\$0.00	\$2,715.00	5002576	\$0.00	\$10,834.20
1088463	\$0.00	\$7,570.00	5002578	\$0.00	\$88,810.00
1088482	\$0.00	\$12,498.98	5002579	\$0.00	\$67,012.57
1088505	\$0.00	\$3,535.50	5002580	\$0.00	\$2,968.88
1088526	\$0.00	\$10,691.00	5002582	\$0.00	\$555.60
1088535	\$0.00	\$4,556.93	5002583	\$0.00	\$1,180.65
1088589	\$0.00	\$134,302.91	5002584	\$0.00	\$990,800.00
1088650	\$0.00	\$20,157.55	5002585	\$0.00	\$24,154.71
1088664	\$0.00	\$3,123.82	5002587	\$0.00	\$108,515.05
1088690	\$0.00	\$7,595.72	5002588	\$0.00	\$7,153.19
1088794	\$0.00	\$120,170.00	5002589	\$0.00	\$1,128.79
1088832	\$0.00	\$7,520.95	5002590	\$7,544.00	\$141,110.48
1088866	\$0.00	\$8,347.00	5002591	\$0.00	\$85,033.58
1088877	\$0.00	\$13,334.40	5002594	\$0.00	\$12,594.78
1088888	\$0.00	\$968.00	5002599	\$0.00	\$73,133.00
1088896	\$0.00	\$34,725.00	5002600	\$0.00	\$692.99
1088906	\$0.00	\$6,945.00	5002601	\$0.00	\$9,623.28
1088989	\$0.00	\$128,797.50	5002602	\$0.00	\$33,640.04
1089016	\$0.00	\$68,007.83	5002603	\$0.00	\$15,216.00
1089021	\$0.00	\$4,744.10	5002605	\$0.00	\$95,176.24
1089036	\$0.00	\$3,097.47	5002606	\$0.00	\$1,481.31
1089241	\$0.00	\$13,890.00	5002607	\$0.00	\$1,705.48
1089259	\$0.00	\$11,584.26	5002608	\$0.00	\$231,188.13
1089353	\$0.00	\$9,030.00	5002610	\$0.00	\$37,321.54
1089387	\$0.00	\$1,708.47	5002611	\$0.00	\$66,809.86
1089427	\$0.00	\$5,208.75	5002612	\$0.00	\$27,780.00
1089431	\$0.00	\$138.90	5002616	\$0.00	\$6,945.00
1089470	\$0.00	\$1,452.00	5002620	\$0.00	\$9,025.70
1089481	\$0.00	\$1,791.81	5002621	\$0.00	\$23,443.70
1089514	\$0.00	\$8,334.00	5002625	\$0.00	\$19,918.26
1089522	\$0.00	\$1,389.00	5002626	\$0.00	\$12,584.00
1089535	\$0.00	\$1,178.50	5002628	\$0.00	\$14,583.48
1089538	\$0.00	\$138,900.00	5002629	\$0.00	\$4,189.90

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1089576	\$0.00	\$27,780.00	5002630	\$0.00	\$1,087,087.28
1089589	\$0.00	\$6,945.00	5002631	\$0.00	\$87,087.60
1089651	\$0.00	\$35,463.20	5002632	\$0.00	\$410,407.69
1089659	\$0.00	\$4,259.20	5002633	\$0.00	\$398.59
1089680	\$0.00	\$416.70	5002635	\$0.00	\$33,339.67
1089682	\$0.00	\$2,778.00	5002636	\$0.00	\$730,109.59
1089698	\$0.00	\$4,840.00	5002637	\$0.00	\$201,668.47
1089701	\$0.00	\$65,387.45	5002638	\$0.00	\$151,800.97
1089755	\$0.00	\$13,454.50	5002640	\$0.00	\$643,056.45
1089789	\$0.00	\$4,722.60	5002641	\$0.00	\$91,380.02
1089819	\$0.00	\$5,528.00	5002642	\$0.00	\$129,872.99
1089834	\$0.00	\$27,026.48	5002643	\$0.00	\$507,736.45
1089835	\$0.00	\$166,680.00	5002644	\$0.00	\$298,204.42
1089840	\$0.00	\$4,840.00	5002645	\$0.00	\$871,509.73
1089843	\$0.00	\$2,725.56	5002647	\$0.00	\$3,972.54
1089845	\$0.00	\$49,420.62	5002648	\$0.00	\$282,231.81
1089865	\$0.00	\$5,556.00	5002649	\$0.00	\$16,543.15
1089893	\$0.00	\$3,042.49	5002650	\$0.00	\$7,492.32
1089899	\$0.00	\$2,119.00	5002651	\$0.00	\$5,478.88
1089932	\$0.00	\$6,843.60	5002652	\$0.00	\$615,844.21
1089945	\$0.00	\$1,320.00	5002653	\$0.00	\$611.16
1089957	\$0.00	\$1,209.01	5002654	\$0.00	\$13,890.00
1089978	\$0.00	\$1,632.18	5002655	\$0.00	\$19,446.00
1090012	\$0.00	\$1,389.00	5002659	\$0.00	\$48,012.23
1090030	\$0.00	\$13,890.00	5002660	\$0.00	\$410,755.08
1090108	\$0.00	\$2,758.00	5002661	\$0.00	\$65,301.10
1090138	\$0.00	\$13,606.30	5002663	\$0.00	\$9,723.00
1090165	\$0.00	\$23,570.00	5002664	\$0.00	\$213,734.59
1090196	\$0.00	\$2,778.00	5002666	\$0.00	\$337,725.08
1090221	\$0.00	\$13,890.00	5002667	\$0.00	\$124,061.50
1090226	\$0.00	\$46,658.50	5002668	\$0.00	\$1,857,787.50
1090234	\$0.00	\$2,778.00	5002669	\$0.00	\$719,154.75
1090241	\$0.00	\$1,314.60	5002671	\$0.00	\$797,980.50
1090249	\$0.00	\$29,040.00	5002672	\$0.00	\$53,476.50
1090253	\$0.00	\$15,197.83	5002673	\$0.00	\$1,411,594.89
1090349	\$0.00	\$1,389.00	5002674	\$0.00	\$929,073.88
1090362	\$0.00	\$2,904.00	5002675	\$0.00	\$295,763.53
1090372	\$0.00	\$1,630.50	5002676	\$0.00	\$414,963.75
1090381	\$0.00	\$325.00	5002677	\$0.00	\$999,732.75
1090400	\$0.00	\$13,071.90	5002678	\$0.00	\$2,246,707.50
1090407	\$0.00	\$6,958.89	5002679	\$0.00	\$127,093.50
1090409	\$0.00	\$138,400.00	5002680	\$0.00	\$1,332.50
1090423	\$0.00	\$5,280.00	5002681	\$0.00	\$751,345.54
1090462	\$0.00	\$26,900.00	5002682	\$0.00	\$163,435.81
1090466	\$0.00	\$4,167.00	5002684	\$0.00	\$12,501.00
1090489	\$0.00	\$4,840.00	5002685	\$0.00	\$432.69
1090490	\$0.00	\$1,684.00	5002687	\$0.00	\$666,692.60
1090518	\$0.00	\$2,778.00	5002688	\$0.00	\$211,065.39
1090630	\$0.00	\$40,161.00	5002689	\$0.00	\$302,025.84
1090632	\$0.00	\$9,160.00	5002690	\$0.00	\$8,227.44
1090652	\$0.00	\$842.00	5002693	\$0.00	\$8,967.30
1090695	\$0.00	\$6,945.00	5002700	\$0.00	\$255,544.21
1090698	\$0.00	\$6,154.69	5002731	\$0.00	\$2,083.50
1090710	\$0.00	\$1,041.75	5002745	\$0.00	\$2,778.00
1090792	\$0.00	\$40,188.00	5002756	\$0.00	\$2,291.85
1090793	\$0.00	\$10,348.05	5002757	\$0.00	\$3,819.75
1090819	\$0.00	\$1,069.53	5002761	\$0.00	\$4,554.00
1090826	\$0.00	\$4,861.50	5002762	\$0.00	\$4,167.00
1090833	\$0.00	\$111.12	5002822	\$0.00	\$14,294.24
1090922	\$0.00	\$13,890.00	5002826	\$0.00	\$11,502.02
1091000	\$0.00	\$5,556.00	5002830	\$0.00	\$6,597.75
1091026	\$0.00	\$13,890.00	5002831	\$0.00	\$3,819.75
1091037	\$0.00	\$7,044.32	5002832	\$0.00	\$4,375.35
1091038	\$0.00	\$7,620.55	5002836	\$0.00	\$7,986.75
1091048	\$0.00	\$11,451.33	5002837	\$0.00	\$1,736.25
1091049	\$0.00	\$968.00	5002856	\$0.00	\$5,556.00
1091081	\$0.00	\$6,945.00	5002867	\$0.00	\$4,167.00
1091089	\$0.00	\$16,476.71	5002879	\$0.00	\$5,903.25
1091105	\$0.00	\$3,630.00	5002881	\$0.00	\$2,986.35
1091108	\$0.00	\$5,008.57	5002887	\$0.00	\$1,875.15
1091177	\$0.00	\$2,778.00	5002890	\$0.00	\$34,725.00
1091215	\$0.00	\$13,890.00	5002894	\$0.00	\$4,861.50
1091241	\$0.00	\$5,892.50	5002895	\$0.00	\$23,960.25
1091262	\$0.00	\$124,872.00	5002901	\$0.00	\$2,569.65
1091299	\$0.00	\$27,354.36	5002902	\$0.00	\$1,736.25
1091306	\$0.00	\$13,890.00	5002914	\$0.00	\$2,083.50
1091317	\$0.00	\$1,389.00	5002935	\$0.00	\$6,945.00
1091326	\$0.00	\$13,890.00	5002937	\$0.00	\$32,641.50
1091327	\$0.00	\$16,668.00	5002946	\$0.00	\$4,514.25

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1091346	\$0.00	\$5,528.00	5002947	\$0.00	\$2,778.00
1091354	\$0.00	\$19,998.28	5002948	\$0.00	\$29,863.50
1091362	\$0.00	\$27,907.65	5002949	\$0.00	\$3,125.25
1091423	\$0.00	\$968.00	5002955	\$0.00	\$13,730.05
1091434	\$0.00	\$13,736.80	5002957	\$0.00	\$14,584.50
1091435	\$0.00	\$7,140.30	5002967	\$0.00	\$6,945.00
1091496	\$0.00	\$818.00	5002968	\$0.00	\$3,264.15
1091515	\$0.00	\$9,723.00	5002979	\$0.00	\$34,725.00
1091563	\$0.00	\$6,313.50	5002984	\$0.00	\$2,778.00
1091613	\$0.00	\$63,994.00	5003004	\$0.00	\$6,250.50
1091622	\$0.00	\$2,357.00	5003011	\$0.00	\$20,416.24
1091627	\$0.00	\$280,237.20	5003012	\$0.00	\$5,865.86
1091628	\$0.00	\$12,133.52	5003015	\$0.00	\$4,167.00
1091679	\$0.00	\$18,057.00	5003016	\$0.00	\$2,778.00
1091731	\$0.00	\$6,945.00	5003017	\$0.00	\$3,195.00
1091766	\$0.00	\$1,389.00	5003019	\$0.00	\$21,050.00
1091777	\$0.00	\$45,430.00	5003038	\$0.00	\$2,083.50
1091799	\$0.00	\$24,243.00	5003043	\$0.00	\$2,778.00
1091846	\$0.00	\$29,040.00	5003045	\$0.00	\$4,167.00
1091854	\$0.00	\$7,744.00	5003049	\$0.00	\$2,986.35
1091878	\$0.00	\$13,890.00	5003058	\$0.00	\$173,625.00
1091882	\$0.00	\$1,657.49	5003076	\$0.00	\$2,778.00
1091914	\$0.00	\$10,670.97	5003079	\$0.00	\$6,945.00
1091956	\$0.00	\$1,250.10	5003108	\$0.00	\$5,764.35
1091997	\$0.00	\$2,847.45	5003109	\$0.00	\$6,250.50
1092021	\$0.00	\$22,083.06	5003119	\$0.00	\$4,514.25
1092022	\$0.00	\$8,228.00	5003122	\$0.00	\$20,835.00
1092025	\$0.00	\$9,794.40	5003126	\$0.00	\$8,334.00
1092027	\$0.00	\$232,195.02	5003127	\$0.00	\$6,945.00
1092040	\$0.00	\$84,248.30	5003129	\$0.00	\$12,848.25
1092052	\$0.00	\$8,823.25	5003130	\$0.00	\$19,360.00
1092058	\$0.00	\$120.39	5003131	\$0.00	\$20,052.53
1092109	\$0.00	\$2,778.00	5003132	\$0.00	\$17,362.50
1092125	\$0.00	\$22,500.00	5003133	\$0.00	\$10,070.25
1092144	\$0.00	\$11,922.76	5003139	\$0.00	\$2,778.00
1092157	\$0.00	\$968.00	5003140	\$0.00	\$2,083.50
1092178	\$0.00	\$6,945.00	5003152	\$0.00	\$12,153.75
1092202	\$0.00	\$2,904.00	5003154	\$0.00	\$3,872.00
1092206	\$0.00	\$13,890.00	5003159	\$0.00	\$39,586.50
1092212	\$0.00	\$20,639.95	5003172	\$0.00	\$36,808.50
1092237	\$0.00	\$83,340.00	5003178	\$0.00	\$2,778.00
1092239	\$0.00	\$289,980.00	5003188	\$0.00	\$20,835.00
1092305	\$0.00	\$3,520.00	5003189	\$0.00	\$6,250.50
1092313	\$0.00	\$2,778.00	5003206	\$0.00	\$3,125.25
1092318	\$0.00	\$842.00	5003207	\$0.00	\$3,472.50
1092323	\$0.00	\$673.60	5003208	\$0.00	\$2,778.00
1092329	\$0.00	\$8,334.00	5003239	\$0.00	\$6,250.50
1092337	\$0.00	\$90,900.69	5003243	\$0.00	\$20,835.00
1092346	\$0.00	\$8,853.15	5003251	\$0.00	\$6,945.00
1092355	\$0.00	\$9,236.85	5003258	\$0.00	\$11,806.50
1092462	\$0.00	\$43,268.00	5003280	\$0.00	\$35,364.00
1092464	\$0.00	\$842.00	5003287	\$0.00	\$5,208.75
1092499	\$0.00	\$22,126.39	5003288	\$0.00	\$20,835.00
1092500	\$0.00	\$9,680.00	5003291	\$0.00	\$4,514.25
1092503	\$0.00	\$8,580.56	5003292	\$0.00	\$2,778.00
1092516	\$0.00	\$24,307.50	5003293	\$0.00	\$2,083.50
1092521	\$0.00	\$6,945.00	5003301	\$0.00	\$69,450.00
1092535	\$0.00	\$1,389.00	5003304	\$0.00	\$3,472.50
1092544	\$0.00	\$6,945.00	5003305	\$0.00	\$2,986.35
1092572	\$0.00	\$19,360.00	5003312	\$0.00	\$2,083.50
1092599	\$0.00	\$5,556.00	5003313	\$0.00	\$6,597.75
1092622	\$0.00	\$11,458.00	5003314	\$0.00	\$7,431.15
1092639	\$0.00	\$1,263.00	5003315	\$0.00	\$5,903.25
1092650	\$0.00	\$236.44	5003316	\$0.00	\$11,806.50
1092696	\$0.00	\$18,320.00	5003331	\$0.00	\$2,778.00
1092719	\$0.00	\$13,890.00	5003338	\$0.00	\$20,835.00
1092735	\$135.00	\$125.55	5003342	\$0.00	\$1,875.15
1092748	\$0.00	\$1,041.99	5003344	\$0.00	\$4,375.35
1092749	\$0.00	\$1,039.99	5003364	\$0.00	\$5,556.00
1092754	\$0.00	\$1,389.00	5003385	\$0.00	\$6,250.50
1092763	\$0.00	\$13,890.00	5003393	\$0.00	\$4,514.25
1092773	\$0.00	\$2,158.63	5003394	\$0.00	\$1,875.15
1092787	\$0.00	\$5,556.00	5003406	\$0.00	\$5,903.25
1092792	\$0.00	\$6,945.00	5003407	\$0.00	\$2,778.00
1092795	\$0.00	\$555.60	5003408	\$0.00	\$3,472.50
1092814	\$0.00	\$5,016.00	5003410	\$0.00	\$2,083.50
1092832	\$0.00	\$10,884.46	5003431	\$0.00	\$2,986.35
1092861	\$0.00	\$2,754.00	5003432	\$0.00	\$59,032.50
1092875	\$0.00	\$3,819.75	5003447	\$0.00	\$14,584.50

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1093020	\$0.00	\$8,280.00	5003452	\$0.00	\$10,417.50
1093021	\$0.00	\$3,333.60	5003455	\$0.00	\$17,666.50
1093073	\$0.00	\$897.00	5003459	\$0.00	\$20,416.24
1093079	\$0.00	\$4,994.04	5003460	\$0.00	\$17,749.94
1093097	\$0.00	\$27,780.00	5003461	\$0.00	\$5,865.86
1093106	\$0.00	\$9,723.00	5003462	\$0.00	\$15,769.26
1093108	\$0.00	\$12,848.25	5003463	\$0.00	\$14,169.48
1093130	\$0.00	\$1,936.00	5003473	\$0.00	\$3,125.25
1093181	\$0.00	\$2,778.00	5003474	\$0.00	\$34,308.30
1093186	\$0.00	\$55,480.00	5003477	\$0.00	\$9,680.00
1093216	\$0.00	\$905.50	5003482	\$0.00	\$5,865.86
1093222	\$0.00	\$6,945.00	5003483	\$0.00	\$5,865.86
1093233	\$0.00	\$6,843.00	5003484	\$0.00	\$1,736.25
1093291	\$0.00	\$40,195.00	5003485	\$0.00	\$14,169.48
1093320	\$0.00	\$13,890.00	5003487	\$0.00	\$15,769.26
1093328	\$0.00	\$2,024.39	5003488	\$0.00	\$37,460.00
1093336	\$0.00	\$2,131.98	5003491	\$0.00	\$34,725.00
1093337	\$0.00	\$2,105.00	5003495	\$0.00	\$6,250.50
1093365	\$0.00	\$38,720.00	5003503	\$0.00	\$5,823.28
1093406	\$0.00	\$416.10	5003509	\$0.00	\$12,630.00
1093413	\$0.00	\$3,132.80	5003510	\$0.00	\$14,735.00
1093427	\$0.00	\$2,083.50	5003513	\$0.00	\$16,470.00
1093439	\$0.00	\$86,960.20	5003514	\$0.00	\$38,720.00
1093479	\$0.00	\$5,208.75	5003520	\$0.00	\$33,689.75
1093562	\$0.00	\$5,875.00	5003521	\$0.00	\$2,778.00
1093567	\$0.00	\$2,058.00	5003522	\$0.00	\$4,514.25
1093569	\$0.00	\$387.20	5003523	\$0.00	\$1,736.25
1093594	\$0.00	\$2,494.48	5003533	\$0.00	\$42,521.00
1093620	\$0.00	\$40,281.00	5003541	\$0.00	\$1,875.15
1093624	\$0.00	\$27,780.00	5003543	\$0.00	\$3,472.50
1093647	\$0.00	\$1,389.00	5003544	\$0.00	\$2,083.50
1093692	\$0.00	\$31,467.82	5003545	\$0.00	\$2,778.00
1093774	\$0.00	\$10,209.15	5003549	\$0.00	\$3,680.85
1093780	\$0.00	\$1,370.00	5003550	\$0.00	\$8,681.25
1093789	\$0.00	\$13.98	5003556	\$0.00	\$5,556.00
1093818	\$0.00	\$13,890.00	5003558	\$0.00	\$1,597.35
1093819	\$0.00	\$120,679.50	5003560	\$0.00	\$20,835.00
1093839	\$0.00	\$1,904.14	5003561	\$0.00	\$8,125.65
1093844	\$0.00	\$8,815.16	5003562	\$0.00	\$2,986.35
1093920	\$0.00	\$61,159.57	5003563	\$0.00	\$4,375.35
1093944	\$0.00	\$737.74	5003571	\$0.00	\$2,986.35
1093959	\$0.00	\$9,680.00	5003575	\$0.00	\$4,167.00
1093963	\$0.00	\$7,639.50	5003577	\$0.00	\$3,564.20
1093968	\$0.00	\$13,890.00	5003585	\$0.00	\$1,875.15
1093976	\$0.00	\$62,505.00	5003586	\$0.00	\$1,597.35
1093980	\$0.00	\$67,032.00	5003588	\$0.00	\$6,250.50
1093982	\$0.00	\$1,389.00	5003592	\$0.00	\$20,835.00
1094040	\$0.00	\$13,890.00	5003593	\$0.00	\$11,056.44
1094047	\$0.00	\$15,112.32	5003604	\$0.00	\$34,725.00
1094105	\$0.00	\$27,164.13	5003622	\$0.00	\$11,112.00
1094154	\$0.00	\$4,167.00	5003646	\$0.00	\$6,945.00
1094181	\$0.00	\$4,167.00	5003649	\$0.00	\$38,197.50
1094232	\$0.00	\$3,225.00	5003654	\$0.00	\$4,653.15
1094273	\$0.00	\$19,446.00	5003655	\$0.00	\$6,945.00
1094303	\$0.00	\$2,778.00	5003672	\$0.00	\$3,291.20
1094316	\$0.00	\$903.00	5003673	\$0.00	\$6,945.00
1094363	\$0.00	\$6,945.00	5003675	\$0.00	\$40,975.50
1094368	\$0.00	\$833.40	5003676	\$1,024,972.50	\$1,024,972.50
1094398	\$0.00	\$1,119.45	5003677	\$1,490,400.00	\$1,756,251.11
1094487	\$0.00	\$108,342.00	5003679	\$0.00	\$1,069,724.12
1094503	\$0.00	\$3,287.71	5003681	\$0.00	\$183,734.31
1094504	\$0.00	\$16,030.08	5003683	\$5,837,400.00	\$6,890,083.10
1094533	\$0.00	\$5,516.00	5003684	\$0.00	\$20,164,113.00
1094564	\$0.00	\$707.85	5003685	\$0.00	\$120,046.70
1094579	\$0.00	\$15,951.07	5003686	\$0.00	\$3,812,775.68
1094641	\$0.00	\$117.20	5003687	\$0.00	\$60,305.68
1094649	\$0.00	\$569.49	5003688	\$0.00	\$18,163.05
1094693	\$0.00	\$24,200.00	5003689	\$13,379,100.00	\$14,693,887.56
1094761	\$0.00	\$525.08	5003690	\$0.00	\$5,947,623.68
1094774	\$0.00	\$2,500.00	5003691	\$0.00	\$39,524.91
1094783	\$0.00	\$4,893.00	5003692	\$0.00	\$514,807.49
1094792	\$0.00	\$2,722.00	5003693	\$9,294,300.00	\$11,349,083.93
1094823	\$0.00	\$8,420.00	5003694	\$1,061,400.00	\$1,532,352.42
1094855	\$0.00	\$2,420.00	5003696	\$0.00	\$921,854.70
1094890	\$0.00	\$2,735.77	5003697	\$0.00	\$410,219.21
1094929	\$0.00	\$28,570.81	5003699	\$0.00	\$579,943.13
1094937	\$0.00	\$9,357.00	5003700	\$6,780,032.00	\$6,858,210.85
1094973	\$0.00	\$6,945.00	5003701	\$24,400.00	\$40,995.52
1094974	\$0.00	\$6,945.00	5003702	\$0.00	\$32,090.43

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1094977	\$0.00	\$2,709.30	5003703	\$0.00	\$83,352.30
1094999	\$0.00	\$6,945.00	5003704	\$0.00	\$7,562,016.00
1095018	\$0.00	\$11,616.00	5003705	\$0.00	\$6,806.10
1095058	\$0.00	\$7,762.15	5003706	\$0.00	\$194,943.89
1095074	\$0.00	\$4,101.00	5003709	\$0.00	\$57,942.86
1095131	\$0.00	\$2,240.00	5003710	\$0.00	\$3,099,889.97
1095132	\$0.00	\$3,504.00	5003711	\$0.00	\$6,335,824.26
1095135	\$0.00	\$88,812.60	5003713	\$0.00	\$7,552,934.58
1095187	\$0.00	\$31,179.95	5003716	\$3,850,200.00	\$4,553,601.56
1095219	\$0.00	\$77.28	5003717	\$0.00	\$24,225.24
1095220	\$0.00	\$18,057.00	5003719	\$0.00	\$13,890.00
1095230	\$0.00	\$898.15	5003720	\$0.00	\$9,680.00
1095239	\$0.00	\$8,217.00	5003721	\$0.00	\$13,890.00
1095258	\$0.00	\$10,898.00	5003722	\$0.00	\$13,890.00
1095280	\$0.00	\$6,487.88	5003726	\$0.00	\$6,945.00
1095304	\$0.00	\$9,230.18	5003727	\$0.00	\$13,890.00
1095311	\$0.00	\$123.84	5003743	\$1,287.47	\$1,287.47
1095312	\$0.00	\$4,618.28	5003744	\$0.00	\$1,282.00
1095335	\$0.00	\$5,556.00	5003748	\$0.00	\$1,389.00
1095345	\$0.00	\$555.60	5003750	\$0.00	\$8,681.25
1095375	\$0.00	\$1,936.00	5003758	\$0.00	\$6,111.60
1095380	\$0.00	\$2,726.00	5003760	\$0.00	\$694.50
1095384	\$0.00	\$37,710.00	5003761	\$0.00	\$694.50
1095385	\$0.00	\$13,890.00	5003765	\$0.00	\$18,751.50
1095432	\$0.00	\$22,224.00	5003768	\$0.00	\$763.95
1095455	\$0.00	\$15,550.00	5003770	\$0.00	\$958.41
1095459	\$0.00	\$3,872.00	5003773	\$3,435.25	\$3,208.50
1095461	\$0.00	\$23,426.92	5003775	\$0.00	\$45,142.50
1095490	\$0.00	\$4,261.50	5003778	\$0.00	\$7,917.30
1095548	\$0.00	\$1,779.01	5003781	\$0.00	\$18,057.00
1095551	\$0.00	\$10,030.60	5003787	\$0.00	\$46,531.50
1095615	\$0.00	\$8,218.93	5003794	\$0.00	\$464.64
1095616	\$0.00	\$328.99	5003795	\$0.00	\$902.85
1095638	\$0.00	\$6,945.00	5003799	\$0.00	\$9,236.85
1095639	\$0.00	\$1,452.00	5003806	\$3,435.25	\$3,208.50
1095640	\$0.00	\$4,167.00	5003809	\$1,287.47	\$1,287.47
1095656	\$0.00	\$27,780.00	5003811	\$13,634.00	\$13,634.00
1095723	\$0.00	\$17,848.65	5003816	\$0.00	\$4,042.60
1095745	\$0.00	\$357,954.21	5003820	\$0.00	\$9,236.85
1095765	\$0.00	\$6,945.00	5003826	\$0.00	\$10,417.50
1095776	\$0.00	\$166.68	5003831	\$0.00	\$9,723.00
1095785	\$0.00	\$1,558.94	5003832	\$0.00	\$1,721.16
1095791	\$0.00	\$4,167.00	5003833	\$0.00	\$28,727.78
1095793	\$0.00	\$61,116.00	5003834	\$4,811.14	\$4,811.14
1095850	\$0.00	\$4,167.00	5003835	\$6,870.50	\$6,417.00
1095864	\$0.00	\$68,760.00	5003837	\$0.00	\$71.57
1095869	\$0.00	\$1,912.00	5003838	\$0.00	\$29,417.40
1095895	\$0.00	\$34,725.00	5003840	\$1,287.47	\$1,287.47
1095959	\$0.00	\$23,570.00	5003850	\$0.00	\$38,197.50
1096030	\$0.00	\$1,389.00	5003851	\$0.00	\$4,861.50
1096035	\$0.00	\$78,478.50	5003852	\$0.00	\$9,028.50
1096048	\$0.00	\$34,725.00	5003853	\$0.00	\$18,751.50
1096066	\$0.00	\$1,255.00	5003855	\$0.66	\$0.61
1096069	\$0.00	\$12,922.43	5003856	\$0.66	\$0.61
1096090	\$0.00	\$6,403.00	5003863	\$0.00	\$4,861.50
1096104	\$0.00	\$1,389.00	5003865	\$0.00	\$4,174.91
1096191	\$0.00	\$69,450.00	5003866	\$0.00	\$21,737.85
1096198	\$0.00	\$118.71	5003871	\$0.00	\$995.73
1096322	\$0.00	\$9,028.90	5003873	\$0.00	\$130.51
1096415	\$0.00	\$4,210.00	5003876	\$0.00	\$4,861.50
1096476	\$118.49	\$110.20	5003878	\$0.00	\$7,639.50
1096480	\$0.00	\$4,167.00	5003886	\$0.00	\$3,819.75
1096502	\$0.00	\$6,139.38	5003887	\$0.00	\$28,821.75
1096518	\$0.00	\$842.00	5003893	\$0.00	\$4,305.90
1096545	\$0.00	\$47,880.00	5003898	\$0.00	\$73.23
1096590	\$0.00	\$80,344.00	5003904	\$0.00	\$173.35
1096596	\$0.00	\$3,664.99	5003908	\$0.00	\$387.32
1096601	\$0.00	\$1,266.91	5003918	\$0.00	\$39,586.50
1096622	\$0.00	\$13,890.00	5003921	\$0.00	\$10,417.50
1096637	\$0.00	\$48,615.00	5003923	\$0.00	\$3,680.85
1096706	\$0.00	\$138,900.00	5003929	\$0.00	\$2,357.00
1096742	\$0.00	\$13,048.00	5003935	\$0.00	\$7,292.25
1096751	\$0.00	\$23,545.10	5003936	\$0.00	\$32,988.75
1096752	\$0.00	\$54,287.94	5003945	\$0.00	\$19,446.00
1096784	\$0.00	\$319,200.00	5003946	\$0.00	\$342.54
1096797	\$0.00	\$9,680.00	5003953	\$0.00	\$10,417.50
1096798	\$0.00	\$763.95	5003954	\$0.00	\$10,209.15
1096805	\$0.00	\$3,388.00	5003959	\$0.00	\$6,945.00
1096825	\$0.00	\$13,890.00	5003973	\$0.00	\$319.47

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1096826	\$0.00	\$2,180.73	5003974	\$0.00	\$8,841.00
1096835	\$0.00	\$27,780.00	5003975	\$6,238.65	\$6,238.65
1096937	\$0.00	\$2,040.00	5003986	\$0.00	\$17,848.65
1096952	\$0.00	\$52,991.03	5003987	\$0.00	\$285.36
1096960	\$0.00	\$3,024.00	5003991	\$0.00	\$763.95
1096989	\$0.00	\$78.20	5003992	\$0.00	\$3,819.75
1096996	\$0.00	\$776.05	5004004	\$0.00	\$5,556.00
1097001	\$0.00	\$3,436.34	5004008	\$0.00	\$902.85
1097023	\$0.00	\$1,389.00	5004009	\$0.00	\$5,069.85
1097038	\$0.00	\$18,625.50	5004012	\$3,435.25	\$3,208.50
1097081	\$0.00	\$152.79	5004017	\$0.00	\$2,703.80
1097109	\$0.00	\$7,698.00	5004025	\$0.00	\$36,808.50
1097125	\$0.00	\$13,890.00	5004026	\$3,435.25	\$3,208.50
1097152	\$0.00	\$1,389.00	5004033	\$0.00	\$309.00
1097158	\$0.00	\$1,389.00	5004035	\$0.00	\$13,890.00
1097159	\$0.00	\$15,973.50	5004043	\$0.00	\$87,120.00
1097190	\$0.00	\$22,619.00	5004047	\$88.42	\$88.42
1097220	\$0.00	\$21,112.80	5004061	\$1,375.89	\$1,375.89
1097276	\$0.00	\$8,096.00	5004063	\$0.00	\$1,809.40
1097284	\$0.00	\$22,264.00	5004064	\$0.00	\$13,890.00
1097295	\$0.00	\$2,357.00	5004065	\$3,435.25	\$3,208.50
1097310	\$0.00	\$2,778.00	5004071	\$0.00	\$23,570.00
1097374	\$0.00	\$1,389.00	5004072	\$0.00	\$8,473.28
1097395	\$0.00	\$116.16	5004073	\$0.00	\$24,397.69
1097410	\$0.00	\$6,264.39	5004078	\$0.00	\$6,945.00
1097585	\$0.00	\$13,890.00	5004080	\$0.00	\$277.80
1097613	\$0.00	\$7,071.00	5004089	\$0.00	\$1,389.00
1097681	\$0.00	\$11,112.00	5004090	\$0.00	\$26,727.50
1097685	\$0.00	\$8,867.94	5004096	\$6,870.50	\$6,417.00
1097730	\$0.00	\$1,389.00	5004097	\$0.00	\$7,431.15
1097743	\$0.00	\$5,452,065.57	5004100	\$0.00	\$554.53
1097761	\$0.00	\$618.00	5004104	\$0.00	\$4,840.00
1097783	\$0.00	\$1,157.75	5004107	\$2,070.00	\$2,810.61
1097809	\$0.00	\$9,857.60	5004116	\$0.00	\$4,861.50
1097871	\$0.00	\$12,431.55	5004118	\$0.00	\$4,861.50
1097898	\$0.00	\$13,028.82	5004120	\$0.00	\$11,899.85
1097931	\$0.00	\$9,656.96	5004121	\$0.00	\$1,152.87
1097935	\$0.00	\$89,403.20	5004122	\$0.00	\$3,125.25
1097942	\$0.00	\$13,164.80	5004124	\$0.00	\$6,042.15
1098009	\$0.00	\$16,507.28	5004146	\$0.00	\$1,936.00
1098041	\$0.00	\$9,680.00	5004153	\$3,435.25	\$3,208.50
1098052	\$0.00	\$805.62	5004154	\$1,287.47	\$1,287.47
1098069	\$0.00	\$386.40	5004157	\$0.00	\$5,347.65
1098072	\$0.00	\$13,890.00	5004173	\$3,435.25	\$3,208.50
1098080	\$0.00	\$400.00	5004175	\$0.00	\$10,875.87
1098112	\$0.00	\$4,167.00	5004187	\$0.00	\$41,670.00
1098144	\$0.00	\$4,861.50	5004188	\$0.00	\$816.00
1098147	\$0.00	\$49,126.68	5004191	\$0.00	\$48,615.00
1098153	\$0.00	\$1,263.00	5004193	\$0.00	\$3,264.15
1098159	\$0.00	\$3,872.00	5004200	\$0.00	\$7,736.94
1098224	\$0.00	\$10,897.01	5004209	\$0.00	\$1,389.00
1098254	\$0.00	\$23,613.00	5004214	\$0.00	\$21,737.85
1098256	\$0.00	\$5,554.77	5004217	\$0.00	\$13,890.00
1098291	\$0.00	\$644.10	5004218	\$0.00	\$1,389.00
1098305	\$0.00	\$61,257.75	5004221	\$0.00	\$21,662.83
1098343	\$0.00	\$2,486.31	5004222	\$0.00	\$202,548.14
1098389	\$0.00	\$7,097.79	5004223	\$0.00	\$4,874.17
1098410	\$0.00	\$13,890.00	5004224	\$0.00	\$7,639.50
1098436	\$0.00	\$8,264.55	5004225	\$0.00	\$763.95
1098450	\$0.00	\$2,105.00	5004234	\$3,450.00	\$3,208.50
1098468	\$0.00	\$6,945.00	5004238	\$0.00	\$25,349.25
1098531	\$0.00	\$847.29	5004255	\$0.00	\$32,647.00
1098572	\$0.00	\$5,795.00	5004256	\$0.00	\$208.35
1098578	\$0.00	\$32,380.23	5004259	\$0.00	\$26,043.75
1098632	\$0.00	\$2,778.00	5004265	\$0.00	\$6,972.78
1098644	\$0.00	\$2,260.00	5004268	\$0.00	\$44,336.88
1098664	\$0.00	\$6,777.00	5004269	\$0.00	\$2,662.00
1098672	\$0.00	\$21,260.62	5004273	\$0.00	\$3,680.85
1098677	\$0.00	\$1,452.00	5004275	\$0.00	\$6,458.85
1098695	\$0.00	\$23,570.00	5004277	\$0.00	\$13,890.00
1098709	\$0.00	\$4,167.00	5004279	\$0.00	\$46,531.50
1098723	\$0.00	\$13,890.00	5004283	\$0.00	\$14,028.90
1098726	\$0.00	\$1,754.00	5004302	\$0.00	\$8,681.25
1098751	\$0.00	\$1,872.95	5004305	\$0.00	\$2,105.00
1098766	\$0.00	\$13,220.00	5004312	\$5,985.20	\$5,985.20
1098792	\$0.00	\$5,556.00	5004313	\$0.00	\$19,360.00
1098801	\$0.00	\$2,778.00	5004323	\$0.00	\$2,291.85
1098847	\$0.00	\$3,546.87	5004326	\$0.00	\$1,180.65
1098892	\$0.00	\$248,915.00	5004327	\$0.00	\$24,307.50

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1098894	\$0.00	\$8,835.68	5004333	\$0.00	\$8,681.25
1098895	\$0.00	\$10,614.65	5004337	\$0.00	\$8,681.25
1098896	\$0.00	\$2,945.35	5004338	\$0.00	\$1,041.75
1098945	\$0.00	\$694.50	5004340	\$0.00	\$1,389.00
1098946	\$0.00	\$968.00	5004343	\$3,435.25	\$3,208.50
1098957	\$0.00	\$3,051.50	5004348	\$0.00	\$8,681.25
1098965	\$0.00	\$21,883.00	5004353	\$0.00	\$383,636.74
1099020	\$0.00	\$20,209.95	5004354	\$0.00	\$38,720.00
1099021	\$0.00	\$19,360.00	5004357	\$1.32	\$1.23
1099058	\$0.00	\$141,680.00	5004358	\$0.00	\$6,389.40
1099100	\$0.00	\$355.04	5004360	\$0.00	\$4,714.00
1099103	\$0.00	\$1,569.63	5004363	\$0.00	\$7,139.46
1099127	\$0.00	\$54,948.84	5004367	\$0.00	\$101.40
1099128	\$0.00	\$45,767.55	5004373	\$0.00	\$53,249.20
1099166	\$0.00	\$7,874.63	5004385	\$0.00	\$152.79
1099178	\$0.00	\$2,291.85	5004387	\$0.00	\$6,945.00
1099191	\$0.00	\$1,628.90	5004390	\$7,787.70	\$7,787.70
1099211	\$0.00	\$5,135.00	5004394	\$0.00	\$7,618.00
1099233	\$0.00	\$5,833.80	5004400	\$0.00	\$7,639.50
1099326	\$0.00	\$19,360.00	5004407	\$0.00	\$45,142.50
1099327	\$0.00	\$9,680.00	5004417	\$0.00	\$7,431.15
1099366	\$0.00	\$694.50	5004421	\$0.00	\$3,819.75
1099369	\$0.00	\$6,234.34	5004428	\$0.00	\$50.52
1099389	\$0.00	\$2,083.50	5004429	\$0.00	\$19,635.00
1099390	\$0.00	\$1,111.20	5004435	\$3,435.25	\$3,208.50
1099396	\$0.00	\$41,624.00	5004443	\$6,337.37	\$6,337.37
1099413	\$0.00	\$9,680.00	5004444	\$0.00	\$9,028.50
1099421	\$0.00	\$29,040.00	5004450	\$0.00	\$17,749.94
1099422	\$0.00	\$14,082.45	5004453	\$0.00	\$467.50
1099462	\$0.00	\$19,360.00	5004458	\$0.00	\$5,208.75
1099480	\$0.00	\$695,412.12	5004461	\$0.00	\$1,936.00
1099491	\$0.00	\$14,520.00	5004462	\$0.00	\$192.37
1099497	\$0.00	\$4,124.00	5004463	\$0.00	\$414.61
1099509	\$0.00	\$1,389.00	5004466	\$0.00	\$484.00
1099526	\$0.00	\$8,870.00	5004468	\$1,287.47	\$1,287.47
1099536	\$0.00	\$2,695.12	5004471	\$0.00	\$13,890.00
1099553	\$0.00	\$18,049.67	5004472	\$0.00	\$902.85
1099568	\$0.00	\$7,887.49	5004475	\$0.00	\$143.30
1099593	\$0.00	\$20,207.33	5004476	\$0.00	\$60.09
1099618	\$0.00	\$4,708.71	5004479	\$3,435.25	\$3,208.50
1099676	\$0.00	\$30,741.57	5004485	\$0.00	\$7,292.25
1099694	\$0.00	\$6,524.00	5004488	\$1,287.47	\$1,287.47
1099707	\$0.00	\$27,780.00	5004491	\$1,287.47	\$1,287.47
1099727	\$0.00	\$2,420.00	5004492	\$0.00	\$84,727.05
1099781	\$0.00	\$10,691.00	5004493	\$0.00	\$1,180.65
1099783	\$0.00	\$41,670.00	5004496	\$0.00	\$416.70
1099792	\$0.00	\$77,440.00	5004499	\$0.00	\$465.91
1099899	\$0.00	\$298,732.03	5004509	\$6,870.50	\$6,417.00
1099950	\$0.00	\$6,945.00	5004510	\$0.00	\$12,362.10
1099971	\$0.00	\$4,462.92	5004511	\$7,779.47	\$7,779.47
1100005	\$0.00	\$421.00	5004512	\$0.00	\$221,892.75
1100012	\$0.00	\$4,605.39	5004520	\$0.00	\$28,960.65
1100109	\$0.00	\$1,666.74	5004521	\$0.00	\$7,361.70
1100126	\$0.00	\$59,570.00	5004525	\$0.00	\$8,712.00
1100141	\$0.00	\$6,535.00	5004530	\$0.00	\$229.38
1100152	\$0.00	\$347.25	5004531	\$0.00	\$4,861.50
1100207	\$0.00	\$5,581.45	5004532	\$0.00	\$7,639.50
1100236	\$0.00	\$6,776.00	5004547	\$0.00	\$8,681.25
1100237	\$0.00	\$420.00	5004562	\$3,435.25	\$3,208.50
1100294	\$0.00	\$6,945.00	5004571	\$0.00	\$82,545.10
1100305	\$0.00	\$2,778.00	5004578	\$0.00	\$3,264.15
1100365	\$0.00	\$39,581.95	5004580	\$0.00	\$24,104.47
1100418	\$0.00	\$2,177.00	5004582	\$0.00	\$1,389.00
1100441	\$0.00	\$134,154.80	5004583	\$0.00	\$3,819.75
1100455	\$0.00	\$268.25	5004596	\$3,435.25	\$3,208.50
1100464	\$0.00	\$7,639.50	5004597	\$1,287.47	\$1,287.47
1100516	\$0.00	\$237.60	5004599	\$0.00	\$30,895.20
1100548	\$0.00	\$4,318.50	5004604	\$0.00	\$5,556.00
1100572	\$0.00	\$6,762.00	5004610	\$0.00	\$11,598.15
1100574	\$0.00	\$13,650.00	5004612	\$0.00	\$21,610.50
1100607	\$0.00	\$6,502.41	5004615	\$1,287.47	\$1,287.47
1100621	\$0.00	\$11,112.00	5004621	\$28,712.00	\$28,552.49
1100623	\$0.00	\$2,778.00	5004625	\$1,287.47	\$1,287.47
1100675	\$0.00	\$2,778.00	5004646	\$0.00	\$4,709,644.61
1100683	\$0.00	\$9,680.00	5004651	\$97.50	\$97.50
1100750	\$0.00	\$8,228.00	5004654	\$100.50	\$100.50
1100817	\$0.00	\$61,116.00	5004656	\$0.00	\$190.50
1100867	\$0.00	\$14,520.00	5004660	\$0.00	\$285.72
1100884	\$0.00	\$8,560.00	5004662	\$0.00	\$34.40

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1100886	\$0.00	\$4,167.00	5004666	\$0.00	\$5,240.38
1100956	\$0.00	\$17,999.58	5004668	\$0.00	\$406.59
1100966	\$0.00	\$48,615.00	5004669	\$100.50	\$100.50
1100971	\$0.00	\$9,680.00	5004675	\$0.00	\$42.10
1100979	\$0.00	\$16,722.95	5004677	\$0.00	\$29.60
1101009	\$0.00	\$893.26	5004678	\$168.80	\$168.80
1101028	\$0.00	\$18,730.00	5004681	\$0.00	\$84.20
1101073	\$0.00	\$694.50	5004685	\$0.00	\$59.94
1101084	\$0.00	\$13,890.00	5004686	\$155.78	\$155.78
1101085	\$0.00	\$36,300.00	5004688	\$0.00	\$16,840.00
1101088	\$0.00	\$27,780.00	5004689	\$0.00	\$138.90
1101113	\$0.00	\$3,325.00	5004691	\$100.50	\$100.50
1101203	\$0.00	\$805.62	5004693	\$156.00	\$156.00
1101227	\$0.00	\$11,219.00	5004694	\$168.80	\$168.80
1101263	\$0.00	\$25,260.00	5004695	\$0.00	\$84.20
1101269	\$0.00	\$25,675.00	5004696	\$75.38	\$75.38
1101284	\$0.00	\$69,450.00	5004698	\$0.00	\$19,015.00
1101297	\$0.00	\$13,890.00	5004700	\$160.80	\$160.80
1101303	\$0.00	\$9,680.00	5004702	\$0.00	\$1,391.83
1101340	\$0.00	\$13,890.00	5004704	\$0.00	\$540.33
1101341	\$0.00	\$3,227.00	5004709	\$0.00	\$4,167.00
1101345	\$0.00	\$2,778.00	5004715	\$97.50	\$97.50
1101397	\$0.00	\$9,200.00	5004725	\$0.00	\$12,135.36
1101398	\$0.00	\$97,230.00	5004726	\$0.00	\$373,641.00
1101468	\$0.00	\$6,273.52	5004727	\$5,105.23	\$19,559.29
1101501	\$0.00	\$6,945.00	5004728	\$0.00	\$49,968.67
1101527	\$0.00	\$3,872.00	5004730	\$0.00	\$17,694.07
1101540	\$0.00	\$7,367.80	5004732	\$0.00	\$68,206.32
1101548	\$0.00	\$7,431.15	5004755	\$0.00	\$164,763.18
1101577	\$0.00	\$1,894.50	5004757	\$0.00	\$246,344.12
1101673	\$0.00	\$20,560.00	5004758	\$0.00	\$902.12
1101687	\$0.00	\$2,226.40	5004759	\$0.00	\$42,475.62
1101690	\$0.00	\$5,556.00	5004760	\$0.00	\$266,488.25
1101711	\$0.00	\$52,266.84	5004761	\$0.00	\$12,603.36
1101756	\$0.00	\$24,960.33	5004765	\$0.00	\$5,250.42
1101797	\$0.00	\$882.50	5004767	\$0.00	\$888.96
1101812	\$0.00	\$6,945.00	5004769	\$0.00	\$3,214.75
1101827	\$0.00	\$277,800.00	5004770	\$0.00	\$15,279.00
1101832	\$0.00	\$5,000.40	5004772	\$0.00	\$5,839.27
1101943	\$0.00	\$1,824.00	5004773	\$0.00	\$5,986.62
1101974	\$0.00	\$1,389.00	5004774	\$0.00	\$17,334.72
1101978	\$0.00	\$136,014.10	5004775	\$0.00	\$5,125.41
1101980	\$0.00	\$40,860.00	5004779	\$0.00	\$3,371.80
1102037	\$0.00	\$13,890.00	5004781	\$0.00	\$6,028.26
1102048	\$0.00	\$10,943.00	5004784	\$0.00	\$93,500.00
1102065	\$0.00	\$6,945.00	5004785	\$0.00	\$1,404,973.50
1102103	\$0.00	\$1,389.00	5004786	\$0.00	\$902,850.00
1102106	\$0.00	\$6,776.00	5004787	\$0.00	\$4,889.28
1102151	\$0.00	\$17,980.00	5004789	\$0.00	\$804,930.06
1102195	\$0.00	\$1,389.00	5004795	\$0.00	\$74,473.33
1102220	\$0.00	\$22,930.17	5004799	\$0.00	\$88,400.63
1102227	\$0.00	\$29,902.50	5004800	\$0.00	\$548,869.17
1102229	\$0.00	\$968.00	5004802	\$0.00	\$477,121.50
1102240	\$0.00	\$11,112.00	5004803	\$0.00	\$18,612.60
1102254	\$0.00	\$8,334.00	5004805	\$0.00	\$3,861.42
1102326	\$0.00	\$3,472.50	5004806	\$0.00	\$1,819.59
1102339	\$0.00	\$4,840.00	5004807	\$0.00	\$4.42
1102346	\$0.00	\$13,890.00	5004808	\$0.00	\$2,083.50
1102368	\$0.00	\$5,556.00	5004809	\$0.00	\$12,709.35
1102396	\$0.00	\$30,538.00	5004811	\$0.00	\$29,586.62
1102442	\$0.00	\$810.82	5004815	\$0.00	\$6,945.00
1102443	\$0.00	\$42,838.68	5004817	\$0.00	\$15,251.22
1102451	\$0.00	\$726.00	5004819	\$0.00	\$23,446.32
1102474	\$0.00	\$20,601.40	5004821	\$0.00	\$4,111.44
1102485	\$0.00	\$59,985.95	5004822	\$0.00	\$211,626.55
1102492	\$0.00	\$22,626.81	5004831	\$0.00	\$16,300.83
1102565	\$0.00	\$870.00	5004832	\$0.00	\$5,764.35
1102582	\$0.00	\$33,336.00	5004833	\$0.00	\$2,958.57
1102583	\$0.00	\$2,233.00	5004834	\$0.00	\$5,264.31
1102595	\$0.00	\$8,264.55	5004835	\$0.00	\$25,555.48
1102633	\$0.00	\$6,103.00	5004837	\$0.00	\$11,584.26
1102645	\$0.00	\$33,000.09	5004839	\$0.00	\$757,135.43
1102705	\$0.00	\$23,570.00	5004841	\$0.00	\$58,080.00
1102713	\$0.00	\$8,264.55	5004844	\$0.00	\$2,062.90
1102724	\$0.00	\$13,890.00	5004845	\$0.00	\$2,189.20
1102729	\$0.00	\$9,103.59	5004847	\$0.00	\$6,264.39
1102780	\$0.00	\$12,493.98	5004848	\$0.00	\$3,028.02
1102822	\$0.00	\$13,890.00	5004849	\$0.00	\$5,236.53
1102839	\$0.00	\$14,588.44	5004850	\$0.00	\$6,681.09

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1102891	\$0.00	\$168,660.61	5004851	\$0.00	\$104,162.07
1102895	\$0.00	\$106,758.85	5004852	\$0.00	\$68,440.10
1102909	\$0.00	\$29,040.00	5004853	\$0.00	\$14,499.52
1102918	\$0.00	\$8,374.16	5004855	\$0.00	\$6,194.94
1102921	\$0.00	\$421.00	5004856	\$0.00	\$99,660.75
1102926	\$0.00	\$67,015.00	5004857	\$0.00	\$976.80
1102931	\$0.00	\$1,666.80	5004858	\$0.00	\$750,754.50
1102977	\$0.00	\$6,733.90	5004860	\$0.00	\$4,214.23
1102994	\$0.00	\$8,320.11	5004861	\$0.00	\$65,283.00
1103000	\$0.00	\$53,344.00	5004866	\$0.00	\$10,042.47
1103007	\$0.00	\$1,682.00	5004868	\$0.00	\$584.25
1103009	\$0.00	\$340.00	5004871	\$0.00	\$4,722.60
1103010	\$0.00	\$9,680.00	5004875	\$0.00	\$3,250.26
1103038	\$0.00	\$5,486.55	5004877	\$0.00	\$864.97
1103071	\$0.00	\$12,000.96	5004878	\$0.00	\$629.07
1103080	\$0.00	\$4,065.60	5004880	\$0.00	\$8,056.20
1103089	\$0.00	\$1,904.74	5004881	\$0.00	\$4,680.93
1103149	\$0.00	\$87.00	5004882	\$0.00	\$3,986.43
1103150	\$0.00	\$4,167.00	5004883	\$0.00	\$7,983.80
1103184	\$0.00	\$1,597.35	5004887	\$0.00	\$3,555.84
1103198	\$0.00	\$18,744.95	5004889	\$0.00	\$9,472.98
1103201	\$0.00	\$124,836.38	5004893	\$0.00	\$2,819.67
1103213	\$0.00	\$1,599.50	5004894	\$0.00	\$16,473.54
1103255	\$0.00	\$2,296.00	5004895	\$0.00	\$15,806.82
1103280	\$0.00	\$14,984.00	5004896	\$0.00	\$25,404.81
1103301	\$0.00	\$4,210.00	5004897	\$0.00	\$6,458.85
1103318	\$0.00	\$47,450.00	5004898	\$0.00	\$231.55
1103365	\$0.00	\$903.79	5004901	\$0.00	\$1,775.90
1103370	\$0.00	\$14,198.25	5004902	\$0.00	\$8,472.90
1103389	\$0.00	\$49,100.00	5004903	\$0.00	\$437.25
1103399	\$0.00	\$2,083.50	5004907	\$0.00	\$6,362.34
1103412	\$0.00	\$2,688.00	5004908	\$0.00	\$2,305.74
1103434	\$0.00	\$6,381.53	5004911	\$0.00	\$52.08
1103444	\$0.00	\$6,945.00	5004912	\$0.00	\$652.83
1103463	\$0.00	\$3,158.93	5004913	\$0.00	\$804,918.17
1103479	\$0.00	\$20,265.10	5004914	\$0.00	\$638.94
1103511	\$0.00	\$4,722.60	5004915	\$0.00	\$26,391.00
1103519	\$0.00	\$20,835.00	5004917	\$0.00	\$33,149.00
1103529	\$0.00	\$25,675.00	5004920	\$0.00	\$28,251.22
1103609	\$0.00	\$168.40	5004921	\$0.00	\$47,764.86
1103615	\$0.00	\$5,362.00	5004922	\$0.00	\$13,177.25
1103667	\$0.00	\$14,520.00	5004923	\$0.00	\$19,425.90
1103679	\$0.00	\$14,520.00	5004924	\$0.00	\$56,297.02
1103736	\$0.00	\$6,945.00	5004926	\$0.00	\$3,986.43
1103741	\$0.00	\$4,080.94	5004930	\$0.00	\$3,009,481.25
1103751	\$0.00	\$3,055.80	5004932	\$0.00	\$1,545.21
1103770	\$0.00	\$720.95	5004935	\$0.00	\$2,690,631.18
1103805	\$0.00	\$35,795.00	5004936	\$0.00	\$213,975.45
1103831	\$0.00	\$4,210.00	5004938	\$0.00	\$97,032.02
1103846	\$0.00	\$1,389.00	5004941	\$0.00	\$82,182.25
1103847	\$0.00	\$4,026.59	5004942	\$0.00	\$24,461.84
1103872	\$0.00	\$1,041.75	5004943	\$0.00	\$892,513.42
1103876	\$0.00	\$50,642.94	5004945	\$0.00	\$9,343.68
1103904	\$0.00	\$13,890.00	5004946	\$0.00	\$213,496.44
1103911	\$0.00	\$4,014.21	5004947	\$0.00	\$49,056.59
1103931	\$0.00	\$13,890.00	5004949	\$0.00	\$53,898.82
1103937	\$736.00	\$19,605.23	5004950	\$0.00	\$204,636.99
1103938	\$0.00	\$8,327.00	5004953	\$0.00	\$6,569.97
1103941	\$0.00	\$13,890.00	5004954	\$0.00	\$69,116.05
1103965	\$0.00	\$868,602.62	5004958	\$0.00	\$4,430.91
1103969	\$0.00	\$16,187.47	5004959	\$0.00	\$109.46
1103980	\$0.00	\$30,206.00	5004962	\$0.00	\$54,171.00
1103994	\$0.00	\$2,778.00	5004964	\$0.00	\$201,256.43
1104016	\$0.00	\$49,657.82	5004968	\$0.00	\$90,298.05
1104031	\$0.00	\$763.95	5004972	\$0.00	\$2,041.83
1104046	\$0.00	\$17,762.00	5004976	\$0.00	\$9,350.14
1104054	\$0.00	\$1,022.60	5004982	\$0.00	\$4,861.50
1104060	\$0.00	\$1,662.50	5004983	\$0.00	\$2,714.66
1104129	\$0.00	\$368.69	5004987	\$0.00	\$13,792.77
1104143	\$0.00	\$19,360.00	5004988	\$0.00	\$18,070.89
1104177	\$0.00	\$8,236.77	5004989	\$0.00	\$3,166.92
1104231	\$0.00	\$6,376.50	5004990	\$0.00	\$3,041.91
1104287	\$0.00	\$13,627.20	5004991	\$0.00	\$6,236.61
1104307	\$0.00	\$110,500.00	5004992	\$0.00	\$55,288.60
1104312	\$0.00	\$842.00	5004993	\$0.00	\$301,510.23
1104318	\$0.00	\$4,433.97	5004997	\$0.00	\$50,055.01
1104319	\$0.00	\$12,326.00	5004999	\$0.00	\$527.82
1104368	\$0.00	\$3,638.10	5005001	\$0.00	\$377,520.00
1104443	\$0.00	\$3,659.79	5005002	\$0.00	\$19,360.00

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1104448	\$0.00	\$13,610.00	5005004	\$0.00	\$907.35
1104620	\$0.00	\$473.94	5005009	\$0.00	\$4,583.70
1104623	\$0.00	\$19,311.00	5005011	\$0.00	\$3,625.29
1104644	\$0.00	\$87,046.72	5005012	\$0.00	\$4,265.17
1104681	\$0.00	\$2,778.00	5005014	\$0.00	\$53,407.05
1104693	\$0.00	\$13,890.00	5005016	\$0.00	\$64,473.98
1104800	\$0.00	\$968.00	5005017	\$0.00	\$8,195.10
1104838	\$0.00	\$2,469.96	5005018	\$0.00	\$125,989.21
1104853	\$0.00	\$9,050.00	5005021	\$0.00	\$58,768.59
1104876	\$0.00	\$1,656.50	5005022	\$0.00	\$11,278.68
1104891	\$0.00	\$25,002.00	5005023	\$0.00	\$19,807.14
1104929	\$0.00	\$26,122.60	5005030	\$0.00	\$7,333.92
1104930	\$0.00	\$9,680.00	5005032	\$0.00	\$6,639.42
1104932	\$0.00	\$2,778.00	5005033	\$0.00	\$2,889.12
1104951	\$0.00	\$58,080.00	5005035	\$0.00	\$12,386.25
1104957	\$0.00	\$968.00	5005036	\$0.00	\$5,236.53
1104996	\$0.00	\$21,892.00	5005037	\$0.00	\$6,042.15
1105066	\$0.00	\$35,420.00	5005038	\$0.00	\$6,375.51
1105104	\$0.00	\$3,388.00	5005040	\$0.00	\$14,459.49
1105135	\$0.00	\$11,999.90	5005042	\$0.00	\$842.00
1105153	\$0.00	\$36,877.95	5005052	\$0.00	\$39,842.36
1105157	\$0.00	\$83,321.90	5005054	\$0.00	\$14,316.93
1105172	\$0.00	\$8,264.55	5005056	\$0.00	\$3,236.37
1105206	\$0.00	\$2,083.50	5005061	\$0.00	\$3,625.29
1105250	\$0.00	\$90,285.00	5005063	\$0.00	\$121,423.61
1105318	\$0.00	\$4,167.00	5005064	\$0.00	\$4,333.56
1105328	\$0.00	\$125,090.96	5005066	\$0.00	\$2,105.00
1105330	\$0.00	\$3,472.50	5005068	\$0.00	\$13,890.00
1105411	\$0.00	\$10,020.00	5005069	\$0.00	\$2,127.44
1105508	\$0.00	\$2,778.00	5005070	\$0.00	\$3,111.15
1105620	\$0.00	\$2,778.00	5005071	\$0.00	\$1,151.83
1105693	\$0.00	\$1,549.98	5005072	\$0.00	\$2,117.63
1105720	\$0.00	\$49,849.78	5005073	\$0.00	\$1,163.57
1105756	\$0.00	\$4,472.58	5005074	\$0.00	\$1,389.32
1105813	\$0.00	\$4,906.80	5005076	\$0.00	\$5,369.88
1105939	\$0.00	\$1,936.00	5005077	\$0.00	\$1,086.18
1106015	\$0.00	\$763.95	5005078	\$0.00	\$43,259.92
1106100	\$0.00	\$1,250.10	5005081	\$0.00	\$3,998.19
1106227	\$0.00	\$83.34	5005083	\$0.00	\$1,042.00
1106263	\$0.00	\$79,473.64	5005084	\$0.00	\$6,042.15
1106273	\$0.00	\$166.68	5005085	\$0.00	\$10,817.00
1106292	\$0.00	\$1,666.80	5005088	\$0.00	\$1,740.00
1106338	\$0.00	\$1,807.93	5005089	\$0.00	\$8,986.83
1106396	\$0.00	\$9,723.00	5005090	\$0.00	\$3,458.61
1106562	\$0.00	\$6,945.00	5005091	\$0.00	\$2,583.54
1106663	\$0.00	\$12,207.82	5005092	\$0.00	\$3,097.47
1106674	\$0.00	\$472.32	5005105	\$0.00	\$72,690.43
1106753	\$0.00	\$822.50	5005109	\$0.00	\$16,562.20
1106770	\$0.00	\$3,916.98	5005110	\$0.00	\$61,471.04
1106826	\$0.00	\$20,002.00	5005111	\$3,365,628.00	\$3,365,628.00
1107145	\$0.00	\$31,460.00	5005112	\$1,738,220.00	\$1,999,722.27
1107333	\$0.00	\$6,505.00	5005113	\$0.00	\$1,308,191.50
1107339	\$0.00	\$79,832.00	5005114	\$47,171.00	\$47,171.00
1107349	\$0.00	\$13,890.00	5005116	\$0.00	\$228,337.79
1107467	\$0.00	\$13,596.00	5005118	\$0.00	\$75,612.63
1107525	\$0.00	\$9,028.50	5005120	\$0.00	\$18,351.58
1107635	\$0.00	\$3,440.00	5005121	\$0.00	\$4,895.77
1107671	\$0.00	\$4,167.00	5005122	\$0.00	\$118,065.00
1107721	\$0.00	\$13,615.50	5005124	\$0.00	\$40,975.43
1107844	\$0.00	\$4,092.57	5005125	\$0.00	\$383,897.22
1107885	\$0.00	\$30,382.99	5005126	\$0.00	\$109,717.11
1108043	\$0.00	\$47,770.00	5005128	\$0.00	\$46,940.50
1108167	\$0.00	\$968.00	5005129	\$0.00	\$172,221.90
1108360	\$0.00	\$6,911.00	5005137	\$1,855.00	\$1,855.00
1108480	\$0.00	\$938.84	5005138	\$37,200.00	\$37,200.00
1108529	\$0.00	\$1,600.00	5005141	\$33,240.00	\$33,240.00
1108592	\$0.00	\$121,510.00	5005145	\$0.00	\$561,688.22
1108636	\$0.00	\$625.05	5005146	\$0.00	\$50,494.88
1108664	\$0.00	\$22,274.00	5005147	\$0.00	\$6,132,404.65
1108708	\$0.00	\$1,114.00	5005151	\$0.00	\$178,382.20
1121215	\$0.00	\$1,178.50	5005152	\$0.00	\$89,948.46
1121243	\$0.00	\$37,055.00	5005153	\$0.00	\$207.00
1121251	\$0.00	\$8,261.00	5005154	\$0.00	\$127,801.41
1121294	\$0.00	\$2,369.18	5005155	\$0.00	\$23,294.87
1121297	\$0.00	\$15,279.00	5005156	\$0.00	\$236,596.75
1121316	\$0.00	\$13,751.10	5005158	\$0.00	\$40,079.57
1121346	\$0.00	\$6,250.50	5005159	\$0.00	\$7,917.30
1121356	\$0.00	\$2,805.68	5005160	\$0.00	\$41,303.10
1121359	\$0.00	\$6,490.20	5005161	\$0.00	\$20,001.60

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1121361	\$0.00	\$3,611.40	5005162	\$0.00	\$11,248.48
1121362	\$0.00	\$80,257.22	5005168	\$0.00	\$4,167.00
1121363	\$0.00	\$1,389.00	5005169	\$0.00	\$8,957.29
1121365	\$0.00	\$1,875.15	5005170	\$0.00	\$47,949.70
1121369	\$0.00	\$1,354.99	5005174	\$0.00	\$2,205,049.00
1121372	\$0.00	\$7,381.52	5005175	\$0.00	\$551,959.40
1121375	\$0.00	\$13,659.90	5005176	\$1,980,000.00	\$2,047,302.00
1121379	\$0.00	\$4,840.00	5005178	\$0.00	\$58,115.76
1121381	\$0.00	\$2,778.00	5005179	\$0.00	\$34,822.23
1121382	\$0.00	\$4,214.70	5005180	\$0.00	\$1,371,943.25
1121383	\$0.00	\$155.48	5005181	\$0.00	\$37,529.99
1121384	\$0.00	\$2,778.00	5005182	\$0.00	\$5,336.64
1121390	\$0.00	\$77,690.70	5005183	\$493.33	\$2,253.06
1121391	\$0.00	\$9,987.72	5005184	\$1,104.12	\$5,421.17
1121393	\$0.00	\$208.35	5005185	\$276.05	\$1,184.16
1121394	\$0.00	\$4,151.90	5005186	\$975.36	\$4,004.68
1121395	\$0.00	\$93,896.40	5005187	\$3,034,030.08	\$3,034,030.08
1121402	\$0.00	\$1,389.00	5005188	\$30,205.79	\$122,082.98
1121404	\$0.00	\$513.93	5005189	\$7,439.92	\$30,619.15
1121405	\$0.00	\$122,579.00	5005190	\$45,471.25	\$176,411.45
1121407	\$0.00	\$2,419.18	5005191	\$449.19	\$3,751.19
1121408	\$0.00	\$277,800.00	5005192	\$2,125.71	\$9,561.64
1121409	\$218.81	\$218.81	5005193	\$20,700.00	\$19,251.00
1121410	\$1,560.35	\$1,560.35	5005195	\$884.62	\$6,066.91
1121411	\$0.00	\$11,474.00	5005196	\$3,273.87	\$17,813.38
1121412	\$0.00	\$20,153.00	5005197	\$574.62	\$2,906.73
1121413	\$0.00	\$3,758.66	5005198	\$3,440.21	\$21,590.73
1121414	\$0.00	\$8,210.00	5005199	\$998.04	\$4,527.51
1121416	\$0.00	\$13,761.57	5005200	\$66,089.83	\$230,863.54
1121421	\$0.00	\$2,778.00	5005201	\$12,400.72	\$63,158.21
1121424	\$0.00	\$16,668.00	5005202	\$114,910.56	\$419,473.23
1121430	\$0.00	\$168.40	5005203	\$1,285.36	\$4,925.13
1121434	\$0.00	\$5,556.00	5005204	\$3,711.40	\$15,322.46
1121438	\$0.00	\$27,780.00	5005206	\$3,819.28	\$14,773.47
1121440	\$0.00	\$3,284.50	5005207	\$91,592.74	\$177,878.14
1121441	\$0.00	\$4,367.70	5005209	\$2,963.87	\$11,215.46
1121443	\$0.00	\$1,389.00	5005239	\$0.00	\$993,088.02
1121444	\$0.00	\$1,389.00	5005253	\$0.00	\$4,563,847.87
1121446	\$0.00	\$5,676.78	5005255	\$0.00	\$53,729.78
1121447	\$0.00	\$11,870.00	5005256	\$0.00	\$2,113,756.80
1121448	\$0.00	\$2,555.52	5005258	\$0.00	\$36,184.26
1121450	\$0.00	\$519,800.00	5005259	\$0.00	\$7,361.70
1121458	\$0.00	\$694.50	5005260	\$0.00	\$133,686.29
1121462	\$0.00	\$1,052.50	5005262	\$0.00	\$1,755,754.05
1121463	\$0.00	\$7,376.85	5005264	\$0.00	\$5,564.16
1121464	\$0.00	\$277.80	5005265	\$16,031.60	\$10,498.06
1121466	\$0.00	\$96,914.76	5005266	\$951.42	\$623.02
1121475	\$0.00	\$833.40	5005268	\$0.00	\$1,559,499.75
1121476	\$0.00	\$4,210.00	5005271	\$0.00	\$67,198.36
1121477	\$0.00	\$24,863.47	5005272	\$0.00	\$48,487.99
1121478	\$0.00	\$46,265.00	5005281	\$0.00	\$191,720.82
1121482	\$0.00	\$2,778.00	5005283	\$0.00	\$48,742.00
1121484	\$0.00	\$13,890.00	5005285	\$0.00	\$1,109,136.70
1121485	\$0.00	\$1,694.96	5005286	\$0.00	\$19,862.70
1121490	\$0.00	\$5,592.97	5008699	\$0.00	\$31,575.00
1121493	\$0.00	\$6,776.00	5008700	\$0.00	\$96.80
1121497	\$0.00	\$1,389.00	5008701	\$0.00	\$332.59
1121498	\$0.00	\$80,310.00	5008702	\$0.00	\$362,056.18
1121502	\$0.00	\$1,740.00	5008703	\$11.16	\$10.38
1121503	\$0.00	\$1,389.00	5008705	\$0.00	\$17,859.76
1121505	\$0.00	\$4,167.00	5008708	\$0.00	\$541.10
1121506	\$0.00	\$6,945.00	5008709	\$0.00	\$21,215.65
1121508	\$0.00	\$833.40	5008710	\$0.00	\$234.14
1121516	\$0.00	\$2,083.50	5008711	\$0.00	\$19,727.45
1121519	\$0.00	\$4,514.25	5008712	\$0.00	\$1,452.80
1121520	\$0.00	\$4,333.68	5008713	\$0.00	\$152.93
1121555	\$0.00	\$46,100.00	5008714	\$0.00	\$2,112.70
1121575	\$0.00	\$3,872.00	5008715	\$0.00	\$1,097.70
1121622	\$0.00	\$13,890.00	5008716	\$0.00	\$1,669.11
1121710	\$0.00	\$4,589.10	5008717	\$0.00	\$155.00
1121714	\$0.00	\$1,389.00	5008718	\$0.00	\$711.21
1121715	\$0.00	\$2,105.00	5008720	\$0.00	\$2,847.45
1121728	\$0.00	\$27,780.00	5008722	\$0.00	\$142.00
1121756	\$0.00	\$56,686.85	5008724	\$0.00	\$970.02
1121767	\$0.00	\$28,824.50	5008728	\$0.00	\$105.52
1121790	\$0.00	\$27,048.90	5008729	\$0.00	\$20,835.00
1121831	\$0.00	\$3,750.30	5008732	\$0.00	\$1,285.90
1121861	\$0.00	\$2,904.00	5008733	\$0.00	\$171.17
1121937	\$0.00	\$22,527.40	5008735	\$0.00	\$512.10

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1121940	\$0.00	\$12,630.00	5008736	\$0.00	\$2,222.40
1122021	\$0.00	\$15,712.22	5008737	\$0.00	\$1,052.50
1122048	\$0.00	\$42,100.00	5008738	\$0.00	\$3,961.78
1122061	\$0.00	\$33,120.00	5008739	\$0.00	\$387.20
1122080	\$0.00	\$554.53	5008740	\$0.00	\$3,106.20
1122093	\$0.00	\$96,800.00	5008743	\$0.00	\$17,682.00
1122100	\$0.00	\$24,162.00	5008744	\$0.00	\$2,958.57
1122101	\$0.00	\$6,776.00	5008745	\$0.00	\$416.70
1122205	\$0.00	\$2,778.00	5008746	\$0.00	\$97.23
1122238	\$0.00	\$7,492.00	5008747	\$0.00	\$304.80
1122247	\$0.00	\$8,584.02	5008748	\$0.00	\$76,681.84
1122260	\$0.00	\$6,945.00	5008749	\$0.00	\$1,805.70
1122297	\$0.00	\$88,991.00	5008751	\$0.00	\$19,098.75
1122333	\$0.00	\$4,840.00	5008753	\$0.00	\$77,005.84
1122363	\$0.00	\$2,083.50	5008763	\$0.00	\$95.68
1122405	\$0.00	\$16,840.00	5008768	\$0.00	\$113,201.00
1122406	\$0.00	\$16,840.00	5008771	\$0.00	\$23,170.00
1122422	\$0.00	\$1,000.08	5008773	\$0.00	\$187,120.20
1122447	\$872,500.00	\$1,418,250.00	5008776	\$0.00	\$5,808.00
1122451	\$0.00	\$20,011.30	5008783	\$0.00	\$809,352.41
1122460	\$0.00	\$1,684.00	5008784	\$0.00	\$42,333.86
1122557	\$0.00	\$9,723.00	5008788	\$0.00	\$100,320.00
1122656	\$0.00	\$2,778.00	5008789	\$0.00	\$27,225.38
1122690	\$0.00	\$3,584.46	5008790	\$0.00	\$27,785.94
1122695	\$0.00	\$2,583.54	5008796	\$0.00	\$132,891.80
1122765	\$0.00	\$1,389.00	5008802	\$0.00	\$2,472.42
1122817	\$0.00	\$968.00	5008804	\$0.00	\$73,205.43
1122820	\$0.00	\$9,680.00	5008805	\$0.00	\$68,568.13
1122821	\$0.00	\$2,589.30	5008808	\$0.00	\$180.57
1122822	\$0.00	\$3,872.00	5008810	\$0.00	\$68,797.66
1122830	\$0.00	\$2,061.00	5008813	\$0.00	\$2,522,429.85
1122832	\$0.00	\$2,778.00	5008814	\$0.00	\$97,368.90
1122833	\$0.00	\$10,310.00	5008815	\$0.00	\$946.25
1122834	\$0.00	\$2,778.00	5008819	\$0.00	\$96,830.00
1122841	\$1,231.25	\$1,231.25	5008821	\$0.00	\$77,784.00
1122843	\$0.00	\$5,705.24	5008826	\$0.00	\$47,920.50
1122846	\$0.00	\$1,936.00	5008827	\$0.00	\$604.36
1122847	\$0.00	\$2,841.00	5008829	\$0.00	\$37,915.49
1122852	\$0.00	\$951.00	5008830	\$0.00	\$1,555.68
1122866	\$0.00	\$11,616.00	5008835	\$0.00	\$266,234.55
1122867	\$0.00	\$1,125.60	5008839	\$0.00	\$972.00
1122868	\$0.00	\$1,111.20	5008843	\$0.00	\$13,751.19
1122869	\$0.00	\$13,719.40	5008859	\$0.00	\$67.91
1122870	\$0.00	\$5,625.45	5008860	\$0.00	\$1,333.44
1122871	\$0.00	\$48,160.00	5008861	\$0.00	\$1,333.44
1122872	\$0.00	\$11,132.00	5008864	\$0.00	\$1,902.93
1122873	\$0.00	\$1,979.00	5008868	\$0.00	\$46,995.68
1122876	\$0.00	\$2,778.00	5008871	\$0.00	\$2,883,601.80
1122932	\$0.00	\$2,778.00	5008872	\$2,553,000.00	\$3,034,183.32
1122936	\$0.00	\$1,599.50	5008874	\$0.00	\$867,640.00
1122941	\$0.00	\$4,305.90	5008878	\$0.00	\$5,403.06
1122942	\$0.00	\$18,000.00	5008883	\$0.00	\$48.00
1122943	\$0.00	\$8,334.00	5008884	\$0.00	\$2,164,322.75
1122944	\$0.00	\$194,480.00	5008889	\$0.00	\$333.36
1122945	\$0.00	\$3,872.00	5008904	\$0.00	\$2,764.11
1122948	\$0.00	\$2,462.85	5008907	\$0.00	\$10,834.20
1122949	\$0.00	\$6,945.00	5008910	\$1,244,000.00	\$1,755,038.35
1122953	\$0.00	\$2,889.12	5008913	\$0.00	\$144,458.11
1122954	\$0.00	\$4,840.00	5008922	\$0.00	\$36,291.76
1122959	\$0.00	\$35,970.00	5008927	\$0.00	\$163.59
1122968	\$0.00	\$7,492.00	5008928	\$0.00	\$240.99
1122971	\$0.00	\$2,778.00	5008930	\$0.00	\$312.14
1122973	\$0.00	\$88,714.50	5008933	\$0.00	\$34.73
1122974	\$0.00	\$1,389.00	5008934	\$0.00	\$321.57
1122975	\$0.00	\$13,890.00	5008936	\$0.00	\$995.00
1122976	\$0.00	\$3,833.00	5008937	\$0.00	\$1,389.00
1122977	\$0.00	\$7,390.57	5008940	\$0.00	\$218.12
1122979	\$0.00	\$5,556.00	5008941	\$0.00	\$193.66
1122982	\$0.00	\$1,389.00	5008945	\$0.00	\$4,905.10
1122984	\$0.00	\$2,601.00	5008952	\$0.00	\$1,102,223.45
1122985	\$0.00	\$6,971.00	5008955	\$0.00	\$8,982.73
1122987	\$0.00	\$1,425.00	5008956	\$0.00	\$135,024.00
1122989	\$0.00	\$26,391.00	5008963	\$0.00	\$490.17
1122990	\$0.00	\$13,100.00	5008966	\$1,198.40	\$1,114.51

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1122998	\$0.00	\$27,780.00	5008968	\$298,260.60	\$298,260.60
1123003	\$0.00	\$2,083.50	5008978	\$3,457.04	\$3,457.04
1123004	\$0.00	\$2,778.00	5008980	\$0.00	\$238.12
1123006	\$0.00	\$13,890.00	5008981	\$0.00	\$24,048.51
1123014	\$0.00	\$4,768.61	5008982	\$0.00	\$97,193.53
1123016	\$0.00	\$3,090.00	5008985	\$0.00	\$149.24
1123019	\$0.00	\$4,167.00	5008990	\$0.00	\$89,619.46
1123021	\$0.00	\$20,717.63	5008991	\$0.00	\$143,584.80
1123024	\$0.00	\$3,977.06	5008998	\$16,161.97	\$16,161.97
1123026	\$0.00	\$235,645.90	5009000	\$1,904,032.00	\$18,964,518.19
1123030	\$0.00	\$958.41	5009006	\$0.00	\$561,688.22
1123031	\$0.00	\$17,040.00	5009008	\$0.00	\$209,088.00
1123032	\$0.00	\$23,333.95	5009020	\$0.00	\$614,427.33
1123047	\$0.00	\$1,403.44	5009025	\$0.00	\$379.41
1123048	\$0.00	\$755.04	5009026	\$33.48	\$31.14
1123051	\$0.00	\$19,360.00	5009028	\$0.00	\$22,977.10
1123052	\$0.00	\$819.51	5009039	\$0.00	\$2,844.00
1123053	\$0.00	\$145.20	5009044	\$0.00	\$157.98
1123055	\$0.00	\$1,705.00	5009046	\$0.00	\$2,361.30
1123057	\$0.00	\$4,014.00	5009050	\$0.00	\$107.91
1123071	\$0.00	\$9,680.00	5009051	\$0.00	\$931.71
1123073	\$0.00	\$25,229.50	5009052	\$0.00	\$13,890.00
1123076	\$0.00	\$19,360.00	5009055	\$1,130.82	\$1,130.82
1123077	\$0.00	\$3,872.00	5009058	\$0.00	\$356,733.77
1123081	\$0.00	\$2,083.50	5009059	\$0.00	\$250,423.64
1123083	\$0.00	\$23,300.00	5009061	\$0.00	\$272,397.16
1123085	\$0.00	\$2,756.00	5009064	\$0.00	\$113,797.19
1123087	\$0.00	\$41,535.00	5009065	\$0.00	\$78,233.69
1123088	\$0.00	\$9,680.00	5009066	\$0.00	\$13,084.38
1123089	\$0.00	\$69,450.00	5009067	\$0.00	\$38,571.94
1123093	\$0.00	\$1,956.34	5009070	\$0.00	\$158.71
1123097	\$0.00	\$421.00	5009072	\$0.00	\$213.66
1123101	\$0.00	\$3,472.50	5009073	\$0.00	\$1,102.63
1123102	\$0.00	\$2,105.00	5009075	\$0.00	\$31,285.41
1123104	\$0.00	\$897.99	5009077	\$0.00	\$78.99
1123105	\$0.00	\$4,167.00	5009078	\$0.00	\$111,997.68
1123107	\$0.00	\$1,217.97	5009083	\$0.00	\$52,434.75
1123112	\$0.00	\$46,390.00	5009086	\$0.00	\$115,175.15
1123113	\$0.00	\$46,390.00	5009089	\$0.00	\$10,211.93
1123115	\$0.00	\$3,872.00	5009090	\$0.00	\$2,513,806.80
1123125	\$0.00	\$41,670.00	5009091	\$0.00	\$2,890,283.69
1123126	\$0.00	\$8,611.80	5009093	\$0.00	\$865.55
1123127	\$0.00	\$1,389.00	5009103	\$0.00	\$96,884.11
1123128	\$0.00	\$41,670.00	5009106	\$0.00	\$523,253.18
1123130	\$0.00	\$763.95	5009111	\$75,152.38	\$71,054.21
1123132	\$0.00	\$464,190.00	5009113	\$0.00	\$1,194.54
1123133	\$0.00	\$9,723.00	5009116	\$0.00	\$490,497.00
1123134	\$0.00	\$625.05	5009119	\$0.00	\$13,347.33
1123135	\$0.00	\$9,428.00	5009121	\$0.00	\$794.94
1123137	\$0.00	\$8,740.00	5009122	\$0.00	\$33,238.77
1123139	\$0.00	\$129,320.00	5009123	\$0.00	\$219,643.84
1123140	\$0.00	\$19,194.00	5009126	\$0.00	\$86,574.87
1123141	\$0.00	\$12,245.41	5009127	\$0.00	\$836,449.14
1123142	\$0.00	\$5,389.32	5009128	\$0.00	\$39,265.00
1123143	\$0.00	\$46,781.52	5009129	\$0.00	\$1,805.70
1123145	\$0.00	\$16,114.35	5009133	\$0.00	\$500.65
1123147	\$0.00	\$4,398.54	5009134	\$0.00	\$828.00
1123154	\$0.00	\$30,450.00	5009139	\$0.00	\$269,835.74
1123155	\$0.00	\$1,357.50	5009140	\$0.00	\$2,500,719.40
1123161	\$0.00	\$6,945.00	5009148	\$0.00	\$39,367.50
1123163	\$0.00	\$5,481.50	5009195	\$0.00	\$230,499.00
1123165	\$0.00	\$1,597.35	10000001	\$0.00	\$774,032.16
1123173	\$0.00	\$6,945.00	10000003	\$0.00	\$182,894.52
1123175	\$0.00	\$6,528.30	10000005	\$0.00	\$51,465.23
1123178	\$0.00	\$27,780.00	10000015	\$0.00	\$1,483,512.76
1123179	\$0.00	\$14,520.00	10000027	\$58,558,150.00	\$88,020,500.85
1123181	\$0.00	\$4,167.00	10000031	\$0.00	\$3,604,117.85
1123182	\$0.00	\$9,680.00	10000032	\$0.00	\$271,935.01
1123183	\$0.00	\$1,389.00	10000035	\$0.00	\$104,028.50
1123185	\$0.00	\$57,112.00	10000041	\$0.00	\$2,430,338.20
1123190	\$0.00	\$151.41	10000046	\$0.00	\$19,045.29
1123191	\$0.00	\$13,700.00	10000054	\$4,642,100.00	\$7,027,612.33
1123196	\$0.00	\$5,532.00	10000055	\$124,415.00	\$222,403.46
1123198	\$0.00	\$4,167.00	10000056	\$117,125.00	\$215,742.63
			10000057	\$163,574.00	\$313,835.04

Total Claim Count: 8,349
Total Securities Act Loss: \$149,929,594.92
Total Exchange Act Loss: \$928,152,874.10

EXHIBIT F

Exhibit F

Late But Otherwise Eligible Claims

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
176	\$0.00	\$408,280.00	1150415	\$0.00	\$2,591.99
393	\$0.00	\$10,791.00	1150421	\$0.00	\$9,306.30
1000164	\$0.00	\$3,370.55	1150422	\$0.00	\$9,730.80
1001443	\$0.00	\$291.69	1150423	\$0.00	\$9,760.80
1003099	\$0.00	\$4,293.32	1150424	\$0.00	\$6,569.97
1019377	\$0.00	\$484.00	1150425	\$0.00	\$19,307.10
1021920	\$0.00	\$12,909.00	1150432	\$0.00	\$49,340.16
1030607	\$0.00	\$8,056.20	1150460	\$0.00	\$24,243.00
1038036	\$0.00	\$6,945.00	1150461	\$0.00	\$4,840.00
1039911	\$0.00	\$2,778.00	1150484	\$0.00	\$9,584.10
1040918	\$0.00	\$736.17	1150485	\$0.00	\$11,945.40
1042009	\$0.00	\$7,010.00	1150486	\$0.00	\$7,028.34
1043748	\$0.00	\$168,967.00	1150487	\$0.00	\$8,403.45
1043753	\$0.00	\$4,210.00	1150501	\$0.00	\$258.75
1044098	\$0.00	\$7,222.80	1150511	\$0.00	\$1,389.00
1044106	\$0.00	\$5,082.00	1150554	\$0.00	\$1,111.20
1045983	\$0.00	\$5,972.70	1150555	\$0.00	\$397,502.00
1046093	\$0.00	\$935.64	1150558	\$0.00	\$919.05
1047745	\$0.00	\$205.50	1150579	\$0.00	\$13,626.30
1049530	\$0.00	\$27,780.00	1150580	\$0.00	\$12,724.86
1049653	\$0.00	\$416.70	1150584	\$0.00	\$1,999.50
1058011	\$0.00	\$76,618.09	1150593	\$0.00	\$405.00
1058027	\$0.00	\$4,840.00	1150596	\$0.00	\$444,603.21
1058154	\$0.00	\$20,835.00	1150597	\$0.00	\$5,813.52
1060281	\$0.00	\$4,167.00	1150598	\$0.00	\$7,100.00
1062809	\$0.00	\$3,472.50	1150600	\$0.00	\$5,135.00
1064412	\$0.00	\$9,680.00	1150623	\$0.00	\$140,606.60
1064669	\$0.00	\$27,188.00	1150627	\$0.00	\$3,639.00
1064693	\$0.00	\$207.52	1150895	\$0.00	\$11,112.00
1065233	\$0.00	\$15,174.97	1151440	\$0.00	\$38,720.00
1065416	\$0.00	\$5,437.50	1151441	\$0.00	\$1,694.58
1066549	\$0.00	\$2,083.50	1151446	\$0.00	\$27,780.00
1072261	\$0.00	\$2,904.00	1151447	\$0.00	\$41,670.00
1075675	\$0.00	\$3,764.19	1151451	\$0.00	\$39,447.60
1075744	\$0.00	\$2,083.50	1151457	\$0.00	\$6,945.00
1075757	\$0.00	\$3,134.03	1151468	\$0.00	\$2,566.21
1076656	\$0.00	\$3,498.38	1151471	\$0.00	\$372.50
1080428	\$0.00	\$31,208.25	1151483	\$0.00	\$19,612.68
1081052	\$0.00	\$18,515.37	1151489	\$0.00	\$9,476.35
1081911	\$0.00	\$12,501.00	1151492	\$0.00	\$50,780.00
1092899	\$0.00	\$1,204.06	1151493	\$0.00	\$78,720.00
1093225	\$0.00	\$5,556.00	1151501	\$0.00	\$27,780.00
1093650	\$0.00	\$7,917.30	1151506	\$0.00	\$8,334.00
1094947	\$0.00	\$10,525.00	1151509	\$0.00	\$9,028.50
1096665	\$0.00	\$97,056.50	1151523	\$0.00	\$1,936.00
1096666	\$0.00	\$102,786.00	1151621	\$0.00	\$3,808.83
1098006	\$0.00	\$8,299.00	1151660	\$0.00	\$9,265.40
1098676	\$0.00	\$110,056.00	1151661	\$0.00	\$5,887.35
1099308	\$0.00	\$1,736.25	1151698	\$0.00	\$18,730.00
1099393	\$0.00	\$2,778.00	1151700	\$0.00	\$6,250.50
1099443	\$0.00	\$20,508.49	1151701	\$0.00	\$13,890.00
1099943	\$0.00	\$165.28	1151702	\$0.00	\$13,890.00
1100230	\$0.00	\$16,456.00	1151706	\$0.00	\$9,723.00
1101597	\$0.00	\$13,890.00	1151718	\$0.00	\$2,326.60
1103927	\$0.00	\$9,680.00	5000098	\$0.00	\$236,130.00
1104149	\$0.00	\$421.00	5000099	\$0.00	\$134,839.00
1105573	\$0.00	\$2,771.20	5000201	\$0.00	\$11,905.00
1108572	\$0.00	\$12,340.60	5001012	\$0.00	\$117,350.81
1123471	\$0.00	\$30,095.00	5001043	\$5,950,368.54	\$9,209,537.66
1124520	\$0.00	\$1,849.03	5004645	\$0.00	\$4,491.44
1124917	\$0.00	\$4,081.40	5004648	\$0.00	\$53,571.52
1126644	\$0.00	\$6,784.73	5004716	\$2,426.72	\$7,174.22
1126952	\$0.00	\$1,022.40	5004734	\$0.00	\$269,835.74
1127905	\$0.00	\$388.92	5004735	\$0.00	\$710.40
1129355	\$0.00	\$7,744.00	5004737	\$0.00	\$164.19
1129783	\$0.00	\$40,546.28	5004741	\$0.00	\$1,430.67
1131751	\$0.00	\$4,722.60	5004742	\$0.00	\$197.87
1132298	\$0.00	\$3,815.91	5005210	\$0.00	\$43,505.51
1133542	\$0.00	\$20,538.75	5005216	\$0.00	\$2,904.00
1134260	\$0.00	\$1,936.00	5005217	\$0.00	\$15,539.85
1136766	\$0.00	\$2,778.00	5005218	\$0.00	\$19,050.00
1138000	\$0.00	\$694.50	5005219	\$0.00	\$2,669.52
1143115	\$0.00	\$20,651.00	5008758	\$0.00	\$53,058.73
1143714	\$0.00	\$2,069.61	5009175	\$0.00	\$252.60
1146198	\$0.00	\$408.09	5009176	\$0.00	\$104.40
1149211	\$0.00	\$6,268,969.26	5009182	\$0.00	\$85,423.50
1149240	\$0.00	\$760,490.93	5009186	\$0.00	\$241.60

<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>	<u>Claim No.</u>	<u>Securities Act Loss</u>	<u>Exchange Act Loss</u>
1150212	\$0.00	\$4,080.85	5009187	\$0.00	\$11,487.77
Total Claim Count:		156			
Total Securities Act Loss:		\$5,952,795.26			
Total Exchange Act Loss:		\$20,492,671.51			

EXHIBIT G

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Item No.	Reason for Rejection
004585	Claims Had Uncured Conditions of Ineligibility
004645	Claims Had Uncured Conditions of Ineligibility
004666	Claims Had Uncured Conditions of Ineligibility
004688	Claims Had Uncured Conditions of Ineligibility
004694	Claims Had Uncured Conditions of Ineligibility
004750	Claims Did Not Result in a Recognized Claim
004763	Claims Had Uncured Conditions of Ineligibility
004933	Claims Had Uncured Conditions of Ineligibility
004935	Claims Did Not Fit Class Definition
004967	Claims Had Uncured Conditions of Ineligibility
005035	Claims Had Uncured Conditions of Ineligibility
005149	Claims Had Uncured Conditions of Ineligibility
005192	Claims Did Not Fit Class Definition
005205	Claims Did Not Result in a Recognized Claim
005238	Claims Had Uncured Conditions of Ineligibility
005308	Claims Did Not Result in a Recognized Claim
005364	Claims Did Not Fit Class Definition
005411	Claims Had Uncured Conditions of Ineligibility
005458	Claims Did Not Fit Class Definition
005491	Claims Did Not Fit Class Definition
005506	Claims Had Uncured Conditions of Ineligibility
005617	Claims Had Uncured Conditions of Ineligibility
005666	Claims Did Not Fit Class Definition
005676	Claims Had Uncured Conditions of Ineligibility
005680	Claims Had Uncured Conditions of Ineligibility
005739	Claims Had Uncured Conditions of Ineligibility
005758	Claims Had Uncured Conditions of Ineligibility
005868	Claims Had Uncured Conditions of Ineligibility
005931	Claims Had Uncured Conditions of Ineligibility
005951	Claims Had Uncured Conditions of Ineligibility
005954	Claims Had Uncured Conditions of Ineligibility
005964	Claims Had Uncured Conditions of Ineligibility
006023	Claims Did Not Fit Class Definition
006031	Claims Had Uncured Conditions of Ineligibility
006055	Claims Did Not Fit Class Definition
006070	Claims Had Uncured Conditions of Ineligibility
006114	Claims Did Not Result in a Recognized Claim
006119	Claims Had Uncured Conditions of Ineligibility
006195	Claims Had Uncured Conditions of Ineligibility
006305	Claims Did Not Result in a Recognized Claim
006431	Claims Had Uncured Conditions of Ineligibility
006454	Claims Had Uncured Conditions of Ineligibility
006480	Claims Had Uncured Conditions of Ineligibility
006492	Claims Had Uncured Conditions of Ineligibility
006525	Claims Had Uncured Conditions of Ineligibility
006535	Claims Had Uncured Conditions of Ineligibility
006575	Claims Had Uncured Conditions of Ineligibility
006631	Claims Did Not Result in a Recognized Claim
006660	Claims Had Uncured Conditions of Ineligibility
006691	Claims Had Uncured Conditions of Ineligibility
006769	Claims Had Uncured Conditions of Ineligibility
006845	Claims Had Uncured Conditions of Ineligibility
006854	Claims Had Uncured Conditions of Ineligibility
006900	Claims Did Not Result in a Recognized Claim
007034	Claims Did Not Result in a Recognized Claim
007134	Claims Had Uncured Conditions of Ineligibility
007206	Claims Had Uncured Conditions of Ineligibility
007262	Claims Had Uncured Conditions of Ineligibility
007305	Claims Did Not Fit Class Definition
007365	Claims Had Uncured Conditions of Ineligibility
007368	Claims Had Uncured Conditions of Ineligibility
007512	Claims Had Uncured Conditions of Ineligibility
007569	Claims Had Uncured Conditions of Ineligibility
007638	Claims Did Not Result in a Recognized Claim
007645	Claims Had Uncured Conditions of Ineligibility
007705	Claims Did Not Fit Class Definition
007707	Claims Had Uncured Conditions of Ineligibility
007818	Claims Had Uncured Conditions of Ineligibility
007897	Claims Had Uncured Conditions of Ineligibility
007917	Claims Did Not Result in a Recognized Claim
007919	Claims Did Not Result in a Recognized Claim
007957	Claims Had Uncured Conditions of Ineligibility
007980	Claims Had Uncured Conditions of Ineligibility
007988	Claims Did Not Result in a Recognized Claim
008115	Claims Had Uncured Conditions of Ineligibility
008127	Claims Had Uncured Conditions of Ineligibility
008215	Claims Had Uncured Conditions of Ineligibility
008221	Claims Had Uncured Conditions of Ineligibility
008240	Claims Did Not Result in a Recognized Claim
008251	Claims Did Not Fit Class Definition
008315	Claims Had Uncured Conditions of Ineligibility
008394	Claims Did Not Result in a Recognized Claim

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Item No.	Reason for Rejection
008419	Claims Had Uncured Conditions of Ineligibility
008422	Claims Had Uncured Conditions of Ineligibility
008452	Claims Did Not Fit Class Definition
008456	Claims Did Not Result in a Recognized Claim
008463	Claims Did Not Result in a Recognized Claim
008508	Claims Had Uncured Conditions of Ineligibility
008546	Claims Had Uncured Conditions of Ineligibility
008549	Claims Had Uncured Conditions of Ineligibility
008587	Claims Had Uncured Conditions of Ineligibility
008589	Claims Had Uncured Conditions of Ineligibility
008592	Claims Had Uncured Conditions of Ineligibility
008676	Claims Had Uncured Conditions of Ineligibility
008718	Claims Had Uncured Conditions of Ineligibility
008754	Claims Had Uncured Conditions of Ineligibility
008810	Claims Did Not Result in a Recognized Claim
008832	Claims Did Not Fit Class Definition
008845	Claims Had Uncured Conditions of Ineligibility
008936	Claims Had Uncured Conditions of Ineligibility
008940	Claims Had Uncured Conditions of Ineligibility
008970	Claims Had Uncured Conditions of Ineligibility
009019	Claims Had Uncured Conditions of Ineligibility
009061	Claims Did Not Result in a Recognized Claim
009062	Claims Had Uncured Conditions of Ineligibility
009075	Claims Did Not Fit Class Definition
009153	Claims Had Uncured Conditions of Ineligibility
009157	Claims Did Not Result in a Recognized Claim
009158	Claims Did Not Result in a Recognized Claim
009184	Claims Had Uncured Conditions of Ineligibility
009201	Claims Had Uncured Conditions of Ineligibility
009276	Claims Had Uncured Conditions of Ineligibility
009315	Claims Had Uncured Conditions of Ineligibility
009361	Claims Had Uncured Conditions of Ineligibility
009362	Claims Had Uncured Conditions of Ineligibility
009465	Claims Had Uncured Conditions of Ineligibility
009500	Claims Had Uncured Conditions of Ineligibility
009523	Claims Had Uncured Conditions of Ineligibility
009527	Claims Did Not Fit Class Definition
009576	Claims Had Uncured Conditions of Ineligibility
009579	Claims Had Uncured Conditions of Ineligibility
009605	Claims Had Uncured Conditions of Ineligibility
009654	Claims Did Not Result in a Recognized Claim
009676	Claims Did Not Result in a Recognized Claim
009699	Claims Had Uncured Conditions of Ineligibility
009728	Claims Had Uncured Conditions of Ineligibility
009816	Claims Had Uncured Conditions of Ineligibility
009877	Claims Did Not Result in a Recognized Claim
009898	Claims Had Uncured Conditions of Ineligibility
010014	Claims Had Uncured Conditions of Ineligibility
010172	Claims Had Uncured Conditions of Ineligibility
010184	Claims Had Uncured Conditions of Ineligibility
010185	Claims Had Uncured Conditions of Ineligibility
010278	Claims Had Uncured Conditions of Ineligibility
010283	Claims Had Uncured Conditions of Ineligibility
010347	Claims Had Uncured Conditions of Ineligibility
010411	Claims Were Duplicates
010414	Claims Had Uncured Conditions of Ineligibility
010459	Claims Had Uncured Conditions of Ineligibility
010473	Claims Had Uncured Conditions of Ineligibility
010509	Claims Had Uncured Conditions of Ineligibility
010582	Claims Had Uncured Conditions of Ineligibility
010600	Claims Had Uncured Conditions of Ineligibility
010636	Claims Had Uncured Conditions of Ineligibility
010646	Claims Had Uncured Conditions of Ineligibility
010660	Claims Had Uncured Conditions of Ineligibility
010738	Claims Did Not Result in a Recognized Claim
010744	Claims Had Uncured Conditions of Ineligibility
010913	Claims Had Uncured Conditions of Ineligibility
010922	Claims Had Uncured Conditions of Ineligibility
010931	Claims Had Uncured Conditions of Ineligibility
010932	Claims Did Not Result in a Recognized Claim
010998	Claims Had Uncured Conditions of Ineligibility
011000	Claims Did Not Result in a Recognized Claim
011016	Claims Had Uncured Conditions of Ineligibility
011041	Claims Had Uncured Conditions of Ineligibility
011073	Claims Had Uncured Conditions of Ineligibility
011175	Claims Had Uncured Conditions of Ineligibility
011199	Claims Had Uncured Conditions of Ineligibility
011222	Claims Had Uncured Conditions of Ineligibility
011244	Claims Had Uncured Conditions of Ineligibility
011245	Claims Had Uncured Conditions of Ineligibility
011263	Claims Had Uncured Conditions of Ineligibility
011379	Claims Had Uncured Conditions of Ineligibility

[illegible]

Claim No.	Reason for Rejection
111405	Claims Had Uncured Conditions of Ineligibility
111411	Claims Had Uncured Conditions of Ineligibility
111414	Claims Had Uncured Conditions of Ineligibility
111483	Claims Did Not Fit Class Definition
111491	Claims Had Uncured Conditions of Ineligibility
111537	Claims Had Uncured Conditions of Ineligibility
111542	Claims Had Uncured Conditions of Ineligibility
111623	Claims Had Uncured Conditions of Ineligibility
111720	Claims Had Uncured Conditions of Ineligibility
111780	Claims Had Uncured Conditions of Ineligibility
111820	Claims Had Uncured Conditions of Ineligibility
111891	Claims Had Uncured Conditions of Ineligibility
111901	Claims Had Uncured Conditions of Ineligibility
111912	Claims Had Uncured Conditions of Ineligibility
111947	Claims Had Uncured Conditions of Ineligibility
111995	Claims Did Not Fit Class Definition
121209	Claims Had Uncured Conditions of Ineligibility
121045	Claims Had Uncured Conditions of Ineligibility
121048	Claims Had Uncured Conditions of Ineligibility
121055	Claims Had Uncured Conditions of Ineligibility
121069	Claims Had Uncured Conditions of Ineligibility
121254	Claims Had Uncured Conditions of Ineligibility
121264	Claims Did Not Fit Class Definition
121208	Claims Had Uncured Conditions of Ineligibility
121211	Claims Had Uncured Conditions of Ineligibility
121221	Claims Had Uncured Conditions of Ineligibility
121290	Claims Had Uncured Conditions of Ineligibility
121318	Claims Had Uncured Conditions of Ineligibility
121400	Claims Did Not Fit Class Definition
121423	Claims Had Uncured Conditions of Ineligibility
121472	Claims Had Uncured Conditions of Ineligibility
121498	Claims Had Uncured Conditions of Ineligibility
121655	Claims Did Not Fit Class Definition
121671	Claims Had Uncured Conditions of Ineligibility
121691	Claims Did Not Result in a Recognized Claim
121707	Claims Had Uncured Conditions of Ineligibility
121754	Claims Did Not Result in a Recognized Claim
121812	Claims Did Not Result in a Recognized Claim
121946	Claims Did Not Result in a Recognized Claim
121992	Claims Did Not Fit Class Definition
1313072	Claims Had Uncured Conditions of Ineligibility
1313075	Claims Had Uncured Conditions of Ineligibility
1313140	Claims Had Uncured Conditions of Ineligibility
1313214	Claims Had Uncured Conditions of Ineligibility
1313246	Claims Had Uncured Conditions of Ineligibility
1313272	Claims Did Not Result in a Recognized Claim
1313284	Claims Did Not Result in a Recognized Claim
1313335	Claims Had Uncured Conditions of Ineligibility
1313452	Claims Had Uncured Conditions of Ineligibility
1313459	Claims Had Uncured Conditions of Ineligibility
1313470	Claims Had Uncured Conditions of Ineligibility
1313489	Claims Had Uncured Conditions of Ineligibility
1313509	Claims Had Uncured Conditions of Ineligibility
1313514	Claims Did Not Fit Class Definition
1313552	Claims Did Not Fit Class Definition
1313603	Claims Had Uncured Conditions of Ineligibility
1313616	Claims Had Uncured Conditions of Ineligibility
1313661	Claims Had Uncured Conditions of Ineligibility
1313664	Claims Had Uncured Conditions of Ineligibility
1313678	Claims Had Uncured Conditions of Ineligibility
1313680	Claims Had Uncured Conditions of Ineligibility
1313720	Claims Did Not Result in a Recognized Claim
1313791	Claims Had Uncured Conditions of Ineligibility
1313811	Claims Had Uncured Conditions of Ineligibility
1313909	Claims Had Uncured Conditions of Ineligibility
1313955	Claims Had Uncured Conditions of Ineligibility
1313962	Claims Had Uncured Conditions of Ineligibility
1313967	Claims Had Uncured Conditions of Ineligibility
1313972	Claims Had Uncured Conditions of Ineligibility
1414008	Claims Had Uncured Conditions of Ineligibility
1414160	Claims Had Uncured Conditions of Ineligibility
1414184	Claims Had Uncured Conditions of Ineligibility
1414195	Claims Were Duplicates
1414256	Claims Did Not Result in a Recognized Claim
1414261	Claims Had Uncured Conditions of Ineligibility
1414273	Claims Had Uncured Conditions of Ineligibility
1414299	Claims Had Uncured Conditions of Ineligibility
1414304	Claims Had Uncured Conditions of Ineligibility
1414307	Claims Had Uncured Conditions of Ineligibility
1414328	Claims Did Not Fit Class Definition
1414442	Claims Did Not Result in a Recognized Claim
1414455	Claims Did Not Result in a Recognized Claim

[illegible]

[illegible]

[illegible]

[illegible]

Claim No.	Reason for Rejection
5003110	Claims Were Duplicates
5003111	Claims Were Duplicates
5003112	Claims Did Not Fit Class Definition
5003113	Claims Did Not Fit Class Definition
5003114	Claims Did Not Fit Class Definition
5003115	Claims Did Not Fit Class Definition
5003116	Claims Were Duplicates
5003117	Claims Were Duplicates
5003118	Claims Were Duplicates
5003120	Claims Were Duplicates
5003121	Claims Were Duplicates
5003123	Claims Did Not Fit Class Definition
5003124	Claims Did Not Fit Class Definition
5003125	Claims Were Duplicates
5003128	Claims Were Duplicates
5003134	Claims Did Not Fit Class Definition
5003135	Claims Did Not Fit Class Definition
5003136	Claims Did Not Fit Class Definition
5003137	Claims Were Duplicates
5003138	Claims Did Not Fit Class Definition
5003141	Claims Did Not Fit Class Definition
5003142	Claims Were Duplicates
5003143	Claims Were Duplicates
5003144	Claims Were Duplicates
5003145	Claims Did Not Fit Class Definition
5003146	Claims Were Duplicates
5003147	Claims Did Not Fit Class Definition
5003148	Claims Did Not Fit Class Definition
5003149	Claims Did Not Fit Class Definition
5003150	Claims Did Not Fit Class Definition
5003151	Claims Did Not Fit Class Definition
5003153	Claims Did Not Fit Class Definition
5003155	Claims Did Not Fit Class Definition
5003156	Claims Did Not Fit Class Definition
5003157	Claims Did Not Fit Class Definition
5003158	Claims Did Not Fit Class Definition
5003160	Claims Did Not Fit Class Definition
5003161	Claims Did Not Fit Class Definition
5003162	Claims Did Not Fit Class Definition
5003163	Claims Did Not Fit Class Definition
5003164	Claims Were Duplicates
5003165	Claims Did Not Fit Class Definition
5003166	Claims Did Not Fit Class Definition
5003167	Claims Did Not Fit Class Definition
5003168	Claims Were Duplicates
5003169	Claims Did Not Fit Class Definition
5003170	Claims Did Not Fit Class Definition
5003171	Claims Did Not Fit Class Definition
5003173	Claims Did Not Fit Class Definition
5003174	Claims Did Not Fit Class Definition
5003175	Claims Were Duplicates
5003176	Claims Were Duplicates
5003177	Claims Did Not Fit Class Definition
5003179	Claims Were Duplicates
5003180	Claims Did Not Fit Class Definition
5003181	Claims Did Not Fit Class Definition
5003182	Claims Did Not Fit Class Definition
5003183	Claims Did Not Fit Class Definition
5003184	Claims Did Not Fit Class Definition
5003185	Claims Did Not Fit Class Definition
5003186	Claims Did Not Fit Class Definition
5003187	Claims Did Not Fit Class Definition
5003190	Claims Did Not Fit Class Definition
5003191	Claims Did Not Fit Class Definition
5003192	Claims Did Not Fit Class Definition
5003193	Claims Did Not Fit Class Definition
5003194	Claims Did Not Fit Class Definition
5003195	Claims Were Duplicates
5003196	Claims Were Duplicates
5003197	Claims Were Duplicates
5003198	Claims Did Not Fit Class Definition
5003199	Claims Did Not Fit Class Definition
5003200	Claims Did Not Fit Class Definition
5003201	Claims Did Not Fit Class Definition
5003202	Claims Did Not Fit Class Definition
5003203	Claims Did Not Fit Class Definition
5003204	Claims Did Not Fit Class Definition
5003205	Claims Did Not Fit Class Definition
5003209	Claims Were Duplicates
5003210	Claims Were Duplicates
5003211	Claims Did Not Fit Class Definition
5003212	Claims Did Not Fit Class Definition

<u>Claim No.</u>	<u>Reason for Rejection</u>	<u>Claim No.</u>	<u>Reason for Rejection</u>
1072895	Claims Had Uncured Conditions of Ineligibility	5004404	Claims Did Not Fit Class Definition
1072930	Claims Did Not Fit Class Definition	5004405	Claims Did Not Fit Class Definition
1072939	Claims Had Uncured Conditions of Ineligibility	5004406	Claims Did Not Result in a Recognized Claim
1072985	Claims Had Uncured Conditions of Ineligibility	5004408	Claims Did Not Fit Class Definition
1072991	Claims Had Uncured Conditions of Ineligibility	5004409	Claims Did Not Fit Class Definition
1072995	Claims Did Not Result in a Recognized Claim	5004410	Claims Did Not Fit Class Definition
1073020	Claims Had Uncured Conditions of Ineligibility	5004411	Claims Were Duplicates
1073067	Claims Had Uncured Conditions of Ineligibility	5004412	Claims Were Duplicates
1073104	Claims Did Not Result in a Recognized Claim	5004413	Claims Did Not Result in a Recognized Claim
1073124	Claims Had Uncured Conditions of Ineligibility	5004414	Claims Did Not Fit Class Definition
1073175	Claims Had Uncured Conditions of Ineligibility	5004415	Claims Did Not Fit Class Definition
1073211	Claims Were Duplicates	5004416	Claims Were Duplicates
1073343	Claims Had Uncured Conditions of Ineligibility	5004418	Claims Did Not Fit Class Definition
1073347	Claims Had Uncured Conditions of Ineligibility	5004419	Claims Were Duplicates
1073361	Claims Had Uncured Conditions of Ineligibility	5004420	Claims Did Not Fit Class Definition
1073405	Claims Had Uncured Conditions of Ineligibility	5004422	Claims Did Not Result in a Recognized Claim
1073419	Claims Did Not Result in a Recognized Claim	5004423	Claims Were Duplicates
1073501	Claims Did Not Fit Class Definition	5004424	Claims Were Duplicates
1073605	Claims Had Uncured Conditions of Ineligibility	5004425	Claims Were Duplicates
1073719	Claims Had Uncured Conditions of Ineligibility	5004426	Claims Were Duplicates
1073762	Claims Did Not Result in a Recognized Claim	5004427	Claims Were Duplicates
1073791	Claims Had Uncured Conditions of Ineligibility	5004430	Claims Did Not Result in a Recognized Claim
1073796	Claims Did Not Result in a Recognized Claim	5004431	Claims Did Not Fit Class Definition
1073819	Claims Had Uncured Conditions of Ineligibility	5004432	Claims Did Not Fit Class Definition
1073834	Claims Had Uncured Conditions of Ineligibility	5004433	Claims Did Not Fit Class Definition
1073836	Claims Had Uncured Conditions of Ineligibility	5004434	Claims Did Not Result in a Recognized Claim
1073985	Claims Had Uncured Conditions of Ineligibility	5004436	Claims Did Not Fit Class Definition
1074043	Claims Did Not Result in a Recognized Claim	5004437	Claims Were Duplicates
1074061	Claims Did Not Fit Class Definition	5004438	Claims Were Duplicates
1074197	Claims Did Not Result in a Recognized Claim	5004439	Claims Did Not Result in a Recognized Claim
1074208	Claims Had Uncured Conditions of Ineligibility	5004440	Claims Did Not Fit Class Definition
1074216	Claims Had Uncured Conditions of Ineligibility	5004441	Claims Were Duplicates
1074272	Claims Were Duplicates	5004442	Claims Did Not Fit Class Definition
1074303	Claims Had Uncured Conditions of Ineligibility	5004445	Claims Did Not Fit Class Definition
1074305	Claims Did Not Result in a Recognized Claim	5004446	Claims Were Duplicates
1074318	Claims Had Uncured Conditions of Ineligibility	5004447	Claims Were Duplicates
1074319	Claims Had Uncured Conditions of Ineligibility	5004448	Claims Were Duplicates
1074356	Claims Did Not Result in a Recognized Claim	5004449	Claims Were Duplicates
1074421	Claims Did Not Result in a Recognized Claim	5004451	Claims Were Duplicates
1074483	Claims Had Uncured Conditions of Ineligibility	5004452	Claims Were Duplicates
1074521	Claims Had Uncured Conditions of Ineligibility	5004454	Claims Did Not Fit Class Definition
1074523	Claims Did Not Result in a Recognized Claim	5004455	Claims Were Duplicates
1074559	Claims Had Uncured Conditions of Ineligibility	5004456	Claims Did Not Result in a Recognized Claim
1074610	Claims Had Uncured Conditions of Ineligibility	5004457	Claims Did Not Fit Class Definition
1074640	Claims Had Uncured Conditions of Ineligibility	5004459	Claims Were Duplicates
1074674	Claims Did Not Fit Class Definition	5004460	Claims Did Not Fit Class Definition
1074694	Claims Had Uncured Conditions of Ineligibility	5004464	Claims Did Not Result in a Recognized Claim
1074848	Claims Had Uncured Conditions of Ineligibility	5004465	Claims Did Not Fit Class Definition
1074881	Claims Had Uncured Conditions of Ineligibility	5004467	Claims Were Duplicates
1074944	Claims Had Uncured Conditions of Ineligibility	5004469	Claims Did Not Fit Class Definition
1075046	Claims Had Uncured Conditions of Ineligibility	5004470	Claims Did Not Fit Class Definition
1075056	Claims Had Uncured Conditions of Ineligibility	5004473	Claims Were Duplicates
1075150	Claims Had Uncured Conditions of Ineligibility	5004474	Claims Were Duplicates
1075426	Claims Had Uncured Conditions of Ineligibility	5004477	Claims Were Duplicates
1075436	Claims Did Not Result in a Recognized Claim	5004478	Claims Were Duplicates
1075447	Claims Had Uncured Conditions of Ineligibility	5004480	Claims Did Not Fit Class Definition
1075515	Claims Had Uncured Conditions of Ineligibility	5004481	Claims Did Not Fit Class Definition
1075524	Claims Had Uncured Conditions of Ineligibility	5004482	Claims Did Not Fit Class Definition
1075588	Claims Had Uncured Conditions of Ineligibility	5004483	Claims Did Not Fit Class Definition
1075602	Claims Did Not Result in a Recognized Claim	5004484	Claims Were Duplicates
1075614	Claims Had Uncured Conditions of Ineligibility	5004486	Claims Were Duplicates
1075617	Claims Had Uncured Conditions of Ineligibility	5004487	Claims Did Not Fit Class Definition
1075655	Claims Had Uncured Conditions of Ineligibility	5004489	Claims Did Not Result in a Recognized Claim
1075657	Claims Had Uncured Conditions of Ineligibility	5004490	Claims Did Not Fit Class Definition
1075662	Claims Did Not Result in a Recognized Claim	5004494	Claims Were Duplicates
1075682	Claims Had Uncured Conditions of Ineligibility	5004495	Claims Had Uncured Conditions of Ineligibility
1075751	Claims Had Uncured Conditions of Ineligibility	5004497	Claims Did Not Result in a Recognized Claim
1075776	Claims Did Not Result in a Recognized Claim	5004498	Claims Did Not Fit Class Definition
1075777	Claims Did Not Result in a Recognized Claim	5004500	Claims Did Not Result in a Recognized Claim
1075812	Claims Had Uncured Conditions of Ineligibility	5004501	Claims Did Not Result in a Recognized Claim
1075847	Claims Did Not Result in a Recognized Claim	5004502	Claims Were Duplicates
1075865	Claims Had Uncured Conditions of Ineligibility	5004503	Claims Did Not Fit Class Definition
1075924	Claims Had Uncured Conditions of Ineligibility	5004504	Claims Were Duplicates
1076087	Claims Had Uncured Conditions of Ineligibility	5004505	Claims Were Duplicates
1076158	Claims Had Uncured Conditions of Ineligibility	5004506	Claims Were Duplicates
1076274	Claims Had Uncured Conditions of Ineligibility	5004507	Claims Did Not Fit Class Definition
1076385	Claims Had Uncured Conditions of Ineligibility	5004508	Claims Did Not Fit Class Definition
1076419	Claims Had Uncured Conditions of Ineligibility	5004513	Claims Did Not Fit Class Definition
1076537	Claims Were Duplicates	5004514	Claims Did Not Fit Class Definition
1076562	Claims Had Uncured Conditions of Ineligibility	5004515	Claims Did Not Fit Class Definition
1076563	Claims Had Uncured Conditions of Ineligibility	5004516	Claims Were Duplicates
1076709	Claims Had Uncured Conditions of Ineligibility	5004517	Claims Were Duplicates

Claim No.	Reason for Rejection
098486	Claims Had Uncured Conditions of Ineligibility
098523	Claims Did Not Result in a Recognized Claim
098560	Claims Had Uncured Conditions of Ineligibility
098602	Claims Had Uncured Conditions of Ineligibility
098611	Claims Did Not Result in a Recognized Claim
098662	Claims Did Not Result in a Recognized Claim
098737	Claims Had Uncured Conditions of Ineligibility
098779	Claims Had Uncured Conditions of Ineligibility
098793	Claims Did Not Result in a Recognized Claim
098917	Claims Had Uncured Conditions of Ineligibility
098976	Claims Did Not Result in a Recognized Claim
099027	Claims Did Not Result in a Recognized Claim
099046	Claims Had Uncured Conditions of Ineligibility
099070	Claims Had Uncured Conditions of Ineligibility
099157	Claims Did Not Result in a Recognized Claim
099199	Claims Did Not Fit Class Definition
099262	Claims Had Uncured Conditions of Ineligibility
099277	Claims Did Not Result in a Recognized Claim
099333	Claims Had Uncured Conditions of Ineligibility
099360	Claims Had Uncured Conditions of Ineligibility
099371	Claims Had Uncured Conditions of Ineligibility
099494	Claims Had Uncured Conditions of Ineligibility
099496	Claims Did Not Result in a Recognized Claim
099508	Claims Did Not Result in a Recognized Claim
099558	Claims Had Uncured Conditions of Ineligibility
099643	Claims Did Not Result in a Recognized Claim
099654	Claims Had Uncured Conditions of Ineligibility
099672	Claims Did Not Result in a Recognized Claim
099675	Claims Had Uncured Conditions of Ineligibility
099741	Claims Had Uncured Conditions of Ineligibility
099751	Claims Had Uncured Conditions of Ineligibility
099851	Claims Had Uncured Conditions of Ineligibility
099944	Claims Had Uncured Conditions of Ineligibility
099986	Claims Had Uncured Conditions of Ineligibility
100068	Claims Did Not Fit Class Definition
100139	Claims Had Uncured Conditions of Ineligibility
100178	Claims Had Uncured Conditions of Ineligibility
100211	Claims Did Not Result in a Recognized Claim
100234	Claims Had Uncured Conditions of Ineligibility
100244	Claims Had Uncured Conditions of Ineligibility
100319	Claims Did Not Fit Class Definition
100420	Claims Did Not Result in a Recognized Claim
100424	Claims Had Uncured Conditions of Ineligibility
100497	Claims Did Not Result in a Recognized Claim
100503	Claims Had Uncured Conditions of Ineligibility
100510	Claims Did Not Result in a Recognized Claim
100528	Claims Had Uncured Conditions of Ineligibility
100561	Claims Did Not Result in a Recognized Claim
100586	Claims Did Not Result in a Recognized Claim
100639	Claims Did Not Fit Class Definition
100646	Claims Did Not Result in a Recognized Claim
100665	Claims Had Uncured Conditions of Ineligibility
100697	Claims Had Uncured Conditions of Ineligibility
100706	Claims Had Uncured Conditions of Ineligibility
100777	Claims Did Not Result in a Recognized Claim
100795	Claims Had Uncured Conditions of Ineligibility
100813	Claims Had Uncured Conditions of Ineligibility
100850	Claims Did Not Result in a Recognized Claim
100862	Claims Had Uncured Conditions of Ineligibility
100881	Claims Did Not Result in a Recognized Claim
100890	Claims Did Not Result in a Recognized Claim
100901	Claims Had Uncured Conditions of Ineligibility
100932	Claims Had Uncured Conditions of Ineligibility
100962	Claims Had Uncured Conditions of Ineligibility
100996	Claims Had Uncured Conditions of Ineligibility
101054	Claims Had Uncured Conditions of Ineligibility
101111	Claims Did Not Result in a Recognized Claim
101134	Claims Had Uncured Conditions of Ineligibility
101170	Claims Had Uncured Conditions of Ineligibility
101218	Claims Did Not Result in a Recognized Claim
101242	Claims Did Not Result in a Recognized Claim
101259	Claims Had Uncured Conditions of Ineligibility
101271	Claims Had Uncured Conditions of Ineligibility
101335	Claims Did Not Result in a Recognized Claim
101382	Claims Had Uncured Conditions of Ineligibility
101421	Claims Had Uncured Conditions of Ineligibility
101515	Claims Did Not Result in a Recognized Claim
101520	Claims Did Not Fit Class Definition
101546	Claims Had Uncured Conditions of Ineligibility
101601	Claims Had Uncured Conditions of Ineligibility
101622	Claims Did Not Result in a Recognized Claim
101646	Claims Did Not Result in a Recognized Claim

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Item No.	Reason for Rejection
101647	Claims Had Uncured Conditions of Ineligibility
101713	Claims Did Not Result in a Recognized Claim
101780	Claims Did Not Result in a Recognized Claim
101915	Claims Had Uncured Conditions of Ineligibility
101917	Claims Did Not Result in a Recognized Claim
101955	Claims Had Uncured Conditions of Ineligibility
101967	Claims Did Not Result in a Recognized Claim
101994	Claims Did Not Result in a Recognized Claim
102042	Claims Did Not Result in a Recognized Claim
102043	Claims Did Not Result in a Recognized Claim
102044	Claims Did Not Result in a Recognized Claim
102063	Claims Had Uncured Conditions of Ineligibility
102067	Claims Had Uncured Conditions of Ineligibility
102094	Claims Did Not Result in a Recognized Claim
102120	Claims Did Not Result in a Recognized Claim
102162	Claims Did Not Result in a Recognized Claim
102174	Claims Had Uncured Conditions of Ineligibility
102177	Claims Had Uncured Conditions of Ineligibility
102186	Claims Had Uncured Conditions of Ineligibility
102221	Claims Were Duplicates
102249	Claims Did Not Result in a Recognized Claim
102290	Claims Had Uncured Conditions of Ineligibility
102336	Claims Did Not Fit Class Definition
102353	Claims Did Not Result in a Recognized Claim
102358	Claims Did Not Result in a Recognized Claim
102395	Claims Were Duplicates
102501	Claims Had Uncured Conditions of Ineligibility
102589	Claims Had Uncured Conditions of Ineligibility
102628	Claims Had Uncured Conditions of Ineligibility
102670	Claims Did Not Result in a Recognized Claim
102751	Claims Had Uncured Conditions of Ineligibility
102759	Claims Had Uncured Conditions of Ineligibility
102789	Claims Had Uncured Conditions of Ineligibility
102856	Claims Had Uncured Conditions of Ineligibility
102870	Claims Had Uncured Conditions of Ineligibility
102970	Claims Had Uncured Conditions of Ineligibility
103019	Claims Did Not Result in a Recognized Claim
103057	Claims Did Not Result in a Recognized Claim
103068	Claims Did Not Result in a Recognized Claim
103072	Claims Had Uncured Conditions of Ineligibility
103112	Claims Did Not Result in a Recognized Claim
103116	Claims Had Uncured Conditions of Ineligibility
103134	Claims Had Uncured Conditions of Ineligibility
103135	Claims Did Not Result in a Recognized Claim
103139	Claims Did Not Result in a Recognized Claim
103204	Claims Did Not Result in a Recognized Claim
103288	Claims Did Not Result in a Recognized Claim
103328	Claims Had Uncured Conditions of Ineligibility
103360	Claims Had Uncured Conditions of Ineligibility
103362	Claims Had Uncured Conditions of Ineligibility
103454	Claims Did Not Result in a Recognized Claim
103504	Claims Had Uncured Conditions of Ineligibility
103514	Claims Had Uncured Conditions of Ineligibility
103515	Claims Had Uncured Conditions of Ineligibility
103602	Claims Did Not Result in a Recognized Claim
103611	Claims Did Not Result in a Recognized Claim
103639	Claims Had Uncured Conditions of Ineligibility
103674	Claims Had Uncured Conditions of Ineligibility
103721	Claims Had Uncured Conditions of Ineligibility
103828	Claims Did Not Result in a Recognized Claim
103829	Claims Had Uncured Conditions of Ineligibility
103880	Claims Did Not Result in a Recognized Claim
103929	Claims Had Uncured Conditions of Ineligibility
103952	Claims Did Not Result in a Recognized Claim
104027	Claims Had Uncured Conditions of Ineligibility
104048	Claims Did Not Result in a Recognized Claim
104061	Claims Did Not Result in a Recognized Claim
104135	Claims Had Uncured Conditions of Ineligibility
104150	Claims Did Not Result in a Recognized Claim
104159	Claims Had Uncured Conditions of Ineligibility
104186	Claims Did Not Result in a Recognized Claim
104219	Claims Did Not Result in a Recognized Claim
104248	Claims Had Uncured Conditions of Ineligibility
104337	Claims Had Uncured Conditions of Ineligibility
104351	Claims Had Uncured Conditions of Ineligibility
104358	Claims Did Not Result in a Recognized Claim
104395	Claims Had Uncured Conditions of Ineligibility
104416	Claims Did Not Result in a Recognized Claim
104428	Claims Did Not Fit Class Definition
104455	Claims Had Uncured Conditions of Ineligibility
104618	Claims Did Not Result in a Recognized Claim
104631	Claims Did Not Result in a Recognized Claim

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Claim No.	Reason for Rejection
108139	Claims Had Uncured Conditions of Ineligibility
108142	Claims Had Uncured Conditions of Ineligibility
108143	Claims Had Uncured Conditions of Ineligibility
108160	Claims Had Uncured Conditions of Ineligibility
108186	Claims Had Uncured Conditions of Ineligibility
108349	Claims Had Uncured Conditions of Ineligibility
108381	Claims Had Uncured Conditions of Ineligibility
108482	Claims Had Uncured Conditions of Ineligibility
108484	Claims Did Not Fit Class Definition
108497	Claims Had Uncured Conditions of Ineligibility
108526	Claims Had Uncured Conditions of Ineligibility
108648	Claims Did Not Result in a Recognized Claim
108675	Claims Had Uncured Conditions of Ineligibility
108711	Claims Had Uncured Conditions of Ineligibility
121224	Claims Did Not Fit Class Definition
121225	Claims Did Not Fit Class Definition
121226	Claims Did Not Fit Class Definition
121242	Claims Were Duplicates
121281	Claims Had Uncured Conditions of Ineligibility
121357	Claims Had Uncured Conditions of Ineligibility
121358	Claims Had Uncured Conditions of Ineligibility
121360	Claims Did Not Result in a Recognized Claim
121364	Claims Had Uncured Conditions of Ineligibility
121366	Claims Had Uncured Conditions of Ineligibility
121367	Claims Had Uncured Conditions of Ineligibility
121368	Claims Had Uncured Conditions of Ineligibility
121370	Claims Did Not Fit Class Definition
121371	Claims Did Not Fit Class Definition
121374	Claims Did Not Fit Class Definition
121376	Claims Had Uncured Conditions of Ineligibility
121377	Claims Did Not Fit Class Definition
121378	Claims Did Not Result in a Recognized Claim
121380	Claims Had Uncured Conditions of Ineligibility
121385	Claims Had Uncured Conditions of Ineligibility
121386	Claims Did Not Result in a Recognized Claim
121387	Claims Did Not Result in a Recognized Claim
121388	Claims Did Not Result in a Recognized Claim
121389	Claims Did Not Result in a Recognized Claim
121392	Claims Had Uncured Conditions of Ineligibility
121396	Claims Had Uncured Conditions of Ineligibility
121397	Claims Had Uncured Conditions of Ineligibility
121398	Claims Had Uncured Conditions of Ineligibility
121399	Claims Had Uncured Conditions of Ineligibility
121400	Claims Had Uncured Conditions of Ineligibility
121401	Claims Did Not Result in a Recognized Claim
121403	Claims Did Not Result in a Recognized Claim
121406	Claims Did Not Fit Class Definition
121415	Claims Had Uncured Conditions of Ineligibility
121417	Claims Had Uncured Conditions of Ineligibility
121418	Claims Did Not Fit Class Definition
121419	Claims Did Not Result in a Recognized Claim
121420	Claims Did Not Result in a Recognized Claim
121422	Claims Did Not Result in a Recognized Claim
121423	Claims Did Not Result in a Recognized Claim
121425	Claims Had Uncured Conditions of Ineligibility
121426	Claims Had Uncured Conditions of Ineligibility
121427	Claims Had Uncured Conditions of Ineligibility
121428	Claims Had Uncured Conditions of Ineligibility
121429	Claims Had Uncured Conditions of Ineligibility
121431	Claims Had Uncured Conditions of Ineligibility
121432	Claims Had Uncured Conditions of Ineligibility
121433	Claims Had Uncured Conditions of Ineligibility
121435	Claims Had Uncured Conditions of Ineligibility
121436	Claims Had Uncured Conditions of Ineligibility
121437	Claims Had Uncured Conditions of Ineligibility
121439	Claims Had Uncured Conditions of Ineligibility
121442	Claims Had Uncured Conditions of Ineligibility
121445	Claims Did Not Result in a Recognized Claim
121449	Claims Had Uncured Conditions of Ineligibility
121451	Claims Had Uncured Conditions of Ineligibility
121453	Claims Had Uncured Conditions of Ineligibility
121454	Claims Did Not Result in a Recognized Claim
121455	Claims Had Uncured Conditions of Ineligibility
121456	Claims Had Uncured Conditions of Ineligibility
121457	Claims Had Uncured Conditions of Ineligibility
121459	Claims Did Not Result in a Recognized Claim
121460	Claims Had Uncured Conditions of Ineligibility
121465	Claims Did Not Result in a Recognized Claim
121467	Claims Had Uncured Conditions of Ineligibility
121468	Claims Had Uncured Conditions of Ineligibility
121469	Claims Had Uncured Conditions of Ineligibility
121470	Claims Had Uncured Conditions of Ineligibility

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Claim No.	Reason for Rejection
1126420	Claims Had Uncured Conditions of Ineligibility
1126447	Claims Had Uncured Conditions of Ineligibility
1126480	Claims Did Not Fit Class Definition
1126565	Claims Did Not Result in a Recognized Claim
1126604	Claims Did Not Result in a Recognized Claim
1126628	Claims Did Not Result in a Recognized Claim
1126678	Claims Had Uncured Conditions of Ineligibility
1126709	Claims Did Not Result in a Recognized Claim
1126710	Claims Had Uncured Conditions of Ineligibility
1126728	Claims Did Not Result in a Recognized Claim
1126731	Claims Had Uncured Conditions of Ineligibility
1126879	Claims Had Uncured Conditions of Ineligibility
1126971	Claims Had Uncured Conditions of Ineligibility
1127033	Claims Did Not Fit Class Definition
1127066	Claims Had Uncured Conditions of Ineligibility
1127137	Claims Had Uncured Conditions of Ineligibility
1127150	Claims Had Uncured Conditions of Ineligibility
1127208	Claims Had Uncured Conditions of Ineligibility
1127251	Claims Had Uncured Conditions of Ineligibility
1127334	Claims Had Uncured Conditions of Ineligibility
1127380	Claims Had Uncured Conditions of Ineligibility
1127386	Claims Had Uncured Conditions of Ineligibility
1127468	Claims Had Uncured Conditions of Ineligibility
1127477	Claims Had Uncured Conditions of Ineligibility
1127490	Claims Had Uncured Conditions of Ineligibility
1127549	Claims Did Not Fit Class Definition
1127617	Claims Did Not Result in a Recognized Claim
1127629	Claims Had Uncured Conditions of Ineligibility
1127638	Claims Had Uncured Conditions of Ineligibility
1127661	Claims Did Not Result in a Recognized Claim
1127664	Claims Had Uncured Conditions of Ineligibility
1127667	Claims Had Uncured Conditions of Ineligibility
1127679	Claims Did Not Result in a Recognized Claim
1127712	Claims Did Not Fit Class Definition
1127715	Claims Had Uncured Conditions of Ineligibility
1127733	Claims Did Not Result in a Recognized Claim
1127735	Claims Did Not Result in a Recognized Claim
1127736	Claims Did Not Result in a Recognized Claim
1127750	Claims Did Not Fit Class Definition
1127755	Claims Had Uncured Conditions of Ineligibility
1127763	Claims Did Not Fit Class Definition
1127813	Claims Did Not Fit Class Definition
1127839	Claims Had Uncured Conditions of Ineligibility
1127883	Claims Had Uncured Conditions of Ineligibility
1127901	Claims Did Not Result in a Recognized Claim
1127962	Claims Did Not Fit Class Definition
1127992	Claims Did Not Fit Class Definition
1127995	Claims Did Not Result in a Recognized Claim
1128012	Claims Had Uncured Conditions of Ineligibility
1128026	Claims Had Uncured Conditions of Ineligibility
1128054	Claims Had Uncured Conditions of Ineligibility
1128121	Claims Did Not Fit Class Definition
1128181	Claims Had Uncured Conditions of Ineligibility
1128185	Claims Did Not Result in a Recognized Claim
1128295	Claims Did Not Result in a Recognized Claim
1128303	Claims Had Uncured Conditions of Ineligibility
1128317	Claims Had Uncured Conditions of Ineligibility
1128324	Claims Did Not Result in a Recognized Claim
1128407	Claims Did Not Fit Class Definition
1128563	Claims Had Uncured Conditions of Ineligibility
1128619	Claims Did Not Result in a Recognized Claim
1128711	Claims Did Not Fit Class Definition
1128742	Claims Had Uncured Conditions of Ineligibility
1128781	Claims Had Uncured Conditions of Ineligibility
1128813	Claims Did Not Result in a Recognized Claim
1128820	Claims Did Not Fit Class Definition
1128827	Claims Had Uncured Conditions of Ineligibility
1128863	Claims Had Uncured Conditions of Ineligibility
1128905	Claims Did Not Result in a Recognized Claim
1128912	Claims Did Not Fit Class Definition
1128924	Claims Had Uncured Conditions of Ineligibility
1128968	Claims Were Duplicates
1129011	Claims Had Uncured Conditions of Ineligibility
1129066	Claims Had Uncured Conditions of Ineligibility
1129107	Claims Had Uncured Conditions of Ineligibility
1129159	Claims Did Not Result in a Recognized Claim
1129247	Claims Had Uncured Conditions of Ineligibility
1129310	Claims Were Duplicates
1129317	Claims Had Uncured Conditions of Ineligibility
1129338	Claims Had Uncured Conditions of Ineligibility
1129441	Claims Had Uncured Conditions of Ineligibility
1129470	Claims Had Uncured Conditions of Ineligibility

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Claim No.	Reason for Rejection
1129475	Claims Had Uncured Conditions of Ineligibility
1129491	Claims Did Not Result in a Recognized Claim
1129501	Claims Had Uncured Conditions of Ineligibility
1129511	Claims Had Uncured Conditions of Ineligibility
1129549	Claims Were Duplicates
1129596	Claims Had Uncured Conditions of Ineligibility
1129597	Claims Had Uncured Conditions of Ineligibility
1129642	Claims Had Uncured Conditions of Ineligibility
1129795	Claims Had Uncured Conditions of Ineligibility
1129807	Claims Had Uncured Conditions of Ineligibility
1129821	Claims Had Uncured Conditions of Ineligibility
1129835	Claims Had Uncured Conditions of Ineligibility
1129951	Claims Had Uncured Conditions of Ineligibility
1129964	Claims Had Uncured Conditions of Ineligibility
1129965	Claims Had Uncured Conditions of Ineligibility
1129968	Claims Did Not Result in a Recognized Claim
1129990	Claims Had Uncured Conditions of Ineligibility
1129992	Claims Did Not Fit Class Definition
1130009	Claims Had Uncured Conditions of Ineligibility
1130030	Claims Had Uncured Conditions of Ineligibility
1130075	Claims Had Uncured Conditions of Ineligibility
1130078	Claims Had Uncured Conditions of Ineligibility
1130099	Claims Had Uncured Conditions of Ineligibility
1130111	Claims Did Not Fit Class Definition
1130113	Claims Had Uncured Conditions of Ineligibility
1130145	Claims Had Uncured Conditions of Ineligibility
1130223	Claims Did Not Result in a Recognized Claim
1130260	Claims Did Not Result in a Recognized Claim
1130305	Claims Had Uncured Conditions of Ineligibility
1130312	Claims Had Uncured Conditions of Ineligibility
1130332	Claims Had Uncured Conditions of Ineligibility
1130336	Claims Had Uncured Conditions of Ineligibility
1130413	Claims Had Uncured Conditions of Ineligibility
1130497	Claims Had Uncured Conditions of Ineligibility
1130507	Claims Had Uncured Conditions of Ineligibility
1130523	Claims Did Not Fit Class Definition
1130533	Claims Had Uncured Conditions of Ineligibility
1130609	Claims Did Not Fit Class Definition
1130613	Claims Did Not Fit Class Definition
1130634	Claims Had Uncured Conditions of Ineligibility
1130771	Claims Did Not Fit Class Definition
1130788	Claims Did Not Result in a Recognized Claim
1130793	Claims Had Uncured Conditions of Ineligibility
1130796	Claims Had Uncured Conditions of Ineligibility
1130808	Claims Had Uncured Conditions of Ineligibility
1130813	Claims Had Uncured Conditions of Ineligibility
1130877	Claims Did Not Fit Class Definition
1130905	Claims Did Not Result in a Recognized Claim
1130931	Claims Did Not Result in a Recognized Claim
1130989	Claims Had Uncured Conditions of Ineligibility
1131037	Claims Did Not Result in a Recognized Claim
1131076	Claims Had Uncured Conditions of Ineligibility
1131120	Claims Did Not Result in a Recognized Claim
1131157	Claims Had Uncured Conditions of Ineligibility
1131174	Claims Did Not Result in a Recognized Claim
1131223	Claims Did Not Fit Class Definition
1131257	Claims Had Uncured Conditions of Ineligibility
1131328	Claims Had Uncured Conditions of Ineligibility
1131366	Claims Did Not Result in a Recognized Claim
1131435	Claims Had Uncured Conditions of Ineligibility
1131436	Claims Had Uncured Conditions of Ineligibility
1131461	Claims Had Uncured Conditions of Ineligibility
1131515	Claims Had Uncured Conditions of Ineligibility
1131571	Claims Had Uncured Conditions of Ineligibility
1131575	Claims Had Uncured Conditions of Ineligibility
1131593	Claims Had Uncured Conditions of Ineligibility
1131600	Claims Had Uncured Conditions of Ineligibility
1131639	Claims Had Uncured Conditions of Ineligibility
1131649	Claims Had Uncured Conditions of Ineligibility
1131704	Claims Had Uncured Conditions of Ineligibility
1131735	Claims Did Not Result in a Recognized Claim
1131761	Claims Had Uncured Conditions of Ineligibility
1131788	Claims Did Not Fit Class Definition
1131823	Claims Had Uncured Conditions of Ineligibility
1131827	Claims Had Uncured Conditions of Ineligibility
1131829	Claims Had Uncured Conditions of Ineligibility
1131836	Claims Did Not Result in a Recognized Claim
1131886	Claims Had Uncured Conditions of Ineligibility
1131888	Claims Had Uncured Conditions of Ineligibility
1131898	Claims Had Uncured Conditions of Ineligibility
1131930	Claims Had Uncured Conditions of Ineligibility
1131971	Claims Had Uncured Conditions of Ineligibility

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Item No.	Reason for Rejection
137680	Claims Had Uncured Conditions of Ineligibility
137743	Claims Had Uncured Conditions of Ineligibility
137868	Claims Had Uncured Conditions of Ineligibility
137896	Claims Had Uncured Conditions of Ineligibility
137901	Claims Had Uncured Conditions of Ineligibility
137902	Claims Had Uncured Conditions of Ineligibility
137911	Claims Did Not Result in a Recognized Claim
137915	Claims Had Uncured Conditions of Ineligibility
137943	Claims Had Uncured Conditions of Ineligibility
137965	Claims Had Uncured Conditions of Ineligibility
137983	Claims Did Not Result in a Recognized Claim
137988	Claims Had Uncured Conditions of Ineligibility
137997	Claims Had Uncured Conditions of Ineligibility
138200	Claims Had Uncured Conditions of Ineligibility
138266	Claims Had Uncured Conditions of Ineligibility
138267	Claims Did Not Result in a Recognized Claim
138300	Claims Had Uncured Conditions of Ineligibility
138303	Claims Did Not Result in a Recognized Claim
138323	Claims Had Uncured Conditions of Ineligibility
138329	Claims Did Not Fit Class Definition
138370	Claims Had Uncured Conditions of Ineligibility
138386	Claims Had Uncured Conditions of Ineligibility
138401	Claims Had Uncured Conditions of Ineligibility
138440	Claims Did Not Result in a Recognized Claim
138443	Claims Had Uncured Conditions of Ineligibility
138454	Claims Did Not Fit Class Definition
138483	Claims Did Not Result in a Recognized Claim
138502	Claims Had Uncured Conditions of Ineligibility
138540	Claims Had Uncured Conditions of Ineligibility
138545	Claims Did Not Result in a Recognized Claim
138555	Claims Had Uncured Conditions of Ineligibility
138561	Claims Had Uncured Conditions of Ineligibility
138589	Claims Had Uncured Conditions of Ineligibility
138596	Claims Had Uncured Conditions of Ineligibility
138640	Claims Had Uncured Conditions of Ineligibility
138657	Claims Had Uncured Conditions of Ineligibility
138677	Claims Had Uncured Conditions of Ineligibility
138764	Claims Had Uncured Conditions of Ineligibility
138772	Claims Had Uncured Conditions of Ineligibility
138825	Claims Had Uncured Conditions of Ineligibility
138872	Claims Did Not Fit Class Definition
138880	Claims Had Uncured Conditions of Ineligibility
138913	Claims Did Not Fit Class Definition
138921	Claims Did Not Result in a Recognized Claim
138938	Claims Had Uncured Conditions of Ineligibility
139020	Claims Had Uncured Conditions of Ineligibility
139048	Claims Had Uncured Conditions of Ineligibility
139092	Claims Had Uncured Conditions of Ineligibility
139146	Claims Had Uncured Conditions of Ineligibility
139170	Claims Had Uncured Conditions of Ineligibility
139185	Claims Had Uncured Conditions of Ineligibility
139187	Claims Had Uncured Conditions of Ineligibility
139188	Claims Had Uncured Conditions of Ineligibility
139213	Claims Did Not Result in a Recognized Claim
139271	Claims Had Uncured Conditions of Ineligibility
139325	Claims Did Not Result in a Recognized Claim
139359	Claims Had Uncured Conditions of Ineligibility
139418	Claims Had Uncured Conditions of Ineligibility
139419	Claims Had Uncured Conditions of Ineligibility
139420	Claims Had Uncured Conditions of Ineligibility
139429	Claims Had Uncured Conditions of Ineligibility
139431	Claims Had Uncured Conditions of Ineligibility
139432	Claims Did Not Result in a Recognized Claim
139438	Claims Had Uncured Conditions of Ineligibility
139440	Claims Had Uncured Conditions of Ineligibility
139442	Claims Did Not Result in a Recognized Claim
139449	Claims Had Uncured Conditions of Ineligibility
139450	Claims Did Not Result in a Recognized Claim
139451	Claims Had Uncured Conditions of Ineligibility
139460	Claims Did Not Fit Class Definition
139461	Claims Had Uncured Conditions of Ineligibility
139462	Claims Had Uncured Conditions of Ineligibility
139471	Claims Had Uncured Conditions of Ineligibility
139474	Claims Had Uncured Conditions of Ineligibility
139476	Claims Did Not Result in a Recognized Claim
139478	Claims Had Uncured Conditions of Ineligibility
139482	Claims Had Uncured Conditions of Ineligibility
139487	Claims Were Duplicates
139488	Claims Had Uncured Conditions of Ineligibility
139489	Claims Had Uncured Conditions of Ineligibility
139491	Claims Had Uncured Conditions of Ineligibility
139493	Claims Had Uncured Conditions of Ineligibility

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<u>Claim No.</u>	<u>Reason for Rejection</u>
140252	Claims Did Not Fit Class Definition
140293	Claims Had Uncured Conditions of Ineligibility
140310	Claims Had Uncured Conditions of Ineligibility
140317	Claims Had Uncured Conditions of Ineligibility
140351	Claims Had Uncured Conditions of Ineligibility
140368	Claims Had Uncured Conditions of Ineligibility
140423	Claims Did Not Result in a Recognized Claim
140441	Claims Had Uncured Conditions of Ineligibility
140531	Claims Had Uncured Conditions of Ineligibility
140570	Claims Did Not Fit Class Definition
140614	Claims Had Uncured Conditions of Ineligibility
140824	Claims Had Uncured Conditions of Ineligibility
140926	Claims Did Not Result in a Recognized Claim
141052	Claims Had Uncured Conditions of Ineligibility
141117	Claims Had Uncured Conditions of Ineligibility
141119	Claims Did Not Result in a Recognized Claim
141240	Claims Did Not Fit Class Definition
141272	Claims Had Uncured Conditions of Ineligibility
141310	Claims Had Uncured Conditions of Ineligibility
141317	Claims Did Not Fit Class Definition
141401	Claims Had Uncured Conditions of Ineligibility
141455	Claims Did Not Result in a Recognized Claim
141473	Claims Had Uncured Conditions of Ineligibility
141475	Claims Did Not Result in a Recognized Claim
141583	Claims Had Uncured Conditions of Ineligibility
141607	Claims Had Uncured Conditions of Ineligibility
141645	Claims Had Uncured Conditions of Ineligibility
141678	Claims Had Uncured Conditions of Ineligibility
141857	Claims Were Duplicates
142008	Claims Did Not Result in a Recognized Claim
142009	Claims Did Not Result in a Recognized Claim
142036	Claims Had Uncured Conditions of Ineligibility
142102	Claims Had Uncured Conditions of Ineligibility
142123	Claims Had Uncured Conditions of Ineligibility
142181	Claims Did Not Result in a Recognized Claim
142188	Claims Had Uncured Conditions of Ineligibility
142303	Claims Had Uncured Conditions of Ineligibility
142316	Claims Did Not Fit Class Definition
142442	Claims Did Not Result in a Recognized Claim
142547	Claims Had Uncured Conditions of Ineligibility
142720	Claims Did Not Fit Class Definition
142877	Claims Had Uncured Conditions of Ineligibility
142916	Claims Had Uncured Conditions of Ineligibility
142919	Claims Did Not Result in a Recognized Claim
143029	Claims Had Uncured Conditions of Ineligibility
143111	Claims Had Uncured Conditions of Ineligibility
143203	Claims Had Uncured Conditions of Ineligibility
143242	Claims Had Uncured Conditions of Ineligibility
143279	Claims Had Uncured Conditions of Ineligibility
143335	Claims Did Not Result in a Recognized Claim
143440	Claims Had Uncured Conditions of Ineligibility
143474	Claims Did Not Result in a Recognized Claim
143493	Claims Had Uncured Conditions of Ineligibility
143644	Claims Had Uncured Conditions of Ineligibility
143659	Claims Had Uncured Conditions of Ineligibility
143687	Claims Had Uncured Conditions of Ineligibility
143705	Claims Had Uncured Conditions of Ineligibility
143879	Claims Did Not Result in a Recognized Claim
143939	Claims Did Not Result in a Recognized Claim
143973	Claims Had Uncured Conditions of Ineligibility
144030	Claims Did Not Result in a Recognized Claim
144033	Claims Had Uncured Conditions of Ineligibility
144091	Claims Had Uncured Conditions of Ineligibility
144223	Claims Had Uncured Conditions of Ineligibility
144230	Claims Did Not Result in a Recognized Claim
144251	Claims Did Not Result in a Recognized Claim
144269	Claims Had Uncured Conditions of Ineligibility
144326	Claims Had Uncured Conditions of Ineligibility
144380	Claims Had Uncured Conditions of Ineligibility
144498	Claims Had Uncured Conditions of Ineligibility
144569	Claims Had Uncured Conditions of Ineligibility
144611	Claims Had Uncured Conditions of Ineligibility
144693	Claims Had Uncured Conditions of Ineligibility
144766	Claims Did Not Result in a Recognized Claim
144838	Claims Had Uncured Conditions of Ineligibility
144887	Claims Had Uncured Conditions of Ineligibility
144907	Claims Did Not Result in a Recognized Claim
144921	Claims Had Uncured Conditions of Ineligibility
145069	Claims Had Uncured Conditions of Ineligibility
145117	Claims Had Uncured Conditions of Ineligibility
145124	Claims Had Uncured Conditions of Ineligibility
145251	Claims Did Not Result in a Recognized Claim

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Item No.	Reason for Rejection
145264	Claims Had Uncured Conditions of Ineligibility
145351	Claims Did Not Result in a Recognized Claim
145352	Claims Had Uncured Conditions of Ineligibility
145382	Claims Did Not Result in a Recognized Claim
145384	Claims Had Uncured Conditions of Ineligibility
145476	Claims Had Uncured Conditions of Ineligibility
145511	Claims Had Uncured Conditions of Ineligibility
145546	Claims Did Not Fit Class Definition
145640	Claims Did Not Result in a Recognized Claim
145710	Claims Did Not Fit Class Definition
145729	Claims Did Not Fit Class Definition
145759	Claims Had Uncured Conditions of Ineligibility
145884	Claims Had Uncured Conditions of Ineligibility
146013	Claims Had Uncured Conditions of Ineligibility
146018	Claims Had Uncured Conditions of Ineligibility
146225	Claims Did Not Result in a Recognized Claim
146254	Claims Had Uncured Conditions of Ineligibility
146348	Claims Did Not Fit Class Definition
146456	Claims Had Uncured Conditions of Ineligibility
146529	Claims Had Uncured Conditions of Ineligibility
146534	Claims Did Not Result in a Recognized Claim
146645	Claims Did Not Fit Class Definition
146729	Claims Had Uncured Conditions of Ineligibility
146735	Claims Did Not Fit Class Definition
146776	Claims Had Uncured Conditions of Ineligibility
146779	Claims Were Duplicates
146887	Claims Had Uncured Conditions of Ineligibility
146922	Claims Had Uncured Conditions of Ineligibility
146985	Claims Had Uncured Conditions of Ineligibility
147014	Claims Did Not Result in a Recognized Claim
147164	Claims Did Not Result in a Recognized Claim
147258	Claims Had Uncured Conditions of Ineligibility
147331	Claims Had Uncured Conditions of Ineligibility
147427	Claims Had Uncured Conditions of Ineligibility
147449	Claims Had Uncured Conditions of Ineligibility
147450	Claims Did Not Result in a Recognized Claim
147454	Claims Had Uncured Conditions of Ineligibility
147456	Claims Were Duplicates
147457	Claims Were Duplicates
147474	Claims Did Not Fit Class Definition
147480	Claims Had Uncured Conditions of Ineligibility
147481	Claims Had Uncured Conditions of Ineligibility
147482	Claims Had Uncured Conditions of Ineligibility
147485	Claims Had Uncured Conditions of Ineligibility
147486	Claims Did Not Result in a Recognized Claim
147487	Claims Did Not Result in a Recognized Claim
147488	Claims Did Not Result in a Recognized Claim
147489	Claims Had Uncured Conditions of Ineligibility
147490	Claims Had Uncured Conditions of Ineligibility
147495	Claims Had Uncured Conditions of Ineligibility
147499	Claims Were Duplicates
147502	Claims Did Not Result in a Recognized Claim
147503	Claims Were Duplicates
147504	Claims Had Uncured Conditions of Ineligibility
147507	Claims Did Not Result in a Recognized Claim
147509	Claims Had Uncured Conditions of Ineligibility
147513	Claims Had Uncured Conditions of Ineligibility
147514	Claims Had Uncured Conditions of Ineligibility
147515	Claims Did Not Fit Class Definition
147518	Claims Had Uncured Conditions of Ineligibility
147519	Claims Had Uncured Conditions of Ineligibility
147520	Claims Did Not Result in a Recognized Claim
147526	Claims Did Not Result in a Recognized Claim
147527	Claims Had Uncured Conditions of Ineligibility
147529	Claims Did Not Fit Class Definition
147530	Claims Had Uncured Conditions of Ineligibility
147537	Claims Did Not Result in a Recognized Claim
147539	Claims Did Not Result in a Recognized Claim
147540	Claims Did Not Result in a Recognized Claim
147541	Claims Did Not Result in a Recognized Claim
147542	Claims Had Uncured Conditions of Ineligibility
147543	Claims Had Uncured Conditions of Ineligibility
147544	Claims Had Uncured Conditions of Ineligibility
147546	Claims Had Uncured Conditions of Ineligibility
147554	Claims Did Not Fit Class Definition
147557	Claims Did Not Result in a Recognized Claim
147559	Claims Did Not Fit Class Definition
147560	Claims Did Not Fit Class Definition
147561	Claims Did Not Result in a Recognized Claim
147562	Claims Did Not Result in a Recognized Claim
147563	Claims Did Not Fit Class Definition
147565	Claims Did Not Fit Class Definition

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Claim No.	Reason for Rejection
149773	Claims Did Not Result in a Recognized Claim
149774	Claims Did Not Result in a Recognized Claim
149775	Claims Had Uncured Conditions of Ineligibility
149776	Claims Did Not Result in a Recognized Claim
149777	Claims Had Uncured Conditions of Ineligibility
149778	Claims Had Uncured Conditions of Ineligibility
149779	Claims Had Uncured Conditions of Ineligibility
149781	Claims Had Uncured Conditions of Ineligibility
149782	Claims Had Uncured Conditions of Ineligibility
149783	Claims Had Uncured Conditions of Ineligibility
149785	Claims Had Uncured Conditions of Ineligibility
149786	Claims Had Uncured Conditions of Ineligibility
149787	Claims Had Uncured Conditions of Ineligibility
149788	Claims Had Uncured Conditions of Ineligibility
149791	Claims Had Uncured Conditions of Ineligibility
149792	Claims Did Not Fit Class Definition
149793	Claims Did Not Fit Class Definition
149797	Claims Had Uncured Conditions of Ineligibility
149799	Claims Had Uncured Conditions of Ineligibility
149800	Claims Had Uncured Conditions of Ineligibility
149801	Claims Had Uncured Conditions of Ineligibility
149806	Claims Had Uncured Conditions of Ineligibility
149811	Claims Did Not Result in a Recognized Claim
149812	Claims Had Uncured Conditions of Ineligibility
149813	Claims Did Not Result in a Recognized Claim
149815	Claims Had Uncured Conditions of Ineligibility
149816	Claims Had Uncured Conditions of Ineligibility
149817	Claims Had Uncured Conditions of Ineligibility
149823	Claims Did Not Fit Class Definition
149829	Claims Had Uncured Conditions of Ineligibility
149833	Claims Had Uncured Conditions of Ineligibility
149834	Claims Had Uncured Conditions of Ineligibility
149835	Claims Had Uncured Conditions of Ineligibility
149836	Claims Were Duplicates
149842	Claims Had Uncured Conditions of Ineligibility
149844	Claims Had Uncured Conditions of Ineligibility
149846	Claims Had Uncured Conditions of Ineligibility
149847	Claims Did Not Result in a Recognized Claim
149850	Claims Did Not Result in a Recognized Claim
149851	Claims Had Uncured Conditions of Ineligibility
149852	Claims Were Duplicates
149853	Claims Did Not Result in a Recognized Claim
149855	Claims Did Not Fit Class Definition
149857	Claims Did Not Result in a Recognized Claim
149858	Claims Did Not Fit Class Definition
149862	Claims Did Not Result in a Recognized Claim
149869	Claims Did Not Result in a Recognized Claim
149872	Claims Did Not Result in a Recognized Claim
149873	Claims Had Uncured Conditions of Ineligibility
149874	Claims Did Not Result in a Recognized Claim
149875	Claims Did Not Result in a Recognized Claim
149876	Claims Were Duplicates
149877	Claims Had Uncured Conditions of Ineligibility
149878	Claims Had Uncured Conditions of Ineligibility
149895	Claims Had Uncured Conditions of Ineligibility
149901	Claims Did Not Result in a Recognized Claim
149902	Claims Had Uncured Conditions of Ineligibility
149903	Claims Had Uncured Conditions of Ineligibility
149904	Claims Had Uncured Conditions of Ineligibility
149905	Claims Had Uncured Conditions of Ineligibility
149906	Claims Had Uncured Conditions of Ineligibility
149917	Claims Had Uncured Conditions of Ineligibility
149918	Claims Had Uncured Conditions of Ineligibility
149919	Claims Had Uncured Conditions of Ineligibility
149924	Claims Had Uncured Conditions of Ineligibility
149926	Claims Did Not Result in a Recognized Claim
149930	Claims Had Uncured Conditions of Ineligibility
149936	Claims Had Uncured Conditions of Ineligibility
149937	Claims Had Uncured Conditions of Ineligibility
149939	Claims Did Not Result in a Recognized Claim
149941	Claims Had Uncured Conditions of Ineligibility
149944	Claims Did Not Result in a Recognized Claim
149948	Claims Had Uncured Conditions of Ineligibility
149949	Claims Did Not Fit Class Definition
149951	Claims Were Duplicates
149953	Claims Had Uncured Conditions of Ineligibility
149954	Claims Had Uncured Conditions of Ineligibility
149957	Claims Had Uncured Conditions of Ineligibility
149959	Claims Had Uncured Conditions of Ineligibility

[illegible]

Claim No.	Reason for Rejection
149966	Claims Did Not Result in a Recognized Claim
149971	Claims Had Uncured Conditions of Ineligibility
149978	Claims Did Not Fit Class Definition
149979	Claims Had Uncured Conditions of Ineligibility
149989	Claims Had Uncured Conditions of Ineligibility
149990	Claims Had Uncured Conditions of Ineligibility
149992	Claims Did Not Result in a Recognized Claim
149997	Claims Had Uncured Conditions of Ineligibility
149998	Claims Had Uncured Conditions of Ineligibility
150002	Claims Had Uncured Conditions of Ineligibility
150005	Claims Had Uncured Conditions of Ineligibility
150006	Claims Had Uncured Conditions of Ineligibility
150007	Claims Did Not Result in a Recognized Claim
150008	Claims Did Not Result in a Recognized Claim
150009	Claims Did Not Result in a Recognized Claim
150016	Claims Had Uncured Conditions of Ineligibility
150017	Claims Had Uncured Conditions of Ineligibility
150020	Claims Had Uncured Conditions of Ineligibility
150024	Claims Did Not Result in a Recognized Claim
150025	Claims Did Not Result in a Recognized Claim
150026	Claims Had Uncured Conditions of Ineligibility
150027	Claims Had Uncured Conditions of Ineligibility
150030	Claims Did Not Fit Class Definition
150034	Claims Had Uncured Conditions of Ineligibility
150035	Claims Had Uncured Conditions of Ineligibility
150036	Claims Had Uncured Conditions of Ineligibility
150038	Claims Had Uncured Conditions of Ineligibility
150039	Claims Had Uncured Conditions of Ineligibility
150041	Claims Had Uncured Conditions of Ineligibility
150042	Claims Had Uncured Conditions of Ineligibility
150045	Claims Had Uncured Conditions of Ineligibility
150053	Claims Had Uncured Conditions of Ineligibility
150057	Claims Did Not Result in a Recognized Claim
150060	Claims Had Uncured Conditions of Ineligibility
150062	Claims Had Uncured Conditions of Ineligibility
150064	Claims Had Uncured Conditions of Ineligibility
150065	Claims Had Uncured Conditions of Ineligibility
150067	Claims Did Not Result in a Recognized Claim
150068	Claims Were Duplicates
150069	Claims Had Uncured Conditions of Ineligibility
150070	Claims Had Uncured Conditions of Ineligibility
150075	Claims Did Not Result in a Recognized Claim
150076	Claims Did Not Result in a Recognized Claim
150077	Claims Did Not Result in a Recognized Claim
150078	Claims Did Not Result in a Recognized Claim
150079	Claims Did Not Result in a Recognized Claim
150080	Claims Did Not Result in a Recognized Claim
150081	Claims Did Not Result in a Recognized Claim
150082	Claims Did Not Result in a Recognized Claim
150083	Claims Had Uncured Conditions of Ineligibility
150087	Claims Had Uncured Conditions of Ineligibility
150095	Claims Did Not Fit Class Definition
150097	Claims Did Not Result in a Recognized Claim
150100	Claims Had Uncured Conditions of Ineligibility
150103	Claims Did Not Result in a Recognized Claim
150104	Claims Did Not Result in a Recognized Claim
150106	Claims Had Uncured Conditions of Ineligibility
150107	Claims Did Not Result in a Recognized Claim
150108	Claims Did Not Fit Class Definition
150114	Claims Did Not Result in a Recognized Claim
150115	Claims Had Uncured Conditions of Ineligibility
150117	Claims Had Uncured Conditions of Ineligibility
150118	Claims Did Not Result in a Recognized Claim
150119	Claims Had Uncured Conditions of Ineligibility
150120	Claims Had Uncured Conditions of Ineligibility
150121	Claims Had Uncured Conditions of Ineligibility
150122	Claims Had Uncured Conditions of Ineligibility
150123	Claims Did Not Result in a Recognized Claim
150127	Claims Had Uncured Conditions of Ineligibility
150130	Claims Had Uncured Conditions of Ineligibility
150131	Claims Did Not Fit Class Definition
150132	Claims Did Not Fit Class Definition
150134	Claims Did Not Fit Class Definition
150137	Claims Did Not Fit Class Definition
150139	Claims Were Duplicates
150140	Claims Did Not Fit Class Definition
150141	Claims Did Not Fit Class Definition
150142	Claims Had Uncured Conditions of Ineligibility
150149	Claims Had Uncured Conditions of Ineligibility
150151	Claims Did Not Fit Class Definition
150157	Claims Had Uncured Conditions of Ineligibility
150166	Claims Had Uncured Conditions of Ineligibility

[illegible]

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Claim No.	Reason for Rejection
150348	Claims Had Uncured Conditions of Ineligibility
150349	Claims Had Uncured Conditions of Ineligibility
150350	Claims Had Uncured Conditions of Ineligibility
150351	Claims Had Uncured Conditions of Ineligibility
150359	Claims Did Not Result in a Recognized Claim
150360	Claims Did Not Result in a Recognized Claim
150361	Claims Did Not Fit Class Definition
150362	Claims Had Uncured Conditions of Ineligibility
150363	Claims Did Not Result in a Recognized Claim
150364	Claims Had Uncured Conditions of Ineligibility
150365	Claims Had Uncured Conditions of Ineligibility
150367	Claims Did Not Result in a Recognized Claim
150370	Claims Had Uncured Conditions of Ineligibility
150371	Claims Had Uncured Conditions of Ineligibility
150376	Claims Had Uncured Conditions of Ineligibility
150381	Claims Did Not Fit Class Definition
150382	Claims Had Uncured Conditions of Ineligibility
150383	Claims Had Uncured Conditions of Ineligibility
150384	Claims Did Not Fit Class Definition
150385	Claims Had Uncured Conditions of Ineligibility
150386	Claims Had Uncured Conditions of Ineligibility
150387	Claims Had Uncured Conditions of Ineligibility
150390	Claims Had Uncured Conditions of Ineligibility
150391	Claims Had Uncured Conditions of Ineligibility
150392	Claims Had Uncured Conditions of Ineligibility
150393	Claims Had Uncured Conditions of Ineligibility
150394	Claims Did Not Result in a Recognized Claim
150396	Claims Did Not Result in a Recognized Claim
150398	Claims Had Uncured Conditions of Ineligibility
150399	Claims Had Uncured Conditions of Ineligibility
150401	Claims Had Uncured Conditions of Ineligibility
150402	Claims Had Uncured Conditions of Ineligibility
150406	Claims Had Uncured Conditions of Ineligibility
150407	Claims Did Not Fit Class Definition
150409	Claims Did Not Fit Class Definition
150411	Claims Had Uncured Conditions of Ineligibility
150413	Claims Had Uncured Conditions of Ineligibility
150414	Claims Had Uncured Conditions of Ineligibility
150420	Claims Had Uncured Conditions of Ineligibility
150426	Claims Had Uncured Conditions of Ineligibility
150428	Claims Had Uncured Conditions of Ineligibility
150429	Claims Had Uncured Conditions of Ineligibility
150431	Claims Did Not Result in a Recognized Claim
150434	Claims Had Uncured Conditions of Ineligibility
150436	Claims Did Not Result in a Recognized Claim
150437	Claims Did Not Result in a Recognized Claim
150440	Claims Had Uncured Conditions of Ineligibility
150448	Claims Had Uncured Conditions of Ineligibility
150452	Claims Had Uncured Conditions of Ineligibility
150453	Claims Did Not Fit Class Definition
150464	Claims Had Uncured Conditions of Ineligibility
150467	Claims Had Uncured Conditions of Ineligibility
150470	Claims Did Not Fit Class Definition
150471	Claims Had Uncured Conditions of Ineligibility
150472	Claims Had Uncured Conditions of Ineligibility
150473	Claims Had Uncured Conditions of Ineligibility
150474	Claims Had Uncured Conditions of Ineligibility
150483	Claims Had Uncured Conditions of Ineligibility
150488	Claims Had Uncured Conditions of Ineligibility
150489	Claims Did Not Result in a Recognized Claim
150490	Claims Had Uncured Conditions of Ineligibility
150492	Claims Had Uncured Conditions of Ineligibility
150495	Claims Did Not Result in a Recognized Claim
150497	Claims Had Uncured Conditions of Ineligibility
150503	Claims Did Not Result in a Recognized Claim
150505	Claims Did Not Result in a Recognized Claim
150508	Claims Had Uncured Conditions of Ineligibility
150509	Claims Had Uncured Conditions of Ineligibility
150510	Claims Were Duplicates
150512	Claims Had Uncured Conditions of Ineligibility
150515	Claims Had Uncured Conditions of Ineligibility
150516	Claims Did Not Result in a Recognized Claim
150518	Claims Had Uncured Conditions of Ineligibility
150519	Claims Were Duplicates
150520	Claims Were Duplicates
150521	Claims Did Not Fit Class Definition
150522	Claims Had Uncured Conditions of Ineligibility
150524	Claims Had Uncured Conditions of Ineligibility
150531	Claims Had Uncured Conditions of Ineligibility
150533	Claims Had Uncured Conditions of Ineligibility
150537	Claims Had Uncured Conditions of Ineligibility
150538	Claims Had Uncured Conditions of Ineligibility

[illegible]

Claim No.	Reason for Rejection
1150539	Claims Had Uncured Conditions of Ineligibility
1150547	Claims Did Not Result in a Recognized Claim
1150548	Claims Had Uncured Conditions of Ineligibility
1150549	Claims Had Uncured Conditions of Ineligibility
1150550	Claims Did Not Result in a Recognized Claim
1150551	Claims Had Uncured Conditions of Ineligibility
1150556	Claims Were Duplicates
1150559	Claims Had Uncured Conditions of Ineligibility
1150560	Claims Had Uncured Conditions of Ineligibility
1150561	Claims Had Uncured Conditions of Ineligibility
1150562	Claims Had Uncured Conditions of Ineligibility
1150563	Claims Had Uncured Conditions of Ineligibility
1150564	Claims Did Not Result in a Recognized Claim
1150565	Claims Did Not Result in a Recognized Claim
1150566	Claims Did Not Result in a Recognized Claim
1150573	Claims Were Duplicates
1150574	Claims Were Duplicates
1150578	Claims Had Uncured Conditions of Ineligibility
1150581	Claims Had Uncured Conditions of Ineligibility
1150583	Claims Had Uncured Conditions of Ineligibility
1150585	Claims Had Uncured Conditions of Ineligibility
1150586	Claims Had Uncured Conditions of Ineligibility
1150588	Claims Had Uncured Conditions of Ineligibility
1150590	Claims Had Uncured Conditions of Ineligibility
1150592	Claims Had Uncured Conditions of Ineligibility
1150594	Claims Had Uncured Conditions of Ineligibility
1150599	Claims Had Uncured Conditions of Ineligibility
1150601	Claims Had Uncured Conditions of Ineligibility
1150608	Claims Did Not Fit Class Definition
1150609	Claims Had Uncured Conditions of Ineligibility
1150610	Claims Had Uncured Conditions of Ineligibility
1150611	Claims Had Uncured Conditions of Ineligibility
1150612	Claims Had Uncured Conditions of Ineligibility
1150613	Claims Did Not Result in a Recognized Claim
1150614	Claims Had Uncured Conditions of Ineligibility
1150615	Claims Had Uncured Conditions of Ineligibility
1150616	Claims Did Not Result in a Recognized Claim
1150622	Claims Had Uncured Conditions of Ineligibility
1150624	Claims Were Duplicates
1150625	Claims Were Duplicates
1150626	Claims Did Not Fit Class Definition
1150655	Claims Did Not Fit Class Definition
1151130	Claims Had Uncured Conditions of Ineligibility
1151439	Claims Had Uncured Conditions of Ineligibility
1151443	Claims Did Not Result in a Recognized Claim
1151444	Claims Had Uncured Conditions of Ineligibility
1151445	Claims Had Uncured Conditions of Ineligibility
1151448	Claims Did Not Result in a Recognized Claim
1151452	Claims Did Not Result in a Recognized Claim
1151453	Claims Did Not Result in a Recognized Claim
1151455	Claims Were Duplicates
1151456	Claims Had Uncured Conditions of Ineligibility
1151458	Claims Had Uncured Conditions of Ineligibility
1151459	Claims Had Uncured Conditions of Ineligibility
1151463	Claims Did Not Result in a Recognized Claim
1151467	Claims Did Not Result in a Recognized Claim
1151470	Claims Had Uncured Conditions of Ineligibility
1151472	Claims Had Uncured Conditions of Ineligibility
1151473	Claims Had Uncured Conditions of Ineligibility
1151478	Claims Had Uncured Conditions of Ineligibility
1151479	Claims Had Uncured Conditions of Ineligibility
1151482	Claims Had Uncured Conditions of Ineligibility
1151484	Claims Had Uncured Conditions of Ineligibility
1151485	Claims Had Uncured Conditions of Ineligibility
1151486	Claims Had Uncured Conditions of Ineligibility
1151487	Claims Had Uncured Conditions of Ineligibility
1151488	Claims Had Uncured Conditions of Ineligibility
1151490	Claims Had Uncured Conditions of Ineligibility
1151494	Claims Did Not Result in a Recognized Claim
1151495	Claims Were Duplicates
1151496	Claims Were Duplicates
1151498	Claims Did Not Fit Class Definition
1151499	Claims Had Uncured Conditions of Ineligibility
1151503	Claims Had Uncured Conditions of Ineligibility
1151507	Claims Had Uncured Conditions of Ineligibility
1151510	Claims Had Uncured Conditions of Ineligibility
1151512	Claims Did Not Result in a Recognized Claim
1151515	Claims Had Uncured Conditions of Ineligibility
1151516	Claims Did Not Fit Class Definition
1151521	Claims Had Uncured Conditions of Ineligibility
1151522	Claims Did Not Result in a Recognized Claim
1151525	Claims Had Uncured Conditions of Ineligibility

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Claim No.	Reason for Rejection
151526	Claims Did Not Fit Class Definition
151527	Claims Had Uncured Conditions of Ineligibility
151529	Claims Did Not Result in a Recognized Claim
151531	Claims Had Uncured Conditions of Ineligibility
151534	Claims Did Not Result in a Recognized Claim
151536	Claims Had Uncured Conditions of Ineligibility
151539	Claims Had Uncured Conditions of Ineligibility
151544	Claims Had Uncured Conditions of Ineligibility
151556	Claims Did Not Result in a Recognized Claim
151558	Claims Had Uncured Conditions of Ineligibility
151568	Claims Had Uncured Conditions of Ineligibility
151583	Claims Had Uncured Conditions of Ineligibility
151588	Claims Did Not Result in a Recognized Claim
151594	Claims Had Uncured Conditions of Ineligibility
151595	Claims Had Uncured Conditions of Ineligibility
151598	Claims Did Not Result in a Recognized Claim
151601	Claims Had Uncured Conditions of Ineligibility
151602	Claims Did Not Result in a Recognized Claim
151603	Claims Did Not Result in a Recognized Claim
151604	Claims Did Not Result in a Recognized Claim
151607	Claims Had Uncured Conditions of Ineligibility
151622	Claims Did Not Result in a Recognized Claim
151627	Claims Did Not Result in a Recognized Claim
151631	Claims Did Not Result in a Recognized Claim
151632	Claims Had Uncured Conditions of Ineligibility
151636	Claims Did Not Result in a Recognized Claim
151638	Claims Had Uncured Conditions of Ineligibility
151643	Claims Had Uncured Conditions of Ineligibility
151644	Claims Did Not Result in a Recognized Claim
151645	Claims Had Uncured Conditions of Ineligibility
151646	Claims Did Not Result in a Recognized Claim
151647	Claims Did Not Result in a Recognized Claim
151649	Claims Did Not Fit Class Definition
151653	Claims Did Not Result in a Recognized Claim
151654	Claims Did Not Result in a Recognized Claim
151656	Claims Did Not Result in a Recognized Claim
151659	Claims Did Not Fit Class Definition
151662	Claims Had Uncured Conditions of Ineligibility
151671	Claims Did Not Result in a Recognized Claim
151676	Claims Had Uncured Conditions of Ineligibility
151677	Claims Had Uncured Conditions of Ineligibility
151679	Claims Did Not Fit Class Definition
151683	Claims Had Uncured Conditions of Ineligibility
151695	Claims Had Uncured Conditions of Ineligibility
151696	Claims Had Uncured Conditions of Ineligibility
151697	Claims Had Uncured Conditions of Ineligibility
151710	Claims Were Duplicates
151713	Claims Had Uncured Conditions of Ineligibility
151719	Claims Did Not Result in a Recognized Claim
0000005	Claims Did Not Result in a Recognized Claim
0000006	Claims Did Not Result in a Recognized Claim
0000008	Claims Did Not Result in a Recognized Claim
0000009	Claims Had Uncured Conditions of Ineligibility
0000010	Claims Did Not Result in a Recognized Claim
0000012	Claims Did Not Result in a Recognized Claim
0000013	Claims Were Duplicates
0000015	Claims Did Not Result in a Recognized Claim
0000018	Claims Did Not Fit Class Definition
0000020	Claims Did Not Result in a Recognized Claim
0000021	Claims Were Duplicates
0000023	Claims Did Not Fit Class Definition
0000024	Claims Had Uncured Conditions of Ineligibility
0000025	Claims Did Not Result in a Recognized Claim
0000031	Claims Did Not Fit Class Definition
0000032	Claims Did Not Fit Class Definition
0000036	Claims Were Duplicates
0000038	Claims Did Not Result in a Recognized Claim
0000041	Claims Did Not Fit Class Definition
0000042	Claims Did Not Fit Class Definition
0000043	Claims Did Not Fit Class Definition
0000044	Claims Did Not Fit Class Definition
0000045	Claims Did Not Fit Class Definition
0000046	Claims Did Not Fit Class Definition
0000047	Claims Did Not Fit Class Definition
0000048	Claims Did Not Fit Class Definition
0000049	Claims Did Not Fit Class Definition
0000050	Claims Did Not Fit Class Definition
0000051	Claims Did Not Fit Class Definition
0000052	Claims Did Not Fit Class Definition
0000053	Claims Had Uncured Conditions of Ineligibility
0000054	Claims Did Not Result in a Recognized Claim
0000056	Claims Did Not Fit Class Definition

[illegible]

[illegible][illegible]

[illegible][illegible]

[illegible][illegible]

[illegible][illegible]

Claim No.	Reason for Rejection
0000504	Claims Did Not Fit Class Definition
0000505	Claims Had Uncured Conditions of Ineligibility
0000506	Claims Did Not Fit Class Definition
0000507	Claims Did Not Fit Class Definition
0000509	Claims Had Uncured Conditions of Ineligibility
0000511	Claims Did Not Fit Class Definition
0000512	Claims Did Not Fit Class Definition
0000513	Claims Did Not Fit Class Definition
0000514	Claims Did Not Fit Class Definition
0000515	Claims Did Not Result in a Recognized Claim
0000517	Claims Did Not Result in a Recognized Claim
0000519	Claims Did Not Result in a Recognized Claim
0000520	Claims Did Not Fit Class Definition
0000521	Claims Were Duplicates
0000523	Claims Did Not Result in a Recognized Claim
0000524	Claims Did Not Result in a Recognized Claim
0000526	Claims Did Not Fit Class Definition
0000532	Claims Had Uncured Conditions of Ineligibility
0000535	Claims Had Uncured Conditions of Ineligibility
0000536	Claims Did Not Result in a Recognized Claim
0000538	Claims Did Not Result in a Recognized Claim
0000539	Claims Did Not Result in a Recognized Claim
0000540	Claims Did Not Result in a Recognized Claim
0000541	Claims Did Not Fit Class Definition
0000543	Claims Did Not Fit Class Definition
0000545	Claims Did Not Fit Class Definition
0000546	Claims Did Not Result in a Recognized Claim
0000550	Claims Did Not Result in a Recognized Claim
0000558	Claims Did Not Result in a Recognized Claim
0000563	Claims Did Not Result in a Recognized Claim
0000564	Claims Had Uncured Conditions of Ineligibility
0000566	Claims Did Not Result in a Recognized Claim
0000567	Claims Did Not Result in a Recognized Claim
0000568	Claims Were Duplicates
0000571	Claims Did Not Result in a Recognized Claim
0000572	Claims Did Not Result in a Recognized Claim
0000576	Claims Did Not Result in a Recognized Claim
0000577	Claims Did Not Result in a Recognized Claim
0000579	Claims Did Not Result in a Recognized Claim
0000580	Claims Did Not Result in a Recognized Claim
0000584	Claims Did Not Result in a Recognized Claim
0000585	Claims Did Not Result in a Recognized Claim
0000586	Claims Did Not Result in a Recognized Claim
0000587	Claims Did Not Result in a Recognized Claim
0000588	Claims Did Not Result in a Recognized Claim
0000590	Claims Did Not Result in a Recognized Claim
0000591	Claims Did Not Fit Class Definition
0000592	Claims Did Not Result in a Recognized Claim
0000593	Claims Had Uncured Conditions of Ineligibility
0000594	Claims Did Not Result in a Recognized Claim
0000595	Claims Had Uncured Conditions of Ineligibility
0000596	Claims Were Duplicates
0000598	Claims Did Not Result in a Recognized Claim
0000606	Claims Did Not Fit Class Definition
0000616	Claims Did Not Fit Class Definition
0000618	Claims Did Not Result in a Recognized Claim
0000620	Claims Did Not Fit Class Definition
0000626	Claims Did Not Result in a Recognized Claim
0000628	Claims Did Not Fit Class Definition
0000631	Claims Had Uncured Conditions of Ineligibility
0000634	Claims Did Not Result in a Recognized Claim
0000636	Claims Were Duplicates
0000637	Claims Had Uncured Conditions of Ineligibility
0000641	Claims Did Not Result in a Recognized Claim
0000642	Claims Had Uncured Conditions of Ineligibility
0000648	Claims Did Not Result in a Recognized Claim
0000651	Claims Had Uncured Conditions of Ineligibility
0000653	Claims Had Uncured Conditions of Ineligibility
0000659	Claims Did Not Result in a Recognized Claim
0000661	Claims Did Not Fit Class Definition
0000662	Claims Did Not Fit Class Definition
0000663	Claims Did Not Result in a Recognized Claim
0000664	Claims Did Not Result in a Recognized Claim
0000666	Claims Did Not Result in a Recognized Claim
0000667	Claims Did Not Fit Class Definition
0000668	Claims Did Not Result in a Recognized Claim
0000669	Claims Had Uncured Conditions of Ineligibility
0000670	Claims Had Uncured Conditions of Ineligibility
0000671	Claims Had Uncured Conditions of Ineligibility
0000709	Claims Did Not Result in a Recognized Claim
0000710	Claims Did Not Result in a Recognized Claim
0000712	Claims Did Not Fit Class Definition

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<u>Claim No.</u>	<u>Reason for Rejection</u>
0000714	Claims Did Not Fit Class Definition
0000734	Claims Did Not Result in a Recognized Claim
0000742	Claims Did Not Fit Class Definition
0000744	Claims Did Not Result in a Recognized Claim
0000745	Claims Did Not Result in a Recognized Claim
0000755	Claims Did Not Fit Class Definition
0000757	Claims Did Not Fit Class Definition
0000762	Claims Did Not Result in a Recognized Claim
0000769	Claims Did Not Fit Class Definition
0000780	Claims Did Not Fit Class Definition
0000781	Claims Did Not Fit Class Definition
0000804	Claims Did Not Result in a Recognized Claim
0000810	Claims Did Not Fit Class Definition
0000821	Claims Did Not Fit Class Definition
0000823	Claims Had Uncured Conditions of Ineligibility
0000824	Claims Did Not Result in a Recognized Claim
0000825	Claims Did Not Result in a Recognized Claim
0000826	Claims Did Not Result in a Recognized Claim
0000827	Claims Had Uncured Conditions of Ineligibility
0000828	Claims Had Uncured Conditions of Ineligibility
0000829	Claims Had Uncured Conditions of Ineligibility
0000830	Claims Did Not Fit Class Definition
0000831	Claims Did Not Result in a Recognized Claim
0000832	Claims Did Not Fit Class Definition
0000835	Claims Did Not Fit Class Definition
0000836	Claims Did Not Fit Class Definition
0000837	Claims Did Not Fit Class Definition
0000838	Claims Did Not Fit Class Definition
0000839	Claims Did Not Fit Class Definition
0000840	Claims Did Not Fit Class Definition
0000841	Claims Did Not Fit Class Definition
0000842	Claims Had Uncured Conditions of Ineligibility
0000843	Claims Did Not Fit Class Definition
0000844	Claims Did Not Fit Class Definition
0000845	Claims Did Not Fit Class Definition
0000846	Claims Did Not Result in a Recognized Claim
0000847	Claims Did Not Fit Class Definition
0000848	Claims Did Not Result in a Recognized Claim
0000849	Claims Had Uncured Conditions of Ineligibility
0000851	Claims Did Not Result in a Recognized Claim
0000852	Claims Did Not Fit Class Definition
0000853	Claims Had Uncured Conditions of Ineligibility
0000854	Claims Did Not Fit Class Definition
0000855	Claims Did Not Result in a Recognized Claim
0000856	Claims Did Not Fit Class Definition
0000857	Claims Did Not Fit Class Definition
0000858	Claims Did Not Fit Class Definition
0000859	Claims Had Uncured Conditions of Ineligibility
0000860	Claims Had Uncured Conditions of Ineligibility
0000862	Claims Did Not Result in a Recognized Claim
0000863	Claims Did Not Fit Class Definition
0000864	Claims Did Not Fit Class Definition
0000865	Claims Did Not Fit Class Definition
0000866	Claims Did Not Fit Class Definition
0000867	Claims Did Not Fit Class Definition
0000868	Claims Did Not Fit Class Definition
0000869	Claims Did Not Fit Class Definition
0000870	Claims Did Not Fit Class Definition
0000871	Claims Did Not Fit Class Definition
0000872	Claims Did Not Fit Class Definition
0000873	Claims Did Not Fit Class Definition
0000874	Claims Did Not Fit Class Definition
0000875	Claims Did Not Fit Class Definition
0000876	Claims Did Not Fit Class Definition
0000877	Claims Had Uncured Conditions of Ineligibility
0000878	Claims Did Not Fit Class Definition
0000879	Claims Did Not Fit Class Definition
0000880	Claims Did Not Fit Class Definition
0000881	Claims Did Not Fit Class Definition
0000882	Claims Did Not Fit Class Definition
0000883	Claims Did Not Fit Class Definition
0000884	Claims Did Not Fit Class Definition
0000885	Claims Did Not Fit Class Definition
0000886	Claims Did Not Fit Class Definition
0000887	Claims Did Not Fit Class Definition
0000888	Claims Did Not Fit Class Definition
0000889	Claims Did Not Fit Class Definition
0000891	Claims Had Uncured Conditions of Ineligibility
0000892	Claims Did Not Fit Class Definition
0000893	Claims Did Not Fit Class Definition
0000894	Claims Had Uncured Conditions of Ineligibility
0000895	Claims Did Not Fit Class Definition

[illegible]

Claim No.	Reason for Rejection
0000896	Claims Did Not Fit Class Definition
0000897	Claims Did Not Result in a Recognized Claim
0000898	Claims Did Not Result in a Recognized Claim
0000899	Claims Did Not Fit Class Definition
0000900	Claims Did Not Fit Class Definition
0000901	Claims Had Uncured Conditions of Ineligibility
0000902	Claims Did Not Fit Class Definition
0000903	Claims Did Not Fit Class Definition
0000904	Claims Had Uncured Conditions of Ineligibility
0000905	Claims Did Not Fit Class Definition
0000906	Claims Did Not Fit Class Definition
0000908	Claims Did Not Result in a Recognized Claim
0000910	Claims Did Not Fit Class Definition
0000911	Claims Did Not Fit Class Definition
0000919	Claims Did Not Fit Class Definition
0000920	Claims Did Not Fit Class Definition
0000921	Claims Did Not Fit Class Definition
0000922	Claims Had Uncured Conditions of Ineligibility
0000923	Claims Had Uncured Conditions of Ineligibility
0000924	Claims Had Uncured Conditions of Ineligibility
0000925	Claims Had Uncured Conditions of Ineligibility
0000926	Claims Had Uncured Conditions of Ineligibility
0000927	Claims Did Not Fit Class Definition
0000928	Claims Did Not Fit Class Definition
0000929	Claims Did Not Result in a Recognized Claim
0000930	Claims Did Not Fit Class Definition
0000931	Claims Did Not Fit Class Definition
0000932	Claims Did Not Fit Class Definition
0000933	Claims Did Not Fit Class Definition
0000934	Claims Did Not Fit Class Definition
0000936	Claims Did Not Fit Class Definition
0000937	Claims Did Not Result in a Recognized Claim
0000938	Claims Did Not Result in a Recognized Claim
0000939	Claims Did Not Result in a Recognized Claim
0000940	Claims Did Not Fit Class Definition
0000941	Claims Did Not Fit Class Definition
0000942	Claims Did Not Fit Class Definition
0000943	Claims Did Not Fit Class Definition
0000944	Claims Did Not Fit Class Definition
0000945	Claims Did Not Fit Class Definition
0000946	Claims Did Not Fit Class Definition
0000948	Claims Did Not Result in a Recognized Claim
0000949	Claims Had Uncured Conditions of Ineligibility
0000950	Claims Did Not Fit Class Definition
0000951	Claims Had Uncured Conditions of Ineligibility
0000952	Claims Did Not Fit Class Definition
0000953	Claims Did Not Fit Class Definition
0000954	Claims Did Not Fit Class Definition
0000955	Claims Did Not Fit Class Definition
0000956	Claims Had Uncured Conditions of Ineligibility
0000957	Claims Did Not Fit Class Definition
0000958	Claims Did Not Fit Class Definition
0000959	Claims Did Not Fit Class Definition
0000960	Claims Did Not Fit Class Definition
0000961	Claims Did Not Fit Class Definition
0000962	Claims Did Not Fit Class Definition
0000963	Claims Did Not Fit Class Definition
0000964	Claims Did Not Fit Class Definition
0000965	Claims Did Not Fit Class Definition
0000969	Claims Did Not Result in a Recognized Claim
0000970	Claims Did Not Fit Class Definition
0000971	Claims Did Not Fit Class Definition
0000972	Claims Did Not Fit Class Definition
0000973	Claims Had Uncured Conditions of Ineligibility
0000975	Claims Did Not Fit Class Definition
0000976	Claims Were Duplicates
0000977	Claims Were Duplicates
0000978	Claims Did Not Fit Class Definition
0000979	Claims Did Not Fit Class Definition
0000980	Claims Did Not Fit Class Definition
0000981	Claims Did Not Result in a Recognized Claim
0000982	Claims Did Not Fit Class Definition
0000984	Claims Had Uncured Conditions of Ineligibility
0000985	Claims Did Not Result in a Recognized Claim
0000986	Claims Did Not Result in a Recognized Claim
0000987	Claims Did Not Result in a Recognized Claim
0000988	Claims Did Not Fit Class Definition
0000990	Claims Did Not Fit Class Definition
0000991	Claims Did Not Fit Class Definition
0000992	Claims Did Not Fit Class Definition
0000993	Claims Did Not Fit Class Definition
0000994	Claims Did Not Fit Class Definition

[illegible]

[illegible][illegible]

[illegible][illegible]

[illegible][illegible]

[illegible][illegible]

[illegible]

<u>Claim No.</u>	<u>Reason for Rejection</u>
5008870	Claims Did Not Result in a Recognized Claim
5008873	Claims Did Not Result in a Recognized Claim
5008875	Claims Had Uncured Conditions of Ineligibility
5008876	Claims Did Not Result in a Recognized Claim
5008877	Claims Did Not Result in a Recognized Claim
5008879	Claims Did Not Result in a Recognized Claim
5008880	Claims Did Not Result in a Recognized Claim
5008881	Claims Did Not Result in a Recognized Claim
5008882	Claims Did Not Result in a Recognized Claim
5008885	Claims Did Not Result in a Recognized Claim
5008886	Claims Did Not Fit Class Definition
5008887	Claims Did Not Fit Class Definition
5008888	Claims Had Uncured Conditions of Ineligibility
5008890	Claims Did Not Fit Class Definition
5008891	Claims Did Not Result in a Recognized Claim
5008892	Claims Did Not Result in a Recognized Claim
5008893	Claims Did Not Result in a Recognized Claim
5008894	Claims Did Not Fit Class Definition
5008895	Claims Did Not Fit Class Definition
5008896	Claims Did Not Result in a Recognized Claim
5008897	Claims Did Not Result in a Recognized Claim
5008898	Claims Did Not Fit Class Definition
5008899	Claims Did Not Result in a Recognized Claim
5008900	Claims Did Not Fit Class Definition
5008901	Claims Did Not Fit Class Definition
5008902	Claims Had Uncured Conditions of Ineligibility
5008903	Claims Did Not Fit Class Definition
5008905	Claims Did Not Result in a Recognized Claim
5008906	Claims Had Uncured Conditions of Ineligibility
5008908	Claims Did Not Result in a Recognized Claim
5008909	Claims Did Not Result in a Recognized Claim
5008911	Claims Did Not Result in a Recognized Claim
5008912	Claims Did Not Result in a Recognized Claim
5008914	Claims Did Not Fit Class Definition
5008915	Claims Did Not Result in a Recognized Claim
5008916	Claims Did Not Result in a Recognized Claim
5008917	Claims Did Not Fit Class Definition
5008918	Claims Had Uncured Conditions of Ineligibility
5008919	Claims Had Uncured Conditions of Ineligibility
5008920	Claims Did Not Fit Class Definition
5008921	Claims Did Not Fit Class Definition
5008923	Claims Did Not Fit Class Definition
5008924	Claims Did Not Fit Class Definition
5008925	Claims Did Not Result in a Recognized Claim
5008926	Claims Did Not Result in a Recognized Claim
5008929	Claims Did Not Result in a Recognized Claim
5008931	Claims Did Not Fit Class Definition
5008932	Claims Did Not Fit Class Definition
5008935	Claims Did Not Result in a Recognized Claim
5008938	Claims Did Not Fit Class Definition
5008939	Claims Did Not Fit Class Definition
5008942	Claims Did Not Result in a Recognized Claim
5008943	Claims Did Not Result in a Recognized Claim
5008944	Claims Did Not Fit Class Definition
5008946	Claims Did Not Result in a Recognized Claim
5008947	Claims Did Not Result in a Recognized Claim
5008948	Claims Did Not Fit Class Definition
5008949	Claims Did Not Fit Class Definition
5008950	Claims Did Not Fit Class Definition
5008951	Claims Did Not Fit Class Definition
5008953	Claims Did Not Result in a Recognized Claim
5008954	Claims Had Uncured Conditions of Ineligibility
5008957	Claims Did Not Result in a Recognized Claim
5008958	Claims Did Not Result in a Recognized Claim
5008959	Claims Did Not Result in a Recognized Claim
5008960	Claims Did Not Fit Class Definition
5008961	Claims Did Not Fit Class Definition
5008962	Claims Had Uncured Conditions of Ineligibility
5008964	Claims Did Not Fit Class Definition
5008965	Claims Did Not Result in a Recognized Claim
5008967	Claims Did Not Result in a Recognized Claim
5008969	Claims Did Not Fit Class Definition
5008970	Claims Did Not Fit Class Definition
5008971	Claims Did Not Result in a Recognized Claim
5008972	Claims Did Not Result in a Recognized Claim
5008973	Claims Did Not Fit Class Definition
5008974	Claims Did Not Result in a Recognized Claim
5008975	Claims Did Not Result in a Recognized Claim
5008976	Claims Did Not Result in a Recognized Claim
5008977	Claims Did Not Fit Class Definition
5008979	Claims Did Not Fit Class Definition
5008983	Claims Did Not Fit Class Definition

[illegible]

Claim No.	Reason for Rejection
5008984	Claims Did Not Fit Class Definition
5008986	Claims Did Not Result in a Recognized Claim
5008987	Claims Did Not Result in a Recognized Claim
5008988	Claims Did Not Fit Class Definition
5008989	Claims Did Not Fit Class Definition
5008992	Claims Did Not Result in a Recognized Claim
5008993	Claims Did Not Result in a Recognized Claim
5008994	Claims Did Not Result in a Recognized Claim
5008995	Claims Did Not Fit Class Definition
5008996	Claims Did Not Result in a Recognized Claim
5008997	Claims Did Not Fit Class Definition
5008999	Claims Did Not Fit Class Definition
5009001	Claims Did Not Fit Class Definition
5009002	Claims Did Not Fit Class Definition
5009003	Claims Did Not Fit Class Definition
5009004	Claims Did Not Result in a Recognized Claim
5009005	Claims Did Not Result in a Recognized Claim
5009007	Claims Did Not Fit Class Definition
5009009	Claims Did Not Fit Class Definition
5009010	Claims Had Uncured Conditions of Ineligibility
5009011	Claims Had Uncured Conditions of Ineligibility
5009012	Claims Had Uncured Conditions of Ineligibility
5009013	Claims Had Uncured Conditions of Ineligibility
5009014	Claims Did Not Fit Class Definition
5009015	Claims Had Uncured Conditions of Ineligibility
5009016	Claims Had Uncured Conditions of Ineligibility
5009017	Claims Had Uncured Conditions of Ineligibility
5009018	Claims Had Uncured Conditions of Ineligibility
5009019	Claims Did Not Fit Class Definition
5009021	Claims Did Not Result in a Recognized Claim
5009022	Claims Did Not Fit Class Definition
5009023	Claims Did Not Result in a Recognized Claim
5009024	Claims Had Uncured Conditions of Ineligibility
5009027	Claims Did Not Result in a Recognized Claim
5009029	Claims Did Not Result in a Recognized Claim
5009030	Claims Did Not Result in a Recognized Claim
5009031	Claims Had Uncured Conditions of Ineligibility
5009032	Claims Did Not Fit Class Definition
5009033	Claims Had Uncured Conditions of Ineligibility
5009034	Claims Did Not Fit Class Definition
5009035	Claims Did Not Result in a Recognized Claim
5009036	Claims Did Not Result in a Recognized Claim
5009037	Claims Did Not Result in a Recognized Claim
5009038	Claims Did Not Result in a Recognized Claim
5009040	Claims Did Not Result in a Recognized Claim
5009041	Claims Did Not Result in a Recognized Claim
5009042	Claims Had Uncured Conditions of Ineligibility
5009043	Claims Did Not Fit Class Definition
5009045	Claims Did Not Fit Class Definition
5009047	Claims Did Not Result in a Recognized Claim
5009048	Claims Did Not Fit Class Definition
5009049	Claims Did Not Result in a Recognized Claim
5009053	Claims Did Not Fit Class Definition
5009054	Claims Did Not Result in a Recognized Claim
5009056	Claims Did Not Fit Class Definition
5009057	Claims Did Not Result in a Recognized Claim
5009060	Claims Did Not Fit Class Definition
5009062	Claims Did Not Result in a Recognized Claim
5009063	Claims Did Not Result in a Recognized Claim
5009068	Claims Did Not Fit Class Definition
5009069	Claims Did Not Result in a Recognized Claim
5009071	Claims Did Not Fit Class Definition
5009074	Claims Did Not Fit Class Definition
5009076	Claims Did Not Fit Class Definition
5009079	Claims Did Not Result in a Recognized Claim
5009080	Claims Did Not Fit Class Definition
5009081	Claims Did Not Fit Class Definition
5009082	Claims Did Not Result in a Recognized Claim
5009084	Claims Did Not Fit Class Definition
5009085	Claims Did Not Fit Class Definition
5009087	Claims Did Not Result in a Recognized Claim
5009088	Claims Did Not Result in a Recognized Claim
5009092	Claims Did Not Fit Class Definition
5009094	Claims Did Not Fit Class Definition
5009095	Claims Had Uncured Conditions of Ineligibility
5009096	Claims Did Not Fit Class Definition
5009097	Claims Did Not Result in a Recognized Claim
5009098	Claims Did Not Fit Class Definition
5009099	Claims Did Not Fit Class Definition
5009100	Claims Did Not Fit Class Definition
5009101	Claims Did Not Result in a Recognized Claim
5009102	Claims Did Not Result in a Recognized Claim

[illegible][illegible]

EXHIBIT H

**INVOICE**

INVOICE DATE	INVOICE NUMBER
5/31/2018	24078
PERIOD START	THROUGH DATE
6/29/2017	4/30/2018

Rochelle Feder Hansen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

Project Name: GTAT Securities Litigation			
Description	Quantity	Rate	Amount
Fees			
Notice Dissemination			
Printing of 28 pg. Notice and 16 pg. Proof of Claim form	165,000	\$0.77	\$127,050.00
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	61	\$1.50	\$91.50
Document Storage - Electronic (per img./record per month)	155,532	\$0.01	\$1,555.32
Contact Services			
Standard set-up and design			\$2,500.00
IVR (per minute)	2,963	\$0.32	\$948.16
CSR/Live Operator Time	7,860	\$0.95	\$7,467.00
Monthly maintenance charge	2	\$200.00	\$400.00
Website Services			
Standard set-up and design			\$3,500.00
Monthly maintenance charge	2	\$200.00	\$400.00
Total Fees			\$143,911.98
Total Project Expenses (See Exhibit A)			\$78,580.95
Grand Total			\$222,492.93

**EXHIBIT A**

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Jun 29, 2017 through Apr 30, 2018	
Broker Fees	\$1,750.76
Media Production	\$22,581.25
Postage	\$50,776.15
FedEx, Messenger & Shipping	\$2,172.79
P. O. Box Rental/Renewal	\$1,300.00
Total	\$78,580.95

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33



INVOICE

INVOICE DATE	INVOICE NUMBER
9/10/2018	24514
PERIOD START	THROUGH DATE
5/1/2018	7/31/2018

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

Project Name: GTAT Securities Litigation			
Description	Quantity	Rate	Amount
Fees			
Notice Dissemination			
Printing of 28 pg. Notice and 16 pg. Proof of Claim form	20,000	\$0.77	\$15,400.00
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	200	\$1.50	\$300.00
Document Storage - Electronic (per img./record per month)	923,050	\$0.01	\$9,230.50
Claim Validation			
Per Claim Pricing (1 - 10,000)	2,927	\$3.75	\$10,976.25
Print standard acknowledgement postcards	16,446	\$0.10	\$1,644.60
Contact Services			
IVR (per minute)	12,219	\$0.32	\$3,910.08
CSR/Live Operator Time	22,536	\$0.95	\$21,409.20
Monthly maintenance charge	3	\$200.00	\$600.00
Website Services			
Monthly maintenance charge	3	\$200.00	\$600.00
Total Fees			\$64,070.63
Total Project Expenses (See Exhibit A)			\$149,976.83
Grand Total			\$214,047.46

**EXHIBIT A**

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: May 01, 2018 through Jul 31, 2018	
Broker Fees	\$127,399.81
Postage	\$21,347.79
FedEx, Messenger & Shipping	\$1,229.23
Total	\$149,976.83

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33



INVOICE

INVOICE DATE	INVOICE NUMBER
9/20/2018	24567
PERIOD START	THROUGH DATE
8/1/2018	8/31/2018

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	85	\$1.50	\$127.50
Document Storage - Electronic (per img./record per month)	405,303	\$0.01	\$4,053.03
Claim Validation			
Per Claim Pricing (1 - 10,000)	7,073	\$3.75	\$26,523.75
Per Claim Pricing (10,001 +)	212	\$2.75	\$583.00
Print standard acknowledgement postcards	120	\$0.10	\$12.00
Contact Services			
IVR (per minute)	802	\$0.32	\$256.64
CSR/Live Operator Time	4,296	\$0.95	\$4,081.20
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$36,037.12
Total Project Expenses (See Exhibit A)			\$3,651.44
Grand Total			\$39,688.56

**EXHIBIT A**

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Aug 01, 2018 through Aug 31, 2018	
Broker Fees	\$2,768.25
Postage	\$223.19
P. O. Box Rental/Renewal	\$660.00
Total	\$3,651.44

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33



INVOICE

INVOICE DATE	INVOICE NUMBER
10/25/2018	24821
PERIOD START	THROUGH DATE
9/1/2018	9/30/2018

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	85	\$1.50	\$127.50
Document Storage - Electronic (per img./record per month)	405,814	\$0.01	\$4,058.14
Claim Validation			
Per Claim Pricing (10,001 +)	1,599	\$2.75	\$4,397.25
Print standard acknowledgement postcards	22	\$0.10	\$2.20
Contact Services			
IVR (per minute)	446	\$0.32	\$142.72
CSR/Live Operator Time	2,388	\$0.95	\$2,268.60
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$11,396.41
Total Project Expenses (See Exhibit A)			\$10.10
Grand Total			\$11,406.51

**EXHIBIT A**

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Sep 01, 2018 through Sep 30, 2018	
Postage	\$10.10
Total	\$10.10

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33

**INVOICE**

INVOICE DATE	INVOICE NUMBER
11/19/2018	24944
PERIOD START	THROUGH DATE
10/1/2018	10/31/2018

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	85	\$1.50	\$127.50
Document Storage - Electronic (per img./record per month)	406,178	\$0.01	\$4,061.78
Claim Validation			
Per Claim Pricing (10,001 +)	65	\$2.75	\$178.75
Print standard acknowledgement postcards	62	\$0.10	\$6.20
Contact Services			
IVR (per minute)	570	\$0.32	\$182.40
CSR/Live Operator Time	2,292	\$0.95	\$2,177.40
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$7,134.03
Total Project Expenses (See Exhibit A)			\$23.60
Grand Total			\$7,157.63

**EXHIBIT A**

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Oct 01, 2018 through Oct 31, 2018	
Postage	\$23.30
PACER Charges	\$0.30
Total	\$23.60

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33

INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
12/21/2018	25226
PERIOD START	THROUGH DATE
11/1/2018	11/30/2018

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	87	\$1.50	\$130.50
Document Storage - Electronic (per img./record per month)	411,264	\$0.01	\$4,112.64
Claim Validation			
Per Claim Pricing (10,001 +)	4,721	\$2.75	\$12,982.75
Print standard acknowledgement postcards	48	\$0.10	\$4.80
Contact Services			
IVR (per minute)	468	\$0.32	\$149.76
CSR/Live Operator Time	3,258	\$0.95	\$3,095.10
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$20,875.55
Total Project Expenses (See Exhibit A)			\$21.35
Grand Total			\$20,896.90

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Nov 01, 2018 through Nov 30, 2018	
Postage	\$21.35
Total	\$21.35

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
1/23/2019	25455
PERIOD START	THROUGH DATE
12/1/2018	12/31/2018

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	87	\$1.50	\$130.50
Document Storage - Electronic (per img./record per month)	411,425	\$0.01	\$4,114.25
Claim Validation			
Per Claim Pricing (10,001 +)	81	\$2.75	\$222.75
Print standard acknowledgement postcards	69	\$0.10	\$6.90
Contact Services			
IVR (per minute)	379	\$0.32	\$121.28
CSR/Live Operator Time	2,184	\$0.95	\$2,074.80
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$7,070.48
Total Project Expenses (See Exhibit A)			\$658.55
Grand Total			\$7,729.03

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Dec 01, 2018 through Dec 31, 2018	
Broker Fees	\$625.00
Postage	\$33.55
Total	\$658.55

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
3/28/2019	25683
PERIOD START	THROUGH DATE
1/1/2019	1/31/2019

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	88	\$1.50	\$132.00
Document Storage - Electronic (per img./record per month)	412,424	\$0.01	\$4,124.24
Claim Validation			
Per Claim Pricing (10,001 +)	500	\$2.75	\$1,375.00
Print standard acknowledgement postcards	21	\$0.10	\$2.10
Contact Services			
IVR (per minute)	475	\$0.32	\$152.00
CSR/Live Operator Time	3,048	\$0.95	\$2,895.60
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$9,080.94
Total Project Expenses (See Exhibit A)			\$1,329.40
Sub Total			\$10,410.34
Sales Tax			\$139.97
Grand Total			\$10,550.31

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Jan 01, 2019 through Jan 31, 2019	
Postage	\$9.30
P. O. Box Rental/Renewal	\$1,320.00
Copy Charges	\$0.10
Total	\$1,329.40

Please Remit To :	
Epiq Class Action & Claims Solutions, Inc. 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Epiq Class Action & Claims Solutions, Inc. Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 93-1210932 Swift Code - SIGNUS33

INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
3/28/2019	25684
PERIOD START	THROUGH DATE
2/1/2019	2/28/2019

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	88	\$1.50	\$132.00
Document Storage - Electronic (per img./record per month)	412,833	\$0.01	\$4,128.33
Claim Validation			
Per Claim Pricing (10,001 +)	34	\$2.75	\$93.50
Print standard acknowledgement postcards	2	\$0.10	\$0.20
Contact Services			
IVR (per minute)	301	\$0.32	\$96.32
CSR/Live Operator Time	6,138	\$0.95	\$5,831.10
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$10,681.45
Total Project Expenses (See Exhibit A)			\$4.80
Sub Total			\$10,686.25
Sales Tax			\$26.07
Grand Total			\$10,712.32

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Feb 01, 2019 through Feb 28, 2019	
Postage	\$2.30
Copy Charges	\$2.50
Total	\$4.80

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
6/10/2019	26052
PERIOD START	THROUGH DATE
3/1/2019	3/31/2019

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	89	\$1.50	\$133.50
Document Storage - Electronic (per img./record per month)	416,503	\$0.01	\$4,165.03
Claim Validation			
Per Claim Pricing (10,001 +)	3,472	\$2.75	\$9,548.00
Print standard acknowledgement postcards	3	\$0.10	\$0.30
Contact Services			
IVR (per minute)	258	\$0.32	\$82.56
CSR/Live Operator Time	6,966	\$0.95	\$6,617.70
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$20,947.09
Total Project Expenses (See Exhibit A)			\$24.25
Grand Total			\$20,971.34

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Mar 01, 2019 through Mar 31, 2019	
Postage	\$1.85
Copy Charges	\$22.40
Total	\$24.25

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

-Or-

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 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

INVOICE

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 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
7/3/2019	26202
PERIOD START	THROUGH DATE
4/1/2019	5/31/2019

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	179	\$1.50	\$268.50
Document Storage - Electronic (per img./record per month)	854,719	\$0.01	\$8,547.19
Claim Validation			
Per Claim Pricing (10,001 +)	691	\$2.75	\$1,900.25
Print standard acknowledgement postcards	127	\$0.10	\$12.70
Contact Services			
IVR (per minute)	6,614	\$0.32	\$2,116.48
CSR/Live Operator Time	30,474	\$0.95	\$28,950.30
Monthly maintenance charge	2	\$200.00	\$400.00
Website Services			
Monthly maintenance charge	2	\$200.00	\$400.00
Total Fees			\$42,595.42
Total Project Expenses (See Exhibit A)			\$3,627.82
Grand Total			\$46,223.24

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Apr 01, 2019 through May 31, 2019	
Postage	\$3,538.80
Stationery & Supplies	\$81.02
Copy Charges	\$8.00
Total	\$3,627.82

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INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
7/18/2019	26275
PERIOD START	THROUGH DATE
6/1/2019	6/30/2019

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	94	\$1.50	\$141.00
Document Storage - Electronic (per img./record per month)	444,586	\$0.01	\$4,445.86
Claim Validation			
Per Claim Pricing (10,001 +)	31	\$2.75	\$85.25
Contact Services			
IVR (per minute)	1,787	\$0.32	\$571.84
CSR/Live Operator Time	42,324	\$0.95	\$40,207.80
Monthly maintenance charge	1	\$200.00	\$200.00
Website Services			
Monthly maintenance charge	1	\$200.00	\$200.00
Total Fees			\$45,851.75
Total Project Expenses (See Exhibit A)			\$6.47
Grand Total			\$45,858.22

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Jun 01, 2019 through Jun 30, 2019	
Postage	\$5.65
Stationery & Supplies	\$0.82
Total	\$6.47

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

INVOICE

Catherine E. van Kampen, Esq.
 Bernstein Litowitz Berger & Grossmann LLP
 1251 Avenue of the Americas
 New York, NY 10020

INVOICE DATE	INVOICE NUMBER
11/18/2019	26846
PERIOD START	THROUGH DATE
7/1/2019	10/31/2019

Project Name: GTAT Securities Litigation

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Document Storage - Paper (per box/per month)	381	\$1.50	\$571.50
Document Storage - Electronic (per img./record per month)	1,793,956	\$0.01	\$17,939.56
Claim Validation			
Per Claim Pricing (10,001 +)	145	\$2.75	\$398.75
Contact Services			
IVR (per minute)	1,136.3	\$0.32	\$363.62
CSR/Live Operator Time	46,032	\$0.95	\$43,730.40
Monthly maintenance charge	4	\$200.00	\$800.00
Website Services			
Monthly maintenance charge	4	\$200.00	\$800.00
Total Fees			\$64,603.83
Total Project Expenses (See Exhibit A)			\$15.32
Sub Total			\$64,619.15
Estimate for Initial Distribution (See Exhibit B)			\$94,421.17
Grand Total			\$159,040.32

EXHIBIT A

Project Name: GTAT Securities Litigation	
Description	Amount
<u>Project Expenses</u>	
For the period: Jul 01, 2019 through Oct 31, 2019	
Postage	\$12.45
Stationery & Supplies	\$2.87
Total	\$15.32

Please Remit To :	
Epiq Class Action & Claims Solutions Dept 0286 PO Box 120286 Dallas, TX 75312-0286 Billing questions: call 503-350-5800 or ecabilling@epiqglobal.com	-Or- Payment by Wire: Bank: Silicon Valley Bank (Santa Clara, CA) ABA Routing: 121140399 Acct No: 3300483242 Tax ID: 93-1210932 SWIFT: SVBKUS65

EXHIBIT B

GTAT SECURITIES LITIGATION
ESTIMATE OF FEES AND EXPENSES FOR INITIAL DISTRIBUTION

I. Distribution- Fees

Print Checks 9,330 @ \$0.95	\$8,863.50
De minimis postcards 560 @ \$0.10	<u>\$56.00</u>
<i>Subtotal of Distribution Fees</i>	\$8,919.50

Distribution- Expenses

Postage	<u>\$4,861.00</u>
<i>Subtotal of Distribution Expenses</i>	\$4,861.00

Subtotal of Distribution Fees & Expenses **\$13,780.50**

II. Post-Distribution Work- Fees (Assumes 12 months)

Printing of Check Reissues 933 @ \$0.95	\$886.35

In-bound Claimant Correspondence

~IVR Minutes 3,500 minutes @ \$0.32 per minute	\$1,120.00
~CSR/Live Operator Time 17,500 minutes @ \$0.95 per minute	\$16,625.00
~IVR Monthly Maintenance Charge (\$200 per month)	\$2,400.00

Website Monthly Maintenance Charge (\$200 per month)	<u>\$2,400.00</u>
<i>Subtotal of Post-Distribution Work Fees</i>	\$23,431.35

Post-Distribution Work- Expenses

Postage	\$466.50
FedEx, Copies, Line Charges	<u>\$500.00</u>
<i>Subtotal of Post-Distribution Work Expenses</i>	\$966.50

Subtotal of Post-Distribution Work Fees & Expenses **\$24,397.85**

III. Ancillary Services- Fees

Paper Storage, 94 boxes @ \$1.50 per box per month for 12 months	\$1,692.00
Electronic Storage- 444,586 @ \$0.01 per image/record per month for 12 months	<u>\$53,350.32</u>
<i>Subtotal of Ancillary Fees</i>	\$55,042.32

Ancillary Services- Expenses

P.O. Box Renewal- 6 months	\$660.00
Disposal of files	<u>\$540.50</u>
<i>Subtotal of Ancillary Expenses</i>	\$1,200.50

Subtotal of Ancillary Fees & Expenses **\$56,242.82**

GRAND TOTAL FEES AND EXPENSES: **\$94,421.17**